



MONASH University

Physical rehabilitation in the intensive care unit

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School of Primary and Allied Health Care

Faculty of Medicine, Nursing and Health Sciences

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Abstract

Intensive care unit (ICU) services cost the Australian economy at least 2.1 billion dollars annually, excluding the cost of care to manage long-term morbidity. Patients with intensive care unit-acquired weakness (ICU-AW) have poor short- and long-term physical outcomes and consume a disproportionate number of these resources. Thus, improving strategies for the prevention and treatment of ICU-AW is of high public health importance.

Prediction and prevention of muscle weakness in critically ill patients are difficult, due to insufficient understanding of molecular pathophysiology along with practical limitations and methodological variability when implementing physical activity programs in this population. Few studies have investigated the extent to which bench-top and clinical physiological findings are linked. Critically ill patients requiring continuous renal replacement therapy are routinely immobilised, therefore, at higher risk of developing ICU-AW. They are a cohort that highlights the practical limitations of implementing physical activity in this setting. The safety and feasibility of early mobilisation in this cohort are uncertain. The efficacy of physical rehabilitation in the ICU is also unclear, with multiple systematic reviews reporting inconsistent results. Reviews to date have not considered the type of rehabilitation intervention and the dosage of therapy received by the control group as sources of heterogeneity.

This program of research involved two prospective studies and one systematic review. First, a prospective cohort study was conducted to investigate the relationship between activin A, a negative regulator of muscle mass, and patient outcomes. Thirty-six critically ill patients from two ICUs were recruited. Serum activin A levels were measured daily in ICU. In

addition, standardised muscle strength and physical function outcome measures with established clinometric properties were used throughout the ICU and acute hospital stay. Elevated peak activin A concentration was associated with worse outcomes at first time sitting out of bed, ICU, and hospital discharge. Thus, Activin A appears to be a promising pharmacological target for physical disability in critical illness.

Second, a novel prospective, quasi-experimental study investigated the safety and feasibility of a physical activity program conducted with patients on renal replacement therapy in the ICU. A total of 33 patients undergoing continuous renal replacement therapy via femoral, subclavian or internal jugular vascular access catheters were enrolled. Patients underwent one of three levels of mobilisation intervention as appropriate: (1) passive bed exercises, (2) sitting on the bed edge, or (3) standing and marching. Catheter dislodgement, haematoma, and bleeding during and following interventions were evaluated. No episodes of filter occlusion or failure occurred during any of the interventions. No adverse events were detected. Thus, mobilisation during renal replacement therapy via a vascular catheter in critically ill patients was safe and feasible.

Finally, a systematic review and meta-analysis of 60 trials investigated the effectiveness of physical rehabilitation that began in the intensive care unit. Outcomes included muscle strength, physical function, duration of mechanical ventilation, length of stay in ICU and hospital, mortality, and health-related quality of life. In addition, the effect of control group therapy dosage and the impact of task-specific rehabilitation was explored with subgroup analysis. Our review found that physical rehabilitation in the ICU improved physical function at hospital discharge, reduced intensive care unit and hospital length of stay. However, it had no impact on the other outcomes. Important confounders to the effectiveness of

physical rehabilitation included the task-specificity of exercises and the amount of physical rehabilitation available to the control group participants.

In conclusion, Activin A is a promising pharmacological target to reduce physical disability in critical illness. Mobilisation of patients during continuous renal replacement therapy via a is safe and feasible. Physical rehabilitation that begins in the ICU improves physical function at hospital discharge, reduces ICU and hospital length of stay.

Publications during enrolment

Wang YT, Haines TP, Ritchie P, Walker C, Ansell TA, Ryan DT, Lim PS, Vij S, Acs R, Fealy N, Skinner EH (2014). Early mobilisation on continuous renal replacement therapy is safe and may improve filter life. *Critical Care*, 18(4), 1-10.

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Oral presentations by the candidate

Serum activin A concentration in intensive care predicts mortality and physical function,
Australian and New Zealand Intensive Care Society (ANZCIS) Conference, 2017

Serum activin A concentration in intensive care predicts mortality and physical function,
Peninsula Health Research Week, 2017

Physical rehabilitation in the Intensive Care Unit – a systematic review and meta-analysis.
Peninsula Health Research Week, 2019

Thesis including published works declaration

I hereby declare that this thesis contains no material which has been accepted for the award of any other degree or diploma at any university or equivalent institution and that, to the best of my knowledge and belief, this thesis contains no material previously published or written by another person, except where due reference is made in the text of the thesis.

This thesis includes one original paper published in peer-reviewed journals and two submitted publications. The core theme of the thesis is physical rehabilitation in the intensive care unit. The ideas, development, and writing up of all the papers in the thesis were the principal responsibility of myself, the student, working within the School of Primary and Allied Health Care under the supervision of Professor Terry Haines.

The inclusion of co-authors reflects the fact that the work came from active collaboration between researchers and acknowledges input into team-based research.

In the case of Chapters 3, 4, and 5, my contribution to the work involved the following:

| | | Status | | | | |
|----------------|---|--|---|---|--|-----------------------------------|
| Thesis Chapter | Publication Title | <i>(published, in press, accepted or returned for revision, submitted)</i> | | Nature and % of student contribution | Co-author name(s) Nature and % of Co-author's contribution* | Co-author(s), Monash student Y/N* |
| | | | | | | |
| 3 | Activin A level is associated with muscle strength and physical function in critically ill patients | submitted | Conception and design of the work, acquisition, analysis, and interpretation of data for the work; completed the first draft of the manuscript and revising it critically for important intellectual content. 55% | Craig A Harrison, conception and design of the work, analysis, and interpretation of data for the work; drafting the work and revising it critically for important intellectual content.10% Elizabeth H Skinner; conception and design of the work, analysis, and interpretation of data for the work; | Jenna K Lang is a current Monash student, and nil other co-authors are current students. | |

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| | | | | <p>it critically for important intellectual content. 1%</p> <p>Tim M Crozier, design of the work, interpretation of data for the work; drafting the work and revising it critically for important intellectual content. 1%</p> <p>Terry P Haines, conception and design of the work, analysis, and interpretation of data for the work; drafting the work and revising it critically for important intellectual content. 6.5%</p> | |
| 4 | Early mobilisation on continuous renal replacement therapy is safe | Published | Involved in the conception and design of the study, led patient recruitment, data collection, data analysis, interpretation of | Terry P Haines, involved in the data analyses, interpretation and reviewed the manuscript for intellectually important content. 10% | No co-authors are current Monash students |

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| | and may improve filter life | | the study, completed the first draft of the manuscript, and reviewed the manuscript for intellectually important content. 60% | <p>Paul Ritchie, involved in the design of the study, data analysis, and reviewed the manuscript for intellectually important content. 2%</p> <p>Craig Walker, involved in the design of the study, data analysis and reviewed the manuscript for intellectually important content. 2%</p> <p>Teri A Ansell, involved in the design of the study, data collection and reviewed the manuscript for intellectually important content. 1%</p> <p>Danielle T Ryan, involved in the conception of the study and reviewed the manuscript for intellectually important content. 1%</p> | |
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| 5 | Physical rehabilitation in the intensive care unit, a systematic review and meta-analysis. | In press | Guarantor of this review, conceived this review and designed the first draft of its protocol, screened records for inclusion into the review, managed review data, performed statistical inferences, and drafted the final manuscript. 65% | Jenna K Lang screened records for inclusion into the review, managed review data, and participated in the drafting of the final manuscript. 15% Kimberley J Haines participated in the drafting of the final manuscript. 5% Elizabeth H Skinner conceived this review and designed the first draft of its protocol, participated in the drafting of the final manuscript. 5% Terry P Haines, conceived this review and designed the first draft of its protocol. TH performed statistical | Jenna K Lang is a current Monash student, and nil other co-authors are current students. |

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I hereby certify that the above declaration correctly reflects the nature and extent of the student's and co-authors' contributions to this work. In instances where I am not the responsible author I have consulted with the responsible author to agree on the respective contributions of the authors.

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Date: 12th April 2021

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Dedication

I dedicate this thesis to my family, Mia, Lucas, and Ella. Your love, sacrifice, and understanding made this work possible.

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List of abbreviations

| | |
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| 6MWT | Six-minute walk test |
| ACCCN | Australian College of Critical Care Nurses |
| ActRIIB | Activin receptor type IIB |
| ALS | Autophagic-lysosomal system |
| APACHE | Acute Physiological and Chronic Health Evaluation |
| APTT | Activated partial thromboplastin time |
| ARDS | Acute respiratory distress syndrome |
| CCT | Controlled clinical trial |
| CI | Confidence interval |
| CICM | College of Intensive Care Medicine |
| CIM | Critical illness myopathy |
| CINM | Critical illness neuromyopathy |
| CIP | Critical illness polyneuropathy |
| CON | Control group intervention |
| COVID-19 | Coronavirus disease 2019 |
| CRRT | Continuous renal replacement therapy |
| CVVHD | Continuous veno-venous haemodialysis |
| CVVHDF | Continuous veno-venous haemodiafiltration |
| EMS | Electrical muscle stimulation |
| EQ-5D | Euro-QoL 5D |
| EXP | Experimental group intervention |
| H1N1 | Influenza A virus subtype H1N1 |
| Hb | Haemoglobin |

| | |
|----------|---|
| HRQoL | Health-related quality of life |
| ICU-AW | Intensive care unit acquired weakness |
| ICU | Intensive care unit |
| INR | International normalised ratio |
| IQR | Inter-quartile range |
| LOS | Length of stay |
| MD | Mean difference |
| MOF | Multi-organ failure |
| MRC | Medical Research Council |
| MRC-SS | Medical Research Council Sum Score |
| MV | Mechanical ventilation |
| NASA-TLX | NASA Task Load Index |
| NEMS | Nine Equivalents of Nursing Manpower use score |
| NMES | Neuromuscular electrical stimulation |
| PFIT | Physical function ICU test |
| PFIT-s | Physical function ICU test scored |
| PRISMA | Preferred Reporting Items for Systematic Reviews and Meta-Analyses |
| RCT | Randomised controlled trial |
| RD | Risk difference |
| RoB2 | Version 2 of the Cochrane risk-of-bias tool for randomized trials |
| ROBINS-I | The Risk Of Bias In Non-randomized Studies – of Interventions |
| ROM | Range of motion |

| | |
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| SD | Standard deviation |
| SF-36 | Short Form-36 |
| SIRS | Systemic inflammatory response syndrome |
| SMD | Standardised mean difference |
| SOEOB | Sit on the edge of the bed |
| SOFA | Sequential Organ Failure Assessment |
| SOOB | Sit out of bed |
| SPSS™ | Statistical Package for the Social Sciences |
| SUIB | Sit up in bed |
| TGF-β | Transforming growth factor-beta |
| TUG | Timed 'Up and Go' test |
| UPS | Ubiquitin-proteasome system |
| US | The United States (see also USA) |
| USA | The United States of America (see also US) |

Chapter 1. Introduction

1.1. Statement of the problem

Intensive Care Unit (ICU) services cost the Australian economy at least 2.1 billion dollars annually (Hicks et al., 2019), excluding the cost of long-term morbidity. Patients with a prolonged length of stay in the ICU (> 10-14 days) consume a disproportionate number of resources, with 11% of patients using 45% of ICU days and 55% of mechanical ventilation days (Arabi et al., 2002; Carson & Bach, 2002).

Up to 65% of patients with prolonged critical illness exhibit a severe weakness syndrome attributable to ICU (Ali et al., 2008; Sharshar et al., 2009). This syndrome is thought to be from a combination of muscle mass loss, myopathy (Derde et al., 2012; Puthuchearry et al., 2013), and polyneuropathy (Bolton et al., 1984). In the absence of plausible cause other than critical illness, this acute clinical weakness acquired in the ICU is termed ICU-acquired weakness (ICU-AW). Weakness can occur early in the ICU admission and is associated with prolonged weaning from mechanical ventilation (MV) (De Jonghe et al., 2007; De Jonghe, Bastuji-Garin, et al., 2004; De Jonghe et al., 2002), increased ICU (De Jonghe et al., 2002; Garnacho-Montero et al., 2005) and hospital length of stay (LOS) (Garnacho-Montero et al., 2005). ICU-AW is also associated with increased mortality in ICU (Sharshar et al., 2009), in-hospital (Ali et al., 2008; Sharshar et al., 2009), and for at least 12 months following discharge (Hermans et al., 2014). In patients who survive, ICU-AW is also associated with poorer

physical function and health-related quality of life (HRQoL) up to 12 months after ICU discharge (Dowdy et al., 2005).

The pathophysiology of ICU-AW is complex and is not fully understood, making prevention and treatment challenging. Both preclinical and clinical studies provide evidence that multiple contributing pathophysiologic mechanisms are involved in the evolution of ICU-AW (Bloch et al., 2012; Hermans & Van den Berghe, 2015; Schefold et al., 2010). Acute skeletal muscle wasting is a frequent complication in critical illness (Dos Santos et al., 2016; Hayes et al., 2018; Kirby P. Mayer et al., 2020; Puthuchearu et al., 2013; Sheehan et al., 2014), occurring early in the intensive care unit admission and lasting up to 6 months after ICU discharge (Dos Santos et al., 2016). Lower limb muscle cross-sectional area can decrease by up to 18.5% in the first 7 to 10 days of an ICU admission (Hayes et al., 2018; Kirby P. Mayer et al., 2020; Puthuchearu et al., 2013). Muscle wasting is associated with muscle weakness and worse physical function in the ICU (Hayes et al., 2018), at hospital discharge (Kirby P. Mayer et al., 2020), and in the 12 months after ICU admission (Chan et al., 2018). Muscle wasting in critical illness occurs via decreased muscle protein synthesis (Puthuchearu et al., 2013) and increased protein degradation (Derde et al., 2012; Puthuchearu et al., 2013), in particular the degradation of myofibrillar proteins (Hasselgren et al., 1989; Long et al., 1981). Protein degradation in critical illness is due to the upregulation of the two major protein degradation pathways (Helliwell et al., 1998; Levine et al., 2008) - the ubiquitin-proteasome system (UPS) (Constantin et al., 2011; Schmidt et al., 2014; Tiao et al., 1997) and the autophagic-lysosomal system (ALS) (Constantin et al., 2011; Mofarrahi et al., 2012).

Several studies have investigated the functional sequelae of ICU-AW (Burtin et al., 2009; Eikermann et al., 2006; Finn et al., 1996; Reid et al., 2008; Schweickert et al., 2009), but few have investigated the extent to which bench-top and clinical physiological findings are linked.

Activin A is a protein complex that belongs to the transforming growth factor-beta (TGF- β) ligands family and is a negative regulator of muscle mass. Activin A binds to Activin receptor type 2B (ActRIIB), a high-affinity activin A receptor in muscle. The activin A-Smad3 pathway is the primary negative regulator of protein synthesis (Schiaffino et al., 2013), its activation directly inhibiting protein synthesis (Gumucio et al., 2015). The activin pathway also initiates a signalling cascade leading to increased expression of genes involved in the UPS and ALS to induce muscle protein degradation (Lokireddy et al., 2011; Marino et al., 2015; Tisdale, 2010; Zhou et al., 2010). Elevated levels of activin A induce loss of muscle mass, endomysial fibrosis, decreased peak force-generating capacity, and reduced fatigue resistance (Chen et al., 2014), features commonly observed in critical illness myopathy (CIM) (Eikermann et al., 2006; Herridge et al., 2003). Serum Activin A is elevated in critically ill patients with sepsis (Lee et al., 2016; Michel et al., 2003), coronavirus disease 2019 (COVID-19) (Synolaki et al., 2021), influenza A virus subtype H1N1 (H1N1) (Linko et al., 2014), and acute respiratory failure (de Kretser et al., 2013). But the relationship between serum activin A, muscle strength, and physical function in critically ill patients is currently unclear.

The role of activin A in critically ill patients is of particular significance because inhibition of ActRIIB has been shown to increase muscle mass in healthy volunteers (Attie et al., 2013) and patients with chronic obstructive pulmonary disease (Polkey et al., 2019). Pharmacological agents such as bimagrumab (Polkey et al., 2019) and muscle regulator ACE-031 (Attie et al., 2013) antagonise the activin A pathway and have been used safely in humans. Suppose activin A is an influential factor in the muscle catabolism observed in critical illness survivors. In that case, it can become a predictor of patient outcome and a potential therapeutic target. The relationship between serum activin A levels, muscle strength, and physical function at ICU and hospital discharge needs to be described.

While ICU-AW has mixed pathophysiology, the bedrest and immobilisation associated with the monitoring and management of critically ill patients have real and deleterious consequences. Australian and international prospective cohort and point prevalence studies have shown that patient mobilisation events were infrequent (Berney et al., 2013) or delayed (Marc R. Nickels et al., 2020) in the ICU, particularly those managed with invasive mechanical ventilation (Berney et al., 2013; Capell et al., 2019; Hodgson et al., 2015; Jolley et al., 2017; Nydahl et al., 2014; Timenetsky et al., 2020).

Critically ill patients with acute renal failure are particularly susceptible to ICU-AW. Acute renal failure occurs in 5.5-6.0% of patients admitted to the ICU. Almost three-quarters of these patients require continuous renal replacement therapy (CRRT) via temporary double-lumen vascular catheters (Uchino et al., 2005). Historically, patients with femoral vascular catheters have been restricted to bed rest (Berney et al., 2012; Leditschke et al., 2012; Pohlman et al., 2010) to avoid catheter dislodgement,

infection, and thrombosis (Schwab & Beathard, 1999). Patient movement may alter fluid dynamics, pressures, and blood flow of the CRRT circuit (Talley et al., 2013). Although early mobilisation in ICU is safe in the presence of femoral arterial catheters (Damluji et al., 2013; Perme et al., 2011), delivery of CRRT via a femoral catheter precludes hip flexion in practice and research (Pohlman et al., 2010). Several studies have reported data on the safety and feasibility of mobilisation in patients with femoral catheters (including arterial, venous, and haemodialysis) (Al-Wakeel et al., 1998; Damluji et al., 2013; Perme et al., 2011; Talley et al., 2013) but none specifically report CRRT data during mobilisation. Maintenance of the filter circuit is vital as premature disconnection results in blood loss, increased nursing workload, and increased costs (Joannidis & Oudemans-van Straaten, 2007). Therefore, Filter life is an essential indicator of CRRT efficacy (Uchino et al., 2003). The specific effects of mobilisation on the vascular catheter, circuit pressures, and blood flow in patients receiving CRRT via dual-lumen femoral vascular catheters are uncertain. The safety and feasibility of mobilisation in ICU patients with femoral vascular catheter placement during CRRT must be investigated to ensure patients are not subject to unnecessary immobilisation.

Physical rehabilitation is an integral part of the management of the physical sequelae of critical illness (Davidson et al., 2013; Needham et al., 2011; Schweickert et al., 2009). Therapy begins in the ICU, with the intent to reverse muscle catabolism, mitigate neuropathy, and minimise the effects of immobility (Truong et al., 2009). Consequently, physical rehabilitation has been extensively investigated as the treatment of choice for ICU-AW. Early systematic reviews have concluded that exercise rehabilitation in ICU is safe and feasible (Stiller, 2013); effective in improving physical function (Adler & Malone, 2012; Kayambu et al., 2013), HRQoL, muscle

strength, ventilator-free days, and ICU LOS (Kayambu et al., 2013). However, in recent years randomised control trials have been unable to achieve separation between groups in physical outcome measures (Denehy, Skinner, et al., 2013; Hodgson et al., 2016; Morris et al., 2016). The lack of separation between groups for these studies may be explained by the heterogeneous standard practice and the highly diverse rehabilitation interventions investigated.

There is considerable heterogeneity in routine physical rehabilitation practices in ICUs around the world (Bakhru et al., 2016; Harrold et al., 2015; Skinner et al., 2008), and standard practice has evolved since the earliest trials where significant benefits were found (Burtin et al., 2009; Morris et al., 2008; Schweickert et al., 2009). The amount of physical rehabilitation available to the control group is an important source of heterogeneity from trials investigating physical rehabilitation in the ICU. Physical rehabilitation in the ICU consists of numerous exercise modalities, including passive range of motions exercises, resistance training, positioning, functional mobility, and neuromuscular electrical stimulation. Task-specific exercises such as lifting head, rolling, sitting up, sitting balance, standing, transferring, and walking are more effective than impairment-based training in non-ICU patients (de Vreede et al., 2005; Di Monaco et al., 2009; Lowe et al., 2009; Nadeau et al., 2013), but in the ICU they involve more complex decision-making affecting sedation optimisation, feasibility, and safety.

The effect of physical rehabilitation in the ICU has been investigated in eleven relevant systematic reviews and meta-analyses (D. E. Anekwe et al., 2020; Castro-Avila et al., 2015; Ding et al., 2019; Fuke et al., 2018; Kayambu et al., 2013; Menges et al., 2021;

Okada et al., 2019; Tipping et al., 2017; Worrapphan et al., 2020; Zang et al., 2020; Zhang et al., 2018). The first review (Kayambu et al., 2013) had a broad scope and found physical rehabilitation was associated with significant improvements in muscle strength, physical function, MV-free days, HRQoL, reduced ICU and hospital LOS. Meta-analyses since have been more restricted in the included interventions.

Reviews have included trials with interventions that were high dose (Castro-Avila et al., 2015); initiated early (D. E. Anekwe et al., 2020; Ding et al., 2019; Fuke et al., 2018; Okada et al., 2019; Worrapphan et al., 2020; Zang et al., 2020; Zhang et al., 2018); active exercises only (Tipping et al., 2017), or protocolised (Menges et al., 2021). In some of these reviews, heterogeneity in the confidence intervals of the reported results has been high, while the results and conclusions across these meta-analyses have been inconsistent.

No reviews have considered the amount of physical rehabilitation available to the control group participants as a part of routine care as a source of heterogeneity. Nor had any review investigated the effectiveness of task-specific training in the ICU. Task-specific exercises such as sitting on the side of the bed, standing, and walking involve more complex decision-making incorporating sedation management, feasibility, and safety. A review with broad inclusion criteria is needed to examine whether the inconsistency in findings and conclusions in this field, along with the heterogeneity in the confidence intervals of the reported results, could be explained through examination of these factors.

1.2 Justification for research

In summary, the critically ill patient is frequently subject to physical inactivity and immobilisation, putting them at more risk of ICU-AW. This is particularly the case in patients requiring continuous renal replacement therapy, who may be restricted to bed rest for days. However, there are no empirical data to support the practice of routine immobilisation of patients having continuous renal replacement therapy.

Acute muscle wasting is a frequent complication in critical illness and is associated with worse outcomes in the 12 months after ICU admission. However, the pathophysiology of acute muscle wasting is not fully understood, and currently, there are no treatments with proven efficacy. Therefore, we must further our understanding of the pathophysiology of this condition and identify new potential targets for therapy.

Physical rehabilitation is a commonly adopted approach to manage the physical sequelae of critical illness. However, the results of recent systematic reviews have been conflicting. Reviews to date have not considered the amount of physical rehabilitation available to the control group as a confounding factor and source of heterogeneity. Moreover, it is unclear what type of exercise intervention is most effective.

1.3. Research aims

There are three primary aims of the body of work presented in this thesis:

1. To investigate the relationship between serum activin A, muscle strength, and physical function in critically ill patients.

2. To evaluate the safety and feasibility of mobilisation in ICU patients with femoral vascular catheter placement during CRRT.
3. To investigate the effectiveness of physical rehabilitation that begins in the ICU, focusing on task-specific interventions and with clear reference to the dosage of control group therapy.

In the context of the research aims, the research question to be addressed in this thesis are as follows:

1. Is activin A level related to muscle strength in critically ill patients?
2. Is activin A level related to the physical function in critically ill patients?
3. Are movement and mobilisation in ICU patients with femoral vascular catheter placement during CRRT safe?
4. How do movement and mobilisation during CRRT affect the life of the CRRT filter?
5. Does physical rehabilitation that begins in the ICU improve outcomes?
6. Do task-specific rehabilitation interventions in ICU produce more benefits than non-task-specific interventions?
7. How does the dose of control therapy impact the effectiveness of experimental interventions in trials investigating physical rehabilitation in the ICU?

The following thesis overview describes the studies designed to address these research questions; the chapters present the studies.

1.4. Thesis overview

Chapters 2 provide a substantive literature review of the Australian intensive care unit environment, ICU service delivery, and the patient population that utilise this service. A detailed review of literature on the pathophysiology and treatments of ICU-AW concludes this chapter.

Chapter 3 describes the relationship between serum activin A, muscle strength, and physical function in critically ill patients.

The results of the second clinical study will be presented in **Chapter 4**. This was a prospective interventional cohort study, where the intervention of movement and mobilisation was trialled in a cohort of critically ill patients on continuous renal replacement therapy.

Chapter 5 will report the results of a systematic review and meta-analysis that investigates the effectiveness of physical rehabilitation that began in the intensive care unit.

The final chapter of the thesis, **Chapter 6**, presents a summary of the research findings. The clinical significance, strengths, and limitations of the body of research presented in this thesis are discussed. Finally, recommendations for further investigation and collaboration are presented.

Chapter 2. Problems with care emergent in ICU

2.1. Introduction

This chapter will focus on the published literature in the relevant background areas to work presented in this thesis, to provide a substantial backdrop to the relevance and importance of the studies presented in chapters 3 to 5. In addition, the narrative review presented in this chapter will cover the ICU environment, barriers to physical activity in the ICU, the physical impairments associated with critical illness, and treatment options.

2.2. The Intensive Care Unit

The College of Intensive Care Medicine (CICM) of Australia and New Zealand defines an ICU as "a specially staffed and equipped, separate and self-contained area of a hospital dedicated to the management of patients with life-threatening illnesses, injuries and complications, and monitoring of potentially life-threatening conditions (CICM, 2016)." An ICU is usually located near areas such as the emergency department, the operating theatres, radiology, interventional cardiology, and other high acuity wards where relevant.

2.3. Staffing

The staffing profile of the ICU is dependent on the needs of the individual ICU and the hospital, comprising medical, nursing, allied health, and support staff. There is a lack of international standardised staffing requirements for intensive care units.

However, in Australia, there are minimum standards for medical and nursing staffing

levels for critical care. The medical staff includes ICU specialists, with at least one ICU specialist always rostered per 8 to 15 beds (CICM, 2016). Australian College of Critical Care Nurses (ACCCN) guidelines require a minimum of 1:1 for ventilated and other critically ill patients and 1:2 nursing staff for lower acuity patients (ACCCN, 2003). In addition, other staff such as physiotherapists, social workers, occupational therapists, and pastoral care staff are required depending on the unit's needs.

2.4. Clinical area

Patients are cared for in either single open cubicles or single rooms of 20 square metres in size. There must be an adequate number of service outlets depending on the purpose of the unit. For example, a level III unit will require at least four oxygen, three air, three suction and four data outlets, and at least 16 power points for each bedspace. In addition, there must be adequate access to the head of each bed and enough space to store equipment such as a ventilator, infusion pumps, continuous renal replacement therapy, and chairs for the patient and visitors (CICM, 2016).

2.5. The critically ill patient

The critically ill patient requires monitoring and treatment because one or more organ functions are threatened, with the potential for developing life-threatening conditions (Bersten, 2019). These patients have an existing failure of one or more organ functions but with a reasonable chance of meaningful functional recovery (Bersten, 2019). There are various causes for patient admission into the ICU, with more than 100 Acute Physiology and Chronic Health Evaluation (APACHE) III-J

diagnostic codes and 400 sub-diagnosis codes (Australian and New Zealand Intensive Care Society, 2020). The critically ill patient is a heterogeneous population with life-threatening illnesses, injuries, or complications.

2.6. Physical inactivity and immobilisation in the ICU

Bedrest and immobilisation are often associated with the monitoring and management of a critically ill patient. Prospective cohort and point prevalence studies have shown that patient mobilisation events were low (Berney et al., 2013) or delayed (Marc R. Nickels et al., 2020) in the ICU, particularly those managed with invasive mechanical ventilation (Berney et al., 2013; Capell et al., 2019; Hodgson et al., 2015; Jolley et al., 2017; Nydahl et al., 2014; Timenetsky et al., 2020).

The main barriers to physical activity in the ICU fit into five main themes: patient physical, physiological and psychological capability; safety concerns; environmental factors; culture and team; motivation and beliefs about physical activity (Parry et al., 2017).

Patient physical, physiological, and psychological capability

Symptoms of pain, fatigue, and weakness are common barriers to physical activity in the critically ill (Berney et al., 2013; Castro et al., 2015; Harrold et al., 2015; Hodgson et al., 2016; Needham & Korupolu, 2010; Nydahl et al., 2014; Pohlman et al., 2010). In addition, the patient's physical capability may be limited by the level of alertness (Appleton et al., 2011; Berney et al., 2013; Jolley et al., 2015; Leditschke et al.,

2012; Winkelman & Peereboom, 2010), delirium (Bassett et al., 2015; Capell et al., 2019; Engel et al., 2013; Jolley et al., 2014; Leditschke et al., 2012; Needham & Korupolu, 2010; Pohlman et al., 2010), or agitation (Berney et al., 2012; Berney et al., 2013; Capell et al., 2019; Dammeyer et al., 2013; Hodgson et al., 2013; Leditschke et al., 2012; Maiden et al., 2020).

The patient's participation in physical activity may be limited by the severity of illness, including neurologic, haemodynamic, or respiratory instability (Berney et al., 2013; Brummel et al., 2014; Capell et al., 2019; Castro et al., 2015; Dafoe et al., 2015; Dammeyer et al., 2013; Engel et al., 2013; Harrold et al., 2015; Hodgson et al., 2013; Holdsworth et al., 2015; Knott et al., 2015; Leditschke et al., 2012; Morris et al., 2008; Needham & Korupolu, 2010; Nydahl et al., 2014; Pohlman et al., 2010; Winkelman & Peereboom, 2010). In addition, the presence of an endotracheal tube was a common barrier to mobilisation (Berney et al., 2015; Harrold et al., 2015; Hodgson et al., 2013; Holdsworth et al., 2015; Jolley et al., 2015; Knott et al., 2015; Nydahl et al., 2014), due to concerns for dislodgement of the airway, and the increased number of staff required for out-of-bed activities.

Psychological barriers to physical rehabilitation in the ICU include anxiety and depression. The incidence of anxiety and depression is high in patients admitted to an ICU (Bashar et al., 2018; Fumis et al., 2012; Yousefzadeh-Chabok et al., 2018). Anxiety, fear, and lack of motivation from patients were identified as barriers by ICU clinicians (David E. Anekwe et al., 2020; Hodgson et al., 2018; Williams & Flynn, 2013), which negatively impacts participation and adherence to physical activities.

Safety concerns

Safety concerns regarding lines are also common barriers to physical activity (Capell et al., 2019; Castro et al., 2015; Engel et al., 2013; Harris & Shahid, 2014; Hodgson et al., 2013; King & Crowe, 1998; Knott et al., 2015; Leditschke et al., 2012; Needham & Korupolu, 2010; Pohlman et al., 2010; Winkelman & Peereboom, 2010). This is particularly true for patients with renal impairment requiring continuous renal replacement therapy via a multiple lumen vascular access catheter (Berney et al., 2012; Berney et al., 2015; Dinglas et al., 2013; Garzon-Serrano et al., 2011; Harrold et al., 2015; Pohlman et al., 2010) (Figure 2.1). Historically, patients with femoral haemodialysis catheters have been restricted to bed rest (Berney et al., 2012; Pohlman et al., 2010) to avoid catheter dislodgement, infection, thrombosis, disruption of vascular access, and to facilitate CRRT deliverables (Morris, 2007; Talley et al., 2013). However, there is a lack of evidence to support the immobilisation of these patients. The continued practice of bed rest for these patients reflects a culture of risk aversion and unchallenged tradition.



Figure 2.1 A critically ill patient in ICU illustrating the attachments, lines, tubes, and associated equipment that may make the physical activity of a conscious patient difficult. (photo was taken and is shared with consent from the patient)

Environmental factors

Sedation is a frequent and important barrier to physical activity and rehabilitation in the ICU (Barber et al., 2015; Berney et al., 2012; Berney et al., 2013; Capell et al., 2019; Castro et al., 2015; Dafoe et al., 2015; Dammeyer et al., 2013; Engel et al., 2013; Harrold et al., 2015; Hodgson et al., 2016; Needham & Korupolu, 2010; Nydahl et al., 2014; Pohlman et al., 2010). Patients who are intubated and mechanically ventilated are often managed with continuous intravenous sedation. Addressing other barriers to mobilisation with education, leadership roles, and

improving communication without addressing sedation practices did not improve patient mobilisation outcomes (Dafoe et al., 2015). In contrast, addressing sedation practices alone reduced the incidence of delirium, increased the number of rehabilitation sessions, improved functional mobility, and decreased ICU length of stay (Needham & Korupolu, 2010).

Several authors have argued that the lack of funding and access to physiotherapy services are barriers to physical activity in the ICU (Barber et al., 2015; Castro et al., 2015; Dammeyer et al., 2013; Engel et al., 2013; Malone et al., 2015; Morris et al., 2008; Needham et al., 2010). The lack of equipment, resources, and staffing also impact the feasibility of physical activity (Bassett et al., 2015; Carrothers et al., 2013; Castro et al., 2015; Engel et al., 2013; Harrold et al., 2015; Knott et al., 2015; Malone et al., 2015; Morris et al., 2008; Needham & Korupolu, 2010). In addition, time constraints and competing priorities are barriers to mobilisation for the multidisciplinary team (Barber et al., 2015; Berney et al., 2012; Berney et al., 2013; Capell et al., 2019; Castro et al., 2015; Dafoe et al., 2015; Dammeyer et al., 2013; Harrold et al., 2015; Leditschke et al., 2012; Pohlman et al., 2010; Schweickert et al., 2009). Lack of resources such as skilled staffing, rehabilitation equipment, and time constraints are barriers to physical activity in the intensive care setting.

ICU staffing structure varies internationally, including nurse-to-patient ratios and the availability of dedicated physiotherapists (Bakhru et al., 2016). Surveys of ICU physiotherapists in Australia (Skinner et al., 2008), Europe (Norrenberg et al., 2000), Canada (Koo et al., 2016), the US (Malone et al., 2015), and international surveys (Bakhru et al., 2016; Harrold et al., 2015) have described considerable variations in

staffing profiles and practice between countries. Consequently, mobility practices also varied considerably by country and were significantly associated with the nurse-to-patient ratio, the presence of a dedicated physiotherapist, multidisciplinary rounds, and daily goal setting (Bakhru et al., 2016).

Culture and training

The culture of an intensive care unit can have a significant impact on the practice of mobilisation. For example, a culture of risk aversion (Barber et al., 2015; Bassett et al., 2012; Boehm et al., 2020; Engel et al., 2013), lack of multidisciplinary teamwork (David E. Anekwe et al., 2020; Balas et al., 2013; Barber et al., 2015; Carrothers et al., 2013; Dafoe et al., 2015; Dammeyer et al., 2013), and lack of mobility champions (Dafoe et al., 2015; Dammeyer et al., 2013; Needham & Korupolu, 2010) are barriers to early mobility activities in the ICU.

Insufficient training of staff is also a barrier to early rehabilitation of patients (Balas et al., 2013; Barber et al., 2015; Bassett et al., 2015; Carrothers et al., 2013; Castro et al., 2015; Dafoe et al., 2015; Engel et al., 2013; Malone et al., 2015; Morris et al., 2008; Needham & Korupolu, 2010). There is no international standardised training for physiotherapists working in the ICU, so the role and skillset differ internationally (Norrenberg et al., 2000; Skinner et al., 2015). In a survey of ICU physical therapists in the US, 12% of respondents reported having received no training in rehabilitation in the ICU (Malone et al., 2015). Similarly, a lack of conviction and knowledge regarding the benefit of early mobilisation was a limiting factor in a survey of Canadian ICU clinicians (David E. Anekwe et al., 2020).

The above barriers to physical activity result in the immobilisation of the critically ill patient, which is a risk factor for the development of physical problems after intensive care, such as Intensive care unit acquired weakness (ICU-AW). They also lead to considerable heterogeneity in the routine mobility practices of ICU across the world, adding complexity to the study of physical rehabilitation in the prevention or treatment of ICU-AW.

2.7. Intensive Care Unit acquired weakness.

Intensive Care Unit acquired weakness (ICU-AW) is an acute clinical weakness in a critically ill patient without plausible cause other than critical illness. It can be further classified into critical illness myopathy (CIM), critical illness polyneuropathy (CIP), or critical illness neuromyopathy (CINM) (Stevens et al., 2009). In addition, muscle atrophy is a frequent complication in critical illness and can occur with or without the presence of CIM or CIP (Scheffold et al., 2020) (Figure 2.2).

Critical illness polyneuropathy (CIP) is an axonal polyneuropathy affecting limb and respiratory muscles (Bolton et al., 1984). Pathological findings demonstrate primary distal axonal degeneration of both sensory and motor fibres, with no evidence of demyelination or inflammation (Bolton et al., 1984). Muscle biopsies from these same patients show changes characteristic of denervation but may also reveal myopathy (Latronico et al., 1996; Zochodne et al., 1987).

Critical illness myopathy (CIM) is the most common subcategory of ICU-AW (Koch et al., 2011; Latronico & Bolton, 2011) and is a primary myopathy where both force

generation capacity and muscle mass are affected (Batt et al., 2013). These contribute to the limbs' symmetrical and flaccid weakness, more pronounced in the proximal muscles than in the distal muscles.

Muscle wasting is a frequent complication in critical illness (N. L. Diaz et al., 1998; Puthuchearu et al., 2013), developing in 80% of patients receiving more than seven days of mechanical ventilation and 100% of patients in ICU with multiorgan failure (Koukourikos et al., 2014). Much of the wasting occurs early and rapidly, more severely in patients with multiple organ failure (Puthuchearu et al., 2013). Studies of muscle atrophy in healthy people have shown that the loss rate is between 4-5% every week of immobilisation (Stein & Wade, 2005). The interaction of immobilisation in conjunction with sepsis or systemic inflammatory response syndrome enhances the loss of muscular proteins (Finn et al., 1996; Paddon-Jones et al., 2006). The atrophy of myofibers preferentially affects type 2 fast-twitch fibres more than type 1 slow-twitch fibres (N. L. Diaz et al., 1998; Sander et al., 2002). The difference in the pathophysiology of these sub-types of ICU acquired weakness will be discussed later in this chapter.

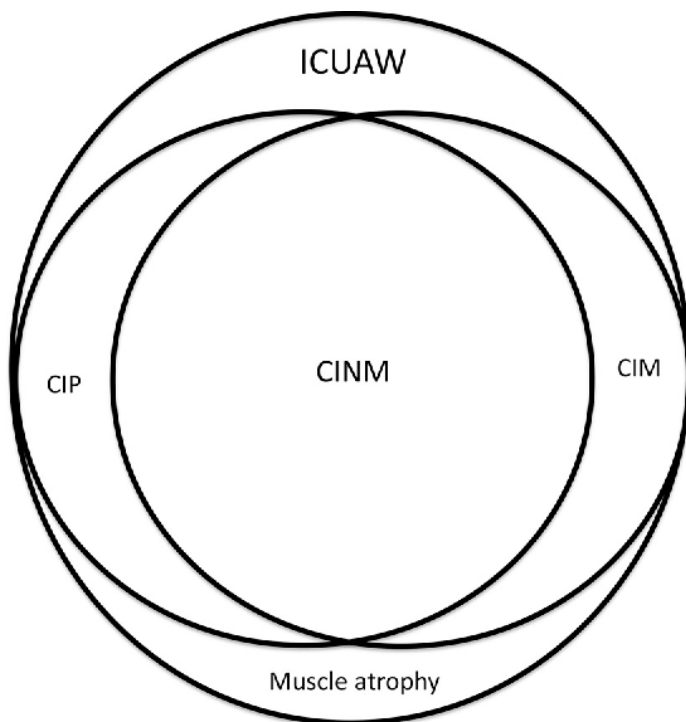


Figure 2.2 Association between ICU-AW, CINM, CIP, CIM, and muscle atrophy. Critically ill patients who develop clinical signs of weakness have ICU-AW. Most patients with ICU-AW have evidence of nerve or muscle dysfunction and are further characterized as having CIP or CIM, respectively. The term CINM is used to describe the common co-occurrence of CIP and CIM in the individual patient. Muscle atrophy also plays a role in the development of ICU-AW and may coexist with CINM. Figure reproduced with permission from (Kramer, 2017).

2.7.1. Investigation and Diagnosis

A framework for diagnosing and classifying patients with ICU-AW was first proposed by Stevens and colleagues in 2009 (Stevens et al., 2009). This has since been supplemented by narrative reviews and clinical guidelines over the last decade (Fan, Cheek, et al., 2014; Hermans & Van den Berghe, 2015; Latronico et al., 2017; Vanhorebeek et al., 2020).

By definition, the diagnosis of ICU-AW is a clinical diagnosis of exclusion involving detailed clinical history, including functional status before ICU admission, and clinical examination to identify other possible causes of generalised weakness (Stevens et al., 2009). The clinical context includes acute severe illness requiring prolonged mechanical ventilation or sepsis and multiorgan support (Stevens et al., 2009). Patients with ICU-AW may present with flaccid tetraparesis, respiratory muscle weakness, hyporeflexia, loss of muscle mass, and high fatigability (Dres et al., 2017; Eikermann et al., 2006; Herridge et al., 2003). It is recommended that clinical examination evaluate key functional domains, including consciousness and cognitive function, cranial nerves, motor and sensory systems, deep tendon reflexes, and coordination. Motor assessment should include a consideration of tone and bulk in addition to strength (Stevens et al., 2009).

Assessment of peripheral muscle strength

Manual muscle testing is graded by the Medical Research Council (MRC) score, an ordinal scale grading muscle contraction from 0 to 5 (Medical Research Council, 1976). The sum of predefined individual scores can provide a global measure of muscle function. In several early studies, ICU-AW was defined by an MRC Sum Score (MRC-SS) of less than 48 of out 60 (Ali et al., 2008; De Jonghe et al., 2007; De Jonghe, Sharshar, et al., 2004; De Jonghe et al., 2002), and since then it has become the reference standard (Fan, Cheek, et al., 2014). The MRC-SS appoints a value between 0 and 5 for each of six muscle groups bilaterally, including wrist extension, elbow flexion, shoulder abduction, hip flexion, knee extension, and ankle dorsiflexion (Table 2.1). The MRC-SS has good interobserver reliability in patients

with Guillain-Barré syndrome (Kleyweg et al., 1991) and has been implemented successfully in critically ill patients (De Jonghe et al., 2002).

Table 2.1 Medical Research Council Sum Score (Kleyweg et al., 1991; Medical Research Council, 1976)

| Muscle group evaluated | | Score 0-5 |
|--|-------|------------------|
| Wrist extension | Left | |
| | Right | |
| Elbow flexion | Left | |
| | Right | |
| Shoulder abduction | Left | |
| | Right | |
| Hip flexion | Left | |
| | Right | |
| Knee extension | Left | |
| | Right | |
| Ankle dorsiflexion | Left | |
| | Right | |
| Total score (out of 60) | | |
| Appointed score | | |
| 0, no visible/palpable contraction 1, visible/palpable contraction without movement of the limb 2, some movement of the limb, but not against gravity 3, movement against gravity 4, movement against gravity and some resistance 5, normal | | |

However, the accurate application of the MRC-SS requires a patient who is awake, cooperative, and capable of contracting the extremities with maximal force (Vanpee et al., 2014). It is also an ordinal scale, in which differentiation between 4 (movement against gravity and some resistance) and 5 (normal) is subjective (Hermans et al., 2012). The subjectivity of the scale is problematic for clinicians and researchers, so other objective measures of strength have been validated.

Handgrip dynamometry can be used as a quick diagnostic screen for ICU-AW.

Portable dynamometry permits the measurement of force on a continuous scale and has been reported to be reproducible in the ICU (Baldwin et al., 2013; Vanpee et al., 2011). Handgrip strength of less than 11 kg-force for males and less than seven kg-force for females resulted in the maximum combination of sensitivity and specificity for the diagnosis of ICU-AW when compared with ICU-AW diagnosis by MRC exam (Ali et al., 2008). Grip strength measured by dynamometry predicted difficulty weaning from mechanical ventilation (Cottureau et al., 2015), correlated with MRC-SS, and was independently predictive of hospital mortality, suggesting that it may be a good surrogate measure of global strength (Ali et al., 2008). Like the MRC-SS, handgrip dynamometry requires maximal voluntary muscle contraction, which may be compromised by pain, level of alertness, and ability to understand instructions. These are significant limitations to the current methods of muscle strength testing, as the incidence of delirium in critically ill patients is high (Ely et al., 2001).

Further investigations of ICU-AW may include nerve conduction studies, electromyography, a biopsy of nerve, muscle electric or magnetic neuromuscular twitch stimulation, and ultrasound to assess muscle mass and architecture (Bittner et

al., 2009; Connolly et al., 2015; Stevens et al., 2009). These investigations may further diagnose the subcategory of ICU-AW. CIP is identified in patients who have electrophysiological evidence of sensorimotor axonal polyneuropathy. A diagnosis of CIM is made in patients who have myopathic features on electromyography or myopathic muscle biopsy. Critical illness neuromyopathy has characteristics of both CIM and CIP (Stevens et al., 2009). However, using ancillary investigations to refine diagnosis remains mainly for research purposes because the tests are time-consuming, costly, and do not currently alter the clinical management of the ICU-AW.

Assessment of respiratory muscle strength

Respiratory muscle strength can be evaluated by the maximal inspiratory pressure, either by standardised volitional methods or by non-volitional calibrated stimulation of the phrenic nerve (ATS/ERS, 2002). The gold standard method for assessing respiratory muscle strength measures transdiaphragmatic pressure generated by twitch magnetic stimulation of the phrenic nerves (Watson et al., 2001). This method is independent of the patient's efforts or their level of alertness. However, the measurement of transdiaphragmatic pressure requires oesophageal and gastric balloons. Instead, the endotracheal tube pressure measured by twitch magnetic stimulation of the phrenic nerves is a reliable surrogate widely used in studies investigating diaphragm force. Diaphragm ultrasound can also be used to measure the thickening fraction of the diaphragm and diaphragmatic excursion. Respiratory muscle weakness can be defined as having twitch endotracheal tube pressure of less than 11 cmH₂O (ATS/ERS, 2002; Hamnegård et al., 1995) or having a

diaphragm excursion of less than 1.1 cm during tidal volume breathing (Kim et al., 2011).

Volitional methods such as maximal static inspiratory and expiratory pressure, measured with the mechanical ventilator or a handheld manometer, are more widely used in the clinical setting (ATS/ERS, 2002). Normal values for maximal inspiratory pressure are well described, ranges from 105 to 129 cmH₂O for males and 70 to 98 cmH₂O for females (ATS/ERS, 2002). However, accurate measurement requires the patient's full cooperation; therefore, a low score may reflect a lack of motivation or inability to follow commands rather than respiratory muscle weakness.

2.7.2. Incidence and epidemiology

ICU-AW is a common problem in the intensive care setting. The reported incidence varies depending on the patient cohort studied and the timing of evaluation. ICU-AW at first awakening is present in 26–65 % of patients who were mechanically ventilated for 5 – 7 days (Ali et al., 2008; Sharshar et al., 2009), and 25% of these remained weak for at least another seven days after awakening (De Jonghe et al., 2002). In patients who have stayed in ICU for more than 24 hours, the rate of ICU-AW was 11% (Nanas et al., 2008), this increased to 23.8% – 55% after staying in ICU for more than 7 – 10 days respectively (Hermans et al., 2014; Nanas et al., 2008). ICU-AW is highly prevalent in patients with acute respiratory distress syndrome (ARDS), whereby 60% have ICU-AW on awakening (Bercker et al., 2005). At the time of hospital discharge, the prevalence of ICU-AW remains at 36% (Fan, Dowdy, et al., 2014).

2.7.3. Risk factors for ICU-AW

Numerous independent risk factors for ICU-AW have been reported, although most are from observational studies. Comparisons between studies are complex due to heterogeneity in patient populations and the definitions used to diagnose ICU-AW

Patient demographics

Age was not a risk factor for ICU-AW in a meta-analysis of 5 studies (odds ratio, 95% confidence interval) (1.01, 0.99 to 1.03) (T. Yang et al., 2018). Female sex was an independent risk factor for ICU-AW in a single prospective study (odds ratio, 95% confidence interval) (4.66, 1.19 to 18.30) (De Jonghe et al., 2002). Currently, there are no human data on the effect of pre-morbid obesity on the risk of ICU-AW.

However, in a mice study, premorbid obesity, not nutrition, was an independent protective factor against ICU-AW development (Goossens et al., 2017).

The pre-ICU functional status influences the absolute level of recovery and the rate of recovery (Barnato et al., 2011; Ferrante et al., 2015; Iwashyna et al., 2012).

However, the relationship between premorbid frailty and risk of developing ICU-AW has not been reported until recently, with one prospective observational study (Raurell-Torredà et al., 2021) finding that a higher prehospital Barthel Index was protective against the development of ICU-AW (odds ratio, 95% confidence interval) (0.97, 0.95 to 0.99).

It is highly plausible that pre-ICU frailty or physiological reserve could impact patient outcomes, as the extent of functional decline or recovery may depend on pre-ICU

status. This is particularly the case if the ICU-AW is diagnosed with an MRC-SS cut-off score of 48, because patients who were already weak before their critical illness would perform worse.

The severity of illness

The severity of illness (APACHE II score) was significantly associated with ICU-AW in a meta-analysis of five studies (odds ratio, 95% confidence interval) (1.05, 1.01 to 1.10) (T. Yang et al., 2018), and independently associated with increased risk in two other prospective observational studies (Campellone et al., 1998; de Letter et al., 2001). Numerous changes occur in critical illness that may contribute to ICU-AW, which is discussed in detail later in this chapter. The risk associated with the severity of illness may reflect a range of changes in critical illness. However, higher severity of illness is also likely to expose patients to many of the other risk factors listed below.

Sepsis, systemic inflammatory response syndrome, and shock

Sepsis is a syndrome characterised by a dysregulated inflammatory response leading to organ damage in response to microbial infection (Michie, 1996). A systematic review found sepsis was associated with ICU-AW in six of the twelve included studies (odds ratio, 95% confidence interval) ranged from 2.4 (0.8 – 6.8) to 49 (4.7 – 519) (Stevens et al., 2007). Prospective observational studies since have supported this finding, where sepsis (odds ratio, 95% confidence interval) (2.20, 1.30 to 3.71) (Hermans et al., 2013), and days with sepsis (hazard ratio, 95% confidence

interval) (1.48, 1.22 to 1.81) (Brunello et al., 2010) were also identified as risk factors for ICU-AW.

Systemic inflammatory response syndrome (SIRS) is a non-specific body response to a series of conditions causing inflammation, including infection, burns, acute pancreatitis, trauma, and others (Levy et al., 2003). The presence of SIRS (odds ratio, 95% confidence interval) (3.75, CI 1.59 to 8.86) (Nguyen The & Nguyen Huu, 2015) and the duration of SIRS (odds ratio, 95% confidence interval) (1.36, 1.16 to 1.48) (Bednarík et al., 2005) were independent risk factors for ICU-AW in prospective observational studies.

Shock is defined as a state of cellular and tissue hypoxia due to an imbalance of oxygen supply and demand (Standl et al., 2018). Shock was an independent risk factor for ICU-AW (odds ratio, 95% confidence interval) (2.58, 1.02 to 6.51) in a prospective observational study (Nguyen The & Nguyen Huu, 2015).

Sepsis is associated with an overall catabolic state leading to the breakdown of carbohydrates, lipid, and protein stores (Michie, 1996). The systemic dysregulated inflammatory response in sepsis and SIRS can result in microvascular disturbances and the release of proinflammatory mediators (Lush & Kvietys, 2000), contributing to the development of ICU-AW. Severe sepsis can lead to shock, in which macrovascular disturbances can directly injure cells and interfere with mitochondria metabolism (Russell et al., 2018). The management of patients with sepsis, SIRS, and shock is inevitably associated with other risk factors such as mechanical ventilation and immobility. However, in animal models where sepsis can be

separated from confounding factors such as mechanical ventilation and immobility, sepsis alone has not reproduced the selective myosin loss seen in critical illness myopathy (Friedrich et al., 2015).

Multiple organ dysfunction

The extent of multiple organ dysfunction is measured by the Sequential Organ Failure Assessment (SOFA) score, usually on admission to ICU and every 24-hours. The SOFA score was not associated with ICU-AW in a meta-analysis of two studies (odds ratio, 95% confidence interval) (0.99, 0.92 to 1.08) (T. Yang et al., 2018). However, admission SOFA score of more than 7 (relative risk, 95% confidence interval) (2.03, 1.02 to 4.12), and a total first-week SOFA of more than 45 (RR, 95% confidence interval) (2.38, 1.02 to 5.53) were independent risk factors for ICU-AW in a prospective observational study (Bednarík et al., 2005). In addition, the number of days with dysfunction of 2 or more organs (odds ratio, 95% confidence interval) (1.28, 1.11 to 1.49) was an independent risk factor in a prospective multicentre observational study (De Jonghe et al., 2002).

The lack of robust data to implicate SOFA score as an independent risk factor for ICU-AW development is not surprising. Multiple organ dysfunction is closely associated with sepsis and the severity of critical illness. The Sequential Organ Failure Assessment score was previously known as the Sepsis-related Organ Failure Assessment (J. L. Vincent et al., 1996). The pathophysiology of multiple organ dysfunction, with or without sepsis, shares many common features that may

contribute to ICU-AW, such as systemic inflammatory response, endothelial disruption, oxidative stress, and mitochondria dysfunction.

Renal replacement therapy

Renal replacement therapy was not an independent risk factor for the development of ICU-AW in a systematic review and meta-analysis of 3 studies (odds ratio, 95% confidence interval) (0.02, 0.02 to 7.05) (T. Yang et al., 2018).

However, acute renal failure and renal replacement therapy are closely associated with sepsis, shock, and multiple organ dysfunction (Neveu et al., 1996; Ronco et al., 2001), which are independently associated with the development of ICU-AW. In critically ill patients with acute renal failure, raised potassium ion levels in the extracellular space can cause resting membrane depolarisation and reduced excitability of nerve and muscle cells. Continuous renal replacement therapy is also a common barrier to mobilisation in the ICU (Berney et al., 2012; Pohlman et al., 2010), resulting in long periods of bed rest. Therefore, the routine immobilisation of patients with acute renal failure requiring continuous renal replacement therapy needs to be addressed.

Noradrenaline

Noradrenaline use was not a risk factor for ICU-AW in a meta-analysis of two studies (odds ratio, 95% confidence interval) (1.04, 0.99 to 1.09) (T. Yang et al., 2018).

However, the use of vasoactive medication was an independent risk factor for ICU-

AW in a post hoc analysis of a randomized control trial on early mobilisation (Wolfe et al., 2018).

Vasoactive therapy such as noradrenaline is often used in the intensive care unit to increase systemic vascular resistance in patients with hemodynamic instability.

Hemodynamic instability is a frequently reported barrier to mobilisation in the ICU (see section 2.6), precluding participation in functional exercises such as standing and walking. However, recent studies have shown mobilisation is safe and feasible in patients receiving vasoactive therapy (Jacob et al., 2021; Rebel et al., 2019).

Use of antibiotics

Results of two systematic reviews and meta-analyses with three (T. Yang et al., 2018) and ten (Yang et al., 2020) included studies found that the use of aminoglycoside antibiotics was significantly associated with ICU-AW (odds ratio, 95% confidence interval) (2.27, 1.07 to 4.81) and (2.06, 1.33 to 3.21), respectively.

Aminoglycoside therapy has been associated with neuromuscular blockade (Grill & Maganti, 2011) by inhibiting acetylcholine release from the axonal terminal (Wright & Collier, 1977). This class of antibiotics is commonly used to treat severe bacterial infections in critically ill patients. Therefore the association between aminoglycoside use and other risk factors such as sepsis should also be considered.

Vancomycin use was also a risk factor for the development of ICU-AW in a posthoc analysis of an observational cohort study (Wieske et al., 2015). Other antibiotics

such as clindamycin, erythromycin, quinolones, polymyxin, tetracycline may also affect the neuromuscular junction (Howard et al., 2008) but have so far not been independently associated with ICU-AW (Wieske et al., 2015).

Neuromuscular blocking agents

Results of two systematic review and meta-analyses with nineteen (Price et al., 2016) and five (T. Yang et al., 2018) included studies demonstrated the use of neuromuscular blocking agents was significantly associated with ICU-AW (odds ratio, 95% confidence interval) (1.25, 1.06 to 1.48) and (2.03, 1.22 to 3.40), respectively.

Neuromuscular blocking agents can cause prolonged neuromuscular blockade if there are issues in the drug's metabolism or result in denervation atrophy if used for extended periods (see below section 2.7.4).

Corticosteroids

While the association between glucocorticoid and myopathy has been well known (Pereira & Freire de Carvalho, 2011), its association with the development of ICU-AW remains uncertain. In a meta-analysis of four studies, corticosteroids use not a risk factor for ICU-AW (odds ratio, 95% confidence interval) (1.92, 0.95 to 3.88) (T. Yang et al., 2018). A larger systematic review and meta-analysis later found that it was a risk factor for ICU-AW in a meta-analysis of eighteen studies (odds ratio, 95% confidence interval) (1.84. 1.26 to 2.67) (Tao Yang et al., 2018). In the

subgroup of patients with sepsis in this meta-analysis, corticosteroids use was not associated with the development of ICU-AW (odds ratio, 95% confidence interval) (1.96, 0.61 to 6.30). In contrast, in another meta-analysis of seven studies in patients with sepsis only, corticosteroids use was a significant risk factor for ICU-AW (relative risk, 95% confidence interval) (1.21, 1.01 to 1.52) (Rochwerg et al., 2018).

The relationship between corticosteroids and ICU-AW may not be straightforward, as corticosteroids may decrease exposure to other risk factors or indirectly contribute to ICU-AW by inducing hyperglycaemia. In a sub-analysis of a randomised trial comparing intensive insulin versus conventional therapy in critically ill medical patients, corticosteroids had a protective effect against the development of ICU-AW in the intensive insulin group (odds ratio, 95% confidence interval) (0.97, 0.94 to 0.99) (Hermans et al., 2007). However, the association between corticosteroids and ICU-AW in a heterogeneous critically ill cohort remains unclear. Further research in homogenous patient populations is required.

Hyperglycaemia

Patients with ICU-AW had significantly higher blood glucose levels in 5 out of 6 studies included in a systematic review (Stevens et al., 2007). In two prospective observations studies, hyperglycaemia was an independent risk factor for the electrophysiological (Witt et al., 1991) and clinical diagnosis of ICU-AW (odds ratio, 95% confidence interval) (2.86, 1.30 to 6.30) (Nanas et al., 2008). Uncontrolled hyperglycaemia in patients with critical illness disrupts cell endothelium, impairs

mitochondria function, and may promote muscle protein degradation (Flakoll et al., 1993; Fram et al., 2010; Langouche et al., 2005).

Parenteral nutrition

Parental nutrition was an independent risk factor for ICU-AW in a prospective cohort study of patients with sepsis (odds ratio, 95% confidence interval) (5.11, 1.14 to 22.88) (Garnacho-Montero et al., 2001). In addition, late parental nutrition was a protective factor for ICU-AW in the sub-analysis of a randomised controlled trial comparing early to late parental nutrition (odds ratio, 95% confidence interval) (0.68, 0.47 to 0.99) (Hermans et al., 2013).

Early parenteral nutrition suppressed autophagy, while other signs of muscle atrophy were not affected by nutrition strategies (Hermans et al., 2013). Therefore, it is hypothesised that suppressed autophagy in critical illness, crucial in the quality control of skeletal muscle, may impair myofiber integrity and function (Hermans et al., 2013).

ICU Length of stay

ICU length of stay was an independent risk factor for ICU-AW (odds ratio, 95% confidence interval) (1.05, 1.03 to 1.08) in a secondary analysis of a randomised trial dataset (Van den Berghe et al., 2005). The number of days in the ICU before the first electrophysiologic examination was associated with the development of electrophysiologic signs of ICU-AW in a prospective observational study (Witt et al.,

1991). Time to first awakening and measurement of MRC was independently associated with ICU-AW (odds ratio, 95% confidence interval) (1.05, 1.01 to 1.10) in the secondary analysis of a randomised trial dataset (Hermans et al., 2013), after adjusting for other risk factors. Awakening in this study was assessed systematically using five standard commands (De Jonghe et al., 2002).

Despite studies attempting to adjust for confounding factors, a higher length of stay in ICU may still reflect the severity of illness and exposure to other risk factors associated with ICU care, such as immobility and the duration of mechanical ventilation.

Duration of mechanical ventilation

In a systematic review and meta-analysis with fourteen studies, more prolonged exposure to mechanical ventilation was associated with a significant increase in the incidence of ICU-AW (ICU-AW was diagnosed in 33% of patients mechanically ventilated for five days or less, vs. 43% in those who have been ventilated for seven days or more) (Fan, Cheek, et al., 2014).

However, the relationship between the duration of mechanical ventilation and the risk of ICU-AW could be reciprocal, as ICU-AW can lead to difficulties in weaning. At the same time, prolonged periods of critical illness such as sepsis and multi-organ failure are also independent risk factors for ICU-AW. In addition, mechanical ventilation, and the sedation that usually accompanies it, may result in “mechanical silencing”

(loss of weight-bearing and internal strain caused by activation of contractile proteins), which is discussed further in section 2.7.4.

Immobility and sedation

In a secondary analysis of a randomised controlled trial of early occupational and physical therapy, early mobilisation reduced the incidence of ICU-AW (odds ratio, 95% confidence interval) (0.18, 0.06 to 0.55) (Patel et al., 2014). In a prospective cohort of 98 patients who have been ventilated for at least seven days, the duration of mechanical ventilation before awakening was independently associated with the occurrence of ICU-AW (odds ratio, 95% confidence interval) (1.10, 1.00 to 1.22) (De Jonghe et al., 2002). This finding could reflect the negative effect of immobilisation on neuromuscular function. In a prospective study of 222 survivors of acute lung injury (Fan, Dowdy, et al., 2014), the duration of bed rest was independently predictive of muscle weakness 3 – 24 months post ICU discharge, but the incidence of ICU-AW in this cohort was not measured. The association between sedation practice and risk of ICU-AW has not been investigated, and it may be difficult to separate the effect of sedation from the impact of immobility.

The combination of sedation and immobilisation of patients results in “mechanical silencing” that is unique to critically ill patients (Friedrich et al., 2015). This is different from immobilisation resulting from bed rest or casting, where weight bearing has been removed, but there is still internal strain from the activation of contractile proteins.

Other risk factors

Several other risk factors were independently associated with the development of ICU-AW. For example, low serum albumin (Witt et al., 1991), high peak lactate (odds ratio, 95% confidence interval) (2.18, 1.39 to 3.43) (Wieske et al., 2014), hyperosmolarity (odds ratio, 95% confidence interval) (4.8, 1.05 to 24.38) (Garnacho-Montero et al., 2001), and electrolyte disturbances (odds ratio, 95% confidence interval) (2.48, 1.02 to 6.01) (Nguyen The & Nguyen Huu, 2015) were independent risk factors for ICU-AW in prospective studies. These risk factors may contribute to ICU-AW development via pathways listed in the next section, such as decreased protein synthesis, mitochondria dysfunction, and reduced excitability of nerve and muscle cells due to electrolyte disturbances.

2.7.4. Pathophysiology and molecular mechanisms

The proposed pathophysiological mechanisms of ICU-AW are multifactorial and complex. Numerous independent or interacting pathways could contribute to functional and structural changes to muscles and nerves. Furthermore, both the critical illness and its treatment are likely to contribute to the development of ICU-AW in these mechanistic models. The following section will summarise the normal physiology of the skeletal motor unit and present the mechanisms that contribute to the development of ICU-AW.

2.6.4.1 Physiology of the skeletal motor unit.

The physiology of the motor neuron and the motor unit is described in detail in Chapter 34 of Principles of Neural Science (Erie & Kandel, 2000). This section is a summary of this work.

A motor unit consists of a motor neuron in the ventral horn of the spinal cord, its axon, and the muscle fibres that the axon innervates (Liddell & Sherrington, 1925). A single muscle fibre consists of many myofibrils encapsulated by the muscle fibre membrane, the sarcolemma. A myofibril is made up of many sarcomeres arranged in series. The sarcomere is the most basic functional unit of the muscle, which contains contractile proteins. The contractile proteins actin and myosin are arranged in a regular interdigitated matrix (Figure 2.3).

The activation of a motor unit begins with an action potential, which moves down the axon in a depolarising wave, propagated by voltage-gated sodium ion channels. When the action potential reaches the axon terminal, it activates voltage-gated calcium ion channels. The influx of calcium ions into the axon terminal causes acetylcholine to be released into the synaptic cleft. As this occurs, voltage-gated potassium channels open to repolarise the axon terminal, transitioning the axon terminal into the rest phase.

The acetylcholine binds to nicotinic receptors on the sarcolemma. As a result, the nicotinic receptor is activated, and the muscle cell starts to depolarise. When the depolarisation reaches a membrane threshold potential, further rapid depolarisation is caused by voltage-gated sodium ion channels, forming an action potential. The

action potential moves along the sarcolemma and results in the release of calcium ions from the sarcoplasmic reticulum into the cytosol.

The calcium ions bind to troponin proteins on the contractile protein matrix, results in the exposure of the myosin-binding site on actin. Myosin attaches to actin, and using energy in the form of adenosine triphosphate, pulls along the actin filament, shortening the muscle sarcomere (Smith, 2018). As the muscle cell reaches its peak action potential, voltage-gated potassium ion channels open, repolarising the sarcolemma and transitioning the muscle cell into the rest phase.

The muscle strength generated is dependent on both muscle mass (number of motor units available), number of motor units activated, and the force-generating capacity of the motor units (Scheffold et al., 2020).

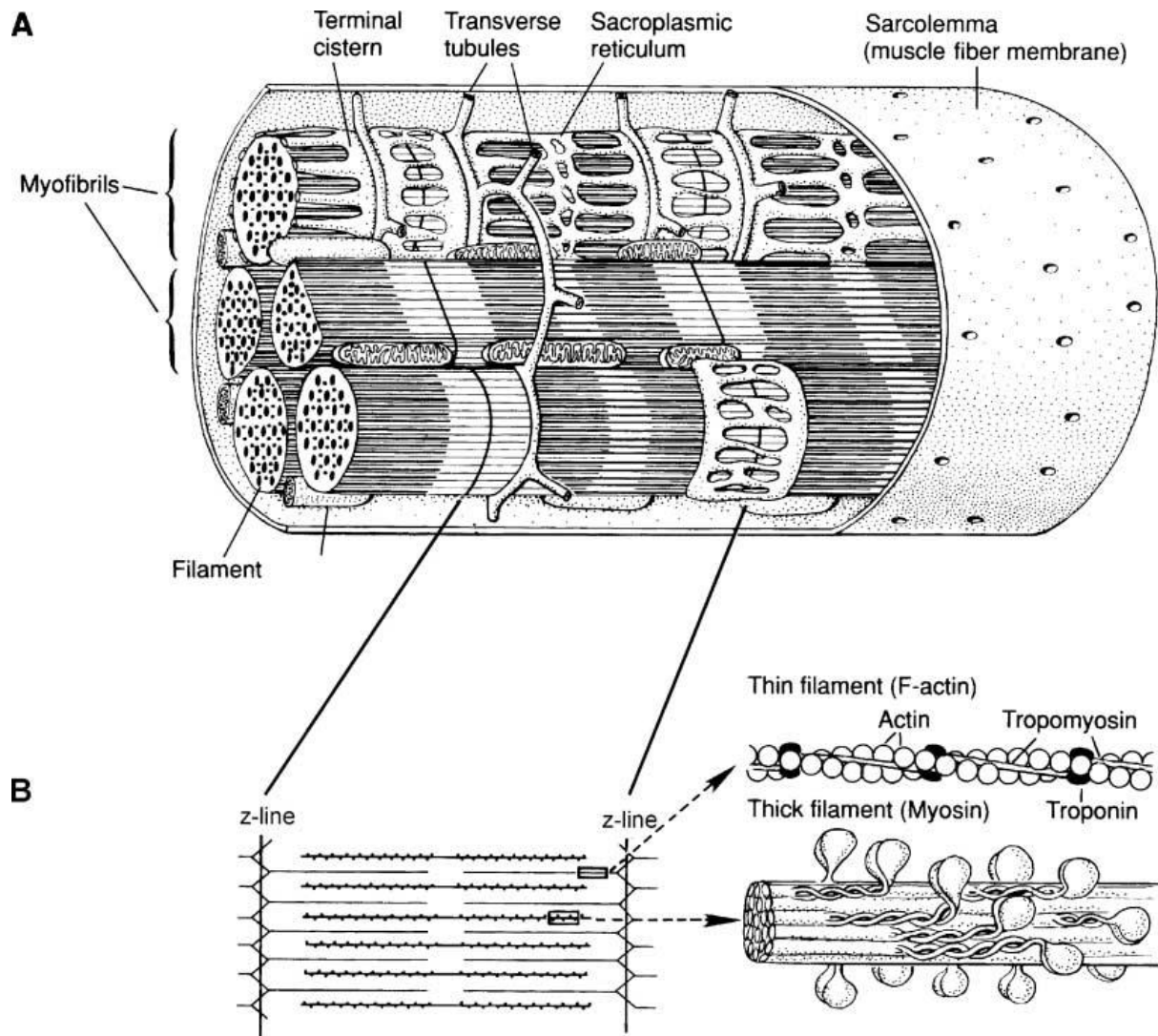


Figure 2.3 Structure of a single muscle fibre. A. This is a three-dimensional reconstruction of a section of muscle fibre, showing the relationship of the myofibrils to the membrane, transverse tubule system, and sarcoplasmic reticulum. B. The arrangement of contractile proteins in sarcomeres and details of the contractile proteins. Figure reproduced with permission from (Heckman & Enoka, 2004).

2.7.4.2 Pathophysiological changes in critical illness

Numerous mechanisms and pathways are implicated in the development of ICU-AW. The combination of inactivity and inflammation in critical illness act synergistically to influence muscle atrophy and dysfunction (Ferrando et al., 1999; Fink et al., 2008). Pathophysiological processes include pro-inflammatory cytokines, decreased protein synthesis, increased protein degradation, oxidative injury, impaired excitability of neurons and muscle cells, and direct injury to the motor unit (Callahan & Supinski, 2009; Chambers et al., 2009; Siu, 2009; Siu & Alway, 2009; Spate & Schulze, 2004; Winkelman, 2004). These observed or potential mechanisms will be discussed in the next section.

Failure of neuromuscular transmission

Neuromuscular blocking agents may be used in critical illness to facilitate mechanical ventilation (Papazian et al., 2010) but have been associated with the development of persistent weakness (Price et al., 2016). The underlying mechanism has been identified as prolonged neuromuscular blockade due to slow clearance of blocking agents and denervation atrophy after prolonged use (Barohn et al., 1994; Lagasse et al., 1990; Partridge et al., 1990).

Disturbances in microcirculation such as tissue oedema and hypoperfusion are commonly observed in sepsis and critical illness (Kara et al., 2016). These changes predispose nerves to ischemia because blood vessels supplying the peripheral nervous system lacks autoregulation (Bolton, 2005; Smith et al., 1977). Sepsis evokes changes in the endoneurium that increases vascular permeability and allows penetration of toxic factors into the nerve ends (Bolton, 2005; Fenzi et al., 2003).

This may result in endoneurial oedema, impair energy delivery to the axon and cause axonal death. Skeletal muscles samples from critically ill patients with weakness showed similar findings of capillary occlusion, oedema, atrophy, and segmental necrosis (N. Diaz et al., 1998). Tissue hypoperfusion is also associated with membrane depolarisation of terminal motor axons and reduced nerve excitability (Z'Graggen et al., 2006).

Reduced excitability of muscle and nerve

In critically ill patients with severe weakness, the muscle can become electrically unexcitable (Rich et al., 1997; Rich et al., 1996). Further studies reveal this may be due to reduced muscle fibre conduction velocity, increased refractory period, and reduced excitability of fibres in response to direct muscle stimulation (Allen et al., 2008; Bierbrauer et al., 2012; Lefaucheur et al., 2006).

Voltage-gated sodium ion channels determine membrane excitability of the sarcolemma and are especially sensitive to cell injury. Critical illness directly cause acquired channelopathy in both skeletal muscle and motor axons, resulting in reduced excitability (Boucher et al., 2012; Filatov et al., 2009).

Plasma ionic imbalance associated with critical illness may affect the steady-state inactivation or activation of sodium ion channels. For example, raised extracellular potassium found in critically ill patients can cause substantial resting membrane

depolarisation and limit the excitability of muscles and nerves (Cunningham et al., 1971; Z'Graggen et al., 2006).

Calcium ion has crucial roles in neuromuscular transmission and the activation of the contractile proteins in muscles. Voltage-gated calcium ion channels and global muscle calcium ion homeostasis are impaired in animal models of critical illness. However, data on human subjects are lacking. Thus, further studies are required to confirm this mechanism in patients with critical illness.

Proinflammatory cytokines

Proinflammatory cytokines such as tumour necrosis factor-alpha and interleukin-1 can promote muscle atrophy and weakness in critically ill patients (Winkelman, 2004).

Tumour necrosis factor-alpha is a proinflammatory cytokine commonly elevated in critically ill patients (Damas et al., 1992; Puthucherry et al., 2018). At the cellular level, tumour necrosis factor-alpha stimulates protein loss via the ubiquitin-proteasome pathway in animal models (García-Martínez et al., 1994; LI et al., 2003). Tumour necrosis factor-alpha also depresses the force of muscle contraction in the absence of atrophy in animal models (Wilcox et al., 1996) via muscle-derived oxidants (Reid et al., 1992).

Interleukin-1 is also elevated in critically ill patients (Damas et al., 1992). It is a potential stimulus for protein loss and muscle atrophy seen in critical illness.

Elevated interleukin-1 levels promote muscle atrophy in experimental animals (Cooney et al., 1999). Studies of underlying mechanisms suggest that interleukin-1 affects protein degradation and protein synthesis (Baracos et al., 1983; Zamir et al., 1991).

Myosin loss following mechanical silencing

In mechanically ventilated and sedated ICU patients, there is complete mechanical silencing to the muscles due to the removal of external (weight-bearing, passive movements induced by activation of agonists and antagonists) and internal (activation of contractile proteins) mechanical load. Mechanical load influences immediate signalling events in muscle, such as calcium ion release from the sarcoplasmic reticulum, and influences protein synthesis and degradation (Rennie et al., 2004). Clinical studies confirm that long-term mechanical ventilation and mechanical silencing induce preferential myosin loss in patients (Larsson, 2008; Llano-Diez et al., 2012). Furthermore, in an animal ICU model, mechanical silencing induces oxidative stress, downregulation of myosin synthesis, and protein degradation via the E3 ligase MuRF1 pathway (Renaud et al., 2013). However, data on human subjects are lacking and require further research.

Bioenergetic failure

Muscle fatigue in patients with sepsis may be due to mitochondrial alterations and ATP depletion (Fredriksson & Rooyackers, 2007). Mitochondrial dysfunction is driven by a vicious cycle of increased free oxygen radical generation, which inhibits

mitochondrial enzymes, resulting in further oxidative stress and disruption to the production of adenosine triphosphate (Friedrich et al., 2015). In patients with severe sepsis, mitochondria functional alterations were evident in muscle biopsies taken within 24 hours of ICU admission (Brealey et al., 2002), with a reduction in adenosine triphosphate (Fredriksson et al., 2006).

Decreased protein synthesis

The primary pathway involved in muscle protein synthesis is the IGF1-PI3K-Akt-mTOR pathway (Schiaffino et al., 2013). The components of this pathway are considerably down-regulated in patients with CIM (Weber-Carstens et al., 2013). Protein synthesis is depressed on the first day after ICU admission (Puthuchear et al., 2013), with depressed mRNA expression levels for myosin heavy chains (Derde et al., 2012; Hermans et al., 2013; Wollersheim et al., 2014). Reduced protein synthesis and inhibited uptake of amino acids contribute to the catabolic response in skeletal muscle in critical illness (Hasselgren et al., 1986).

Increased protein degradation

Several processes during critical illness may promote the loss of muscle protein, selectively involving myosin (Derde et al., 2012; Wollersheim et al., 2014). These include inflammation, immobilisation, endocrine stress responses, nutritional deficit, impaired microcirculation, and denervation (Batt et al., 2013; Bloch et al., 2012; Weber-Carstens et al., 2013). Muscle atrophy involves the shrinkage of myofibers due to a net loss of proteins, organelles, and cytoplasm. The ubiquitin-proteasome

system and the autophagy-lysosome pathway are the degradation systems involved in this process.

Myofibrillar proteins constitute 55% to 60% of the total protein in muscle tissue by weight. These are the proteins that constitute the myofibril or contractile structure in skeletal muscle. Muscle atrophy in critical illness results predominately from the degradation of myofibrillar proteins (Hasselgren et al., 1989; Long et al., 1981). Subsequently, the loss of contractile machinery during atrophy accounts for the reduction in muscle strength (Cohen et al., 2015).

The Ubiquitin-proteasome system

The Ubiquitin-proteasome system (UPS) is thought to be the primary cause of protein degradation (Lecker, 2003), with key components of the pathway upregulated in critical illness (Constantin et al., 2011). Most, but not all, intracellular proteins are degraded by the UPS (Jagoe & Goldberg, 2001; Lecker et al., 1999; Rock et al., 1994). Most muscle proteins, particularly myofibrillar components, are mainly degraded by the UPS (Russell, 2010; Solomon & Goldberg, 1996).

Proteins that form the contractile unit are broken down in a specific order (Figure 2.4), and the E3 ubiquitin ligases have prominent roles. The E3 ubiquitin ligase MuRF1 disassemble the layer of cytoskeletal proteins that binds the myofilaments into myofibrils, releasing the myofilaments. These free myofilaments can subsequently be degraded by the 26S proteasome (Clarke et al., 2007; Cohen et al.,

2009; Fielitz et al., 2007). The breakdown of actin and other thin filaments involves another E3 ubiquitin ligase, TRIM32 (Cohen et al., 2012).

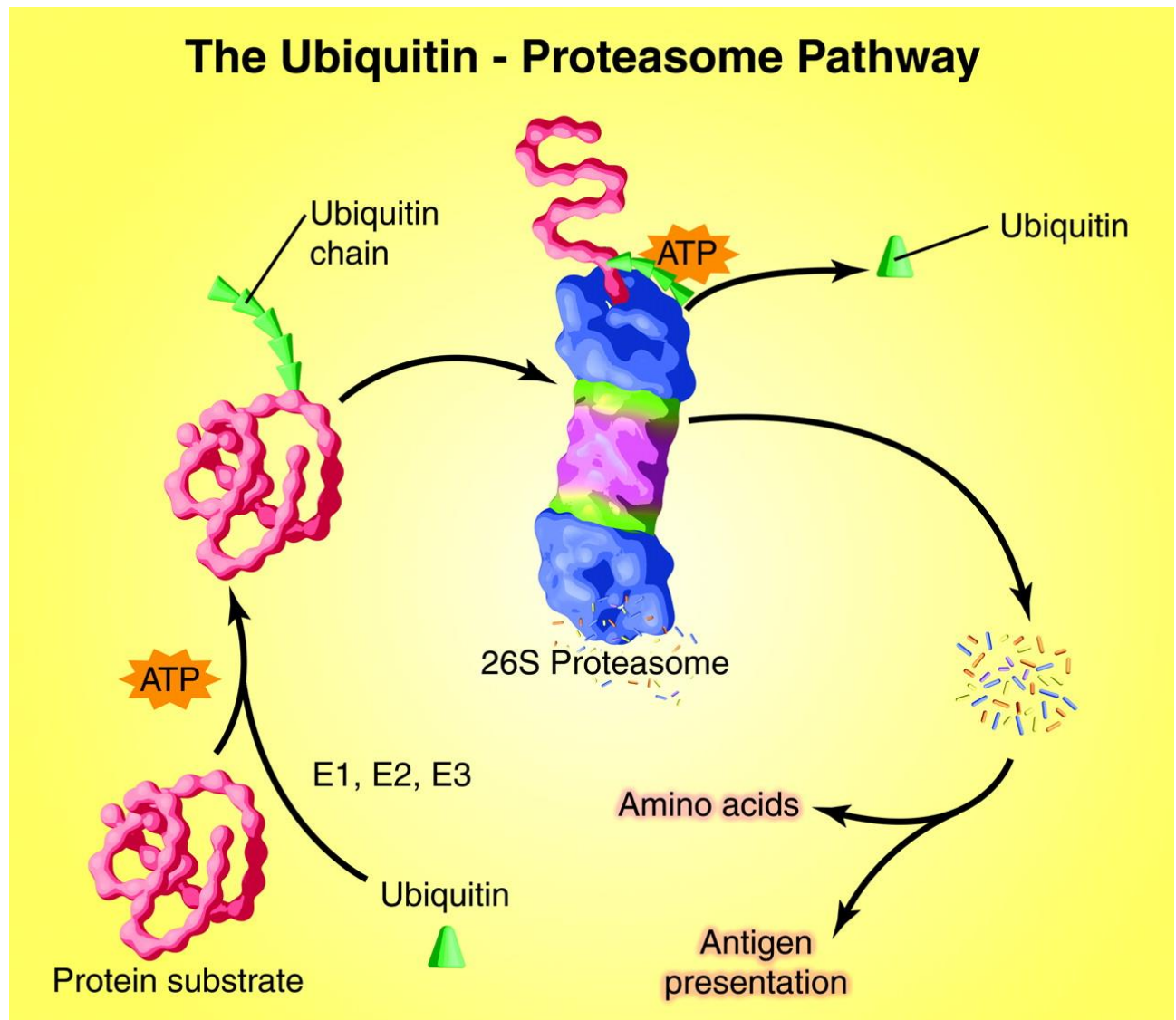


Figure 2.4 Simplified scheme of the ubiquitin-proteasome pathway. Reproduced with permission from (Lecker et al., 2006).

In early critical illness, expression of transcriptional mediators FoxO1 and FoxO3 are induced (Sander et al., 2002; Wollersheim et al., 2014), which directly generates two essential muscle-specific E3 ligases, atrogin-1 and MuFf1 (Kamei et al., 2004; Llano-Diez et al., 2011; Sandri et al., 2004). Atrogin-1 and MuFf1 are higher at mRNA and

protein levels in critically ill patients (Constantin et al., 2011; Hooijman et al., 2015; Hussain et al., 2010; Levine et al., 2008; Llano-Diez et al., 2019; Tiao et al., 1997). Their upregulation occurs early in critical illness and is sustained (Kamei et al., 2004; Sandri et al., 2004). Elevated levels of E3 ligases TRIM32 and TRIM62 have been found in patients with ICU-AW (Llano-Diez et al., 2019; Schmidt et al., 2014). In addition, increased activity of the 20S proteasome, a subunit of the larger, more complex 26S, can be observed in critical illness (Hussain et al., 2010; Tiao et al., 1997) and up to 6 months after ICU discharge (Dos Santos et al., 2016). Consequently, there is an increased concentration of ubiquitinated proteins in the atrophied muscle of critically ill patients (Helliwell et al., 1998; Jaber et al., 2011; Rabuel et al., 2004).

Upstream activation of the UPS is a potential area to target for therapy in muscle atrophy in critical illness. While still a controversial factor in ICU-AW, glucocorticoids induce muscle atrophy via atrogin-1 and MuRF1 (Bodine et al., 2001; Clarke et al., 2007; Shimizu et al., 2011). Activities of the activin receptor type 2B (ActRIIB) pathway also lead to muscle wasting and cachexia via the autophagy-lysosome system and are discussed below.

Autophagy-lysosome system

Autophagy is used to degrade and recycle, through the lysosomal machinery, long-lived proteins, mitochondria, and organelles (Mizushima & Komatsu, 2011). The loss of mitochondria accounts for the decreased endurance capacity of the atrophied muscles. Autophagy is also crucial for maintaining muscle mass and integrity

(Masiero et al., 2009). The role of the Autophagy-lysosome system (ALS) system in the development of muscle atrophy and weakness in the critically ill is more complex, with parts of the system activated at different times (Llano-Diez et al., 2011).

Muscle mass loss in critical illness patients has been associated with the upregulation of ALS (Constantin et al., 2011; Helliwell et al., 1998; Levine et al., 2008; Llano-Diez et al., 2019; Mofarrahi et al., 2012). In addition, the cathepsins (CTSS, CTSB, CTSA, CTSD, CTSZ, and LGMN), which are lysosomal proteases, are upregulated in critical illness (Constantin et al., 2011; Llano-Diez et al., 2019) and are associated with muscle fibre atrophy in this population (Helliwell et al., 1998).

However, despite upregulation of the system, successful activation of autophagy may be impaired in critical illness (Vanhorebeek et al., 2011), and successful activation of autophagy can be associated with faster recovery from muscle weakness (Hermans et al., 2013). These findings are consistent in the animal model, where inhibition of ALS caused more severe muscle loss instead of protecting against atrophy (Masiero et al., 2009). Furthermore, deficient autophagy results in sarcomere disorganisation, and debris accumulation may have a crucial role in the development of CIM (Masiero et al., 2009) and other myopathies (Levine & Kroemer, 2008; Mammucari et al., 2007; Zhao et al., 2007). Thus, the activity of the ALS system must be well balanced. While its activation can induce muscle atrophy, its impaired activity may play an essential role in CIM development.

Calpain system

Calpains are a family of nonlysosomal, calcium-dependent cysteine proteases. Calpains can also release myofilaments from myofibrils for ubiquitination, by the cleavage of myofibrillar cytoskeletal proteins (47, 52, 93, 94).

Calpain levels were markedly elevated in atrophied muscle fibres in patients with CIM (Di Giovanni et al., 2000; Showalter & Engel, 1997). Currently, the specific roles of different isoforms of calpains are not fully understood, but gene expression for calpain-1, -2, -4, and -10 are upregulated in patients with CIM (Llano-Diez et al., 2019), and calpain-1 and -2 levels are found to be elevated in mechanically ventilated patients (Zhu et al., 2017).

Certain calpains are also downregulated in patients with CIM, such as calpain -3 -6, and -8 (Constantin et al., 2011; Llano-Diez et al., 2019). The muscle-specific isoform, calpain-3, is required for the regenerative process during sarcomere remodelling. The lack of functional calpain-3 is associated with muscle wasting in myopathies (Hauerslev et al., 2012).

Activin signalling

Activin A is a potent negative regulator of muscle mass, belonging to the TGF- β ligands family. Activin A binds to ActRIIB, a high-affinity activin type-2 receptor in muscle. The activin A-Smad3 pathway is the primary negative regulator of protein synthesis (Schiaffino et al., 2013), its activation directly inhibiting the IGF1-PI3K-Akt-mTOR pathway (Gumucio et al., 2015). The activin pathway also initiates a signalling

cascade (Figure 2.5), leading to increased expression of genes involved in the UPS and ALS to induce muscle protein degradation (Lokireddy et al., 2011; Marino et al., 2015; Tisdale, 2010; Zhou et al., 2010). In animal models, activin A plays a dominant role in the development and progression of muscle wasting (Lach-Trifilieff et al., 2014; Lee & McPherron, 2001; Lee et al., 2005; Lokireddy et al., 2011; Matzuk et al., 1994; Souza et al., 2008; Tisdale, 2010; Zhou et al., 2010). Elevated levels of activin A induce loss of muscle mass, endomysial fibrosis, decreased peak force-generating capacity, and reduced fatigue resistance (Chen et al., 2014), features commonly observed in CIM (Eikermann et al., 2006; Herridge et al., 2003).

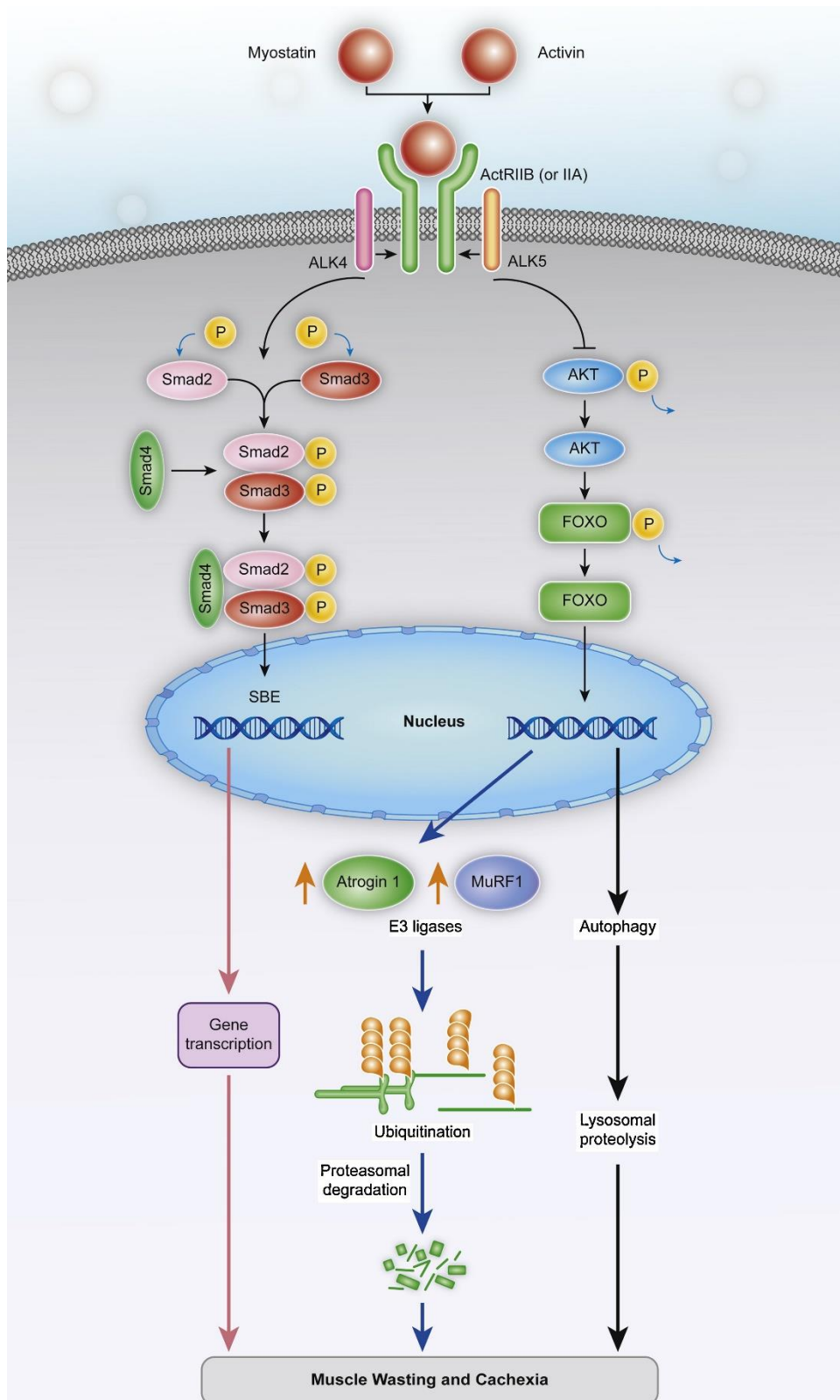


Figure 2.5 Activin signalling in muscle. Activin binds to activin receptor type IIB, which leads to the recruitment of the ubiquitin-proteasome and autophagy-lysosome pathways and suppressing protein synthesis via the Smad pathway. Reproduced with permission from (Han et al., 2013).

Summary of pathophysiologic changes

Microvascular changes that occur during critical illness result in axonal injury and death. In addition, acquired channelopathy and plasma ion imbalance reduce the excitability of neurons as well as muscles. These changes may explain the clinical features of critical illness polyneuropathy.

Several processes can cause a reduction in the force-generating capacity of muscle. First, proinflammatory cytokines can reduce the force generated by muscle and induce muscle atrophy. Second, mechanical silencing induces preferential myosin loss. Third, muscle membrane inexcitability is caused by sodium ion channel dysfunction. Fourth, muscle fatigue in critically ill patients may be explained by bioenergetic failure. Finally, microvascular dysregulation may directly result in muscle cell injury and death.

Muscle atrophy in critical illness is brought about by decreased muscle protein synthesis and increased protein degradation. Activin A potentially facilitates multiple pathways that lead to loss of muscle mass.

2.7.5. Short and long-term outcomes of ICU-AW

ICU-AW is associated with prolonged weaning from mechanical ventilation (De Jonghe et al., 2007; De Jonghe, Bastuji-Garin, et al., 2004; De Jonghe et al., 2002), and increased ICU (De Jonghe et al., 2002; Garnacho-Montero et al., 2005) and hospital length of stay (Garnacho-Montero et al., 2005). ICU-AW is also associated with increased mortality in-ICU (Sharshar et al., 2009), in-hospital (Ali et al., 2008;

Sharshar et al., 2009), and in the first year after ICU admission (Hermans et al., 2014). In one prospective study, the incidence of CIM was more frequent (68%) than CIP (38%), while patients with CIP had a significantly longer ICU length of stay and had a higher degree of weakness (Koch et al., 2011). This persists beyond ICU and hospital stay, with long-term follow-up demonstrating a worse CIP prognosis than CIM (Guarneri et al., 2008; Intiso et al., 2011; Koch et al., 2014).

In a recent prospective study, physical functioning was significantly more impaired in patients with ICU-AW compared to ICU survivors without ICU-AW at 3 and 12 months (Dettling-Ihnenfeldt et al., 2017). Recovery from weakness takes weeks to months. In a prospective study of patients with acute lung injury, 36% had ICU-AW at hospital discharge, 22% at three months, 15% at six months, 14% of patients at one year, and 9% at two years (Fan, Dowdy, et al., 2014). Even at five years after discharge from ICU, the diagnosis of ICU-AW has longer-term associations with an increased physical disability (Herridge et al., 2011) and increased mortality (Dinglas et al., 2017).

2.7.6. Prevention and treatment

Limited treatment or prevention with proven efficacy has been identified to date (Latronico et al., 2017). Proposed treatments to date have focused on minimising or preventing risk factor exposure and facilitating the resolution of the underlying critical illness.

Corticosteroids management

Corticosteroids are an essential treatment in certain critically ill patients, such as severe sepsis, ARDS, and severe COVID-19 disease. In systematic reviews and meta-analyses of randomised controlled trials investigating prolonged corticosteroid use in sepsis (Annane et al., 2015) and ARDS (Tang et al., 2009), the incidence of ICU-AW was similar between the control and the treated groups. Thus, in patients with sepsis and ARDS, glucocorticoids' potential direct harmful neuromuscular effect may be balanced by the overall clinical improvement and reduced duration of organ failure, in particular ventilator dependency and associated immobilisation, which are key risk factors for ICU-AW (Meduri et al., 2016).

Management of neuromuscular blockade

A randomised controlled trial comparing the early use of a neuromuscular blocking agent to a placebo in patients with ARDS did not change the incidence of ICU-AW (Papazian et al., 2010). In this study, the duration of neuromuscular blocking was 48 hours in duration, which may not be long enough to induce denervation atrophy. This study suggests that an early and short period of neuromuscular blockade does not increase the incidence of ICU-AW.

Management of hyperglycaemia

Intensive glucose control (target of 4.4 to 6.1 mmol/litre) in surgical and medical patients decreased the risk of developing electrophysiological signs of ICU-AW compared with conventional glucose control target between 10.0 and 11.1 mmol/litre (Hermans et al., 2007; Van den Berghe et al., 2005). While it is generally accepted

that hyperglycaemia should be avoided (Dellinger et al., 2013), the optimal glucose target remains controversial. The safety of intensive glucose control (4.5 to 6.0 mmol/litre) has come under question when it increased all-cause 90-day mortality among adults in the ICU, compared to conventional glucose control of less than 10.0 mmol/litre in a large randomised controlled trial (Finfer et al., 2009). The incidence of ICU-AW was not examined in this trial.

Physical rehabilitation

Physical rehabilitation is an integral part of the management of the physical sequelae of critical illness (Davidson et al., 2013; Needham et al., 2011; Schweickert et al., 2009). Early mobilisation and rehabilitation have been shown to reduce the likelihood of developing ICU-AW in recent systematic reviews with meta-analyses (D. E. Anekwe et al., 2020; Zang et al., 2020). Interventions investigated consisted of neuromuscular electrical stimulation (NMES), passive range of movements, and progressive functional activities such as sitting up, standing, and walking. These interventions are safe and feasible in the ICU environment (K. P. Mayer et al., 2020; Stiller, 2013).

In patients that are not awake or clinically stable enough to participate in early mobilisation, NMES has been proposed as an alternative (Parry et al., 2013). However, in a meta-analysis of six randomised controlled trials specifically investigating NMES, the intervention did not improve global muscle strength (Zayed et al., 2020). Thus, currently, the use of NMES is not standard practice in ICUs across the world.

Inspiratory muscle training is a promising therapy that targets the respiratory muscle weakness of patients with ICU-AW. Systematic reviews and meta-analyses have shown that inspiratory muscle training improves respiratory muscle strength (Elkins & Dentice, 2015), shorten the duration of mechanical ventilation (Vorona et al., 2018), duration of weaning (Vorona et al., 2018; Worrapphan et al., 2020), and the length of stay in the ICU and hospital (Elkins & Dentice, 2015). Currently, the practice of inspiratory muscle training is heterogeneous, with variable methods, time of initiation, and target populations (Vorona et al., 2018). Thus, despite the increasing amounts of evidence to supports its use, inspiratory muscle training is not yet standard practice in ICUs.

Avoiding early parenteral nutrition

Early parental nutrition has been identified as an independent risk factor for the development of ICU-AW in the post hoc analysis of a large randomised controlled trial (n= 4640) comparing early vs. late parenteral nutrition (Hermans et al., 2013). Other randomised controlled trials have also shown that early initiation of supplemental parenteral nutrition is not beneficial and may be harmful (Doig et al., 2013; Fieve et al., 2016; Heidegger et al., 2013). However, none of these studies have investigated ICU-AW as an outcome. Therefore, further prospective trials are needed to investigate when parenteral nutrition can be safely and effectively initiated.

Pharmacological interventions

A systematic review of drug therapies found no evidence to support the use of oxandrolone, human growth hormone, propranolol, immunoglobulin, and glutamine in the prevention and treatment of ICU-AW (Shepherd et al., 2016).

2.8 Chapter summary

The critically ill patient is frequently subject to physical inactivity and immobilisation. This, in combination with their severity of illness and exposure to other risk factors unique to the ICU, place them at risk of developing ICU-AW. ICU-AW is a well-defined and widely recognised clinical syndrome. However, there are gaps in our understanding of its pathophysiology. As a result, there are limited treatment or prevention strategies with proven efficacy. Currently, physical rehabilitation is the only safe, feasible, and effective intervention in the treatment and prevention of ICU-AW. However, the practice of physical rehabilitation in ICU is heterogeneous, and certain types of exercises may be more effective than others. Therefore, we must further our understanding of the pathophysiology of this condition and identify new potential targets for treatment.

Chapter 3. Activin A level is associated with muscle strength and physical function in critically ill patients

3.1. Introduction

Muscle wasting is a frequent complication in patients with critical illness. Several pathways have been implicated in its pathogenesis. However, no treatment to date aims to affect these pathways directly. Activin A is a potent negative regulator of muscle mass that is elevated in critical illness. It is implicated in several pathways that result in the loss of muscle mass in animal models of critical illness. The potential role of activin A in muscle wasting and functional decline in critically ill patients has not been investigated. If activin A is proven to be a crucial facilitator of muscle wasting in critically ill patients, therapies that target activin A activity can be explored.

This chapter presents a prospective cohort study of thirty-six critically ill patients from two ICUs. It explored the relationship between serum activin A concentration, muscle strength, and physical function outcomes.

3.2. Activin A level is associated with muscle strength and physical function in critically ill patients

Wang YT, Harrison CA, Skinner EH, Haines KJ, Holdsworth C, Lang JK, Hibbert E, Scott D, Eynon N, Tiruvoipati R, French CJ, Stepto NK, Bates S, Walton KL, Crozier TM, Haines TP (under review). Activin A level is associated with muscle strength and physical function in critically ill patients.

Abstract

Introduction: Activin A is a potent negative regulator of muscle mass elevated in critical illness. It is unclear whether muscle strength and physical function in critically ill humans are associated with elevated activin A levels.

Objective: To investigate the relationship between serum activin A levels, muscle strength, and physical function at discharge from intensive care unit (ICU) and hospital.

Design, Setting, and Participants: Thirty-six participants were recruited from two tertiary ICUs in Melbourne, Australia. Participants were included if they were mechanically ventilated > 48 hours and expected to have a total ICU stay of > 5 days.

Main Outcomes and Measures: Total serum activin A levels were measured daily in ICU. Handgrip strength, Medical Research Council Sum Score, Physical Function ICU Test Score, Six-Minute Walk Test, and Timed Up and Go Test were assessed throughout the hospital admission.

Main Results: Higher Medical Research Council Sum Score at first time sitting out of bed (ordered log-odds regression coefficient, 95% confidence interval, p-value) (-0.07, -0.13 to -0.01, $p = 0.029$), improved Physical Function ICU Test score at ICU discharge (ordered log-odds regression coefficient, 95% confidence interval, p-value) (-0.27, -0.52 to -0.01, $p = 0.041$), and higher Six-Minute Walk Test distance at hospital discharge (ordered log-odds regression coefficient, 95% confidence interval, p-value) (-0.01, -0.01 to -0.00, $p = 0.007$) were associated with lower peak serum activin A.

Conclusions: Higher peak activin A is associated with the functional decline of critically ill patients. Further research is indicated to examine its potential as a therapeutic target and a prospective predictor for muscle wasting in critical illness.

Introduction

Acute skeletal muscle wasting is a frequent complication in critical illness (Dos Santos et al., 2016; Hayes et al., 2018; Kirby P. Mayer et al., 2020; Puthuchearry et al., 2013; Sheean et al., 2014), occurring early in the intensive care unit admission and lasting up to 6 months after ICU discharge (Dos Santos et al., 2016). Lower limb muscle cross-sectional area can decrease by up to 18.5% in the first 7 to 10 days of ICU admission (Hayes et al., 2018; Kirby P. Mayer et al., 2020; Puthuchearry et al., 2013). Muscle wasting is associated with muscle weakness and physical function in ICU (Hayes et al., 2018), at hospital discharge (Kirby P. Mayer et al., 2020), and in the 12 months after ICU admission (Chan et al., 2018).

Muscle wasting in critical illness occurs via decreased muscle protein synthesis (Puthuchearry et al., 2013) and increased protein degradation (Derde et al., 2012; Puthuchearry et al., 2013), in particular the degradation of myofibrillar proteins (Derde et al., 2012). Protein degradation in critical illness is due to the upregulation of the two major protein degradation pathways (Helliwell et al., 1998; Levine et al., 2008) - the ubiquitin-proteasome system (UPS) (Constantin et al., 2011; Schmidt et al., 2014; Tiao et al., 1997) and autophagic-lysosomal system (ALS) (Constantin et al., 2011; Mofarrahi et al., 2012).

Activin A is a potent negative regulator of muscle mass, belonging to the TGF- β ligands family. Activin A binds to Activin receptor type 2B (ActRIIB), a high-affinity activin A receptor in muscle. Thus, Activin A initiates signalling cascades that inhibit protein synthesis (Schiaffino et al., 2013) and muscle protein degradation via the UPS and ALS pathways (Lokireddy et al., 2011; Marino et al., 2015; Zhou et al., 2010).

While muscle mass and architecture are important outcomes, the measurement of total skeletal muscle mass is problematic. Estimating lean body mass using whole-body plethysmography, total body water, magnetic resonance imaging, computed tomography, dual-energy X-ray absorptiometry, or bioelectric impedance analysis as surrogate measures for muscle mass can be inaccurate (Evans et al., 2019) and impractical in the critically ill population. Meanwhile, muscle strength and physical function have been included in a core set of patient-important outcomes after critical illness by an international consensus of critical illness survivors, their families, researchers, and clinicians (D. M. Needham et al., 2017).

Serum Activin A is elevated in critically ill patients with sepsis (Lee et al., 2016; Michel et al., 2003), acute respiratory distress syndrome (ARDS) (Kim et al., 2019), coronavirus disease 2019 (COVID-19) (Synolaki et al., 2021), H1N1 (Linko et al., 2014) and acute respiratory failure (de Kretser et al., 2013) but associations between activin A levels with muscle strength and physical function in critically ill humans have not been investigated. There are also multiple methods via which measurement of activin levels can be conceptualised, and these levels may vary over an ICU admission. For example, one could look at the first measurement taken within an ICU, the mean or median of several measurements, or the highest value of all measurements taken. It is unknown which approach yields the strongest associations with clinical outcomes. While several studies have investigated the functional sequelae of ICU-AW (Dettling-Ihnenfeldt et al., 2017; Guarneri et al., 2008; Intiso et al., 2011; Koch et al., 2014), few have investigated the extent to which bench-top and clinical physiological findings are linked. Such evaluations are critical given that data suggest

that patients surviving ICU may have normal long-term physical function despite abnormalities in neurophysiology studies (Fletcher et al., 2003). We aim to investigate the relationship between serum activin A levels, muscle strength, and physical function at ICU and hospital discharge.

Materials and methods

This prospective observational cohort study (Australian and New Zealand Clinical Trials Registry Number ACTRN12615000047594) was conducted between February 2017 and December 2018 in the 14-bed tertiary ICU at Frankston Hospital and the 14-bed tertiary ICU at Sunshine Hospital, Melbourne, Australia. Institutional ethical approval was granted for both sites by respective local ethical review boards (Reference Numbers: HREC/14/SHA/26; SSA/15/PH/14). Informed consent was obtained from participants or their surrogate decision-makers. The reporting of this study conforms to the STROBE statement for observational cohort studies (Von Elm et al., 2007).

Participants

The treating ICU physiotherapist screened participants on weekdays. Adult participants >18 years old were eligible if they had received invasive mechanical ventilation for more than 48 hours and were expected to remain in the ICU for at least 120 hours. Exclusion criteria are listed in detail in supplemental digital content. In the absence of empirical data on which to base the sample size, we aimed to recruit 20 participants who could complete outcome measures to ICU discharge.

Participant age, sex, Acute Physiology and Chronic Health Evaluation (APACHE II) (Knaus et al., 1985), admission diagnosis (APACHE III diagnostic codes (Australian and New Zealand Intensive Care Society Modified)) (Australian and New Zealand Intensive Care Society, 2020), Sequential Organ Failure Assessment (SOFA) (J.-L. Vincent et al., 1996), time on mechanical ventilation, length of ICU and hospital stay, and ICU and hospital mortality were recorded.

Activin A measurements

Blood samples were collected on the day of enrolment and daily after that for 21 days until the patient was discharged from the ICU or no longer had indwelling arterial vascular access, whichever occurred first. A (two-site) enzyme-linked immunosorbent assay (Ansh Labs, Webster, TX, USA) was used to quantify the activin A concentration in serum samples. The intra-assay coefficients of variation for activin A were 5.7%, inter-assay coefficients of variation of 7.7%, and the lower level of detection was 24 pg/ml. Measurement of activin A level was performed by an investigator blinded to the clinical records and outcomes of the patients. Results of the activin A levels were not available to the outcome assessors until all assessments were completed.

Outcome measures

A range of standardised muscle strength and physical function outcome measures were used (appendix 3.1, 3.2, and 3.3), drawn from an international consensus of core outcome measures (D. M. Needham et al., 2017). These measures have been used

feasibly in ICU cohorts, with established clinometric properties (Denehy, Skinner, et al., 2013). Daily assessments included the overall muscle strength using the Medical Research Council Sum Score (MRC-SS) (Kleyweg et al., 1991) and handgrip strength of dominant hand using dynamometers (Jamar hydraulic dynamometer, JLW Instruments, Chicago, USA, and Exacta™ Hydraulic Hand Dynamometer, North Coast Medical, Gilroy California, USA), with a standardised protocol (Baldwin et al., 2013). The Physical Function ICU Test (Scored) (PFIT-s) (Denehy, de Morton, et al., 2013) was performed at the first time sitting out of bed (SOOB) and on ICU discharge. The Timed Up and Go Test (TUG) (Podsiadlo & Richardson, 1991) and the 6-minute walk test (6MWT) (ATS, 2002) were measured on ICU discharge and acute hospital discharge.

Statistical analysis

Three different measures of activin A concentration were examined: i) the first measurement taken between 48-72 hours of commencement of mechanical ventilation, ii) the peak measurement across the duration of ICU stay, and iii) the median measurement across the duration of ICU stay. Relationships between these values and study outcomes were analysed using ordered logistic regression, with serum activin A denoted the ordinal dependent variable in each case. This was because low-level measurements of activin A taken using the assay approach return a result of “<24 pg/ml”, meaning this variable could not be treated as continuous. In our analyses, treating these values as ordinal dependent variables was the most appropriate solution to this analytic problem. Patient measures of function and strength were treated as independent variables. Where univariate analysis demonstrated a

statistically significant association, multivariable analysis including plausible confounders (severity of illness, age, and sex) was performed to check the sensitivity of the univariate association to the inclusion of these variables. Missing data were excluded listwise from analyses. Statistical analysis was performed using STATA/SE version 13 (StataCorp LP, Austin, TX, USA). $P < 0.05$ was accepted as the level of statistical significance.

Results

Demographics

Thirty-six participants were included in the study. Twenty-seven participants (75%) survived to ICU discharge. Of these, nineteen participants were able to complete outcome measures up to and including ICU discharge. Twenty-two participants (61%) survived to hospital discharge. Of these, seventeen participants were able to complete outcome measures at hospital discharge. A detailed description of the flow of participants from screening to final follow-up is outlined in Figure 3.1. The sample had a mean age of 60.7 years, was mostly male (61.1%), and the primary diagnoses were bacterial pneumonia, sepsis, and septic shock (Table 3.1).

Activin levels

Serum activin A was measured in all 36 participants at least once. The median number of measurements per participant was 5, with an interquartile range of 2.5 to 7.5. The peak activin A, median activin A and initial activin A concentrations (Table 3.1) in our

cohort were all significantly elevated compared to reference levels (de Kretser et al., 2013), wherein the serum activin A concentration was $110 \pm \text{five pg/ml}$ among a cohort of 52 healthy volunteers aged 51 to 65.

Peak activin A and strength and function measures

Univariate analyses of the relationship between activin A concentrations and patient outcomes are presented in Figures 3.2 and 3.3. Higher MRS-SS at the first time sitting out of bed was associated with lower peak serum activin A level, a one-unit increase in MRC-SS was associated with a 0.06 unit (ordered log-odds regression coefficient, 95% confidence interval, p-value) (-0.07, -0.13 to -0.01, $p = 0.029$) decrease in the ordered log-odds of being in a higher peak activin category. Better PFIT-s score at ICU discharge and 6MWT distance at hospital discharge were also associated with lower peak serum activin A level (ordered log-odds regression coefficient, 95% confidence interval, p-value) (-0.27, -0.52 to -0.01, $p = 0.041$) and (-0.01, -0.01 to -0.00, $p = 0.007$), respectively.

Multivariate sensitivity analysis (Table 3.2) demonstrated that further 6MWT distance at hospital discharge was independently associated with lower peak activin concentration (Figure 3.4) after adjusting for the possible confounding effects of severity of illness, age, and sex. A one-metre increase in 6MWT distance was associated with a 0.01 unit (ordered log-odds regression coefficient, 95% confidence interval, p-value) (-0.01, -0.01 to -0.00, $p = 0.029$) decrease in the ordered log-odds of being in a higher peak activin category, while the other independent variables were

held constant. However, MRC-SS and PFIT-s scores were not associated with peak activin A concentration in the sensitivity analysis.

ICU and hospital mortality were associated with higher peak activin A concentrations (univariate analysis presented in Table 3.3; data presented in Figure 3.5). In patients who died in the ICU, their odds of having a higher peak activin concentration was 7.54 times higher than patients who survived (proportional odds ratio, 95% confidence interval, p-value) (7.54, 1.65 to 34.43, $p = 0.009$). In patients who did not survive to hospital discharge, their odds of having a higher peak activin concentration was 15.24 times higher than patients who did (proportional odds ratio, 95% confidence interval, p-value) (15.24, 3.48 to 66.76, $p < 0.0005$). Multivariate sensitivity analysis (Table 3.4) demonstrated that ICU and hospital mortality were independently associated with higher peak activin A concentrations, after adjusting for the severity of illness, age, and sex (proportional odds ratio, 95% confidence interval, p-value) (8.56, 1.85 to 39.59, $p = 0.006$) and (16.60, 3.39 to 81.18, $p = 0.001$), respectively. Thus, peak activin A concentration was the best method of activin measurement at predicting hospital mortality (Figure 3.6).

Initial activin A and outcomes

A higher 6MWT distance at hospital discharge was associated with a lower initial activin A level (ordered log-odds regression coefficient, 95% confidence interval, p-value) (-0.01, -0.01 to -0.00, $p = 0.048$). Multivariate sensitivity analysis (Table 3.2) demonstrated that higher 6MWT distance at hospital discharge was independently associated with lower initial activin concentration, after adjusting for the possible

confounding effects of severity of illness, age, and sex (ordered log-odds regression coefficient, 95% confidence interval, p-value) (-0.01, -0.02 to -0.00, $p = 0.035$).

ICU mortality was not associated with increased initial activin A concentration in univariate analysis (proportional odds ratio, 95% confidence interval, p-value) (3.97, 0.96 to 16.35, $p = 0.056$). Hospital mortality was associated with higher initial activin A concentration (proportional odds ratio, 95% confidence interval, p-value) (4.74, 1.30 to 17.24, $p = 0.018$). Multivariate sensitivity analysis (Table 3.4) showed that ICU and hospital mortality were both independently associated with higher initial activin A concentrations, after adjusting for the severity of illness, age, and sex (proportional odds ratio, 95% confidence interval, p-value) (5.95, 1.25 to 28.40, $p = 0.025$) and (6.26, 1.38 to 28.41, $p = 0.017$), respectively.

Median activin A and outcomes

None of the muscle strength or physical function outcome measures were associated with median activin A concentrations (Figure 3.2 and 3.3). ICU and hospital mortality were associated with higher median activin A concentrations (proportional odds ratio, 95% confidence interval, p-value) (6.34, 1.52 to 26.40, $p = 0.011$) and (8.21, 2.14 to 31.47, $p = 0.002$), respectively. Multivariate sensitivity analysis (Table 3.4) demonstrated that ICU and hospital mortality were independently associated with higher median activin A concentrations, after adjusting for severity of illness, age, and sex (proportional odds ratio, 95% confidence interval, p-value) (6.75, 1.54 to 29.54, $p = 0.011$) and (9.97, 2.22 to 44.91, $p = 0.003$), respectively.

Discussion

Clinical significance

This novel study has explored and demonstrated the relationship between activin A concentration, muscle strength, and physical function in the critically ill. Peak activin A concentration was the most promising measure of activin A in the intensive care setting. There was excellent concordance of the direction of the ordered log-odds regression coefficient across the outcome measures and time points. Elevated peak activin A concentration was associated with worse muscle strength and physical function at different time points in the patient's ICU and hospital stay.

One of the main clinical and histological features of ICU-AW is muscle fibre atrophy (Batt et al., 2013; García-Martínez et al., 2019). In critically ill patients, the upregulation of the UPS (Constantin et al., 2011; Schmidt et al., 2014; Tiao et al., 1997) and ALS (Constantin et al., 2011; Mofarrahi et al., 2012) protein degradation pathways are responsible for muscle catabolism (Helliwell et al., 1998; Levine et al., 2008). Both these pathways are upregulated by activin A and its receptor, ActRIIB, in animal models (Lokireddy et al., 2011; Marino et al., 2015; Zhou et al., 2010). While activin A concentrations are elevated in critically ill humans (de Kretser et al., 2013; Michel et al., 2003), this is the first study to demonstrate that elevated activin A concentrations are associated with worse muscle strength and physical function. This is of particular significance because inhibition of ActRIIB has been shown to increase muscle mass in healthy volunteers (Attie et al., 2013) and patients with chronic obstructive pulmonary disease. Our data suggest activin A is an influential factor in the muscle catabolism observed in critical illness survivors, and a potential therapeutic target.

Importantly, pharmacological agents such as bimagrumab (Polkey et al., 2019) and muscle regulator ACE-031 (Attie et al., 2013) target the activin A pathway and have been used safely in human subjects. These reagents may slow muscle catabolism in critically ill patients.

Activin A and mortality

In our study, ICU and hospital mortality were independently associated with increased activin A concentrations. This finding is consistent with similar observational studies in the critically ill population. For example, in a prospective observational study of 518 critically ill patients with acute respiratory failure, a higher admission activin A concentration was associated with higher 12-month mortality (de Kretser et al., 2013). In another observational study of 130 critically ill patients with suspected sepsis, the serum activin A level at ICU admission was a predictor of sepsis severity, ICU and hospital mortality (Lee et al., 2016). The proposed mechanism for this relationship is attributed to activin's role as a major controller of the systemic inflammatory response in humans (Hedger et al., 2011; Jones et al., 2004; Jones et al., 2007). Activin A has been implicated in several acute inflammatory syndromes such as acute respiratory distress syndrome (Kim et al., 2019), COVID-19 (Synolaki et al., 2021), sepsis, acute food poisoning, acute coronary syndromes, asthma, meningitis, burns, and traumatic brain injury (Phillips et al., 2009).

Elevated activin A levels in critical illness

The levels of activin A observed in our cohort are consistent with larger cohorts of critically ill patients. In a study of 518 critically ill patients with acute respiratory failure, the median admission serum activin A concentration was 270 (interquartile range (IQR) 310) pg/mL in those that died at 1-year, 210 (IQR = 330) pg/ml in those that were alive at 1-year (de Kretser et al., 2013). In 130 critically ill patients with suspected sepsis, mean serum activin A concentration was 251.6 (standard deviation (SD) 126.3) pg/mL in patients without sepsis, 595.5 (SD 527.8) pg/ml in severe sepsis, and 937.1 (SD 792.2) pg/ml in those with septic shock (Lee et al., 2016). Our participants' activin A levels were significantly elevated compared to normal values, where a mean concentration of 110 (SD 41) pg/mL was measured in 138 healthy volunteers (de Kretser et al., 2013). This study further confirms that activin A activity is increased in patients with critical illness.

Limitations

As a proof-of-concept study with a small consecutive sample, we were underpowered to investigate the relationship between activin A and patient outcomes thoroughly. Several of our measures were not found to have significant associations with activin measures. While the directions of coefficients were consistent with our hypothesis, the confidence intervals were wide. We therefore cannot be sure that a clinically meaningful association with these variables does not exist due to our small sample size. A consecutive sample also meant there was heterogeneity in the participant cohort, with a mixture of medical and surgical patients, and different admission diagnoses. The effect of activin A could have been variable across this cohort. At the time of the study, we lacked a feasible and accurate method to measure total skeletal

muscle mass. Therefore we did not include muscle mass as an outcome. We need to consider the possibility that activin A impacts muscle strength and physical function through mechanisms other than muscle mass. We also did not have the opportunity to investigate the expression of key genes involved in the UPS and ALS, which would have provided further insight into the role of activin A in muscle catabolism in the critically ill.

We could not treat activin A concentration as a continuous variable because low-level measurements of activin A taken using the assay approach return “<24 pg/ml”. We accommodated this by using ordered logistical regression, treating activin level as the ordinal dependent variable. While this was the most appropriate way to analyse our data, it meant that we could not investigate the accuracy of activin as a predictor variable while adjusting other possible confounders with patient outcomes.

We also noted floor and ceiling effects with some of the outcome measures. For example, only one participant had an MRC-SS of 48 or more at first awakening, while on hospital discharge, all surviving participants had an MRC-SS of 48 or more. In addition, only four out of 21 participants could walk any distance at all for the 6MWT and TUG at ICU discharge. These ceiling and floor effects mean that these measures may not be sensitive to clinically meaningful change in these outcome constructs that occur at the extreme ends of these scales. This would reduce the statistical power to find associations between these outcomes and patient Activin levels.

Future directions

A single physical function outcome measure responsive to change across the continuum of care needs to be developed to support future observational and interventional studies in this population. An accurate and practical method to measure total skeletal mass in critically ill patients is also needed. A recent review on measures of body composition (Evans et al., 2019) identified D3-creatine dilution as a promising method to measure muscle mass and should be validated in this population. We recommend future research take serial measurements of activin A so the peak value can be identified. This will allow activin A to be investigated as an independent continuous variable, alongside other predictors of physical function and mortality. A larger study in a more homogenous patient cohort such as sepsis or acute respiratory failure is warranted to explore further the relationship between activin receptor agonists and patient outcomes, including measurements of muscle mass and gene expression. Phase I clinical trials involving activin receptor antagonists, such as bimagrumb (Polkey et al., 2019) and ACE-031 (Attie et al., 2013), should be planned in the critically ill populations susceptible to muscle wasting.

Conclusion

Serum activin A concentration was elevated in our cohort of critically ill patients. Higher peak activin A concentrations were associated with worse muscle strength and physical function. High activin A concentrations were also associated with increased

ICU and hospital mortality. Activin A appears to be a promising pharmacological target for physical disability in critical illness.

References

References have been incorporated into the thesis bibliography.

Tables

Table 3.1 Participant characteristics. Data are presented in n (%) and median [IQR] unless otherwise specified.

| Characteristics of participants | | N=36 |
|---|--------------|-----------------------|
| Age (years), Mean (SD) | | 60.7 (14.9) |
| Male sex, <i>n</i> (%) | | 22 (61.1%) |
| Admission diagnosis, <i>n</i> (%) * | | |
| Non-operative | | |
| Bacterial pneumonia | | 7 (19%) |
| Sepsis with shock, other than urinary | | 6 (17%) |
| Sepsis, other than urinary | | 5 (14%) |
| Pancreatitis | | 3 (8%) |
| COPD | | 3 (8%) |
| Coma | | 1 (3%) |
| Metabolic coma | | 1 (3%) |
| Aspiration pneumonia | | 1 (3%) |
| Other respiratory diseases | | 1 (3%) |
| Cardiogenic shock | | 1 (3%) |
| Asthma | | 1 (3%) |
| Cardiac arrest | | 1 (3%) |
| Post-operative | | |
| Other GI diseases | | 3 (8%) |
| Ruptured aortic aneurysm | | 1 (3%) |
| Other respiratory diseases | | 1 (3%) |
| Admission APACHE II score† | Mean (SD) | 20.8 (8.0) |
| | Median [IQR] | 19.5 [15.75 – 27] |
| SOFA score on enrolment ‡ | Mean (SD) | 9.5 (3.4) |
| | Median [IQR] | 9 [7 – 12] |
| Initial Activin A concentration, pg/ml§ | | 182.4 [94.0 – 384.6] |
| Median Activin A concentration, pg/ml | | 177.1 [107.4 – 344.4] |
| Peak Activin A concentration, pg/ml¶ | | 321.0 [190.8 – 603.7] |
| Duration of mechanical ventilation, hours | | 165.6 [88.8 – 255.8] |
| Length of stay in ICU, days | | 8.2 [5.8 - 15.5] |

| | |
|--|------------------|
| Length of stay in hospital, days | 17 [10.5 - 26.5] |
| Survived to ICU discharge, <i>n</i> (%) | 27 (75%) |
| Survived to Hospital discharge, <i>n</i> (%) | 22 (61%) |

*Acute Physiology and Chronic Health Evaluation III diagnostic codes (Australian and New Zealand Intensive Care Society Modified)

†Acute Physiology and Chronic Health Evaluation II

‡Sequential Organ Failure Assessment score

§Initial Activin A concentration is measured from a blood sample taken between 48 to 72 hours of the ICU admission

||peak activin A concentration for the duration of ICU stay

¶median activin A concentration for the duration of the ICU stay

Table 3.2 Multivariate sensitivity analysis of activin A concentrations vs. muscle strength and physical function outcomes.

| Dependent variables | Independent variables | Ordered log-odds regression coefficient | 95% CI | p-value |
|---------------------|-------------------------------------|---|----------------|---------|
| Initial activin A | 6MWT distance at hospital discharge | -0.01 | -0.02 to -0.00 | 0.035* |
| | APACHE II score | -0.08 | -0.24 to 0.08 | 0.353 |
| | Age | -0.01 | -0.10 to 0.08 | 0.867 |
| | Sex (Male) | -1.56 | -4.59 to 1.47 | 0.313 |
| Peak activin A | MRC-SS when first SOOB | -0.03 | -0.11 to 0.04 | 0.419 |
| | APACHE II score | 0.09 | -0.04 to 0.22 | 0.172 |
| | Age | -0.01 | -0.06 to 0.05 | 0.831 |
| | Sex (Male) | -1.66 | -3.63 to 0.30 | 0.096 |
| Peak activin A | PFIT-s on ICU discharge | -0.26 | -0.58 to 0.06 | 0.114 |
| | APACHE II score | 0.07 | -0.08 to 0.22 | 0.368 |
| | Age | -0.01 | -0.06 to 0.05 | 0.861 |
| | Sex (Male) | -1.83 | -3.96 to 0.30 | 0.092 |
| Peak activin A | 6MWT distance at hospital discharge | -0.01 | -0.01 to -0.00 | 0.029* |
| | APACHE II score | 0.09 | -0.06 to 0.24 | 0.258 |
| | Age | -0.03 | -0.10 to 0.05 | 0.504 |
| | Sex (Male) | -0.58 | -3.15 to 1.99 | 0.660 |

*indicates a statistically significant association after adjusting for plausible confounders

Table 3.3 Univariate analysis of different measures of activin A concentration vs. mortality outcomes.

| Dependent variable | Independent variable | Proportional odds ratio | 95% CI | p-value |
|--------------------|----------------------|-------------------------|---------------|-----------|
| Initial activin A | ICU mortality | 3.97 | 0.96 to 16.35 | 0.056 |
| Initial activin A | Hospital mortality | 4.74 | 1.30 to 17.24 | 0.018* |
| Median activin A | ICU mortality | 6.34 | 1.52 to 26.40 | 0.011* |
| Median activin A | Hospital mortality | 8.21 | 2.14 to 31.47 | 0.002* |
| Peak activin A | ICU mortality | 7.54 | 1.65 to 34.43 | 0.009* |
| Peak activin A | Hospital mortality | 15.24 | 3.48 to 66.76 | < 0.0005* |

*indicates a statistically significant association

Table 3.4 Multivariate sensitivity analysis of activin A concentrations and mortality outcomes.

| Dependent variable | Independent variable | Proportional odds ratio | 95% CI | p-value |
|--------------------|----------------------|-------------------------|---------------|---------|
| Initial activin A | ICU mortality | 5.95 | 1.25 to 28.40 | 0.025* |
| | APACHE II score | 0.98 | 0.90 to 1.06 | 0.610 |
| | Age | 1.00 | 0.95 to 1.05 | 0.937 |
| | Sex (Male) | 0.22 | 0.05 to 0.87 | 0.031* |
| Initial activin A | Hospital mortality | 6.26 | 1.38 to 28.41 | 0.017* |
| | APACHE II score | 0.96 | 0.89 to 1.04 | 0.362 |
| | Age | 0.98 | 0.93 to 1.04 | 0.567 |
| | Sex (Male) | 0.38 | 0.10 to 1.46 | 0.160 |
| Median activin A | ICU mortality | 6.75 | 1.54 to 29.54 | 0.011* |
| | APACHE II score | 0.98 | 0.91 to 1.06 | 0.670 |
| | Age | 1.01 | 0.97 to 1.05 | 0.754 |
| | Sex (Male) | 0.48 | 0.14 to 1.64 | 0.243 |
| Median activin A | Hospital mortality | 9.97 | 2.22 to 44.91 | 0.003* |
| | APACHE II score | 0.98 | 0.90 to 1.05 | 0.537 |
| | Age | 0.99 | 0.94 to 1.04 | 0.666 |
| | Sex (Male) | 0.75 | 0.22 to 2.59 | 0.650 |
| Peak activin A | ICU mortality | 8.56 | 1.85 to 39.59 | 0.006* |
| | APACHE II score | 1.05 | 0.97 to 1.13 | 0.209 |
| | Age | 1.00 | 0.96 to 1.05 | 0.937 |
| | Sex (Male) | 0.29 | 0.09 to 0.98 | 0.046* |
| Peak activin A | Hospital mortality | 16.60 | 3.39 to 81.18 | 0.001* |
| | APACHE II score | 1.04 | 0.96 to 1.12 | 0.354 |
| | Age | 0.98 | 0.94 to 1.03 | 0.480 |
| | Sex (Male) | 0.41 | 0.12 to 1.46 | 0.168 |

*indicates a statistically significant association after adjusting for plausible confounders

FIGURES

Figure 3.1 The flow of participants through the study

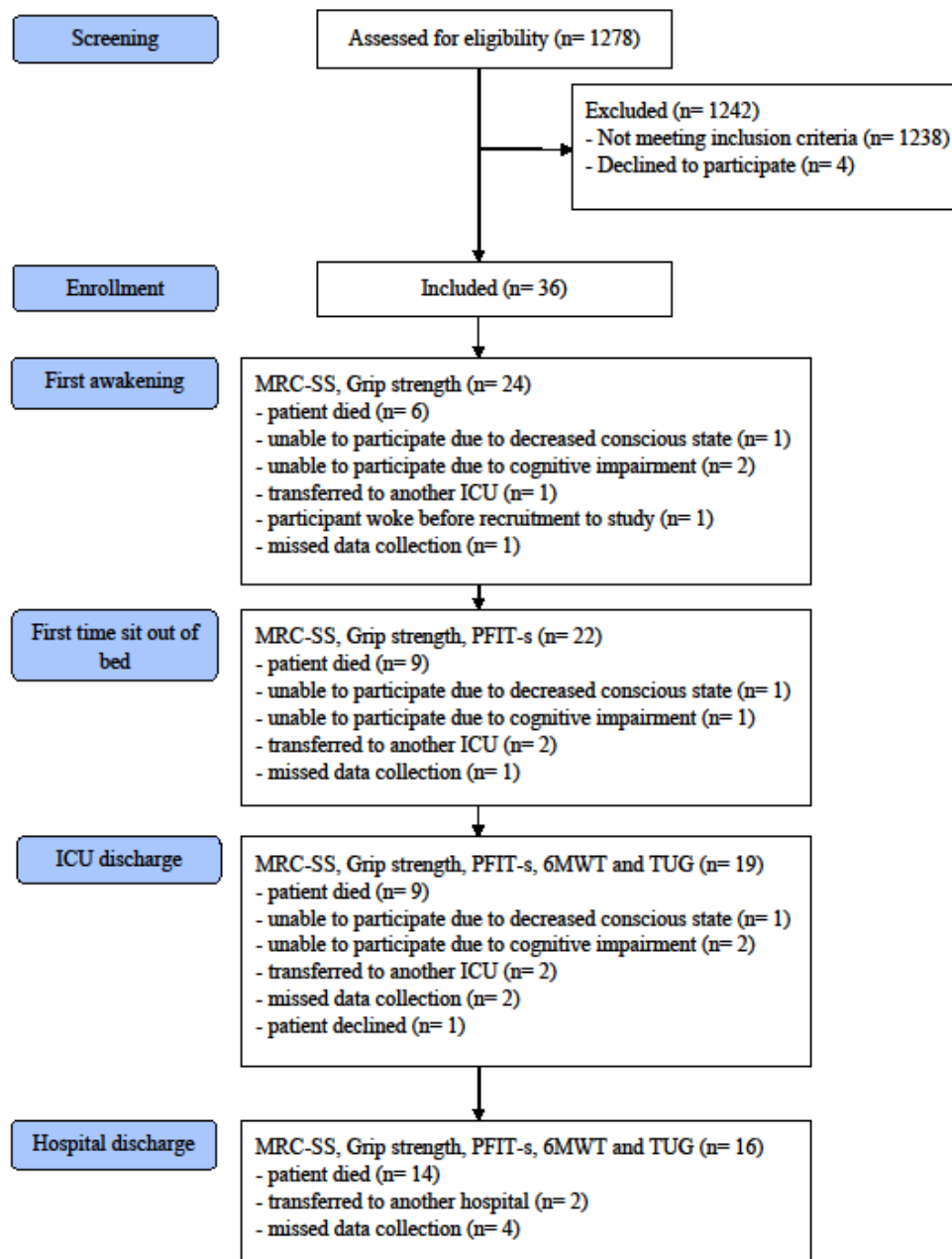


Figure 3.2 Univariate analysis of different measures of activin A concentration vs. muscle strength and physical function outcomes.

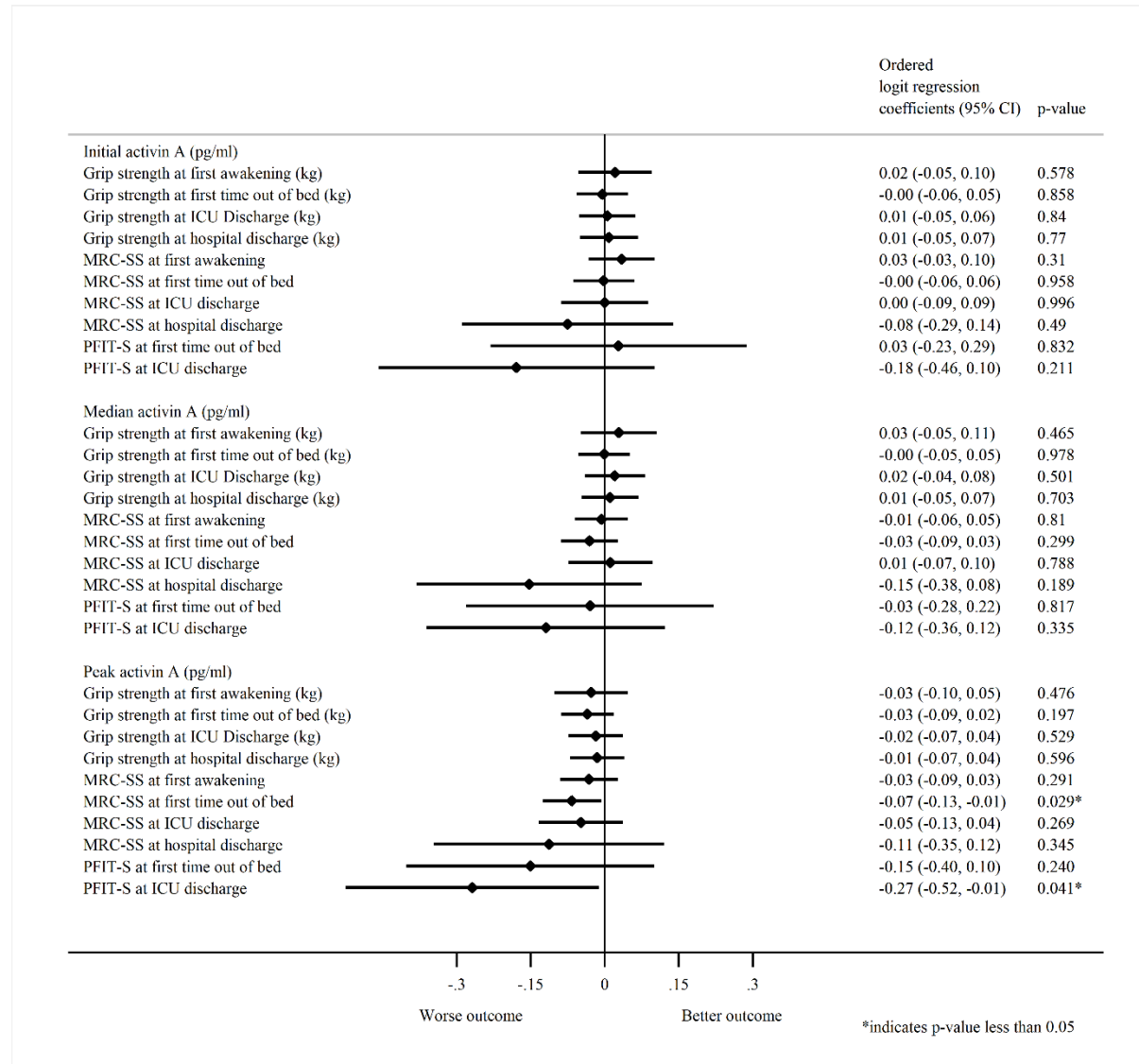


Figure 3.3 Univariate analysis of different methods of measuring activin A concentration vs. physical function outcomes.

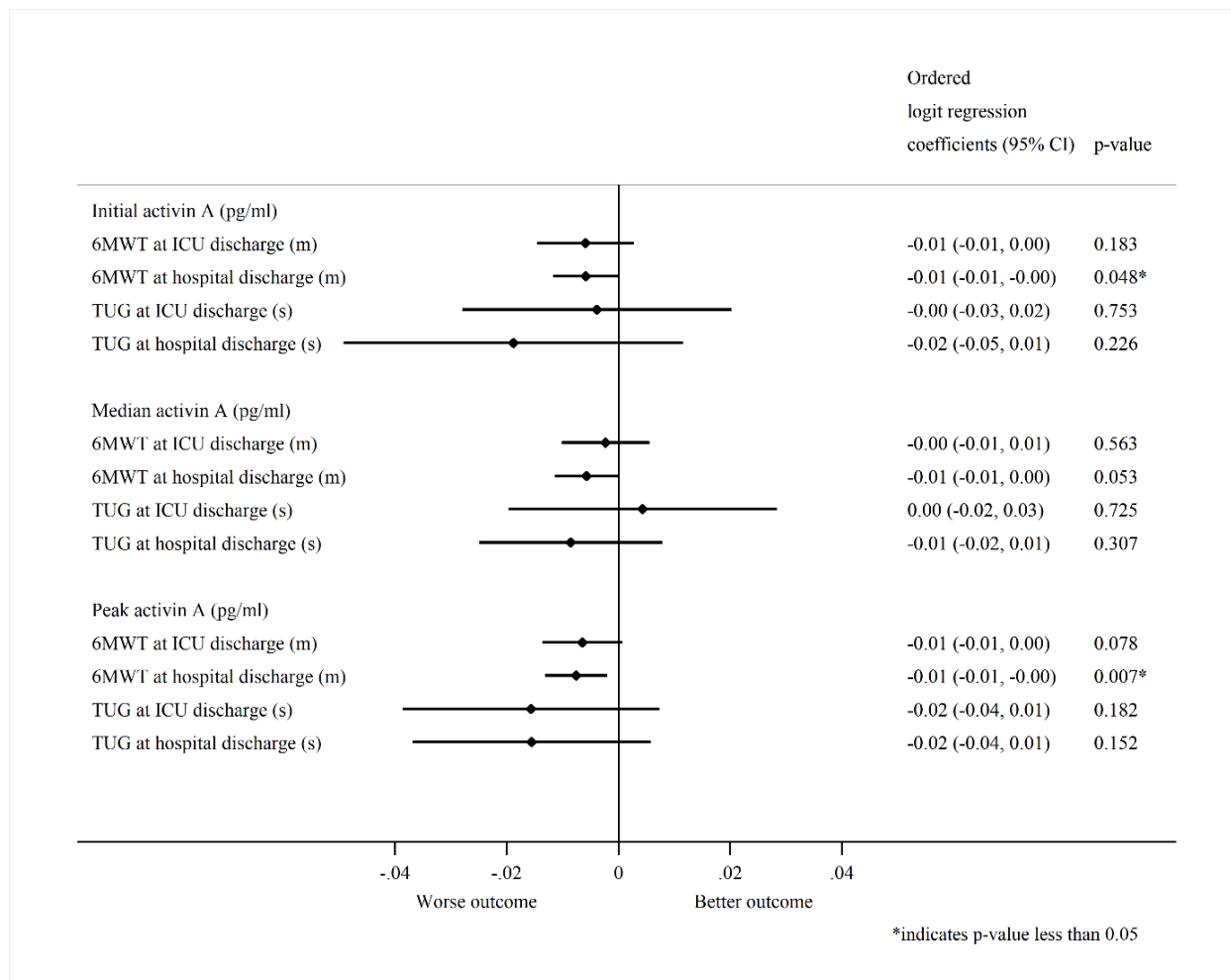


Figure 3.4 Peak activin A concentration vs. Six-Minute Walk Test distance at hospital discharge.

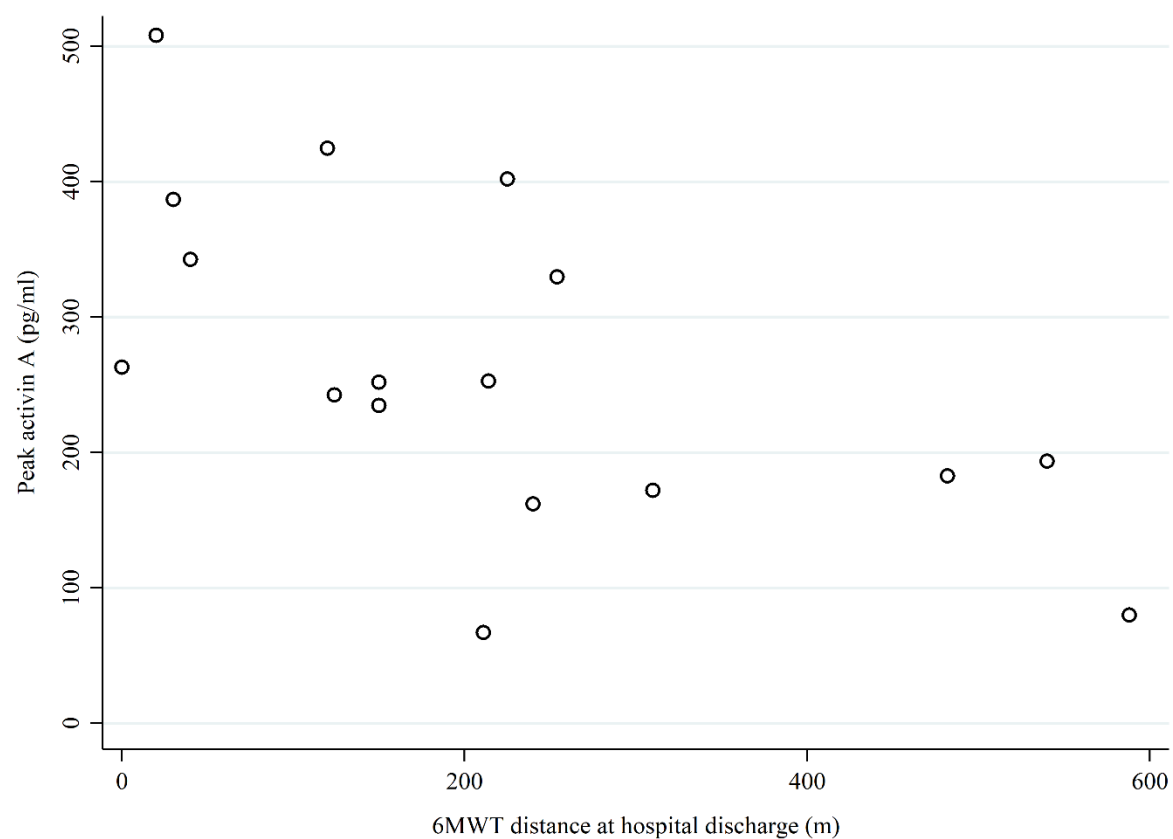


Figure 3.5 Peak activin A concentration vs. mortality outcomes.

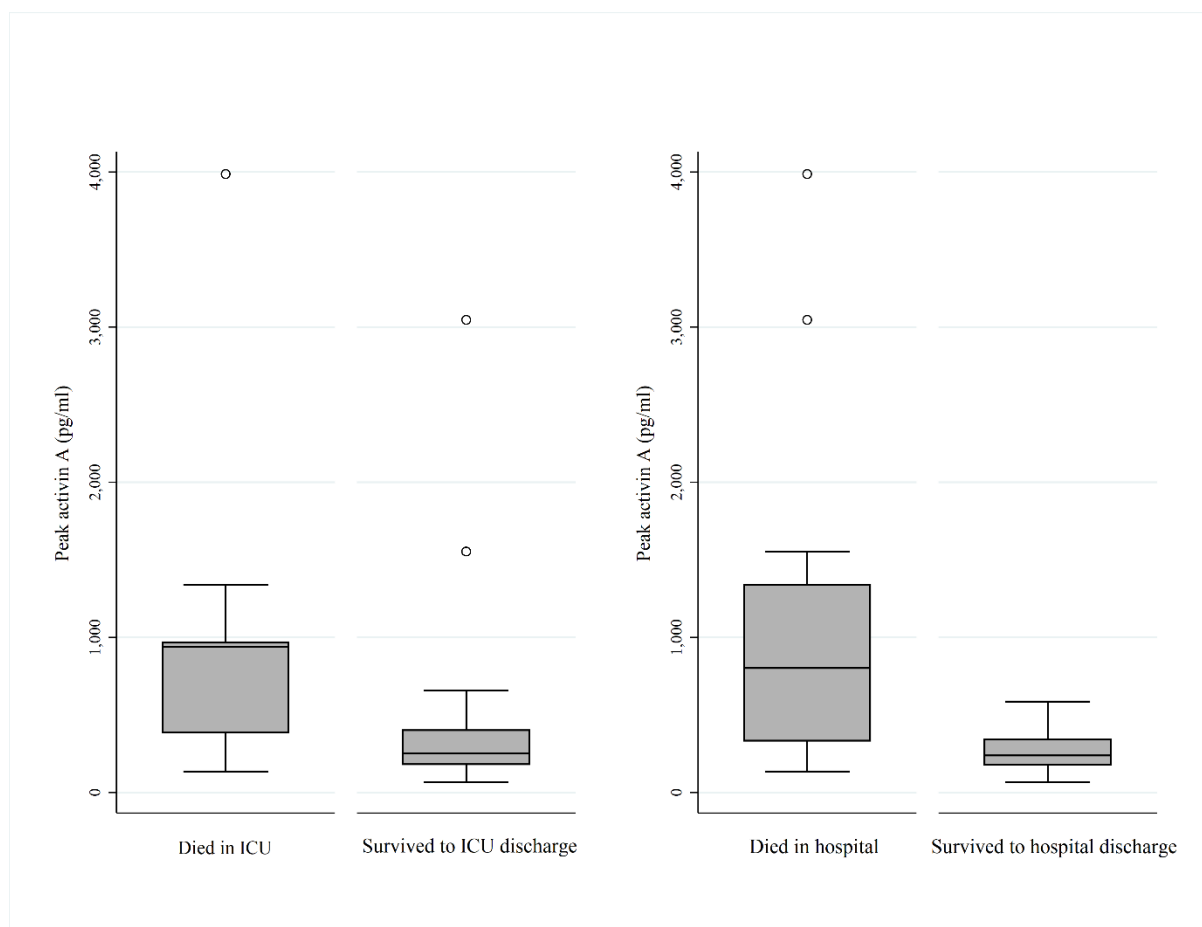
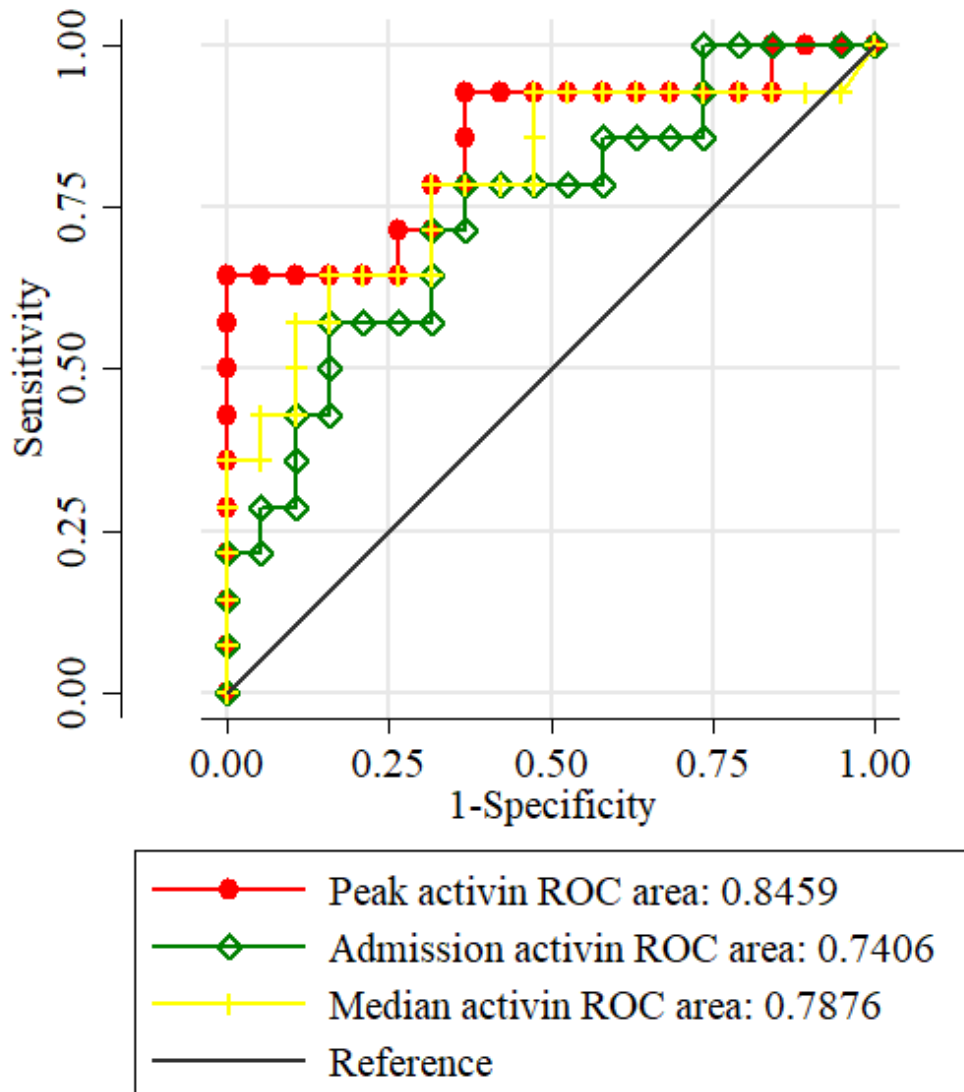


Figure 3.6 Receiver operating characteristic curve for the sensitivity and specificity of the three different methods of activin measurement at predicting hospital mortality



SUPPLEMENTAL DIGITAL CONTENT

Supplemental methods

Participants were excluded from enrolment if death was deemed imminent or inevitable; they were mechanically ventilated for more than 72 hours; had been intubated and ventilated previously in the same episode of hospital admission, or they met pre-specified criteria of proven or suspected medical conditions that precluded participation in the physical outcome measures:

- Head injuries
- Burns
- Central nervous system injury, including spinal cord
- Orthopaedic, plastic, or vascular surgeries resulting in restrictions to limb movement or weight-bearing
- Open abdomen
- Limb amputation
- Neuromuscular disease
- Poor premorbid mobility limiting the ability to ambulate independently

3.3. Chapter summary

This novel study has explored and demonstrated the relationship between activin A concentration, muscle strength, and physical function in the critically ill. Elevated peak activin A concentration was associated with worse muscle strength and physical function at different time points in the patient's ICU and hospital stay.

Phase I clinical trials involving activin receptor antagonists should be planned in the critically ill populations susceptible to muscle wasting.

While activin A may contribute to the functional decline of critically ill patients, the bedrest and immobilisation associated with the monitoring and management of these patients also have real and deleterious consequences. The next chapter will investigate the safety and feasibility of early mobilisation in a cohort of patients traditionally subject to long periods of immobility.

Chapter 4. Early mobilisation on continuous renal replacement therapy is safe and may improve filter life

4.1. Introduction

Historically, patients with acute renal failure undergoing continuous renal replacement therapy have been restricted to bed rest. This is due to concerns regarding catheter dislodgement, infection, thrombosis, premature disconnection, and reduced filter life.

Patients undergoing continuous renal replacement therapy may be at risk of the development of ICU-AW due to their higher severity of illness, long periods of immobilisation, and electrolyte disturbances associated with acute renal failure.

While early mobilisation has been shown to reduce the likelihood of ICU-AW, the safety and feasibility of early mobilisation have not been established in patients with acute renal failure undergoing continuous renal replacement therapy.

This chapter presents a novel prospective, quasi-experimental study that investigated the safety and feasibility of a physical activity program conducted with thirty-three patients on continuous renal replacement therapy in the ICU.

4.2. Early mobilisation on continuous renal replacement therapy is safe and may improve filter life

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RESEARCH

Open Access

Early mobilization on continuous renal replacement therapy is safe and may improve filter life

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Abstract

Introduction: Despite studies demonstrating benefit, patients with femoral vascular catheters placed for continuous renal replacement therapy are frequently restricted from mobilization. No researchers have reported filter pressures during mobilization, and it is unknown whether mobilization is safe or affects filter lifespan. Our objective in this study was to test the safety and feasibility of mobilization in this population.

Methods: A total of 33 patients undergoing continuous renal replacement therapy via femoral, subclavian or internal jugular vascular access catheters at two general medical-surgical intensive care units in Australia were enrolled. Patients underwent one of three levels of mobilization intervention as appropriate: (1) passive bed exercises, (2) sitting on the bed edge or (3) standing and/or marching. Catheter dislodgement, haematoma and bleeding during and following interventions were evaluated. Filter pressure parameters and lifespan (hours), nursing workload and concern were also measured.

Results: No episodes of filter occlusion or failure occurred during any of the interventions. No adverse events were detected. The intervention filters lasted longer than the nonintervention filters (regression coefficient = 13.8 (robust 95% confidence interval (CI) = 5.0 to 22.6), $P = 0.003$). In sensitivity analyses, we found that filter life was longer in patients who had more position changes (regression coefficient = 2.0 (robust 95% CI = 0.6 to 3.5), $P = 0.007$). The nursing workloads between the intervention shift and the following shift were similar.

Conclusions: Mobilization during renal replacement therapy via a vascular catheter in patients who are critically ill is safe and may increase filter life. These findings have significant implications for the current mobility restrictions imposed on patients with femoral vascular catheters for renal replacement therapy.

Trial registration: Australian and New Zealand Clinical Trials Registry ACTRN12611000733976 (registered 13 July 2011)

Introduction

Acute renal failure occurs in 5.5% to 6.0% of patients admitted to the intensive care unit (ICU), with almost three-fourths of these patients requiring the institution of continuous renal replacement therapy (CRRT) via temporary double-lumen vascular catheters [1]. Historically, patients with femoral vascular catheters have been restricted to bed rest [2,3] to avoid catheter dislodgement,

infection and thrombosis [4]. Patient movement may alter fluid dynamics, pressures and blood flow of the CRRT circuit [5]. In contrast, immobilization protocols may increase the risk of thrombosis and embolism [6]. Early mobilization in the ICU is generally safe [7] on the basis of an increasing evidence base [8-12]; in the context of evolved understanding of post-ICU syndrome [13-16], however, there are still specific clinical scenarios in which the safety and feasibility of mobilization has not been established. Moreover, CRRT is frequently present (in up to 9% of sessions) [3] in patients most likely to benefit (for example, those on mechanical ventilation for more than 48 hours).

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The presence of femoral catheters is a considerable barrier to early mobilization [17]. Although mobilization in the presence of femoral arterial catheters is safe [18,19], delivery of CRRT via femoral catheters precludes hip flexion in practice and research [3]. Researchers in several recent studies have reported data on the safety and feasibility of mobilization in patients with femoral catheters (including arterial, venous and haemodialysis) [5,18-20], but none have reported CRRT data specifically during mobilization. Maintenance of the filter circuit is important, as premature disconnection results in loss of blood, increased nursing workload and increased costs [21]. Filter life is also an important indicator of CRRT efficacy [22]. The specific effects of mobilization on the vascular catheter, circuit pressures, fluid dynamics and blood flow in patients receiving CRRT via dual-lumen femoral vascular catheters are uncertain. Therefore, our objective in this study was to test the safety and feasibility of mobilization in ICU patients with femoral vascular catheter placement during CRRT.

Material and methods

Design, setting and participants

This prospective cohort study (Australian and New Zealand Clinical Trials Registry Number ACTRN12611000733976) was conducted between August 2011 and August 2012 in the 21-bed tertiary ICU at Monash Medical Centre and the 14-bed tertiary ICU at Dandenong Hospital, both of which are in Victoria, Australia. In the absence of empirical data on which to base the sample size, a convenience sample of 40 participants was selected. The institutional ethical review board responsible for both sites (Monash Health, Melbourne, Australia) approved the study at both sites. Informed consent was obtained from participants or their surrogate decision-makers. Participants were eligible if admitted to the ICU with the insertion of a vascular catheter for CRRT. Patients were excluded if they were receiving sustained low-efficiency dialysis or CRRT via permanent vascular access.

Exclusion and cessation criteria

Passive group patients were ineligible to participate in the intervention if they met any of the following criteria:

- Extreme agitation or confusion (Richmond Agitation-Sedation Scale +3 or +4 [23])
- Heart rate >160 or <40 beats/min or new arrhythmia
- Limb movement restricted for reasons other than the presence of the vascular catheter

Low-level or high-level group patients were ineligible for the reasons listed above or if they met any of the following criteria:

- Mean arterial blood pressure <60 mmHg or >120 mmHg

- >10 µg/min noradrenaline (or equivalent)
- Fraction of inspired oxygen >0.6 and/or partial pressure of oxygen <65 mmHg
- Peripheral oxygen saturation <85% or drop >10% from resting level
- Respiratory rate >35 breaths/min
- Temperature >38.5°C
- Drowsy, unable to follow commands
- New-onset chest pain with suspected cardiac cause

The intervention was ceased if these criteria were met without recovery in 2 minutes. Any CRRT alarms during the intervention were assessed and responded to by the bedside nurses. The intervention was then continued in consultation with the nurse after troubleshooting of the machine alarms was complete. If the CRRT alarms could not be resolved by the bedside nurse within 2 minutes and were thought to be associated with the intervention, mobilization was ceased.

Procedure

Routine baseline data on the primary outcomes were recorded prior to study recruitment for 19 additional patients to monitor the Hawthorne effect. Participants were screened by treating ICU physiotherapists daily on weekdays. CRRT was generally delivered via continuous venovenous haemodiafiltration (CVVHDF) using Prismaflex ST100 filters (Gambro Lundia AB, Lund, Sweden) at a dialysate rate of 20 ml/kg/h, a replacement fluid rate of 15 ml/kg/h (delivered after the filter) and an effluent fluid removal rate of 50 to 100 ml/h with primarily Lactasol™ or Hemosol™ (Gambro Lundia AB).

Intervention

The movement on vascular catheter evaluation (MOVE) intervention was delivered by senior treating ICU physiotherapist(s) at three different levels (passive, low-level physical function, high-level physical function), depending on the participant's ability. No training of staff was required to deliver the intervention, as mobilization activities formed part of usual care in the study sites. A single intervention of 20 minutes (five positions for 4 minutes each) was delivered to reflect an effective clinical treatment dosage [9]. Prior to the intervention, investigators checked vascular catheter security and suturing. The following were the three intervention levels and details:

1. *Passive*: (a) Unable to participate (for example, sedation, low Glasgow Coma Scale score, severe weakness); (b) supine, sustained hip flexion (45°), supine, repeated-movement hip flexion (45°), supine.
2. *Low-level*: (a) Able to participate, assessed as likely unable to stand; (b) supine, repeated hip flexion (45°), supine, sitting on the edge of the bed, supine.

3. *High-level*: (a) Able to participate, assessed as likely being able to stand (with or without assistance); (b) supine, standing, marching on the spot, sitting on the edge of the bed, supine.

Measurement

The following data were recorded on the day of intervention and daily thereafter for at least three further filters, until the intervention vascular catheter was removed or the patient was discharged from the ICU, whichever occurred first: age, sex, severity of illness (based on Acute Physiology and Chronic Health Evaluation (APACHE II and III) scores), mechanical ventilation, vascular catheter type, site, daily pathology (for example, platelets, international normalized ratio (INR), activated partial thromboplastin time (aPTT)), non-intervention-related position changes (daily) and sedation and delirium scores. Sedation and delirium were assessed using the Richmond Agitation–Sedation Scale [23] and the Confusion Assessment Method for the ICU [24,25].

The primary outcome measure was the occurrence of adverse events during or after interventions, defined *a priori* as the following:

- Vascular catheter dislodgement (assessed by visual inspection)
- Filter circuit clotting or disruption (assessed by circuit disconnection)
- Bleeding, haematoma at the vascular catheter site (assessed by visual inspection and medical and nursing documentation)
- Clinical suspicion of thrombosis (vascular observations recorded every 2 hours postintervention, medical documentation, radiology for ultrasound referral)
- Arrhythmia (assessed by visual inspection of electrocardiogram and medical documentation)

The following secondary outcome measures were used:

- Filter life (measured from filter commencement to disconnection as documented by nursing staff (1:1 ratio))
- Intervention feasibility (measured by filter alarm rates, pressures (access, return, transmembrane), blood flow recorded each minute from the digital output screen (Prismaflex))

Additional secondary measures included nursing workload and nurses' concerns about filter disconnection (see Additional file 1 for more details on methods and results).

Data analysis

The reason for cessation of filtration was recorded (either elective or not), and elective cessation filters were

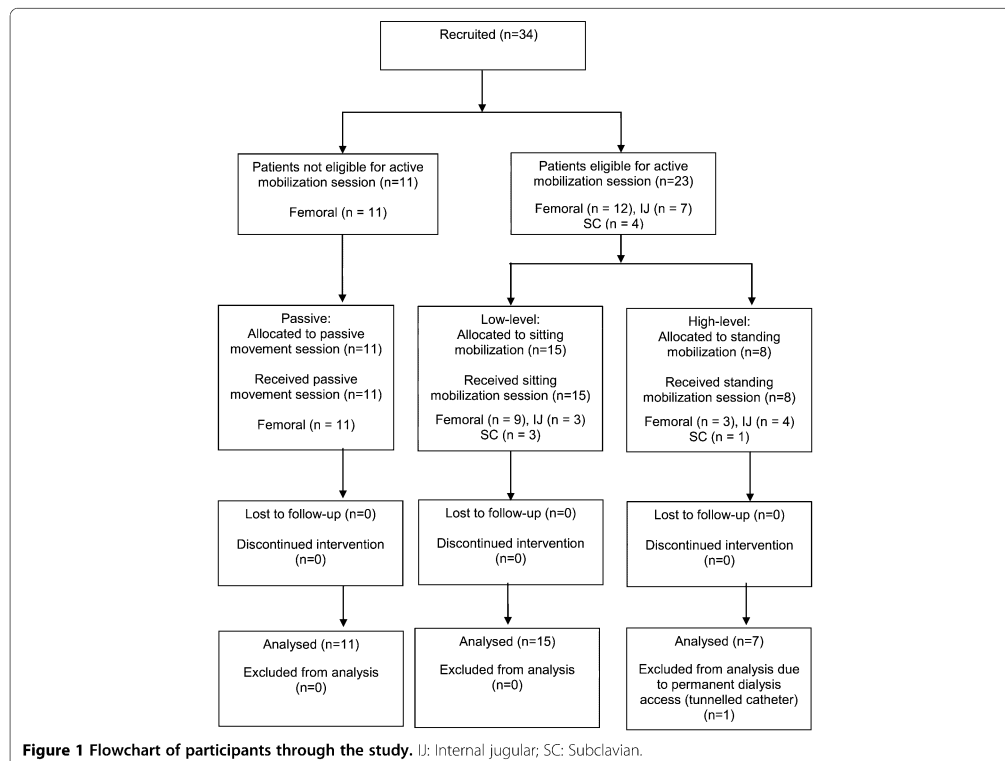
excluded from the filter life analyses. Descriptive statistics (median (IQR) or mean (SD)) were calculated as appropriate (Kolmogorov-Smirnov test). Linear regression analyses clustered by individual participant (to account for multiple filters within individual participants) and robust variance estimates were used to compare filter lifespan for filters where the participant was exposed to an intervention as opposed to filters where no intervention was provided. The number of filters that participants received was adjusted for by including the filter numbers (first filter = 1, second filter = 2 and so forth) in an interaction term with the intervention term in the analysis. Subgroup analyses separating data on the basis of vascular catheter site (femoral vs nonfemoral) and intervention group (passive vs low-level vs high-level) were performed. Sensitivity analyses were conducted to determine whether differences in aPTT, INR, platelets, non-intervention-related position changes (frequency), APACHE III scores and number of CRRT alarms during intervention influenced the primary analyses. In these sensitivity analyses, covariates were added to the regression models to examine their influence on the statistical significance of the MOVE intervention. Missing data were excluded listwise from analyses. Statistical analysis was performed using IBM SPSS Statistics™ 20 version 20.0.0 (SPSS, Chicago, IL, USA) and STATA/SE version 12 (StataCorp LP, Austin, TX, USA). $P < 0.05$ was accepted as the level of statistical significance.

Results

In the analyses, 34 patients were included and 1 was excluded (Figure 1). Recruitment was ceased without six high-level intervention participants, as those meeting the relevant inclusion criteria were present in much lower than anticipated numbers. No patients died in the ICU. Twenty-three (17.2%) of one hundred thirty-four femoral filters and fourteen (23.0%) of sixty-one nonfemoral filters were excluded from filter life analyses (elective cessation). The sample was broadly representative of a general ICU cohort (Table 1). None of the filters were planned for disconnection on the intervention day. The vascular catheter was sutured upon site check prior to the intervention in all patients. Eleven, sixteen and six patients received a single passive, low-level or high-level intervention, respectively (Figure 1), with mean (SD) treatment duration of 19 (± 3) minutes. The median (IQR) days of follow-up was 4 (2 to 6).

Safety

No adverse events occurred during or following the interventions. One participant had a pulmonary artery catheter placed (in the low-level group), and no arrhythmias were associated with the interventions.



Filter life

Mean filter life at the time of the intervention was 19.5 hours (SD ± 13.8), with no difference observed between femoral and nonfemoral filters (21.6 (15.1) hours vs 15.3 (9.9) hours, $P = 0.45$). Filters lasted for a mean of 17.4 (SD 12.7) hours after intervention. Intervention filters lasted longer than nonintervention filters (regression coefficient = 13.8, robust 95% confidence interval (CI) = 5.0 to 22.6, $P = 0.003$). A difference was also found in the femoral filter subgroup (regression coefficient = 15.7, robust 95% CI = 4.6 to 26.7, $P = 0.008$), but not in the nonfemoral access filter subgroup (regression coefficient = 9.2, robust 95% CI = -6.0 to 24.4, $P = 0.20$) (Figure 2).

An increasing effect of the MOVE intervention on filter life was evident in the higher the number of previous filters at the time of intervention (filter number \times MOVE interaction effect: regression coefficient = 3.5, robust 95% CI = 0.3 to 6.6, $P = 0.03$). The effect of MOVE was approximately a 3-hour increase in filter life per filter already placed in the patient.

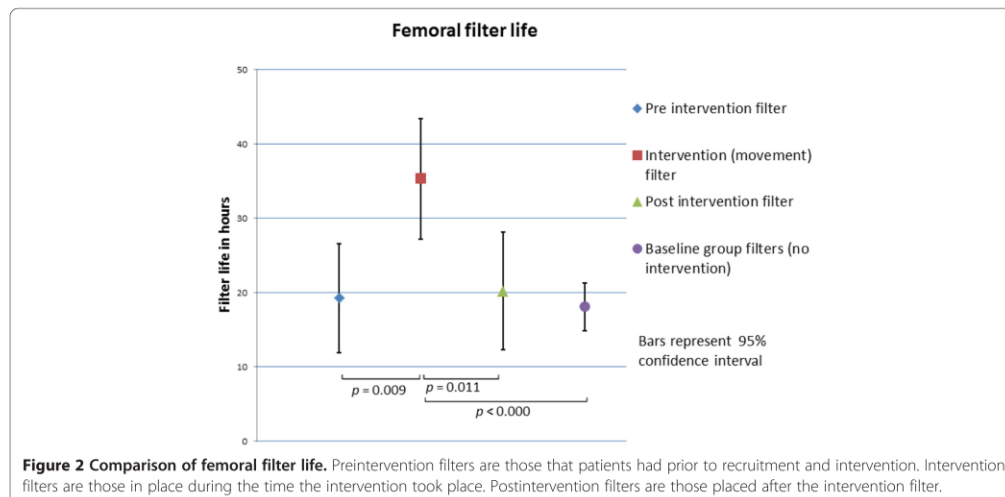
A higher number of daily position changes was associated with higher filter life in the overall cohort (regression

coefficient = 2.0, robust 95% CI = 0.6 to 3.5, $P = 0.007$) and the femoral filter subgroup (regression coefficient = 2.0, robust 95% CI = 0.5 to 3.6, $P = 0.01$), but not in the nonfemoral filter subgroup (regression coefficient = 1.9, robust 95% CI = -1.7 to 5.4, $P = 0.27$) (Table 2). Alarm frequency during interventions was associated with a shorter filter life in the overall cohort (regression coefficient = -3.1, robust 95% CI = -5.0 to -1.2, $P = 0.003$) and the femoral filter subgroup (regression coefficient = -7.4, robust 95% CI = -13.0 to -1.8, $P = 0.01$), but, again, not in the nonfemoral filter subgroup (regression coefficient = -2.3, robust 95% CI = -4.6 to 0.0, $P = 0.05$). The passive intervention had a significant role in increasing filter life in the femoral filter subgroup, but only INR and aPTT were associated with filter life in the nonfemoral filter subgroup (Table 2). There were no significant differences in aPTT, INR, platelet count or rate of non-intervention-related position changes between the femoral filter intervention and nonintervention groups (Additional file 2). Addition of these covariates to the sensitivity analyses did not influence the effect of the MOVE intervention.

Table 1 Demographic and clinical details of the sample at the time of the intervention^a

| Variable | Baseline group (n = 19) | Intervention group (n = 33) | Femoral (n = 23) | Nonfemoral (n = 10) |
|--|-------------------------|-----------------------------|------------------|---------------------|
| Age, yr | 63.6 (13.6) | 63.7 (14.8) | 63.7 (14.1) | 63.6 (17.2) |
| Sex, % male | 79% | 61% | 65% | 50% |
| BMI | 28.0 (5.1) | 29.3 (10.6) | 31.7 (11.2) | 23.9 (7.0) |
| Diagnosis, % | | | | |
| Cardiogenic shock/cardiac | 26% | 33% | 30% | 40% |
| Septic shock/sepsis/MOF | 47% | 43% | 44% | 40% |
| Renal/metabolic/electrolyte | 21% | 15% | 17% | 10% |
| Haemorrhagic shock/haematoma | 0% | 9% | 9% | 10% |
| Vascular surgery | 5% | 0% | 0% | 0% |
| APACHE II score | – | 26.1 (7.2) | 25.7 (6.8) | 26.9 (8.2) |
| APACHE III score | 98.3 (26.9) | 93.8 (24.9) | 91.1 (23.1) | 100.0 (29.1) |
| ICU length of stay, days | 10.2 (6.9) | 15.0 (10.0) | 15.4 (9.3) | 14.1 (11.8) |
| MV, % | 74% | 76% | 74% | 80% |
| MV hours, median (IQR) | 78 (0 to 153) | 76 (0 to 267) | 89 (0 to 295) | 63 (30 to 190) |
| Site ^b , % | | | | |
| Femoral | 63% | 70% | 100% | 0% |
| Internal jugular | 37% | 21% | 0% | 70% |
| Subclavian | 0% | 9% | 0% | 30% |
| Catheter type ^c , n (%) | | | | |
| Dolphin Protect | 13 (68%) | 19 (58%) | 13 (57%) | 6 (55%) |
| Niagara™ | 5 (26%) | 9 (27%) | 7 (30%) | 2 (18%) |
| Arrow-Howes™ | 1 (5%) | 5 (15%) | 3 (13%) | 1 (9%) |
| Intervention filter type, % | | | | |
| Prismaflex ST100 | N/A | 94% | 91% | 100% |
| Intervention filter anticoagulation, % | | | | |
| Heparin | N/A | 27% | 26% | 30% |
| Citrate | N/A | 6% | 4% | 10% |
| Saline | N/A | 0% | 0% | 0% |
| Regional circuit | N/A | 52 | 48% | 60% |
| Other | N/A | 3% | 4% | 0% |
| Nil | N/A | 12% | 17% | 0% |
| FBE on intervention filter, median (IQR) | | | | |
| Hb, g/dl | N/A | 89 (85 to 94) | 88 (81 to 91) | 93 (90 to 103) |
| Platelets, 10 ³ U/L | N/A | 125 (60 to 200) | 121 (59 to 174) | 179 (60 to 252) |
| INR | N/A | 1.1 (1.1 to 1.4) | 1.2 (1.1 to 1.4) | 1.1 (1.1 to 1.3) |
| aPTT | N/A | 39 (34 to 52) | 40 (34 to 55) | 34 (33 to 44) |
| RASS, median (IQR) | N/A | –1 (–4 to 0) | –2 (–4 to 0) | –1 (–1 to 0) |
| CAM-ICU positive, % | N/A | 42% | 39% | 50% |
| Hospital LOS in days, median (IQR) | 23 (9 to 31) | 31 (21 to 57) | 29 (21 to 57) | 37 (15 to 57) |
| Hospital mortality, % | 42% | 15% | 17% | 10% |

^aaPTT, Activated thromboplastin time; BMI: Body mass index; CAM-ICU: Confusion Assessment Method for the ICU; FBE: Full blood examination; Hb: Haemoglobin; ICU: Intensive care unit; INR: International normalized ratio; IQR: Interquartile range; LOS: Length of stay; MOF: Multiorgan failure; MV: Mechanical ventilation; N/A: Not applicable; RASS: Richmond Agitation–Sedation Scale. ^bFemoral (14 in right, 9 in left), intrajugular (6 in right, 1 in left) and subclavian (3 in left, 1 in right). ^cDolphin Protect (13-French gauge, 25 cm; Gambro, Hechingen, Germany), Niagara™ (13.5-French gauge; Bard Access Systems, Salt Lake City, UT, USA), Arrow-Howes™ triple-lumen (13-French, 20 cm; Teleflex, Research Triangle Park, NC, USA). Data are mean (SD) unless otherwise specified. Percentages may not add up to 100% due to rounding.



Feasibility

No filter alarms sounded during interventions on 20 occasions (61% of the time). The machine alarmed a median of 0.0 (interquartile range = 0 to 2, range = 0 to 10) times during interventions. No differences in access, return or transmembrane (TM) pressures were observed in any group between the final and first phases (Additional file 3). There was a drop in access pressure during the sitting on edge of bed phase accompanied by a rise in TM pressure in the low-level group. There was an increase in access, return and TM pressures during the standing and marching phases in the high-level group, which returned to preintervention pressures during the final period (Additional file 3).

Discussion

Mobilization of patients with femoral vascular catheters receiving CRRT in the ICU was safe and feasible. The intervention did not result in vascular catheter dislodgement, haematoma or bleeding, and there were no detectable clinical sequelae, including suspected thrombosis or filter circuit disruption. Average pressures did not approach circuit failure definitions (TM pressure >250 mmHg and access pressure >200 mmHg [26]) in any intervention group. These findings have significant implications for clinical practice situations where patients on CRRT are unnecessarily restrained from movement because of the perceived importance of these restrictions to maintaining filter patency and filter life and reducing mortality [27]. Interruptions in CRRT impact the dose of therapy delivered as well as clinical outcomes [27]. Testing mobilization during CRRT is critical, given that the opportunity to mobilize off

CRRT can be minimal (minimum 16 hours required to maintain urea and creatinine, with a median time of 3 hours daily off filtration [22]), whereas time off CRRT can occur when it is impractical to mobilize (for example, overnight). Historically, contraindications to mobilization arose during an era of rigid medical plastics, which were associated with greater potential for vascular damage with movement. Although advances in materials [28] have resulted in more malleable catheters, manufacturers do not provide mobility specifications. These findings underscore the importance of empirically testing practices that have been accepted for many years. The presence of femoral vascular catheters for CRRT is a significant barrier to the delivery of early mobilization in the ICU [3,17], as demonstrated in this study, where nurses' concerns about circuit disconnection rose significantly when they were informed that mobilization was to occur. Few researchers have reported mobilization data regarding patients with femoral catheters [5,18,29,30]. Although no catheter-related adverse events occurred during mobilization with femoral catheters in two of these previous studies [18,30], only six patients in one cohort received an intervention with CRRT femoral catheters *in situ* [18] and the mobility intervention delivered to patients with femoral dialysis catheters was not specified in the other [30]. In neither study did the investigators report filter life or whether the intervention occurred during CRRT. Our present study is the first in which the safety and feasibility of mobilizing patients undergoing CRRT were prospectively evaluated.

It was important to test the safety of hip flexion, as key early mobilization trials have included passive range of motion [8] and cycle ergometry [9] as rehabilitation

Table 2 Subgroup and sensitivity analyses of filter life and possible confounders^a

| Group | Factors | Regression coefficient (robust 95% CI) | P value |
|--|--------------------|--|--------------------|
| Baseline (n = 19) | INR | 0.8 (−1.4 to 3.0) | 0.44 |
| | aPTT | 0.1 (−0.3 to 0.5) | 0.63 |
| | Platelets | 0.0 (0.0 to 0.1) | 0.09 |
| | Positional changes | 1.2 (−0.4 to 2.9) | 0.13 |
| | APACHE III | −0.1 (−0.3 to 0.0) | 0.09 |
| Overall cohort (MOVE intervention) (n = 33) | MOVE intervention | 13.8 (5.0 to 22.6) | 0.003 ^b |
| Overall subgroup and sensitivity analyses | Passive movements | 20.0 (5.4 to 34.6) | 0.01 ^b |
| | SOEOB | 5.8 (−10.7 to 22.3) | 0.46 |
| | MOS | 18.3 (−1.3 to 37.9) | 0.06 |
| | INR | 9.3 (−2.0 to 20.5) | 0.10 |
| | aPTT | 0.0 (−0.2 to 0.2) | 0.86 |
| | Platelets | 0.0 (−0.1 to 0.0) | 0.10 |
| | Positional changes | 2.0 (0.6 to 3.5) | 0.007 ^b |
| | APACHE III | 0.1 (0.0 to 0.3) | 0.02 ^b |
| | Alarms | −3.1 (−5.0 to −1.2) | 0.003 ^b |
| Femoral subgroup (n = 23) | MOVE intervention | 15.7 (4.6 to 26.9) | 0.008 ^b |
| Femoral subgroup and sensitivity analyses | Passive movements | 20.0 (5.4 to 34.6) | 0.01 ^b |
| | SOEOB | 8.5 (−20.6 to 37.7) | 0.51 |
| | MOS | 20.5 (−19.9 to 60.9) | 0.16 |
| | INR | 7.6 (−4.9 to 20.0) | 0.22 |
| | aPTT | −0.1 (−0.2 to 0.1) | 0.38 |
| | Platelets | 0.0 (−0.1 to 0.0) | 0.29 |
| | Positional changes | 2.0 (0.5 to 3.6) | 0.01 ^b |
| | APACHE III | 0.6 (−0.1 to 0.2) | 0.42 |
| | Alarms | −7.4 (−13.0 to −1.8) | 0.01 ^b |
| Nonfemoral subgroup (n = 10) | MOVE intervention | 9.2 (−6.0 to 24.4) | 0.20 |
| Nonfemoral subgroup and sensitivity analyses | Passive movements | N/A | — |
| | SOEOB | 1.0 (−12.8 to 14.8) | 0.86 |
| | MOS | 20.6 (−23.3 to 64.4) | 0.23 |
| | INR | 26.4 (7.5 to 45.3) | 0.01 ^b |
| | aPTT | 0.5 (0.1 to 0.9) | 0.03 ^b |
| | Platelets | −0.1 (−0.1 to 0.0) | 0.08 |
| | Positional changes | 1.9 (−1.7 to 5.4) | 0.27 |
| | APACHE III | 0.2 (0.0 to 0.5) | 0.05 |
| | Alarms | −2.3 (−4.6 to 0.0) | 0.05 |

^aAPACHE III: Acute Physiology and Chronic Health Evaluation III; aPTT: Activated partial thromboplastin time; CI: Confidence interval; INR: International normalized ratio; MOS: Marching on the spot; MOVE: Movement on vascular catheter evaluation intervention; N/A: Not applicable; SOEOB: Sitting on edge of bed. Electively ceased filters were excluded from analysis. Twenty-three (17.2%) of one hundred thirty-four femoral filters and fourteen (23.0%) of sixty-one nonfemoral filters were excluded from the filter life analyses, as they were electively ceased. Units of measurement for filter life are hours. Position changes are measured as number per day. Alarms are the number of alarms during the intervention session. ^bStatistically significant difference.

components. Patients undergoing CRRT should no longer be precluded from early mobilization on the basis that a vascular catheter or CRRT is *in situ*. It should be noted that the ability of patients undergoing CRRT to stand and march appears to be limited. In this study, we were able to recruit only three participants *with femoral catheters* who

were able to perform these activities in 12 months in two ICUs. Talley and colleagues found that only 1.8% of their cohort were able to stand and/or ambulate with assistance [5], although the functional benefit of walking in the ICU compared to standing, marching on the spot or sitting on the edge of the bed is unclear. This is likely due to high

hospital mortality, which is reported to range from 55% to 51% [5,31], with 28-day mortality of 41% [32], though few studies have investigated functional and quality-of-life outcomes in this population [33].

Another key finding, which requires further empirical testing given the small sample size in this study, is that mobilization extended filter life, which has clinical, cost and potential survival implications. Increasing filter life could reduce nursing workload, costs, blood loss and infection risk [5]. Reducing flow stasis has been suggested to improve filter life [20], and, as exercise increases blood volume flow in healthy individuals [34,35], the mechanism of mobilization is plausible. Although few researchers have investigated blood flow and immobilization in critical illness, it has been hypothesized that inactivity-related vascular injury, venous pooling and microvascular dysfunction increase thromboembolism risk [36,37]. There are published data demonstrating that exercise increases blood volume flow in healthy individuals [34,35]. It is therefore plausible that increasing blood flow could reduce thrombosis in critically ill patients who are undergoing continuous renal replacement therapy. The results of the sensitivity analyses in our present study support this hypothesis, as passive hip flexion and positional changes improved filter life in the femoral subgroup, but not in the nonfemoral subgroup. Because mean peak blood flow is usually higher in the subclavian and internal jugular veins than in the femoral veins [38,39], we hypothesize that catheters sited in the femoral veins may be more susceptible to the effects of stasis with immobility. This hypothesis is supported by the results of our sensitivity analyses, which demonstrate that the MOVE intervention and position changes were not significantly associated with filter life (but that INR and aPTT were) in the nonfemoral subgroup. There are also potential trends in the data that may be more thoroughly explored with larger sample sizes; for example, large regression coefficients that were not statistically significant were seen for marching on the spot and INR in the overall cohort sensitivity analyses. It is possible that different results would be found in patients undergoing continuous venovenous haemofiltration rather than CVVHDF, although in Australia the majority of CRRT delivery is via CVVHDF [31]. Generalizability of the results to other ICUs may be influenced by variation in CRRT practices, although filtration practices in the two centres in this study were largely reflective of Australasian ICUs.

Limitations

This study is limited by its single-health-service design, although it was conducted at two sites. The sample size was small (albeit one of the largest to date in this field reported in the literature). The results may have been

influenced by sampling error; however, it should be noted that the characteristics of the study sample were largely consistent with characteristics of the routine baseline sample. Large multicentre studies are warranted to confirm our findings and further strengthen our conclusions, in particular those pertaining to filter life. Delivery of CRRT was not standardized, and the filter failure criteria were not specified *a priori*. The reason for filter cessation was not always recorded and could have been biased by nursing staff, although the average filter life of the nonintervention filters during the study period was the same as that of the baseline filters and less than half the nursing staff knew that their patients had mobilized during CRRT. Despite this, the main previously reported determinants of filter life were comparable between femoral and nonfemoral filters. A single intervention session was delivered to each patient, and it was not possible to examine possible dose-response relationships between duration of mobilization and filter life, because the intervention duration was standardized. In future studies, researchers could investigate a possible dose-response relationship between mobilization and filter life.

Conclusions

Mobilization during CRRT via a vascular catheter in patients who are critically ill is safe and may increase filter life. Given the established benefit of early mobilization in the critical care population, early mobilization should be considered as part of the management of patients undergoing CRRT. Stasis secondary to immobility may contribute to the life of the haemodiafiltration circuit. Large multicentre studies are warranted to confirm the findings of our study and further strengthen our conclusions, particularly those pertaining to filter life.

Key messages

- Early mobilization improves health outcomes following admission to the ICU, including ventilation duration, length of stay and delirium. However, patients undergoing CRRT are frequently precluded from participation in early mobilization because of concerns about catheter safety and filter circuit patency. There are no previous studies in which researchers have reported data on filter circuit patency and filter life associated with early mobilization.
- This study is the first in which filter life data were recorded for patients undergoing CRRT via a vascular catheter in the ICU. Mobilization was found to be safe and associated with no adverse events, and we found an increase in filter life in the group with femoral catheters.

- Our presently reported work will have a significant impact on clinical medicine, as it provides empirical data suggesting that restrictions on mobilization imposed on patients undergoing CRRT are detrimental to filter life, which has a direct impact on the success of the therapy.
- Our present research suggests that stasis of blood influences filter life, which may be a significant contributor to ICU morbidity and mortality in this population. This concept remains unexplored in the ICU literature.
- Our findings have significant implications for the clinical management and morbidity of patients undergoing CRRT in critical care.

Additional files

Additional file 1: Nursing workload and nursing concern about filter disconnection. Includes methods and results of the effect of the intervention on nursing workload and nursing perception of likelihood of filter circuit discontinuation.

Additional file 2: Characteristics of filters by intervention group and access site. Includes clinical data of baseline, intervention and nonintervention filters (femoral and nonfemoral).

Additional file 3: Mean CVVHDF filter parameters during intervention in patients with femoral catheters. Includes variations in access and transmembrane pressure during the three levels of intervention: passive (hip flexion), low-level (hip flexion and sitting on edge of bed) and high-level (sitting on edge of bed, standing and marching on spot).

Abbreviations

aPTT: Activated partial thromboplastin time; CI: Confidence interval; CRRT: Continuous renal replacement therapy; CVVHDF: Continuous venovenous haemodiafiltration; ICU: Intensive care unit; IJ: Internal jugular; INR: International normalized ratio; IQR: Interquartile range; MOS: Marching on the spot; MOVE: Movement on vascular catheter evaluation; SC: Subclavian; SOEB: Sitting on the edge of the bed; TM: Transmembrane.

Competing interests

All authors have completed the Unified Competing Interest form at <http://www.icmje.org/conflicts-of-interest/> (available on request from the corresponding author) and declare that they have no competing interests.

Authors' contributions

YTW (guarantor) was involved in the conception and design of the study; led patient recruitment, data collection, data analysis and interpretation of the study; completed the first draft of the manuscript; and reviewed the manuscript for intellectually important content. TPH was involved in the data analyses and interpretation of the study and reviewed the manuscript for intellectually important content. PR was involved in the design of the study and data collection, data analysis and interpretation of the study, and provided intellectual input into the writing of the manuscript. CW, TAA, PSL, SV and RA were involved in the design of the study and data collection, data analysis and interpretation of the study, and reviewed the manuscript for intellectually important content. DTR was involved in the conception of the study and data analysis and interpretation of the study, and reviewed the manuscript for intellectually important content. NF was involved in the data analyses and interpretation of the study and reviewed the manuscript for intellectually important content. EHS led the conception and design of the study; was involved in patient recruitment, data collection, data analysis and interpretation of the study; and reviewed the manuscript for intellectually important content. EHS had full access to all of the data (including statistical reports and tables) in the study, takes responsibility for

the integrity of the data and the accuracy of the data analysis and had final responsibility for the decision to submit the manuscript for publication. All authors read and approved the final manuscript.

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Additional File 1: Nursing workload and nursing concern about filter disconnection.

Methods

The nursing workload was measured using the NASA Task Load Index (NASA-TLX) and the Nine Equivalents of Nursing Manpower use score (NEMS) during the intervention shift and on the subsequent shift. Originally developed to measure workload in aviation (Hart & Staveland, 1988), the NASA-TLX is reliable, valid and easy to administer measurement of subjective workload perception in ICU nurses (Hoonakker et al., 2011). The NEMS measures the objective nursing workload and is easy to administer, reliable and valid (Reis Miranda et al., 1997; Rothen et al., 1999).

Nursing concern about filter disconnection was measured using a Likert scale of 1 = extremely concerned, 2 = very concerned, 3 = moderately concerned, 4 = mildly concerned and 5 = not at all concerned. Nurses rated their concern about filter disconnection prior to being informed of the mobilisation plan and again once informed of the specific details of the intervention by the treating therapist.

Analysis

Nursing workload and concern were analyzed using paired t-tests and Fisher's Exact Test. For analyses, the Likert categories of nursing concern were collapsed to

concerned (rating = 1, 2, 3 or 4) vs. Unconcerned (rating = 5). Statistical analysis was performed using IBM SPSS Statistics™ 20 Version 20.0.0 (SPSS Inc., Chicago, IL, USA) and $p < 0.05$ was accepted as statistical significance.

Results

No differences in nursing workload were seen between the intervention and following shifts, as measured by either the NASA-TLX (mean (SD) 13.1 (3.1) vs. 12.2 (4.9), mean difference (95% CI) -0.93 (-3.6, 1.7), $p = 0.46$) or the NEMS (mean (SD) 33.4 (10.7) vs. 33.9 (10.6), mean difference (95% CI) 0.45 (-6.9, 6.0). A NASA-TLX score of 32.8 is equivalent to administering injections (Burford, 2012) and the mean scores for both shifts were considerably lower, although the mean NEMS was higher than usual (mean 26.2, SD 9.4) (Reis Miranda et al., 1997). Nursing concern about the likelihood of the filter circuit clotting was heightened by informing nurses that the intervention was going to occur (61% vs. 76%, $p < 0.001$). The day following the intervention, only 48% of nurses knew their patient had been mobilized on the filter the preceding day.

References

References have been integrated into the thesis bibliography.

Additional File 2: Characteristics of filters by intervention group and access site

Characteristics of filters by intervention group and access site (median (IQR) unless otherwise indicated).

| | Baseline filters n=69 | Baseline femoral filters n=46 | Baseline non- femoral filters n=23 | All intervention filters n=33 | Femoral intervention filters n=23 | Non-femoral intervention filters n=10 | All non- intervention filters n=93 | Femoral non- intervention filters n=65 | Non-femoral non- intervention filters n=28 |
|-------------------------------------|-----------------------------|-------------------------------------|--|--|--|--|---|--|--|
| Filter life ^a (hours) | 22.3 (15.2) | 18.0 (11.1) | 32.6 (18.8) | 35.2 (17.2) | 35.3 (17.6) | 34.9 (17.4) | 21.4 (18.3) | 19.5 (16.3) | 25.8 (22.1) |
| Hb (g/dL) | 94 (87-103) | 95 (88-104) | 92 (84-99) | 89 (85-94) | 88 (81-91) | 93 (90-103) | 91 (85-96) | 89 (85-95) | 94 (82-99) |
| INR | 1.2 (1.0-1.6) | 1.2 (1.0-1.7) | 1.2 (1.1-1.6) | 1.1 (1.1-1.4) | 1.2 (1.1-1.4) | 1.1 (1.1-1.3) | 1.2 (1.1-1.4) | 1.2 (1.1-1.4) | 1.1 (1.0-1.2) |
| Platelets, x10 ³ /UL | 145 (88-216) | 133 (85-212) | 149 (116- 226) | 125 (60-200) | 121 (59-174) | 179 (60-252) | 111 (60-171) | 108 (59-149) | 137 (73-288) |
| APTT (seconds) | 36 (31-50) | 34 (30-48) | 38 (34-54) | 39 (34-52) | 40 (34-55) | 34 (33 – 44) | 37 (30 – 53) | 38 (30 – 52) | 37 (30 – 53) |
| Position changes/Day | 6 (3-7) | 6 (3-7) | 6 (4-7) | 6 (5-7) | 5 (4-7) | 8 (6-9)* | 5 (4-7) | 5 (3-7) | 6 (4-7)* |

| | | | | | | | | | |
|-------------------------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Anticoagulation method ^b | | | | | | | | | |
| Heparin | 13% | 15% | 9% | 27% | 26% | 30% | 24% | 24% | 25% |
| Citrate | 14% | 17% | 9% | 6% | 4% | 10% | 3% | 5% | 0% |
| Regional heparinisation | 32% | 37% | 22% | 52% | 48% | 60% | 38% | 35% | 46% |
| Other | 3% | 0% | 9% | 3% | 4% | 0% | 10% | 5% | 21% |
| Nil | 25% | 24% | 26% | 12% | 17% | 0% | 26% | 35% | 7% |

^aMean (SD), ^bpercentage. Percentages may not add up to 100% due to rounding. Hb, haemoglobin. Heparin, unfractionated heparin. INR,

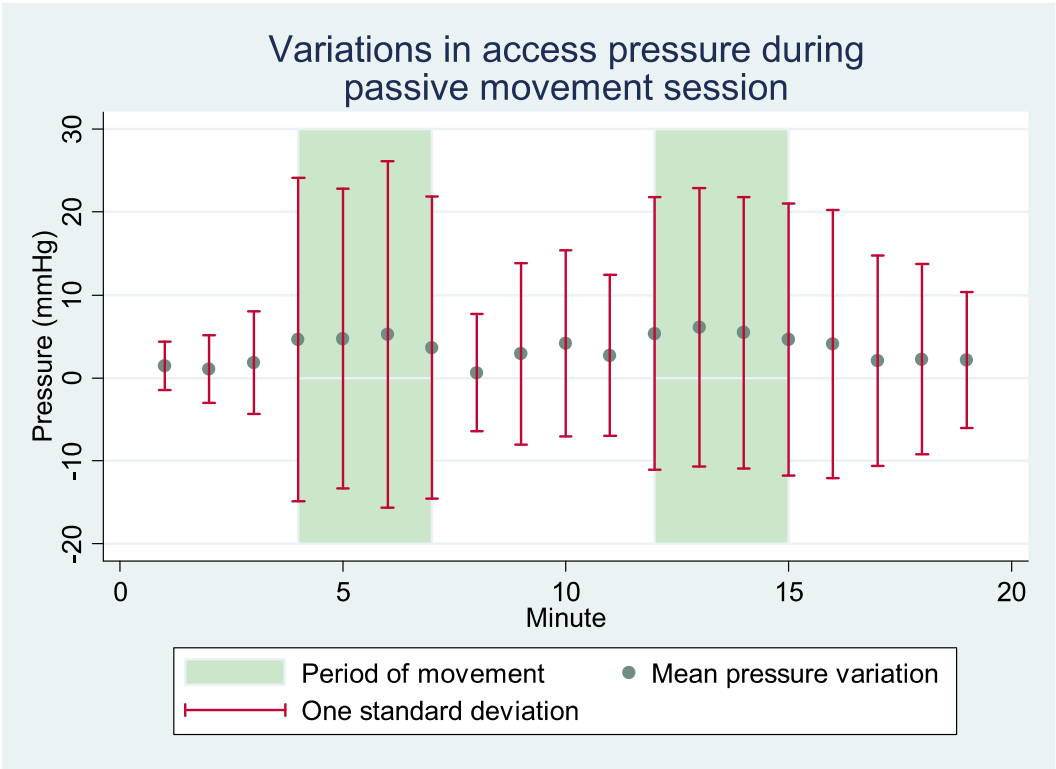
International Normalized Ratio. APTT, Activated Partial Thromboplastin Time. Regional Heparinization, unfractionated heparin infusion combined

with protamine infusion. *Significant difference between groups, regression co-efficient [95% CI] 2 [1, 3], p=0.002.

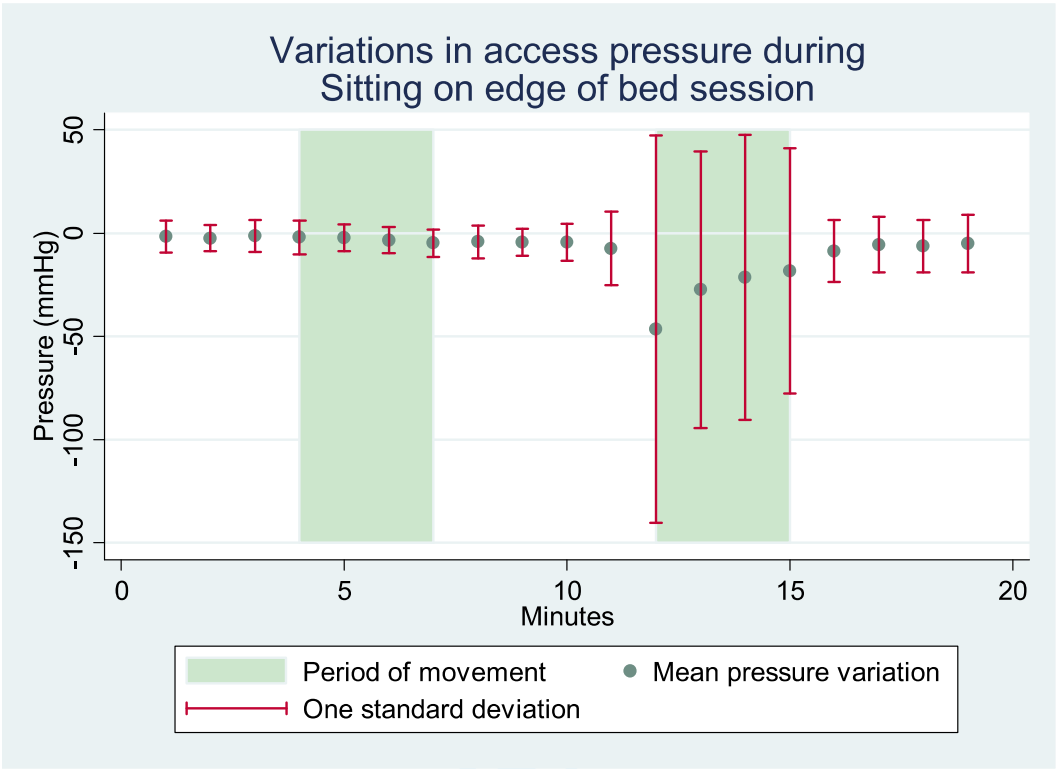
Additional File 3: Mean CVVHDF filter parameters during intervention in patients with femoral catheters.

Data presented as variations to the pre-intervention filter pressure measured at the beginning of the intervention session. Pressure parameters are compared within each filter to the pre-intervention parameters at time 0. The shaded region represent period of movement or mobilisation, non-shaded region represent periods of rest or recovery. Error bars represent one standard deviation on either side of the mean. Each bar (95% confidence interval) represents the average pressures of all participants calculated each minute.

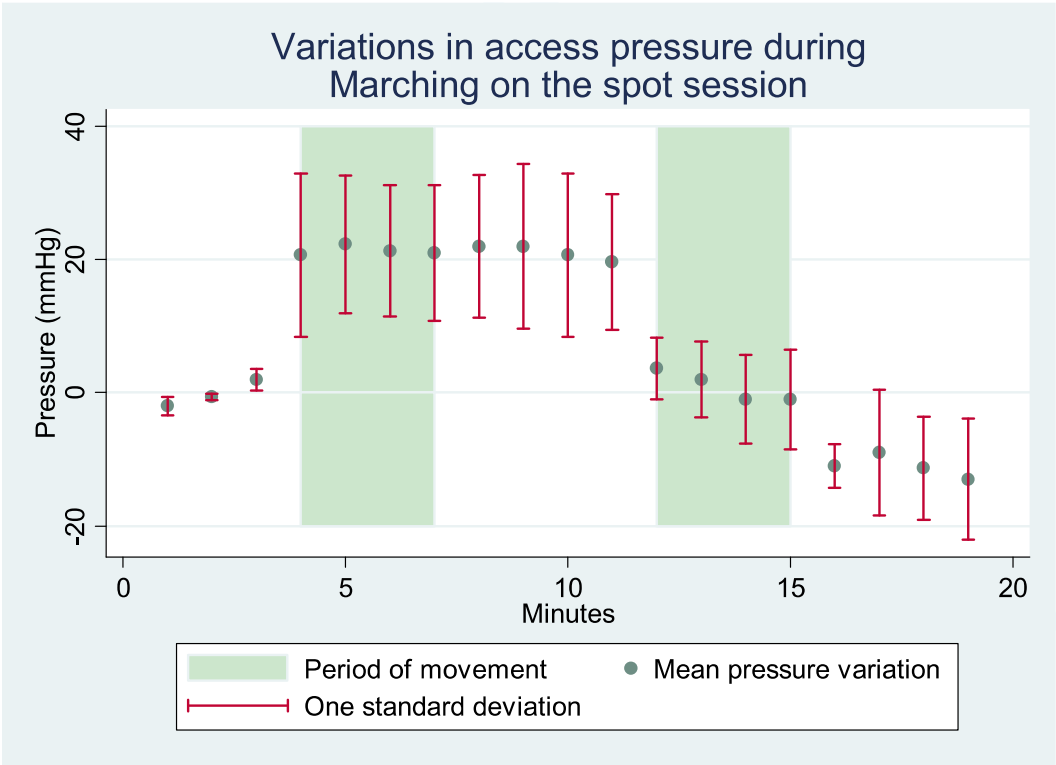
Access pressure during passive intervention



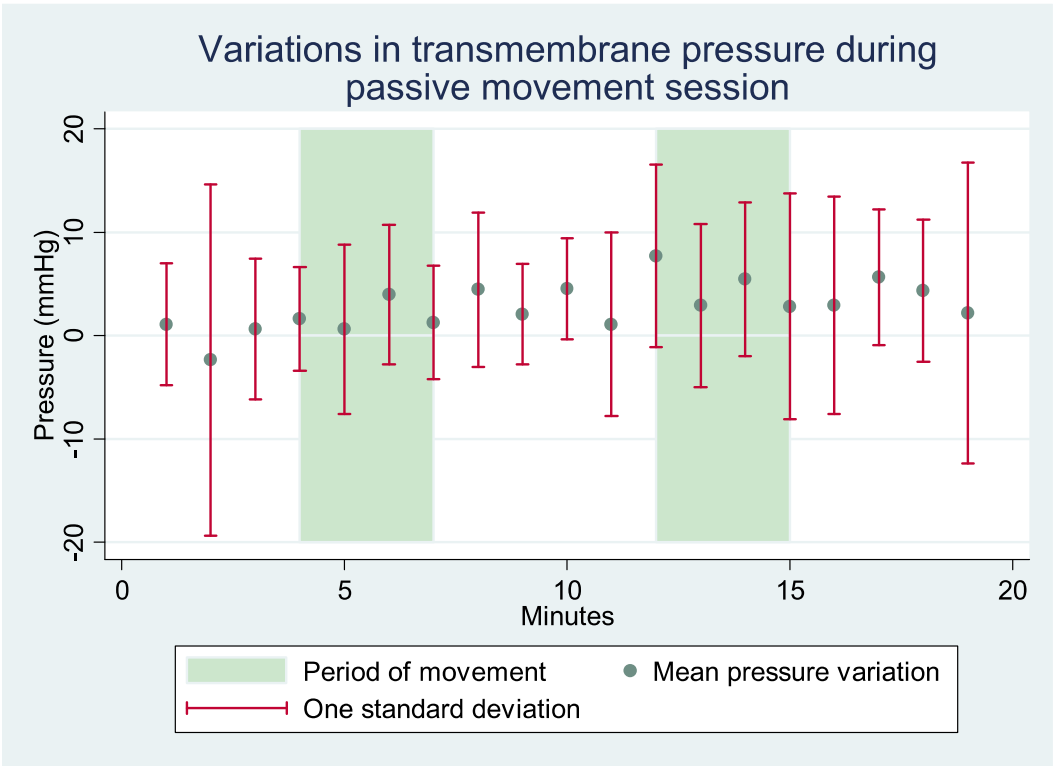
Access pressure during low-level intervention



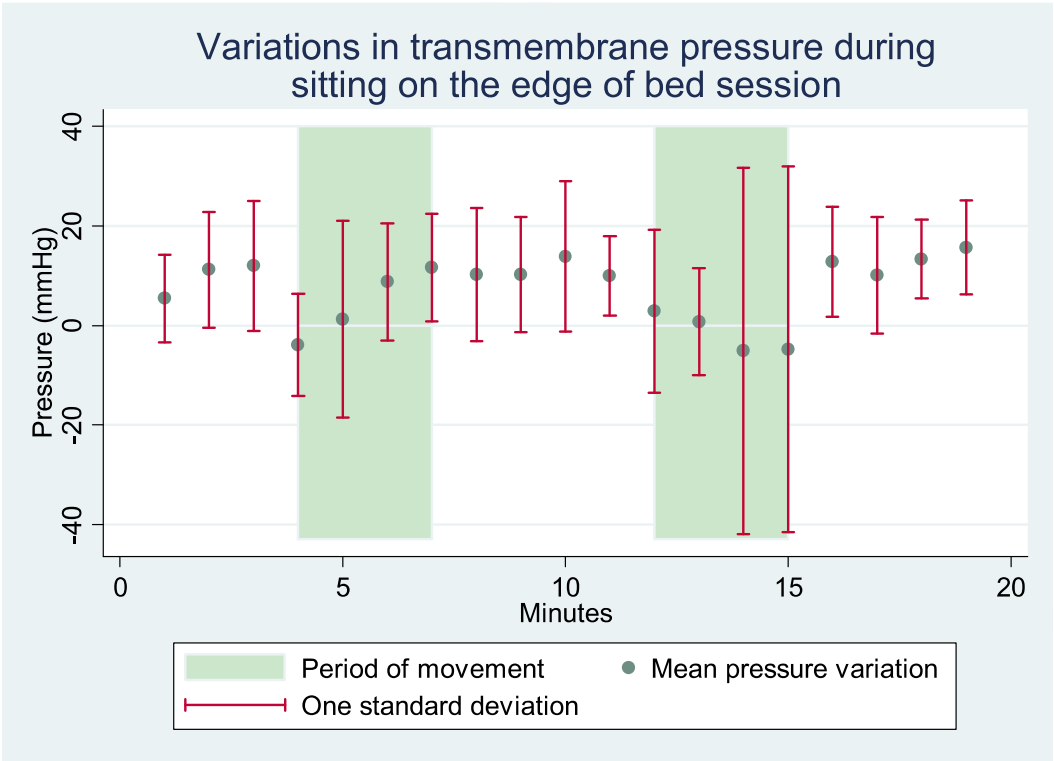
Access pressure during high-level intervention



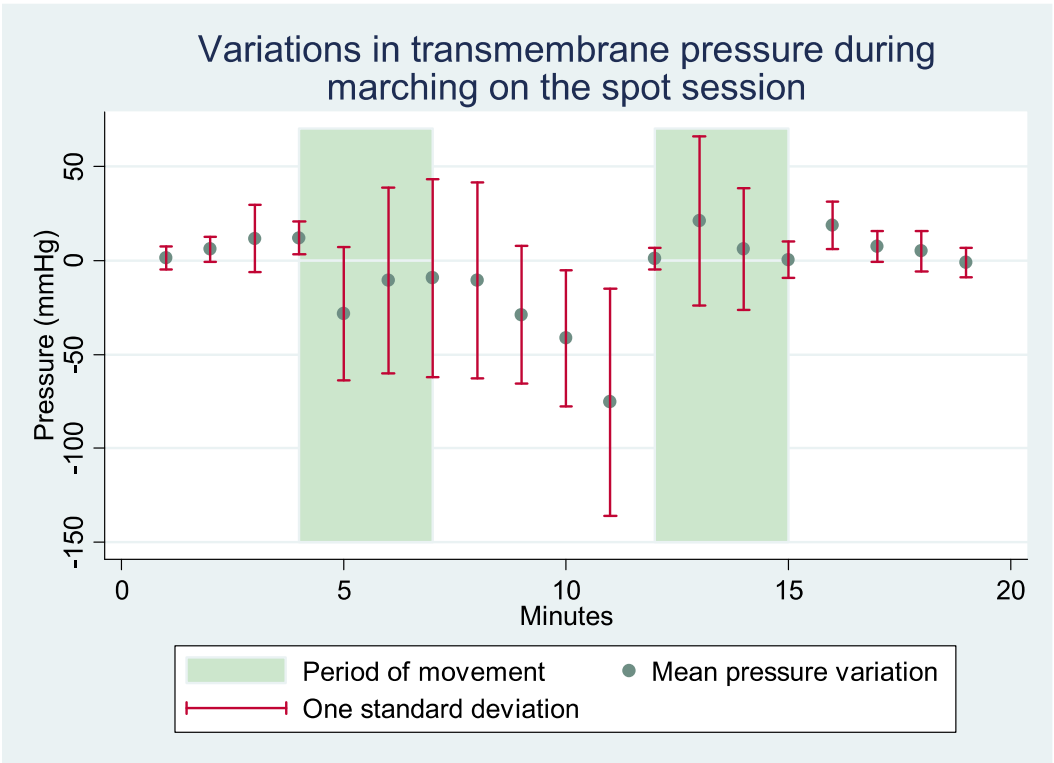
Transmembrane pressure during passive intervention



Transmembrane pressure during low-level intervention



Transmembrane pressure during high-level intervention



4.5. Chapter summary

This study was the first to prospectively evaluated the safety and feasibility of mobilising patients undergoing CRRT. This study demonstrated an extremely low incidence of adverse events in critically ill patients requiring CRRT participating in functional and bed-based physical rehabilitation.

Findings of this study also suggest that stasis of blood influences filter life, which may be a significant contributor to ICU morbidity and mortality in this population. This finding needs to be evaluated in a larger multicentred study, as discussed in our response to a letter to the editor (appendix 4.1).

Since the publication of this research, international expert consensus and recommendations no longer consider CRRT or femoral dialysis catheters as barriers to early rehabilitation.

Findings of this study further support that physical rehabilitation in critically ill patients is safe and feasible. However, the effectiveness of physical rehabilitation in the ICU remains uncertain. The next chapter will investigate the efficacy of physical rehabilitation that begins in the ICU.

Chapter 5. Physical rehabilitation in the intensive care unit, a systematic review and meta-analysis

5.1. Introduction

The systematic review presented in this chapter examines the relationship between physical rehabilitation and patient outcomes. Previous systematic reviews and meta-analyses have been more restricted in scope to reduce heterogeneity in the confidence intervals of the reported results. For example, reviews have focused on specific types of interventions, such as active mobilisation (Tipping et al., 2017), early initiation of rehabilitation (Fuke et al., 2018; Worraphan et al., 2020), inspiratory muscle training (Vorona et al., 2018), and electrical neuromuscular stimulation (Zayed et al., 2020).

However, in some of these reviews, heterogeneity in the confidence intervals of the reported results remained high, while the results and conclusions across these meta-analyses have been inconsistent.

Significant variability exists in the routine physical rehabilitation practices in intensive care units across the world. The use of task-specific exercises and the frequency of rehabilitation services are important factors that may impact outcomes. However, reviews to date have not thoroughly examined these variables as possible confounders and sources of heterogeneity.

This chapter presents a systematic review and meta-analysis of 60 trials that investigated the effect of physical rehabilitation on patient outcomes in the ICU, focusing on the impact of task-specific training and the dose-response profile of the intervention. The unique study design and statistical method have been reviewed and supported by an independent statistician (appendix 5.1).

5.2. Physical rehabilitation in the intensive care unit, a systematic review and meta-analysis

Manuscript in press: Wang, Y.T., Lang, J.K., Haines, K.J., Skinner, E.H., Haines, T.P. (2021). Physical rehabilitation in the intensive care unit, a systematic review and meta-analysis. *Critical Care Medicine*, *in press*

Abstract

Objective: Significant variability exists in physical rehabilitation modalities and dosage used in the intensive care unit (ICU). Our objective was to investigate the effect of physical rehabilitation on patient outcomes, the impact of task-specific training, and the dose-response profile in the ICU.

Data Sources: A systematic search of Ovid MEDLINE, Cochrane Library, EMBASE, and CINAHL plus databases were undertaken on the 28th of May 2020.

Study Selection: Randomised controlled trials and controlled clinical trials investigating physical rehabilitation commencing in the ICU in adults were included. Outcomes included muscle strength, physical function, duration of mechanical ventilation, ICU and hospital length of stay, mortality, and health-related quality of life. Two independent reviewers assessed titles, abstracts, and full texts against eligibility criteria.

Data Extraction: Details on intervention for all groups were extracted using the template for intervention description and replication checklist.

Data Synthesis: Sixty trials were included, with a total of 5352 participants. Random-effects pooled analysis showed that physical rehabilitation improved physical function at hospital discharge (standardised mean difference, 95% confidence interval (0.22, 0.00 to 0.44), reduced ICU of stay by 0.8 days (mean difference, 95% confidence interval) (-0.80, -1.37 to -0.23) and hospital length of stay by 1.75 days (mean difference, 95% confidence interval) (-1.75, -3.03 to -0.48). Physical rehabilitation had no impact on the other outcomes. The intervention was more effective in trials where the control group received low-dose physical rehabilitation and in trials that investigated functional exercises.

Conclusion: Physical rehabilitation in the ICU improves physical function, reduces ICU and hospital length of stay. However, it does not appear to impact other outcomes.

Introduction

Up to 65% of critical illness survivors suffer clinically detectable weakness from a combination of muscle mass loss, myopathy (Derde et al., 2012; Puthuchearry et al., 2013), and polyneuropathy (Bolton et al., 1984). These changes occur early in ICU admission and are associated with prolonged weaning from mechanical ventilation (MV) (De Jonghe et al., 2007; De Jonghe, Bastuji-Garin, et al., 2004; De Jonghe et al., 2002) and increased ICU (De Jonghe et al., 2002; Garnacho-Montero et al., 2005) and hospital length of stay (LOS) (Garnacho-Montero et al., 2005). Clinical weakness is also associated with increased mortality in ICU (Sharshar et al., 2009), in-hospital (Ali et al., 2008; Sharshar et al., 2009), and over the first year after ICU discharge (Hermans et al., 2014).

Physical rehabilitation is a commonly adopted approach to manage the physical sequelae of critical illness following a signal in clinical trials (Davidson et al., 2013; Needham et al., 2011; Schweickert et al., 2009). Rehabilitation begins in the ICU, with the intent to reverse muscle catabolism, mitigate neuropathy, and minimise the effects of immobility (Truong et al., 2009). Early systematic reviews have demonstrated the safety and feasibility of physical rehabilitation in the ICU (Stiller, 2013), improved physical function (Adler & Malone, 2012; Kayambu et al., 2013), health-related quality of life (HRQoL), muscle strength, ventilator-free days, and ICU LOS (Kayambu et al., 2013). However, recent systematic reviews with meta-analyses (Castro-Avila et al., 2015; Menges et al., 2021; Okada et al., 2019; Tipping et al., 2017) have not consistently supported these findings.

Considerable heterogeneity in routine physical rehabilitation practices in ICUs exist globally (Bakhru et al., 2016; Harrold et al., 2015; Skinner et al., 2008), and previous reviews have not considered the amount of physical rehabilitation available to the control group as a confounding factor and source of heterogeneity. Moreover, investigation of the effectiveness of task-specific training in the ICU is clinically important. Functional exercises such as sitting on the side of the bed, standing, and walking involve more complex decision-making incorporating sedation management, feasibility, and safety.

This review aimed to determine:

1. Does physical rehabilitation in ICU improve patient outcomes?
2. Are functional exercise interventions more effective?
3. How does the dose of control therapy impact the effectiveness of experimental interventions?

Materials and methods

Study Design

This protocol was registered on the PROSPERO International Prospective Register of Systematic Reviews (CRD42017074228). Reporting conforms to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) (Moher et al., 2015) guidelines and The Cochrane Handbook (Higgins, 2019).

Study selection and databases

Ovid MEDLINE, EMBASE, CINAHL plus, and the Cochrane Library were electronically searched for randomised controlled trials (RCT) and Controlled Clinical Trials (CCT) evaluating physical rehabilitation in critically ill patients (supplemental methods details the search strategy). Publications available from the 1st of January 2000 - 28th of May 2020 were screened against eligibility criteria (Table 5.1) by two independent reviewers (YW, JL). Full-text articles were obtained if titles and abstracts were insufficient. Conflicts were discussed between the two reviewers and adjudicated by a third reviewer (TH) if required.

Data Extraction

Data extraction included study design, participant, intervention delivered using the template for intervention description and replication (Hoffmann et al., 2014), and predefined outcomes (details listed in supplemental methods).

Risk of bias

Two reviewers (YW, JL) independently assessed the risk of bias at the outcome level. Conflicts were discussed between the two reviewers and adjudicated by a third reviewer (TH) if required. The Cochrane risk-of-bias tool for randomized trials (Sterne et al., 2019) was used to assess the RCTs. The Risk Of Bias In Non-randomized Studies – of

Interventions assessment tool (Sterne et al., 2016) was used to assess the CCTs. The visualization tool for risk of bias assessments in a systematic review (McGuinness & Higgins, 2020) was used to present results.

Summary of measures

Principal summary measures were the pooled standardised mean difference, mean difference, or risk difference with 95% confidence intervals. For outcomes in which multiple heterogeneous outcome measures were used, results were summarised as a standardised mean difference to facilitate their inclusion (a key limitation in research synthesis) by standardising the results of the individual studies to a uniform scale. A standardised mean difference expresses the size of the intervention effect relative to the variability observed in each study (Higgins, 2019). Interpretation of the magnitude of a standardised mean difference is based on previous guidelines (Cohen, 2013). Where a single outcome measure was used, the mean difference was used. Mortality outcomes were summarised using the risk difference.

Synthesis of results

Random-effects meta-analysis was performed with STATA/SE version 13 (StataCorp LP, Austin, TX, USA) to quantify the effect of the intervention. Studies with more than two groups were included. Studies that presented data in alternate methods than mean and

standard deviation were attempted to be included, and these methods are described in detail in supplemental methods.

Subgroup and sensitivity analyses

Subgroup analyses and meta-regression of studies included in the primary pooled analysis were pre-planned according to PROSPERO registration.

Stratifications occurred posthoc because it was unknown how much detail regarding intervention type and dosage would be available. Subgroup analyses by individual intervention components were unable to be performed due to insufficient reporting, and many trials combined multiple interventions. Meta-regression analysis by delivered dosage was also not possible due to insufficient reporting of intervention delivery, for both intervention and control groups.

Subgroup analyses were conducted to compare the type of experimental intervention: functional exercise(s) (defined as lifting head, rolling, sitting up, sitting balance, standing, transferring, walking) vs. non-functional exercise (defined as passive or active range of motion (ROM), neuromuscular electrical stimulation (NMES), and cycle ergometry). These subgroups were based on evidence that task-specific exercises may be more effective than impairment-based training in non-ICU patients (de Vreede et al., 2005; Di Monaco et al., 2009; Lowe et al., 2009; Nadeau et al., 2013).

Subgroup analyses were also conducted by stratifying the amount of physical rehabilitation available to control group participants as a part of routine care. High-dose control therapy was defined as the control group receiving or being assessed for physical rehabilitation ≥ 5 days per week. Low-dose control therapy was defined as the control group receiving or being assessed for physical rehabilitation < 5 days per week. These subgroups were determined by surveys of mobilisation practices in Canada (Koo et al., 2016) and the US (Bakhru et al., 2015) and the standard care delivered by recent trials investigating the efficacy of physical therapy rehabilitation in the ICU. Standard practice has likely evolved over time since the earliest trials found significant benefits (Burtin et al., 2009; Morris et al., 2008; Schweickert et al., 2009). In the 23 trials published since 2017 (Abu-Khaber et al., 2019; Amundadottir et al., 2019; Bianchi et al., 2018; dos Santos et al., 2020; Eggmann et al., 2018; Fontes Cerqueira et al., 2018; Fossat et al., 2018; Gama Lordello et al., 2020; Hickmann et al., 2018; Hodgson et al., 2020; Kho et al., 2019; Koutsioumpa et al., 2018; Leite et al., 2018; McCaughey et al., 2019; McWilliams et al., 2018; Nakamura et al., 2019; M. R. Nickels et al., 2020; Nydahl et al., 2019; Sarfati et al., 2018; Seo & Shin, 2019; Winkelman et al., 2018; Wollersheim et al., 2019; Wright et al., 2018), 19 (Amundadottir et al., 2019; Bianchi et al., 2018; dos Santos et al., 2020; Eggmann et al., 2018; Fontes Cerqueira et al., 2018; Fossat et al., 2018; Gama Lordello et al., 2020; Hickmann et al., 2018; Kho et al., 2019; Koutsioumpa et al., 2018; Leite et al., 2018; McWilliams et al., 2018; Nakamura et al., 2019; M. R. Nickels et al., 2020; Sarfati et al., 2018; Seo & Shin, 2019; Winkelman et al., 2018; Wollersheim et al., 2019; Wright et al., 2018) reported that physical rehabilitation was available to the control group ≥ 5 days per week. In contrast, out of 19 trials published before 2015 (Brummel et al.,

2014; Burtin et al., 2009; Cader et al., 2010; Caruso et al., 2005; Chang et al., 2011; Condessa et al., 2013; Dantas et al., 2012; Denehy, Skinner, et al., 2013; Dong et al., 2014; Elbouhy et al., 2014; Hanekom et al., 2012; Martin et al., 2011; Morris et al., 2008; Pandey et al., 2013; Patman et al., 2001; Routsis et al., 2010; Savci et al., 2011; Schweickert et al., 2009; Winkelman et al., 2012), only four (Burtin et al., 2009; Dantas et al., 2012; Denehy, Skinner, et al., 2013; Savci et al., 2011) reported that physical rehabilitation was available to the control group ≥ 5 days per week.

Meta-regression analysis examined the differences between the subgroups on the effect of the intervention. Post-hoc analysis of RCTs only was performed. Post-hoc sensitivity analyses were performed to ensure any decisions to exclude trials from the meta-analysis did not change the results of the primary pooled analysis.

Results

Flow of studies

Database searches totalled 7382 articles. After removing duplicates, screening by title, abstract and full-text, 62 reports (Table 5.2) of 60 trials were included in this review (Figure 5.1).

Characteristics of included studies

A total of 5352 participants were recruited across 60 trials, 2699 intervention, and 2653 control (Table 5.2). The cohort represented a mixture of medical and surgical patients across 21 countries, predominantly middle-aged, with a bias towards males. Most participants were recruited from Europe, America, and South America. Interventions and outcome measures for all included trials are summarised in supplemental results and listed in Table 5.2.

Risk of Bias

The risk of bias of included studies was considerable, with 31 of 57 RCTs classified as 'high' risk of bias (Figure 5.2 & 5.3), and four of five CCTs with a 'Serious or Critical' risk of bias (Figure 5.4). Major sources of bias for RCTs were due to domain two, deviations from intended interventions; and domain five, bias in selection of the reported result. Twenty-five RCTs rated "high" risk of bias for domain two, due to poor reporting of interventions and lack of an appropriate analysis to estimate the effect of intervention adherence. Forty-nine RCTs were rated "some concerns" for domain five by the two reviewers, due to lack of a pre-specified analysis plan before unblinded outcome data were available.

Pooled analysis of intervention effect

Duration of mechanical ventilation

Forty-six studies (Table 5.2) reported duration of MV. See supplemental results for details on these studies.

A meta-analysis of pooled data showed no difference in duration of MV between groups (mean difference, 95% CI) (-0.18, -0.37 to 0.02) (Figure 5.5). In studies where the control group received low-dose physical rehabilitation (n = 15), the intervention resulted in a reduction of MV duration by 1.6 days (mean difference, 95% CI) (-1.6, -2.49 to -0.71), but not in studies where the control group received high-dose physical rehabilitation (n= 18) (mean difference, 95% CI) (0.21, 0.03 to 0.40). Studies investigating functional experimental intervention (n = 16) demonstrated the intervention resulted in a reduction of MV duration (mean difference, 95% CI) (-1.15, -1.99 to -0.30), while non-functional experimental intervention (n = 18) increased duration of MV (mean difference, 95% CI) (0.14, 95% CI: 0.00 to 0.27). Meta-regression analysis of these study characteristics, sensitivity analysis and subgroup analysis of RCTs are included in supplemental results.

ICU length of stay

Forty-seven studies (Table 5.2) reported ICU LOS. See supplemental results for details on these studies.

Physical rehabilitation reduced ICU LOS by 0.8 days (mean difference, 95% CI) (-0.80, -1.37 to -0.23) (Figure 5.6). This effect was magnified in studies where the control group

received low-dose physical rehabilitation (n= 14), with a 1.87-days reduction in ICU LOS compared to control (mean difference, 95% CI) (-1.87, -3.16 to -0.58). In contrast, in studies where the control group received high-dose physical rehabilitation (n= 27), the intervention did not change the ICU LOS (mean difference, 95% CI) (0.23, -0.29 to 0.75). Subgroup analysis of studies with functional experimental intervention (n = 21) demonstrated the intervention resulted in a reduction of ICU LOS (mean difference, 95% CI) (-1.31, -2.46 to -0.16), while non-functional experimental intervention (n = 20) resulted in no difference between groups (mean difference, 95% CI) (-0.26, -0.98 to 0.45). Meta-regression analysis of these study characteristics, sensitivity analysis and subgroup analysis of RCTs are included in supplemental results.

Hospital length of stay

Thirty-three studies (Table 5.2) reported hospital LOS. See supplemental results for details on these studies.

Physical rehabilitation reduced hospital LOS by 1.75 days (mean difference, 95% CI) (-1.75, -3.03 to -0.48) (Figure 5.7). This effect was magnified in studies where the control group received low-dose physical rehabilitation (n= 11), with a 2.45-days reduction in hospital LOS compared to control (mean difference, 95% CI) (-2.45, -4.05 to -0.84), but not in studies where the control group received high-dose physical rehabilitation (n= 16) (mean difference, 95% CI) (0.16, -1.62 to 1.29). Subgroup analysis of studies with functional (n= 14) vs. non-functional experimental intervention (n= 13) demonstrated that

the functional experimental intervention resulted in a reduction of hospital LOS compared to control (mean difference, 95% CI) (-1.90, -3.74 to -0.06), while non-functional experimental intervention resulted in no difference between groups (mean difference, 95% CI) (-1.39, -3.43 to 0.66). Meta-regression analysis of these study characteristics, sensitivity analysis and subgroup analysis of RCTs are included in supplemental results.

Mortality

Thirty-one trials (Table 5.2) reported ICU mortality. Twenty-seven trials (Table 5.2) reported hospital mortality. Nine randomised controlled trials (Table 5.2) reported 6-months mortality. Pooled analysis demonstrated no difference between intervention and control groups at any of the time points (Figure 5.8). Subgroup analysis and sensitivity analysis are included in supplemental results.

Muscle strength

Six trials (Table 5.2) reported muscle strength on first awakening. Twenty-one trials (Table 5.2) reported at least one muscle strength outcome at ICU discharge. Eleven trials (Table 5.2) reported at least one muscle strength outcome at hospital discharge. See supplemental results for details on these studies.

Physical rehabilitation did not change the pooled standardised mean difference for muscle strength at any time point (Figure 5.9). Subgroup analysis and sensitivity analysis are included in supplemental results.

Physical function

Twenty-one (Table 5.2) studies reported at least one physical function outcome at ICU discharge. Fifteen trials (Table 5.2) reported at least one physical function outcome at hospital discharge. Eight randomised controlled trials (Table 5.2) reported at least one physical function outcome at 6 months. See supplemental results for details on these studies.

Physical rehabilitation resulted in a small improvement of the physical function at hospital discharge (standardised mean difference, 95% CI) (0.22, 0.00 to 0.44), but there was no difference between groups at ICU discharge and 6 months follow up (Figure 5.10). To aid interpretation, this magnitude of effect is similar to the trial by Wright et al. (Wright et al., 2018), who found the Functional Independence Measure was six points higher at ICU discharge compared with control, given their reported standard deviation of 26 (a standardised mean difference of 0.23). Subgroup and sensitivity analyses are included in supplemental results.

Mechanical ventilation free days at day 28

Six randomised controlled trials (Table 5.2) reported the number of MV free days at day 28. See supplemental results for details on these studies.

There was no difference between the number of MV free days comparing intervention and control groups at day 28 (Figure 5.11). Sensitivity analysis including a study with skewed data (Schweickert et al., 2009) did not change the pooled result. There were no differences between groups for any of the subgroup analyses.

Health-related quality of life

Ten randomised controlled trials (Table 5.2) reported at least one health-related quality of life outcome at 6 months. All were included in the pooled analysis. There was no difference in the health-related quality of life between intervention and control groups at 6 months (Figure 5.12). There were no differences between groups for any of the subgroup analyses.

Discussion

Key findings and clinical implications

This meta-analysis found that physical rehabilitation begun in the ICU improved physical function at hospital discharge and reduced ICU and hospital LOS compared to usual care.

It is highly plausible that physical rehabilitation would have beneficial effects on the ICU length of stay. Exercise has been shown to positively affect cognition and resolution of delirium (Needham et al., 2010; Schweickert et al., 2009). Sedation optimisation is a requirement for functional exercises, while sedation break alone has been shown to decrease MV duration and ICU length of stay (Jackson et al., 2010; Kress et al., 2000). Hospital LOS can be influenced by physical function, particularly if the discharge destination is directly home. We found the hospital LOS was shorter in the intervention group, with better physical function outcomes at hospital discharge.

In studies where the control group received low-dose physical rehabilitation, the intervention resulted in reductions in the duration of MV and ICU and hospital LOS. In contrast, the intervention did not improve any outcomes in studies where the control group received high-dose physical rehabilitation. Our results suggest the dose-response relationship of physical rehabilitation in the critically ill patient is not linear, with a diminishing benefit at higher doses. Diminishing returns is not a new concept in physical rehabilitation (Rose et al., 2017). Earlier, more intensive and higher dosage exercise does not always lead to better outcomes compared to standard practice, as demonstrated in stroke (Bernhardt et al., 2015), pulmonary rehabilitation (Greening et al., 2014), and thoracic surgery cohorts (Arbane et al., 2014). A higher dosage of therapy delivered to the control group makes it more difficult for the trial to achieve separation between the intervention and control groups. The muscle fatigue threshold required for a training

response may be lower in critical illness. The training response may also be limited by changes to nerves and muscles from critical illness.

Subgroup analysis of studies with functional experimental interventions resulted in reductions in the duration of MV and ICU and hospital LOS, but not in studies with non-functional experimental interventions. Functional exercises produce better physical function outcomes than non-functional exercises in non-ICU patients (de Vreede et al., 2005; Di Monaco et al., 2009; Lowe et al., 2009; Nadeau et al., 2013). Functional exercises also have benefits in other domains in the critically ill population, including cognition and resolution of delirium (Needham et al., 2010; Schweickert et al., 2009). Thus, it is highly plausible that functional exercises are superior to non-functional exercises in this population.

In summary, ICUs should have physical rehabilitation services available up to 5 days per week, as this frequency has led to improved physical function and health service outcomes. Wherever possible, functional exercises should be used. In ICUs that already provide physical rehabilitation services at least 5 days per week, a further increase in the dosage of rehabilitation is unlikely to improve outcomes further.

Relationship with existing literature

No reviews before this current review have considered the dose of physical rehabilitation available to control group participants as part of routine care, nor investigated the

effectiveness of task-specific training in the ICU. Therefore, our review had broad inclusion criteria and sought to examine whether the inconsistency in findings and conclusions in this field, along with the statistical heterogeneity identified in some previous reviews, could be explained by examining these factors as sources of heterogeneity.

Strength and limitations

This systematic review and meta-analysis address two major sources of heterogeneity not investigated in previous reviews, the type of exercise and intensity of control condition, which are essential in interpreting the body of evidence. Our review benefits from a novel data synthesis and analytic approach, and many included studies. Our results have excellent representation from across the globe, with the inclusion of 60 trials from 21 different countries. Numerous outcome measures and different time points of assessment were included in the pooled analysis.

There was considerable heterogeneity in the confidence intervals of the pooled results. Overall, the risk of bias in the included studies was also high. Therefore, caution should be exercised in the interpretation and application of the results.

Our review did not have the scope to investigate the effect of sedation practices on the effectiveness of physical rehabilitation. Sedation optimisations facilitate physical rehabilitation, particularly task-specific exercises. It may also decrease the time to initiation of physical rehabilitation, which is also an important factor in the effectiveness

of physical rehabilitation (Tipping et al., 2017). Sedation optimisation associated with trial interventions may have directly contributed to observed benefits; although in practice, it is highly recommended that sedation optimisation and physical rehabilitation are both included in a bundle of care to optimise the outcomes of critically ill patients (Barr & Pandharipande, 2013; Morandi et al., 2011; Vanhorebeek et al., 2020).

Included trials did not consistently report time-related outcomes such as MV duration, ICU, and hospital LOS, with some reporting outcomes only in survivors while others did not differentiate between survivors and non-survivors. While there were no mortality differences between groups, this was another source of heterogeneity and bias.

Future directions

We recommend better reporting of control and experimental interventions that would allow analysis based on the dosage of intervention delivered (i.e., using the TIDieR checklist (Hoffmann et al., 2014)). Future trials should use standardised outcome measures based on expert consensus, such as European quality of life-5 domains and 36-item Short Form Health Survey version 2 for the evaluation of HRQoL and pain; six-minute walk test for physical function, manual muscle test, and grip strength for muscle strength (Dale M. Needham et al., 2017). Reporting of time-related outcomes such as MV duration and LOS in a critically ill population should report survivors and non-survivors separately and follow-up for 60 days (Blackwood et al., 2019).

Conclusions

Physical rehabilitation that commences in the ICU improves physical function at hospital discharge and reduces ICU and hospital LOS. However, it does not appear to impact MV duration, muscle strength, HRQoL, and mortality. Wherever possible, task-specific exercises should be used, and the benefits of higher dose physical rehabilitation are unclear in patients already receiving regular exercise therapy.

Acknowledgements

We gratefully acknowledge Bernie Bissett for providing further information on the timing of interventions in Bisset et al. 2016; Claire Tipping et al. 2017, for the use of 6-month mortality data from Denehy et al. 2012, which was not published in the original report of the study.

References

References have been incorporated in the bibliography of the thesis.

Tables

Table 5.1 Inclusion and exclusion criteria of studies

| Characteristics | Inclusion | Exclusion |
|-----------------|--|---|
| Design | Randomised controlled trials and controlled clinical trials | Pre-post intervention trials, Case reports, reviews, editorials, descriptive commentary |
| Participants | Adults admitted to an ICU | Ages < 18; Participants with head injuries, cerebrovascular accidents, burns, and spinal injuries. |
| Intervention | Physical rehabilitation commenced in the intensive care unit, including but not limited to: Passive range of motions exercises; Active range of motion exercises; Resistance training; Positioning; Functional mobility and transfers; Respiratory muscle training; Neuromuscular electrical stimulation; Tilt tabling; Cycle ergometry or any combination of above. | Physical rehabilitation delivered only after discharge from ICU; Speech & Swallowing Rehabilitation; Cognitive rehabilitation |
| Control | Standard care | |

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| Outcome measures | <p>Muscle strength at awakening, ICU discharge, and hospital discharge.</p> <p>Physical function at ICU discharge, hospital discharge, and at 6 months.</p> <p>Mortality in ICU, in hospital, and at 6 months.</p> <p>Health-related quality of life at 6 months</p> <p>Duration of Mechanical ventilation</p> <p>Mechanical Ventilation-free days to day 28</p> <p>ICU LOS</p> <p>Hospital LOS.</p> | |
| Publication status | English only. Published after the year 2000. | Studies published before the year 2000. |

Table 5.2 Characteristics of included studies

| Study | Country and study design | Population | Participants Age, mean ± SD or median (IQR) | Intervention | Outcome measures |
|--|--------------------------|-------------------------------------|---|--|--|
| Abu-Khaber (Abu-Khaber et al., 2019) | Egypt RCT | Critically ill, on MV > 24 hours | n = 40 Age = 59.07 ± 5.32 60% male sex | Exp = NMES to bilateral quadriceps in addition to standard care, intense enough to cause visible and palpable contraction, 1 hour daily | Duration of MV Ventilator-free days at day 28* MRC-SS (on day 2, 3, 4, 5, 6, 7, 14, 21, 28 of ICU admission) |
| | | | n = 40 Age = 57.57 ± 6.80 67.5% male sex | Con = no NMES, no description of standard care | |
| Akar (Akar et al., 2017) | Turkey RCT | COPD patients MV > 24 hours | n = 10 Age = 70.00 ± 12.28 | Exp 1 = NMES, active, active-assisted or passive ROM exercises to deltoid and quadriceps to deltoid and quadriceps, NMES intense enough to cause visible and | ICU LOS* Days where mobility milestones achieved |

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| | | | 40% male sex | palpable contraction, 5 days per week for a total of 20 sessions, session duration not reported | Deltoid and quadriceps strength before and after intervention |
| | | | n = 10 Age = 62.75 ± 6.80 60% male sex | Exp 2 = NMES to deltoid and quadriceps, NMES intense enough to cause visible and palpable contraction, 5 days per week for a total of 20 sessions, session duration not reported | |
| | | | n = 10 Age = 68.00 ± 17.77 50% male sex | Con = active, active-assisted, or passive ROM exercises to deltoid and quadriceps, 5 days per week for a total of 20 sessions, session duration not reported | |
| Amundadottir (Amundadottir et al., 2019) | Iceland RCT | Aged 18 – 80, mechanically ventilated for > 48 hours, able to cooperate with intervention and assessment, | n = 29 Age = 62 (50-70) 65.5% male sex | Exp = two sessions of progressive upright mobilisation daily, timed with sedation break, begun after 48 hours of MV, until ICU discharge, session duration not reported | MV duration* ICU LOS* Hospital LOS* Hospital mortality* Short Form 36 version 2 (pre-ICU, ICU discharge, hospital discharge, and at 3-, 6-* and 12-month) |
| | | | n = 21 | Con = one session of passive to active exercises, functional exercises daily, not timed with sedation break, begun after | |

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| | | previously ambulant independently | Age = 64 (58-74) 66.7% male sex | 96 hours of MV, until ICU discharge, session duration not reported | Six-Minute Walk Test (3-, 6- and 12-month) MRC-SS (ICU discharge*, hospital discharge*, 3-, 6- and 12-month after ICU discharge) Modified Barthel Index (pre-ICU, ICU discharge*, hospital discharge*, 3-, 6-* and 12-month) |
| Bianchi (Bianchi et al., 2018) | Brazil RCT | Adults who required MV for at least 48 hours, expected to stay in ICU for one week | n = 18 Age = 52.3 ± 22.7 27.8% male sex | Exp = Passive exercise on a cycle ergometer, 20 minutes daily for 7 days or until extubation; in addition to conventional physical therapy | MV duration* ICU LOS* Hospital LOS* |
| | | | n = 14 Age = 56.1 ± 23.0 42.9% male sex | Con = conventional physical therapy, which included respiratory therapy, upper and lower extremity proprioceptive neuromuscular facilitation exercises, 30 minutes twice daily | |

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| Bissett (Bissett et al., 2016) | Australia RCT | Invasively mechanically ventilated for 7 days or more, successfully weaned from MV (> 48 hours) | n = 34 Age = 59 ± 16 71% male sex | Exp = inspiratory muscle training using threshold inspiratory trainer, 6 breaths per set, 5 sets daily on weekdays; in addition to standard care | MV duration ICU LOS* Hospital Mortality* Maximal inspiratory pressure (on enrolment and at the end of 2-week intervention) |
| | | | n = 36 Age = 59 ± 13 58% male sex | Con = standard care involves minimal sedation and proactive mobilisation, Including assisted mobilisation, secretion clearance treatments, upper and lower limb exercises, 1-2 sessions per day, session duration not reported | Short Form 36 version 2 (on enrolment and at the end of 2-week intervention) The EQ-5D three-level version (on enrolment and at the end of 2-week intervention) Acute care index of function (on enrolment and at the end of 2-week intervention) |
| Brummel (Brummel et al., 2014) | United States RCT | Adults with respiratory failure or shock | n = 22 Age = 62 (48-67) 59% male sex | Exp 1 = early physical therapy using an ICU mobility protocol, individually tailored to progress patients from passive ROM exercises to ambulation, once daily (median duration 15 minutes if delivered by nurses, 23 minutes if delivered by physical therapists) | ICU LOS* Hospital LOS* ICU mortality* Hospital mortality* |

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| | | | n = 22 Age = 60 (51-69) 36% male sex | Con = standard care, physical therapy as ordered by physicians (typically 1-2 sessions per week), session duration not reported | Katz Index of Independence in Activities of Daily Living (on enrolment, hospital discharge, and 3-month) Timed up and Go test (hospital discharge and 3-month) |
| | | | n = 43 Age = 62 (54-69) 65% male sex | Exp 2^ = once daily early physical therapy using an ICU mobility protocol, individually tailored to progress patients from passive ROM exercises to ambulation; Interdisciplinary cognitive therapy protocol delivered twice daily | EQ-5D (hospital discharge, 3-month) Ventilator-free days to day 30 |
| Burtin (Burtin et al., 2009) | Belgium RCT | Adults with expected prolonged stay in the ICU (≥ 7 more days) | n = 31 Age = 56 \pm 16 71% male sex | Exp = cycling exercise session, 5 days a week, 20 minutes per session, individually adjusted intensity; in addition to usual care | ICU LOS* Hospital LOS* Quadriceps force (ICU discharge, hospital discharge) Handgrip strength (ICU discharge*, hospital discharge*) |
| | | | n = 36 Age = 57 \pm 17 | Con = standard care involves standardized mobilisation session of upper and lower extremities 5 days a week, plus respiratory physiotherapy adjusted to individual needs, session duration not reported | Berg balance scale: sit to stand item (ICU discharge, hospital discharge) |

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| | | | 72% male sex | | Short Form 36 version 2 (hospital discharge) 1-year mortality Six-Minute Walk Test (hospital discharge)* |
| Cader (Cader et al., 2010) | Brazil RCT | Older adults age \geq 70, who required MV for at least 48 hours for type 1 respiratory failure, with some inspiratory muscle weakness | n = 21 Age = 83 ± 3 43% male sex | Exp = inspiratory muscle training using threshold inspiratory trainer, 5 minutes twice daily, 7 days per week; in addition to standard care | Maximal inspiratory pressure (once daily) ICU mortality* |
| | | | n = 20 Age = 82 ± 7 50% male sex | Con = regular physiotherapy including passive to active-assisted mobilisation of limbs, positioning, chest physiotherapy as indicated, frequency and session duration not reported | |
| Caruso (Caruso et al., 2005) | Brazil RCT | Adults with expected prolonged stay in the ICU (> 72 hours) | n = 12 Age = 67 ± 10 67% male sex | Exp = inspiratory muscle training using increasing trigger threshold, increments based on maximal inspiratory pressure, 30 minutes twice daily, until weaned from MV | MV duration* Maximal inspiratory pressure (baseline, after extubation) |

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| | | | n = 13 Age = 66 ± 17 69% male sex | Con = no inspiratory muscle training, no description of standard care | |
| Chang (Chang et al., 2011) | Taiwan RCT | Adults who required MV for at least 72 hours, and can be transferred from bed to chair by 2 nurses without a mechanical lifting machine | n = 18 Age = 65.3 ± 13.1 56% male sex | Exp = transfer from bed to an armchair for 30 – 120 minutes, based on patient tolerance, transfer performed by two ICU nurses, therapy performed at least once per day in 15 participants | Maximal inspiratory pressure (baseline, 30 minutes after intervention) MV duration* ICU LOS* |
| | | | n = 16 Age = 61.8 ± 22.6 69% male sex | Con = lying between supine and semi-recumbent, no physical therapy during the study period | |
| | Brazil RCT | Adults who required MV for at least 48 hours, | n = 45 Age = 64 ± 17 | Exp = inspiratory muscle training using threshold inspiratory trainer, 5 sets of 10 breaths, twice daily, 7 days a week; in addition to standard care | Maximal inspiratory pressure (baseline, after extubation) |

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| Condessa (Condessa et al., 2013) | | haemodynamically stable and ready for weaning | 51% male sex | | Maximal expiratory pressure (baseline, after extubation) |
| | | | n = 47 Age = 65 ± 15 60% male sex | Con = standard physiotherapy intervention including passive and active ROM, chest physiotherapy, frequency, and session duration not reported | MV duration* ICU mortality* |
| Coutinho (Coutinho et al., 2016) | Brazil RCT | Adults mechanically ventilated for ≥ 24 hours and ≤ 48 hours | n = 14 Age = 61.8 ± 22.6 56% male sex | Exp = passive cycle ergometer exercises, 20 cycles per minute for 20 minutes, frequency not reported; in addition to conventional physiotherapy, 30 minutes per session, upper and lower extremity proprioceptive neuromuscular facilitation exercises, and respiratory physiotherapy, frequency not reported | MV duration* ICU LOS* Maximal inspiratory pressure (pre and post intervention) Hospital LOS* Hospital mortality* |
| | | | n = 11 Age = 55.2 ± 29.1 43% male sex | Con = conventional physiotherapy only, 30 minutes per session, upper and lower extremity proprioceptive neuromuscular facilitation exercises and respiratory physiotherapy, frequency not reported | |

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| Dall'Acqua (Dall' Acqua et al., 2017) | Brazil RCT | Adults who have been hospitalised for ≤15 days and received > 24 h MV | n = 11 Age = 56 ± 13 64% male sex | Exp = NMES of the chest and abdominal muscles, intense enough to cause visible and palpable contraction, 30 minutes daily for 7 days or until extubation; in addition to conventional therapy, 30 minutes daily, tailored to the patient's ability | MV duration* ICU LOS* ICU mortality* Hospital LOS Hospital Mortality* |
| | | | n = 14 Age = 61 ± 15 64% male sex | Con = sham NMES of the chest and abdominal muscles, 30 minutes daily for 7 days or until extubation; in addition to conventional therapy, 30 minutes daily, tailored to the patient's ability | |
| Dantas (Dantas et al., 2012) | Brazil RCT | Adults on MV, with adequate cardiovascular reserve | n = 14 Age = 59.07 ± 15.22 50% male sex | Exp = systematic early mobilisation protocol, twice daily, 7 days per week, duration variable depending on the stage of the protocol | MV duration ICU LOS Hospital LOS ICU mortality* MRC-SS (enrolment, ICU discharge*) Maximal inspiratory pressure (enrolment, ICU discharge) Maximal expiratory pressure (enrolment, ICU discharge) |
| | | | n = 14 Age = 50.43 ± 20.45 | Con = conventional physical therapy consists of passive mobilisation of four limbs 5 times per week, active-assisted exercises as able, session duration not reported | |

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|--|------------------|---------------------------------|---|--|--|
| | | | 29% male sex | | |
| Denehy (Denehy, Skinner, et al., 2013) | Australia RCT | Adults with ICU LOS > 5 days | n = 74 Age = 61.4 ± 15.9 58.1% male sex | Exp = active functional rehabilitation, intensity target modified Borg scale 3-5, 15 minutes daily for ventilated patients, 15 minutes twice daily for weaned patients | MV duration* ICU LOS* Hosp LOS* ICU mortality* Hospital mortality* |
| | | | n = 76 Age = 60.1 ± 15.58 68.4% male sex | Con = standard care, physiotherapists provided both respiratory and mobility management based on individual patient assessment, available 7 days per week, session duration not reported | Physical function in the ICU test (on enrolment and ICU discharge) Assessment of Quality of Life (on enrolment; 3-, 6-, and 12-month) Short Form 36 version 2 (on enrolment; 3-, 6-*, and 12-months after ICU discharge) Six-minute walk test (on ICU discharge*; discharge home*; 3-, 6-*, and 12-month) Timed up and Go test (on ICU discharge; discharge home; 3-, 6-, and 12-months) |

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| | | | | | 28-day mortality 6-month mortality* 12-month mortality |
| Dong (Dong et al., 2014) | China RCT | Adults mechanically ventilated > 48 hours and < 72 hours | n = 30 Age = 55.3 ± 16.1 70% male sex | Exp = twice daily rehabilitation, lifting heading up actively, sit on the edge of the bed, standing and walking, until return to the previous level of function or discharge, session duration not reported | MV duration* Days to first SOOB ICU LOS* ICU mortality* Hospital mortality* |
| | | | n = 30 Age = 55.5 ± 16.2 67% male sex | Con = no description of standard care | |
| Dong (Dong et al., 2016) | China RCT | Post CABG MV > 72 hours | n = 53 Age = 62.6 ± 12.8 38% male sex | Exp = progressive mobility protocol twice daily, lifting the head, sitting up, sit on the edge of the bed, sitting in a chair, standing and walking along the bed, session duration not reported | MV duration* ICU LOS* Hospital LOS* Hospital mortality* |
| | | | n = 53 | Con = rehabilitation performed after ICU discharge by family | |

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|--|---------------|---|---|--|--------------------------------------|
| | | | Age = 60.2 ± 15.1 42% male sex | | |
| dos Santos (dos Santos et al., 2020) | Brazil RCT | Age ≥ 18, mechanically ventilated for less than 72 hours | n = 13 Age = 55.3 12.7 69.2% male sex | Exp 1 = Active exercise program applied by two physical therapists, initiated when awake, progressing from active-assisted to active and resisted exercises for upper and lower limbs, 3 sets of 10 repetitions, 55 minutes session duration until ICU discharge, an average total of 9.1 sessions per participant | MV duration ICU LOS* Mortality |
| | | | n = 11 Age = 50.2 12.8 66.7% male sex | Exp 2 = NMES of bilateral quadriceps, intense enough to cause visible or palpable contraction. 55 minutes twice daily until ICU discharge, an average total of 11.7 sessions per participant | |
| | | | n = 12 Age = 55.6 10.8 | Exp 3 = NMES of bilateral quadriceps and active exercises in lower limbs were applied simultaneously in a synchronised manner, 55 minutes session duration twice | |

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| | | | 66.7% male sex | daily until ICU discharge, an average total of 10.3 sessions per participant | |
| | | | n = 15 Age = 51.8 73.3% male sex | Con = usual physical therapy consisting of in-bed exercises such as passive mobilisation, positioning and stretching, 55 minutes session duration twice daily until ICU discharge, the actual total number of sessions not reported | |
| Eggmann (Eggmann et al., 2018) | Switzerland RCT | Adults expected to stay on MV ≥ 72 hours, previously independent | n = 58 Age = 65 ± 15 62% male sex | Exp = endurance and resistance training program combined with early mobilisation, up to 3 sessions a day. Endurance training consisted of passive, machine-assisted, or active cycling in bed, 20 - 30 minutes per session. Resistance training included weights or resistance from the therapist, 8-12 repetitions with 2-5 sets. Early mobilisation progressed from in-bed exercises to ambulation. | MV duration* ICU LOS* Hospital LOS* ICU mortality* Hospital mortality* MRC-SS (ICU discharge)* Achieved ICU mobility (ICU discharge) |
| | | | n = 57 Age = 63 ± 15 72% male sex | Con = standard physiotherapy included early mobilisation, respiratory therapy, and passive or active exercises. Initiated by the physiotherapist and individually tailored. Once-daily during the week, on the weekend if deemed necessary, session duration not reported | FIM (ICU discharge, hospital discharge)* Handgrip strength (ICU discharge) Quadriceps force (ICU discharge) Limitations in ROM (ICU discharge) Six-minute walk test (hospital discharge) |

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| | | | | | <p>Timed up and go test (hospital discharge)</p> <p>Short Form 36 (6-month)*</p> <p>6-month mortality*</p> |
| <p>Elbouhy (Elbouhy et al., 2014)</p> | <p>Egypt CCT</p> | <p>Adults with acute exacerbation of COPD, mechanically ventilated, and difficult weaning</p> | <p>n = 20</p> <p>Age = 61.07 ± 12.4</p> <p>80% male</p> <p>sex</p> | <p>Exp = inspiratory muscle training using increasing trigger threshold, increments based on maximal inspiratory pressure, 30 minutes twice daily, for 5 days or until weaned from MV</p> | <p>MV duration*</p> <p>ICU LOS*</p> <p>Hospital LOS*</p> |
| | | | <p>n = 20</p> <p>Age = 64.33 ± 8.29</p> <p>85% male</p> <p>sex</p> | <p>Con = standard medical care including physiotherapy (chest percussion), nil other intervention reported</p> | |
| <p>Fischer (Fischer et al., 2016)</p> | <p>Austria RCT</p> | <p>Adults post cardiac valve reconstruction and/or replacement,</p> | <p>n = 27</p> <p>Age = 63.3 ± 15.5</p> <p>67% male</p> <p>sex</p> | <p>Exp = NMES of bilateral quadriceps, intense enough to cause visible and palpable contraction. 30 minutes twice daily, up to 14 days postoperatively</p> | <p>MV duration*</p> <p>ICU LOS*</p> <p>Hospital LOS*</p> <p>ICU mortality*</p> |

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|--|-------------------|--|---|--|---|
| | | expected stay in ICU ≥ 48 hours | n = 27 Age = 69.7 ± 13.1 74% male sex | Con = Sham NMES, placement of electrodes without electricity, nil other intervention reported | Hospital mortality* MRC mean score (daily until ICU discharge) Quadriceps strength (daily until ICU discharge) Grip strength (daily until ICU discharge) Average mobility level (ICU discharge, Hospital discharge) Functional Independence Measure (hospital discharge) Timed Up and Go Test (hospital discharge) Short Form 12 (hospital discharge) |
| Fontes Cerqueira (Fontes | Brazil RCT | Adults post cardiothoracic surgery, age ≤ 75 | n = 26 Age = 41.8 ± 13.7 69% male sex | Exp = NMES of bilateral quadriceps and gastrocnemius, intense enough to cause visible or palpable contraction. 60 minutes twice daily, every day up to 5 days postoperatively; in addition to usual physiotherapy | Six-Minute Walk Test (postoperative day 5) 10 metre Walking Speed Test (postoperative day 5) |

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|---------------------------------|-------------------|--|---|--|--|
| Cerqueira et al., 2018) | | | n = 33 Age = 42.21 ± 14.36 70% male sex | Con = usual physiotherapy twice a day, session duration not reported | MRC-SS (preoperative, postoperative day 3 and 5) Functional Independence Measure (preoperative, postoperative day 3 and 5) Nottingham Health Profile (preoperative, postoperative day 3 and 5) ICU LOS* |
| Fossat (Fossat et al., 2018) | France RCT | Adults in ICU < 72 hours, expected to stay for at least further 48 hours, previously independent | n = 158 Age = 65 ± 13 65% male sex | Exp = leg cycling exercise 15 minutes each weekday; NMES to bilateral quadriceps 50 minutes each weekday | MV duration* Katz index of independence (enrolment, ICU discharge*) ICU mortality* Hospital mortality* |
| | | | n = 154 Age = 66 ± 15 64% male sex | Con = standardized early rehabilitation each weekday, tailored to the individual patient, progress from passive ROM to ambulation, session duration not reported | ICU mobility scale (ICU discharge) MRC-SS (ICU discharge)* Barthel index (6-month)* Ventilator-free days at day 28* Short-Form 36 (6-month)* Mortality (28 days, 6 months*) |

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|--|---------------------|---|---|--|--|
| Gama Lordello (Gama Lordello et al., 2020) | Brazil RCT | ≥ 18 years of age admitted for cardiac surgery via median sternotomy with extracorporeal circulation | n = 111 Age = 57.2 13.2 59.5% male sex | Exp = upper and lower limb exercise using the cycle ergometer, duration 10 minutes, twice daily until ICU discharge | MV duration* ICU LOS* Hospital LOS* |
| | | | n = 117 Age = 58.2 12.9 57.3% male sex | Con = Active open kinetic chain exercises for upper and lower limbs, 10 repetitions per movement, duration 10 minutes, twice daily until ICU discharge | |
| Hanekom (Hanekom et al., 2012) | South Africa CCT | ≥ 16 years of age and admitted to ICU | n = 96 Age = 52.07 ± 18.51 61% male sex | Exp = evidence-based protocol-care delivered by research therapists, who were available 12 hours per day during the week, 8 hours on the weekends plus an on-call service, average 1.38 sessions per participant per day, average session duration 22 minutes. | MV duration* Barthel Index (ICU discharge) ICU LOS* Hospital LOS* Hospital mortality |
| | | | n = 97 Age = 50.18 ± 17.86 | Con = therapy delivered by a single physiotherapist, with limited time resources, average 0.57 sessions per participant per day, average session duration 23 minutes. | |

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|--|------------------|--|--|---|--|
| | | | 62% male sex | | |
| Hickmann (Hickmann et al., 2018) | Belgium RCT | Adults admitted with septic shock admitted to ICU < 72 hours | n = 9 Age = 59 ± 19 56% male sex | Exp = passive/active cycling in 30 minutes, followed by passive/active limb mobilisation, twice daily, 7 days per week | ICU LOS* MV duration* ICU mortality* 28-day mortality |
| | | | n = 10 Age = 57 ± 20 60% male sex | Con = passive/active limb mobilisation, 5 days per week, session duration not reported | |
| Hodgson (Hodgson et al., 2016) | Australia RCT | Adults invasively ventilated and expected to be ventilated the day after tomorrow, | n = 29 Age = 64 ± 12 62% male sex | Exp = early goal-directed mobilisation driven by algorithm includes walking, standing, sitting, and active bed exercises. 15-60 minutes daily, session duration dependent on the functional level | MV duration* ICU mobility scale (Daily in ICU) ICU LOS* ICU mortality* Incidence of ICU-AW (ICU discharge) |

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|--|----------------------|---|--|--|---|
| | | independent mobility before admission | n = 21 Age = 53 ± 15 59% male sex | Con = standard practice delivered by a dedicated physiotherapist includes daily assessment and treatment as appropriate, session duration not reported | Physical Function in the ICU Test Scored (ICU discharge)* Functional Status Score for the Intensive Care Unit (ICU discharge) MRC-SS (ICU discharge)* Hospital LOS* Hospital mortality* Ventilator-free days at day 28* ICU free days at day 28 Total inpatient stay Independent activities of daily living (6-month)* ED-5D (6-month)* 6-month mortality* |
| Hodgson (Hodgson et al., 2020) | Australia RCT | Adults in ICU, received extracorporeal membrane oxygenation | n = 10 Age = 49.3 ± 13.4 80% male sex | Exp = early goal-directed physiotherapy, a progression of exercises aimed at exercising at the highest functional level for the longest duration, intensity target of 3 to 5 on the modified Borg Scale of Perceived Exertion, up to 60 minutes per day for 7 days | MV duration ICU LOS Hospital LOS ICU mortality* Hospital mortality* |

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| | | (ECMO) for at least 24 hours, independent mobility before admission | n = 10 Age = 50.6 ± 17.1 80% male sex | Con = non-protocolised assessment of strength, ROM, and ability to participate in rehabilitation and early mobilisation, no specified dosage, treatment at the discretion of treating clinicians, duration and frequency not reported | MRC-SS (days 7, 10, 20) Katz Index of Independence in Activities of Daily Living (days 7, 10, 20 and at hospital discharge*) EQ5D-5L (90-day) Barthel Index (90-day) Lawton Instrumental Activities of Daily Living (90-day) |
| Karatzanos (Karatzanos et al., 2012) | Post hoc analysis of data from Routsis (Routsis et al., 2010) | Same as Routsis (Routsis et al., 2010) | Same as Routsis (Routsis et al., 2010) | Exp = Same as Routsis (Routsis et al., 2010) | Grip strength (first awakening) MRC-SS (first awakening)* |
| | | | Same as Routsis (Routsis et al., 2010) | Con = Same as Routsis (Routsis et al., 2010) | |

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|--|----------------------------|---|--|---|--|
| Kayambu (Kayambu et al., 2015) | Australia RCT | Adults mechanically ventilated \geq 48 hours and diagnosed with sepsis | n = 26 Age = 62.5 (30-83) 84% male sex | Exp = individualised early targeted physical rehabilitation program including NMES, ROM exercises, sitting out of bed, transfers, and ambulation. 30 minutes per session, 1-2 times daily until discharge from ICU | MV duration* ICU LOS* ICU mortality* Physical Function in the ICU Test Scored (ICU discharge)* |
| | | | n = 24 Age = 65.5 (37-85) 80% male sex | Con = standard ICU care including physiotherapy delivered by ICU physiotherapist, frequency and session duration reported in graph format only | MRC-SS (ICU discharge)* Hospital Anxiety and Depression Scale (ICU discharge) Hospital LOS* Ventilator-free days Acute care index of function (6-month)* Short Form 36 (6-month)* 90-day mortality 6-month mortality* |
| Kho (Kho et al., 2015) | United States Pilot RCT | Adults mechanically ventilated for at least 1 day and expected to | n = 16 Age = 54 \pm 16 44% male sex | Exp = NMES to bilateral lower limb muscles (vastus medialis, vastus lateralis, tibialis anterior, and gastrocnemius), 1 hour daily, intense enough to cause visible and palpable contraction; in addition to standard | MV duration* MRC-SS (first awakening*, ICU discharge*, hospital discharge*) MRC score of quadriceps, tibialis anterior, and gastrocnemius (score of |

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| | | require at least 2 more days in ICU | | rehabilitation by physical and occupational therapists, available daily, session duration not reported | 30) (first awakening, ICU discharge, hospital discharge) |
| | | | n = 18 Age = 56 ± 18 50% male sex | Con = sham NMES to bilateral lower limb muscles, 1 hour daily, placement of electrodes without electricity; in addition to standard rehabilitation by physical and occupational therapists, available daily, session duration not reported | Dynamometry quadriceps, tibialis anterior, and gastrocnemius (first awakening, ICU discharge, hospital discharge) Incidence of ICU-AW (first awakening, ICU discharge, hospital discharge) Maximal inspiratory pressure (first awakening, ICU discharge, hospital discharge) FSS-ICU (first awakening, ICU discharge, hospital discharge) Maximum walked distance (ICU discharge, hospital discharge)* Number of independent ADLs (first awakening, ICU discharge, hospital discharge) ICU LOS* |

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|------------------------|-------------------|--|---|--|--|
| | | | | | ICU mortality* Hospital LOS* Hospital mortality* |
| Kho (Kho et al., 2019) | Canada RCT | adults (≥18 years old) within the first 4 days of MV and first 7 days of ICU, and able to ambulate independently before hospital admission | n = 36 Age = 60.0 16.8 75% male sex | Exp = passive, active-assisted or active cycling 30 minutes daily until ICU discharge or 28 days, whichever occurred first, median duration per session 30 (30 – 31) minutes, over 3 (2 – 6) sessions; plus routine physical therapy interventions, median duration per session 20 (7 – 31) minutes, over 5 (3 – 9) sessions | MRC-SS (first awakening, ICU discharge, hospital discharge)* Physical Function in the ICU Test Scored (hospital discharge)* Hospital mortality MV duration* |
| | | | n = 30 Age = 63.6 17.1 43.3% male sex | Con = routine physical therapy interventions available 5 days per week, including activities to maintain or increase limb range of motion and strength, in and out of bed mobility, and ambulation, median duration per session 23 (17 – 30), over 5 (3 – 9) sessions | ICU LOS* Hospital LOS* ICU mortality* Hospital mortality* Katz Index of Independence in Activities of Daily Living (ICU discharge, hospital discharge)* |
| | Greece RCT | Adults mechanically ventilated for ≥ 96 | n = 38 Age = 64 ± 12.4 | Exp = NMES to bilateral quadriceps, intense enough to cause visible contraction, 1 hour daily for 10 days; in addition to conventional and respiratory physiotherapy 45 | MRC-SS (4 th and 14 th day) MV duration ICU LOS* |

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|--|---------------|---------------------------------------|--|--|--|
| Koutsoumpa (Koutsoumpa et al., 2018) | | hours, ICU LOS ≥ 96 hours | 68% male sex | minutes daily. Conventional physiotherapy include passive, active ROM, resisted exercises, and functional mobilisation | ICU mortality* 28-day mortality 1-year mortality |
| | | | n = 42 Age = 66 ± 13.1 81% male sex | Con = Conventional and respiratory physiotherapy 45 minutes daily. Conventional physiotherapy include passive, active ROM, resisted exercises, and functional mobilisation | |
| Kurtoglu (Kurtoğlu et al., 2015) | Turkey RCT | Adult patients with COPD requiring MV | n = 15 Age = 69.93 ± 11.0 93% male sex | Exp = NMES to auxiliary respiratory muscles (pectoral major, trapezius, and latissimus dorsi), 20 minutes daily for 10 days; in addition to upper limb passive ROM exercises, 10 minutes daily for 30 days | MV duration* Short Form 36 (1st day and 30th day) Functional Independence Measure (1st day and 30th day) |
| | | | n = 15 Age = 66.06 ± 13.86 93% male sex | Con = upper limb passive ROM exercises, 10 minutes daily for 30 days | |
| Leite (Leite et al., 2018) | Brazil CCT | Adults on mechanical | n = 17 | Exp 1 = NMES of the diaphragm, intense enough to cause visible contraction, 45 minutes daily; in addition to | MRC-SS (first awakening*, ICU discharge*) |

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|--|---------------|--|---|--|--|
| | | ventilated ≥ 24 hours | Age = 41.3 ± 24.26 88.2% male sex | conventional physiotherapy once daily, session duration not reported | Maximal inspiratory pressure (on enrolment, ICU discharge) Barthel Index (ICU discharge)* Functional Status Score for the ICU (ICU discharge) MV duration* ICU LOS* Hospital LOS* |
| | | | n = 24 Age = 48.8 ± 19.69 75% male sex | Exp 2 = NMES of bilateral quadriceps, intense enough to cause visible contraction, 45 minutes daily; in addition to conventional physiotherapy once daily, session duration not reported | |
| | | | n = 26 Age = 42.4 ± 12.74 76.9% male sex | Con = conventional physiotherapy twice daily in ICU, gross motor and respiratory therapy, session duration not reported | |
| Machado (Machado et al., 2017) | Brazil RCT | Adults on MV, with Richmond Agitation Sedation Score of -2 and | n = 22 Age = 44.64 ± 19.23 72% male sex | Exp = Passive exercise on a cycle ergometer, 20 minutes daily 5 days per week, until discharge from ICU; in addition to conventional physiotherapy | MV duration* MRC-SS (first awakening*, ICU discharge*) ICU LOS* Hospital LOS* |

| | | | | | |
|-------------------------------------|----------------------|---|--|---|---|
| | | haemodynamically stable | n = 16 Age = 45.13 ± 18.91 43% male sex | Con = conventional physiotherapy, which included respiratory therapy, passive and active ROM exercises, 30 minutes twice daily, 7 days per week | ICU mortality* |
| Maffei (Maffei et al., 2017) | France RCT | Adults liver transplant recipients | n = 20 Age = 52 ± 9 80% male sex | Exp = rehabilitation protocol applied by physiotherapists, progressed patients from ROM exercises to ambulation, twice daily, 5 days per week, duration based on the functional level | MV duration* ICU LOS* Hospital LOS* |
| | | | n = 20 Age = 54 ± 9 75% male sex | Con = rehabilitation carried out under medical prescription for physiotherapist, 10-15 minutes daily, 5 days per week | |
| Martin (Martin et al., 2011) | United States RCT | Adults in ICU who have failed to wean from MV | n = 35 Age = 65.6 ± 11.7 46% male sex | Exp = inspiratory muscle training using threshold inspiratory trainer, 4 sets of 6-10 breaths daily on weekdays | Maximal inspiratory pressure (enrolment, every Monday, every spontaneous breathing trial) ICU mortality* |

| | | | | | |
|--|-----------------------|---|---|---|--|
| | | | n = 34 Age = 65.1 ± 10.7 44% male sex | Con = sham inspiratory muscle training with threshold modified threshold inspiratory training to provide minimal resistance, 4 sets of 6-10 breaths daily on weekdays | |
| McCaughey (McCaughey et al., 2019) | Australia RCT | Age ≥ 18, critically ill, mechanically ventilated | n = 10 Age = 56.5 ± 18.50 70% male sex | Exp = NMES of abdominal muscles during expiration, intense enough to cause a strong visible contraction, 30 minutes twice daily, 5 days per week until discharge from ICU, routine physiotherapy intervention not specified | MV duration ICU LOS |
| | | | n = 10 Age = 61.0 ± 17.25 50% male sex | Con = sham NMES of abdominal muscles with low current, with possible sensation but without muscle contraction, 30 minutes twice daily, 5 days per week until discharge from ICU, routine physiotherapy intervention not specified | |
| | United Kingdom RCT | Adults who have been invasively ventilated for at | n = 52 Age = 62 (46-68) | Exp = rehabilitation delivered by specialist critical care rehab team, included standardised comprehensive assessment, individually tailored program, weekly goal setting, and | MV duration* ICU LOS* ICU mortality* |

| | | | | | |
|---|--------------------------|---|--|---|---|
| McWilliams (McWilliams et al., 2018) | | least 4 days and expected to continue for at least 24 hours | 60% male sex | enhanced handover when discharged from ICU. Average session duration 38.3 minutes | MRC-SS (ICU discharge, hospital discharge)* |
| | | | n = 50 Age = 61 (47-70) 62% male sex | Con = physiotherapy assessment within 24 hours of admission, daily physiotherapy sessions on weekdays. Average session duration of 35.4 minutes. Rehabilitation is based on the clinical reasoning of individual therapists. | Grip Strength (ICU discharge, hospital discharge) Hospital LOS* Hospital mortality* 3-month mortality Barthel index (ICU discharge*, hospital discharge*, 3- and 12-month) Short Form 36 (3- and 12-month) |
| Morris (Morris et al., 2008) | United States CCT | Adults within 48 hours of intubation and 72 hours of admission to medical ICU | n = 165 Age = 54.0 ± 16.8 57% male sex | Exp = Four-level protocol, therapy based on patients' level of strength, progressed from passive ROM exercises to active transfer out of bed, 7 days per week, session duration not reported | MV duration* ICU LOS* Hospital LOS* Hospital mortality* |
| | | | n = 165 Age = 55.4 ± 16.8 | Con = passive ROM exercises daily and position changes second hourly was delivered by the bedside nurse, physical therapy to ventilated patients was permitted, frequency and session duration not reported | |

| | | | | | |
|-------------------------------------|----------------------|--|--|---|--|
| | | | 54% male sex | | |
| Morris (Morris et al., 2016) | United States RCT | Adults requiring invasive or non- invasive MV with a PaO2/FiO2 ratio < 300 | n = 150 Age = 55 ± 17 44% male sex | Exp = standardised rehabilitation therapy progressing from bed mobility to ambulation, 3 times daily, 7 days per week until hospital discharge, session duration not reported | ICU LOS* Hospital mortality* Short Performance Physical Battery (ICU discharge*, hospital discharge*, 2- 4-, and 6-month*) |
| | | | n = 150 Age = 58 ± 14 45% male sex | Con = physical therapy could be ordered as part of routine care Monday to Friday | Handgrip strength (ICU discharge*, hospital discharge*, 2-, 4-, and 6-month) Composite value of muscle strength (ICU discharge, hospital discharge, 2- 4-, and 6-month) Hospital LOS* Short Form 36 (hospital discharge, 2-, 4- , and 6-month*) Ventilator-free days at day 28* ICU free days to 28 days Hospital free days to 28 days 6-month mortality* |

| | | | | | |
|---|----------------------|--|--|---|---|
| Moss (Moss et al., 2016) | United States RCT | Adults requiring MV > 4 days | n = 59 Age = 56 ± 14 61% male sex | Exp = breathing exercises, ROM exercises, muscle strengthening, core strength, and functional mobility retraining guided by protocol. Average session duration 39.4 minutes, delivered daily up to 28 days, or until able to walk unassisted | MV duration* ICU LOS* Hosp LOS* ICU mortality* Hospital mortality* |
| | | | n = 61 Age = 49 ± 15 57% male sex | Con = breathing exercises, ROM exercises, muscle strengthening, core strength, and functional mobility retraining. Average session duration 21.8 minutes, 3 times per week up to 28 days or hospital discharge | CS-PFP-10 (1, 3- and 6-month*) Short Form 36 (1-, 3-, and 6-month*) All-cause mortality at day 28 Five times sit to stand test (1-, 3- and 6-month) Timed Up and Go Test (1, 3- and 6-month) Berg balance test (1, 3- and 6-month) 6-month mortality* |
| Nakamura (Nakamura et al., 2019) | Japan RCT | ICU patients aged ≥ 20 with expected ICU LOS of more than 3 days | n = 21 Age = 76.6 ± 11.0 66.7% male sex | Exp = physical therapist applied belt-electrode EMS applied to the abdomen, quadriceps, and ankles, stimulation intense enough to trigger muscle contractions between the belts, 20 minutes per session once per day; in additional routine rehabilitation by nurses which including ROM exercises, | MV duration* ICU LOS* Barthel Index (hospital discharge)* Hospital LOS* 28-day mortality |

| | | | | | |
|--|--------------------------|---|--|--|--|
| | | | | <p>mobilisation, and ambulation, 5-20 minutes per session 3 times per day</p> | |
| | | | <p>n = 16 Age = 74.6 68.8 % male sex</p> | <p>Con = ROM exercise, kicking stability ball, standing and ambulation exercise by physical therapists, 20 minutes per session once per day; in addition to routine rehabilitation by nurses, 5-20 minutes per session 3 times per day</p> | |
| <p>Nickels (M. R. Nickels et al., 2020)</p> | <p>Australia RCT</p> | <p>Participants in a mixed medical and surgical ICU, MV > 48 hours, expected to stay in ICU for another 48 hours or more, recruited within 96 hours of ICU admission</p> | <p>n = 36 Age = 56 18 64% male sex</p> | <p>Exp = in bed leg cycling, progressed from passive to active-assisted and then resisted, 30 minutes once daily (up to 6 days per week), in addition to routine physiotherapy intervention</p> | <p>MV duration* ICU LOS* Hospital LOS* ICU morality* Hospital mortality* MRC-SS (ICU discharge*, 1-week post ICU discharge) Handgrip strength (ICU discharge, 1-week post ICU discharge) Functional Status Score for the ICU (ICU discharge*, 1-week post ICU discharge)</p> |
| | | | <p>n = 36 Age = 57 16 72% male sex</p> | <p>Con = routine physiotherapy interventions including daily assessment of physical and respiratory status and treatment, physical treatments were directed to functional goals including sitting, standing, and mobilising</p> | |

| | | | | | |
|--|--|--|---|--|--|
| | | | | | Six-Minute Walk Test (1-week post ICU discharge) EQ-5D (6-month)* |
| Nydahl (Nydahl et al., 2019) | Germany Stepped-wedge, cluster RCT | Aged ≥ 18, order for mobilisation present | n = 120 Age = 74 (61 – 81) 54.1% male sex | Exp = during the intervention phase, training in study mobilisation protocol, inter-professional team assessing the feasibility of mobilisation using a standardised protocol, identification of mobility goals, mobilisation activities delivered by physiotherapists and nurses | ICU mortality* Hospital mortality* Ventilator-free days at day 28* |
| | | | n = 152 Age = 70 (58.0 – 79.7) 52.3% male sex | Con = during the control phase, no training in study mobilisation protocol, mobility practice based on clinicians' individual decision | |
| Pandey (Pandey et al., 2013) | India RCT | Adults admitted to ICU, previously independent with transfer to a chair | n = 59 Age = 56 ± 14 61% male sex | Exp = NMES to bilateral quadriceps and tibialis anterior, intense enough to cause visible contraction, 30 minutes to each muscle group, daily until ICU discharge; in addition to routine physiotherapy, which included passive and active- assisted movement, chest physiotherapy | Manual muscle test score for knee extensors and ankle dorsiflexors (ICU discharge) |

| | | | | | |
|--|------------------|---|---|---|---|
| | | | n = 59 Age = 56 ± 14 61% male sex | Con = routine physiotherapy included passive and active-assisted movement, chest physiotherapy, frequency, and session duration not reported | |
| Patman (Patman et al., 2001) | Australia RCT | Adults post elective or semi-urgent cardiac surgery | n = 101 Age = 62.8 ± 12.2 80% male sex | Exp = physiotherapy interventions as required, including positioning, manual hyperinflation, endotracheal suctioning, thoracic expansion exercises, and upper limb exercises while intubated, frequency and session duration not reported | MV duration* ICU LOS* Hospital LOS* |
| | | | n = 109 Age = 63.9 ± 14.4 71% male sex | Con = no physiotherapy whilst intubated | |
| Routsi (Routsi et al., 2010) | Greece RCT | Adults 24 to 48 hours after ICU admission, with an | n = 68 Age = 61 ± 19 | Exp = NMES to bilateral lower limb muscles (vastus medialis, vastus lateralis, peroneus longus), intense enough to cause visible and palpable contraction, 55 minutes daily, until discharge from ICU | MV duration* MRC-SS (on awakening) ICU LOS* ICU mortality* |

| | | | | | |
|---|---------------|--|--|---|---|
| | | APACHE II score of 13 or more | 68% male sex | | |
| | | | n = 72 Age = 58 ± 18 68% male sex | Con = no sham NMES, nil other intervention reported | |
| Sarfati (Sarfati et al., 2018) | France RCT | Adults within a cardiothoracic ICU, mechanically ventilated > 3 days | n = 72 Age = 62 (52-73) 64% male sex | Exp = tilted to 60 degrees on an electrical tilt-table for 1 hour daily; in addition to standardised rehabilitation therapy, which consisted of passive, active ROM exercises in bed, and sits out of bed at least 2 hours daily, ceased when patient able to stand up with help or discharged from ICU | MV duration* ICU LOS* ICU mortality* MRC-SS (ICU discharge*, hospital discharge*) |
| | | | n = 73 Age = 67 (54-75) 71% male sex | Con = standardised rehabilitation therapy consisted of passive, active exercises in bed and sit out of bed at least 2 hours daily, ceased when the patient can stand up with help or was discharged from ICU | Hospital LOS* Hospital mortality* |

| | | | | | |
|---|----------------------|--|---|---|---|
| Savci (Savci et al., 2011) | Turkey RCT | Patients post coronary artery bypass grafting for coronary artery disease | n = 22 Age = 62.82 ± 8.69 86% male sex | Exp = inspiratory muscle training using threshold inspiratory trainer, 30 minutes twice daily, for 10 days; in addition to standard care | MV duration* ICU LOS* Hospital LOS* Maximal inspiratory pressure (baseline, after treatment) |
| | | | n = 21 Age = 57.48 ± 11.48 90% male sex | Con = standard care physiotherapy guided by pathway, SOOB and stand day one, walk 45 m day two, walk freely day three and four, climb a set of stairs day five, session duration not reported | Maximal expiratory pressure (baseline, after treatment) Nottingham Health Profile (discharge) Six-Minute Walk Test (unknown timepoint) |
| Schaller (Schaller et al., 2016) | International RCT | Adults in surgical ICU, mechanically ventilated < 48 hours, expected further ≥ 24 hours MV; previously independent | n = 104 Age = 66 (48-73) 62% male sex | Exp = daily mobilisation goal setting, goal implementation facilitated by inter-professional closed-loop communication, progression through five levels of activities based on ability to participate, strength and functional level, session duration not reported | Mean SOMS (SICU optimal mobilisation score) level achieved in ICU ICU LOS* Mini-modified Functional Independence Measure (ICU discharge, hospital discharge)* |
| | | | n = 96 Age = 64 (45-76) | Con = mobilisation was done in line with the individual centres' practice guidelines, frequency and session duration not reported | Hospital LOS* Hospital mortality* Short Form 36 (3-month) |

| | | | | | |
|---|-----------------------------|---|--|--|--|
| | | | 63% male sex | | 3-month mortality |
| Schweickert (Schweickert et al., 2009) | United States RCT | Adults on MV < 72 hours, expected further ≥ 24 hours MV; previously independent | n = 49 Age = 57.7 (36.3-69.1) 41% male sex | Exp = therapy delivered daily by physical and occupational therapist coordinated with sedation interruption. Therapy progressed patients from passive ROM, active ROM, bed mobility exercises, transfer and gait training. Median daily treatment time 0.32 hours on MV, 0.21 hours off MV, therapy continued until hospital discharge or returned to the premorbid functional level | MV duration* ICU LOS* Handgrip strength (hospital discharge)* Hospital LOS* Hospital mortality* Independent ADLs total at ICU discharge* |
| | | | n = 55 Age = 54.4 (46.5-66.4) 58% male sex | Con = standard care, no routine physiotherapy for patients who are on MV for less than 2 weeks, median daily treatment time 0 hours on MV, 0.19 hours off MV | Barthel index (hospital discharge)* Incidence of ICU-AW (hospital discharge) Greatest walking distance (hospital discharge) Ventilator-free days at day 28* |
| Seo (Seo & Shin, 2019) | Republic of Korea RCT | ICU LOS > 5 days, previously | n = 8 Age = 67.4 ± 10.8 | Exp = exercise interventions such as strength, postural, balance, and training were performed by physical therapists, 30 minutes daily 5 times per week until ICU discharge | MV duration* ICU LOS* MRC-SS (baseline, ICU discharge*) |

| | | | | | |
|--------------------------|------------|--|---|---|---|
| | | independent with walking | 75% male sex | | Functional Status Scale for ICU (baseline, ICU discharge*) |
| | | | n = 8 Age = 66.5 ± 8.7 37.5% male sex | Con = passive, active-assisted, or resisted exercises on a bedside cycle ergometer, 30 minutes daily 5 times per week until ICU discharge | Short Form 36 (baseline, ICU discharge) |
| Shen (Shen et al., 2017) | Taiwan | Adults mechanically ventilated MV >72, fulfil criteria of sepsis | n = 18 Age = 77.5 (72-81) 67% male sex | Exp = NMES to bilateral quadriceps and biceps, intense enough to cause visible contraction, 32 minutes daily, 5 days per week | MV duration* Handgrip strength (every 3 days after enrolment) Hospital mortality* |
| | | | n = 7 Age = 78 (73-83) 29% male sex | Con = active or passive exercise of extremities, frequency, and session duration not reported | |
| | Brazil RCT | Age > 18 years old, mechanically | n = 11 | Exp = electronic inspiratory muscle training, 3 sets of 10 repetitions, resistive load initially at 30% of maximal | MV duration* |

| | | | | | |
|--|--------------------------|--|---|--|---|
| Tonella (Tonella et al., 2017) | | ventilated tracheostomised patients in the ICU, previously not requiring MV at home | median age = 58.0 73% male sex | inspiratory pressure, with a 10% increase each day, twice daily, duration of protocol not reported | Maximal inspiratory pressure (before and after training) Mortality |
| | | | n = 8 median age = 46.5 87.5% male sex | Con = intermittent nebulisation via T-piece, for progressively increasing duration, until participants have completed 48 hours of respiratory autonomy | |
| Winkelman (Winkelman et al., 2012) | United States CCT | Patients mechanically ventilated for ≥ 48 hours and expected to continue for ≥24 hours | n = 55 Age = 65 ± 13.27 53% male sex | Exp = in-bed and out-of-bed exercises assisted by research assistants, guided by protocol, average session duration 20 minutes, once daily until ICU discharge, 164 total sessions | MV duration* ICU LOS* ICU mortality* Muscle strength (ICU discharge) Katz Index of Independence in Activities of Daily Living (ICU discharge) |
| | | | n = 20 Age = 66 ± 11.03 40% male sex | Con = no routine physical therapy, nurse-initiated exercises, average session duration 17 minutes, 42 total sessions | |

| | | | | | |
|--|--|---|---|--|--|
| Winkelman (Winkelman et al., 2018) | United States RCT | Adults mechanically ventilated for 36 hours and expected further ≥ 24 hours MV | n = 25 Age = 52.68 ± 18.53 38% male sex | Exp = four-level protocol delivered by a trained nurse, therapy based on patients' level of strength, progressed from passive ROM exercises to active transfer out of bed, 20 minutes twice daily 7 days per week | MV duration* MRC-SS (day 1 and 3) Handgrip strength (day 1, day 3, ICU discharge) ICU LOS* ICU mortality* |
| | | | n = 29 Age = 59.48 ± 15.56 56% male sex | Con = same protocol as the experimental group but once daily | |
| Wolfe (Wolfe et al., 2013) | Secondary analysis of data from Schweickert (Schweickert et al., 2009) | Same as Schweickert [32] | Same as Schweickert [32] | Exp = Same as Schweickert [32] | 6-month mortality* |
| | | | Same as Schweickert [32] | Con = Same as Schweickert [32] | |
| Wollersheim (Wollersheim et al., 2019) | Germany RCT | Aged ≥ 18 with sepsis-related multiorgan | n = 33 Age = 54 (45 – 68) | Exp = muscle activating measures such as NMES and/or whole-body vibration, 20 minutes daily in ICU up to 28 days, | ICU LOS* ICU mortality* |

| | | | | | |
|--|-----------------------|---|--|--|---|
| | | dysfunction, Sequential Organ Failure Assessment (SOFA) Score ≥ 9 , previously independent with ambulation | 72.7% male sex n = 17 Age = 45 (39 – 61) 52.9% male sex | in addition to protocol-based physiotherapy, a median of 22.2 minutes per day Con = protocol-based physiotherapy, starting on the day of ICU admission, consists of an individualised approach with daily predefined goals, closed-looped feedback system to achieve the highest possible level of physiotherapeutic care, a median of 22.3 minutes per day | MRC-SS (awakening, ICU discharge, 12-month) Handgrip strength (awakening, ICU discharge, 12-month) Functional Independence Measure (ICU discharge)* |
| Wright (Wright et al., 2018) | United Kingdom RCT | Adults received invasive or non-invasive MV ≥ 48 hours | n = 150 Age = 60 \pm 16 54% male sex n = 158 Age = 64 \pm 16 63% male sex | Exp = functional training and individually tailored exercise programme, target delivery of 90 minutes daily on weekdays, split between at least 2 sessions Con = functional training and individually tailored exercise programmes, target delivery of 30 minutes daily on weekdays | MV duration* ICU LOS* ICU mortality* Functional Independence Measure (ICU discharge*, hospital discharge*, 3- and 6-month*) Modified Rivermead Mobility Index (ICU discharge) Handgrip strength (ICU discharge*, hospital discharge*, 3- and 6-month) Hospital LOS* |

| | | | | | |
|--|---------------|--|---|---|--|
| | | | | | Hospital mortality* Six-Minute Walk Test (hospital discharge) Short Form 36 (hospital discharge, 3- and 6-month) EQ-5D (hospital discharge, 3- and 6-month) 6-month mortality* |
| Yosef-Brauner (Yosef-Brauner et al., 2015) | Israel RCT | Adults mechanically ventilated for ≥ 48 hours and expected further ≥ 48 hours MV | n = 9 Age = 51.6 ± 18 33% male sex | Exp = physical therapy protocol, progressing from passive ROM, active ROM, bed mobility, sitting on the edge of the bed, transfer, and walking, twice daily, duration based on the functional level | MRC-SS (baseline, 48-72 hours after baseline, ICU discharge) Maximal Inspiratory Pressure (baseline, 48-72 hours after baseline, ICU discharge) Handgrip strength (baseline, 48-72 hours after baseline, ICU discharge) Sitting balance (baseline, 48-72 hours after baseline, ICU discharge) ICU mortality* |
| | | | n = 9 Age = 61.5 ± 12 44% male sex | Con = same protocol as the experimental group but once daily | |

*Outcome measures that were included in the meta-analysis

^This group received treatment that met exclusion criteria and was not included in the meta-analysis

Abbreviations: SD: standard deviation; Con: Control group intervention; Exp: Experimental group intervention; EMS: Electrical muscle stimulation; NMES: Neuromuscular Electrical Stimulation; SOOB: Sit out of bed; ROM: range of motion; MV: Mechanical ventilation; LOS: Length of stay; ICU: Intensive care unit; MRC-SS: Medical research council sum score; ICU-AW: Intensive care unit acquired weakness

Figures

Figure 5.1 PRISMA flow diagram.

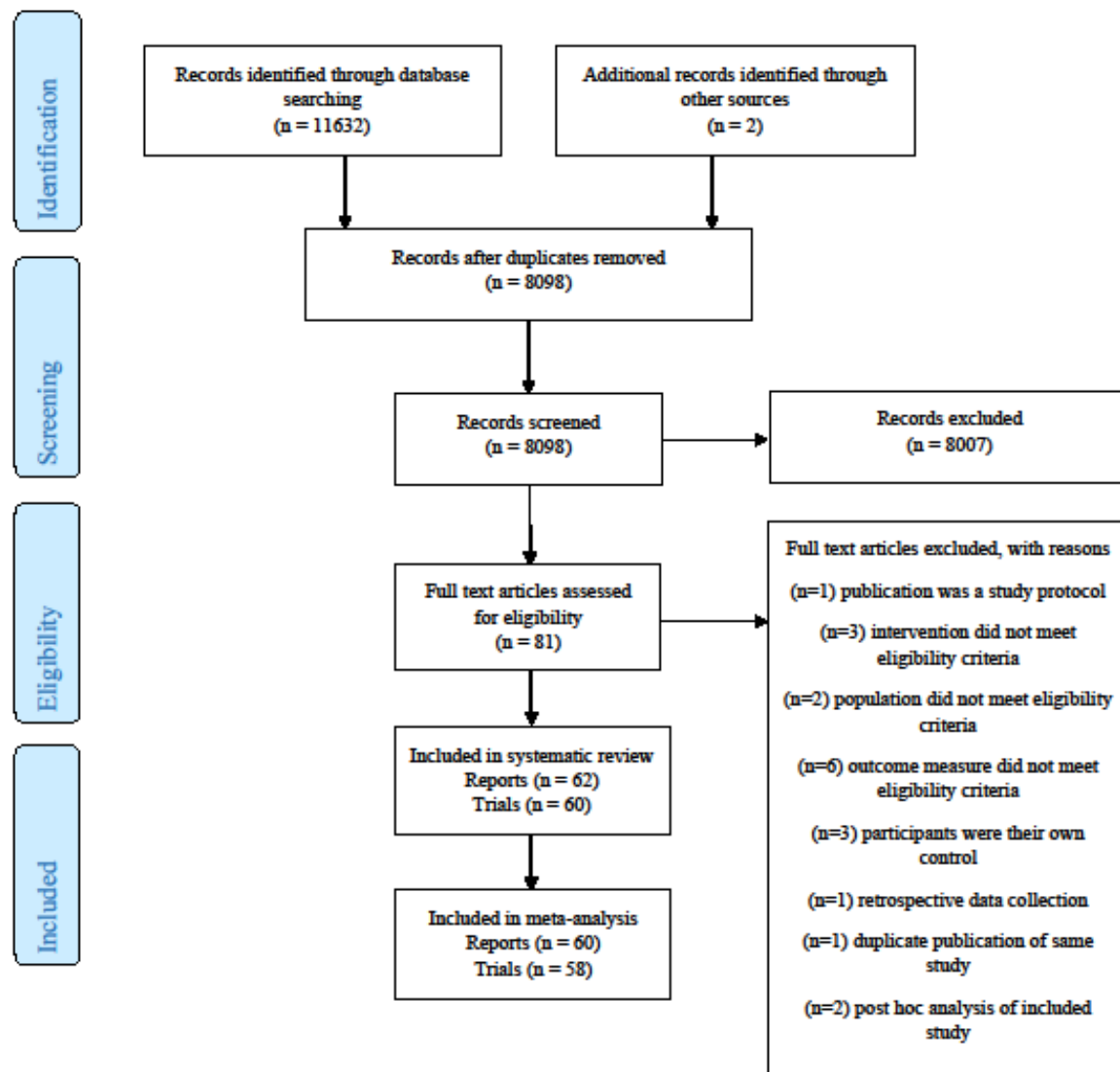


Figure 5.2 Risk of bias of RCTs assessed using RoB 2 tool.

| Study | Risk of bias domains | | | | | |
|-----------------------|----------------------|---------------|---------------|---------------|---------------|---------------|
| | D1 | D2 | D3 | D4 | D5 | Overall |
| Abu-Khaber 2013 | Low | Low | High | High | Low | High |
| Akar 2015 | Low | High | High | High | Low | High |
| Amundadottir 2019 | Low | Low | Some concerns | Low | Low | Some concerns |
| Blanchi 2018 | Low | High | High | Low | Low | High |
| Bissett 2016 | Low | Some concerns | Low | Low | Low | Some concerns |
| Brummel 2013 | Low | Low | Low | Low | Low | Some concerns |
| Burtin 2009 | Low | Low | Some concerns | Low | Low | Some concerns |
| Cader 2010 | Low | Low | Some concerns | Low | Low | Some concerns |
| Caruso 2005 | Some concerns | Low | High | Low | Low | High |
| Chang 2011 | Low | High | Low | Some concerns | Low | High |
| Condessa 2013 | Low | Low | Some concerns | Low | Some concerns | Some concerns |
| Coutinho 2016 | Low | High | High | Low | Low | High |
| Dall'Acqua 2017 | Low | Low | Some concerns | Low | Low | Some concerns |
| Dantas 2012 | Low | High | Some concerns | High | Low | High |
| Denehy 2013 | Low | Some concerns | Some concerns | Low | Some concerns | Some concerns |
| Dong 2014 | Some concerns | High | High | Some concerns | Low | High |
| Dong 2016 | Some concerns | Low | Low | Low | Low | Some concerns |
| dos Santos 2018 | Low | Low | High | Low | Low | High |
| Eggmann 2018 | Low | Low | Low | Low | Some concerns | Some concerns |
| Fischer 2016 | Low | High | Low | Low | Low | High |
| Fontes Cerqueira 2018 | Low | High | High | Low | Low | High |
| Fossat 2018 | Low | Low | Low | Low | Low | Low |
| Gama Lordello 2020 | Low | High | Low | Low | Low | High |
| Hickmann 2018 | Some concerns | Low | Low | Low | Low | Some concerns |
| Hodgson 2016 | Low | Low | Low | Low | Low | Some concerns |
| Hodgson 2020 | Low | Low | Low | Low | Low | Some concerns |
| Karatzanos 2012 | Some concerns | Low | Some concerns | High | High | High |
| Kayambu 2015 | Low | High | High | Low | Low | High |

Domains:
D1: Bias arising from the randomization process
D2: Bias due to deviations from intended intervention.
D3: Bias due to missing outcome data.
D4: Bias in measurement of the outcome.
D5: Bias in selection of the reported result.

Judgement
High
Some concerns
Low

| Study | Risk of bias domains | | | | | |
|--------------------|----------------------|------|---------------|---------------|---------------|---------------|
| | D1 | D2 | D3 | D4 | D5 | Overall |
| Kho 2015 | Low | Low | High | Low | Low | High |
| Kho 2018 | Low | Low | Some concerns | Low | Some concerns | Some concerns |
| Koutiumpu 2018 | Some concerns | High | Low | Low | Some concerns | High |
| Kurtoglu 2015 | High | High | High | High | Some concerns | High |
| Machado 2017 | Some concerns | High | High | Low | Some concerns | High |
| Maffei 2017 | Some concerns | High | Low | Low | Some concerns | High |
| Martin 2011 | Low | Low | Low | Low | Some concerns | Some concerns |
| McWilliams 2018 | Some concerns | Low | Some concerns | Low | Low | Some concerns |
| Morris 2016 | Some concerns | High | Low | Low | Some concerns | High |
| Moss 2015 | Some concerns | Low | Some concerns | Low | Some concerns | Some concerns |
| Nakamura 2019 | Low | High | Some concerns | Low | Some concerns | High |
| Nickels 2019 | Low | High | Low | Low | Low | High |
| Nydahl 2019 | Low | Low | Low | Low | Low | Low |
| Patman 2001 | Low | High | Low | Low | Some concerns | High |
| Routsis 2010 | Some concerns | High | Some concerns | Some concerns | Some concerns | High |
| Sarfati 2018 | Low | Low | Some concerns | Low | Some concerns | Some concerns |
| Savci 2011 | Low | High | Low | Low | Some concerns | High |
| Schaller 2016 | Low | Low | Low | Low | Some concerns | Some concerns |
| Schweickert 2009 | Low | Low | Low | Low | Some concerns | Some concerns |
| Seo 2019 | Some concerns | High | Low | High | Some concerns | High |
| Shen 2017 | Some concerns | High | High | High | Some concerns | High |
| Tonella 2017 | Low | High | Low | Low | Some concerns | High |
| Winkelman 2018 | Low | High | Low | Low | Some concerns | High |
| Wolfe 2013 | Low | Low | Low | Low | Some concerns | Some concerns |
| Wollersheim 2019 | Some concerns | Low | Low | High | Some concerns | High |
| Wright 2018 | Low | Low | Some concerns | Low | Low | Some concerns |
| Yosef-Brauner 2013 | Some concerns | High | Low | Some concerns | Some concerns | High |

Domains:
D1: Bias arising from the randomization process
D2: Bias due to deviations from intended intervention.
D3: Bias due to missing outcome data.
D4: Bias in measurement of the outcome.
D5: Bias in selection of the reported result.

Judgement
High
Some concerns
Low

Figure 5.3 Summary of risk of bias of RCTs assessed using RoB 2 tool

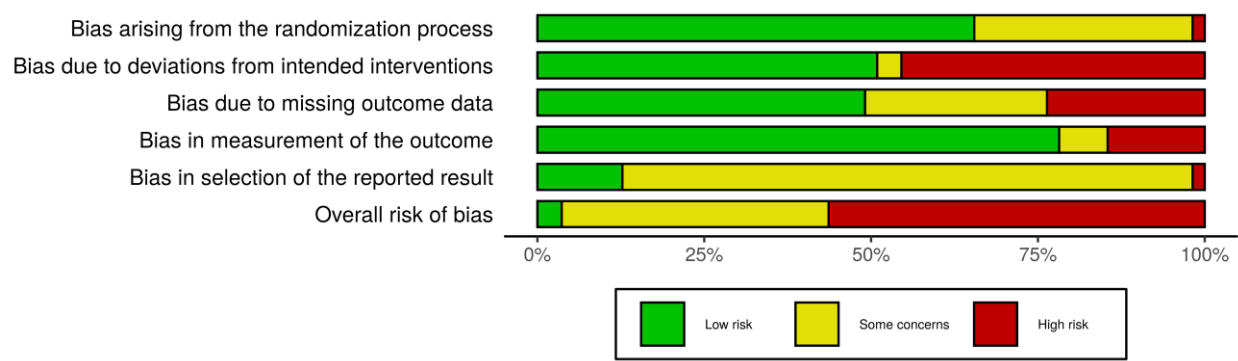


Figure 5.4 Risk of bias of controlled clinical trials assessed using ROBINS-I tool

| | | Risk of bias domains | | | | | | | |
|----------|----------------|---|----|----|----|----|----|----|---------|
| | | D1 | D2 | D3 | D4 | D5 | D6 | D7 | Overall |
| Study | Elbouhy 2014 | | | | | | | | |
| | Hanekom 2012 | | | | | | | | |
| | Leite 2018 | | | | | | | | |
| | Morris 2008 | | | | | | | | |
| | Winkelman 2012 | | | | | | | | |
| Domains: | | D1: Bias due to confounding. D2: Bias due to selection of participants. D3: Bias in classification of interventions. D4: Bias due to deviations from intended interventions. D5: Bias due to missing data. D6: Bias in measurement of outcomes. D7: Bias in selection of the reported result. | | | | | | | |
| | | Judgement | | | | | | | |
| | | Critical | | | | | | | |
| | | Serious | | | | | | | |
| | | Moderate | | | | | | | |
| | | Low | | | | | | | |
| | | No information | | | | | | | |

Figure 5.5 Meta-analysis and pooled effect sizes (raw mean difference in days) on the duration of mechanical ventilation for physical rehabilitation and standard care, with subgroup analysis according to the dosage of control therapy.

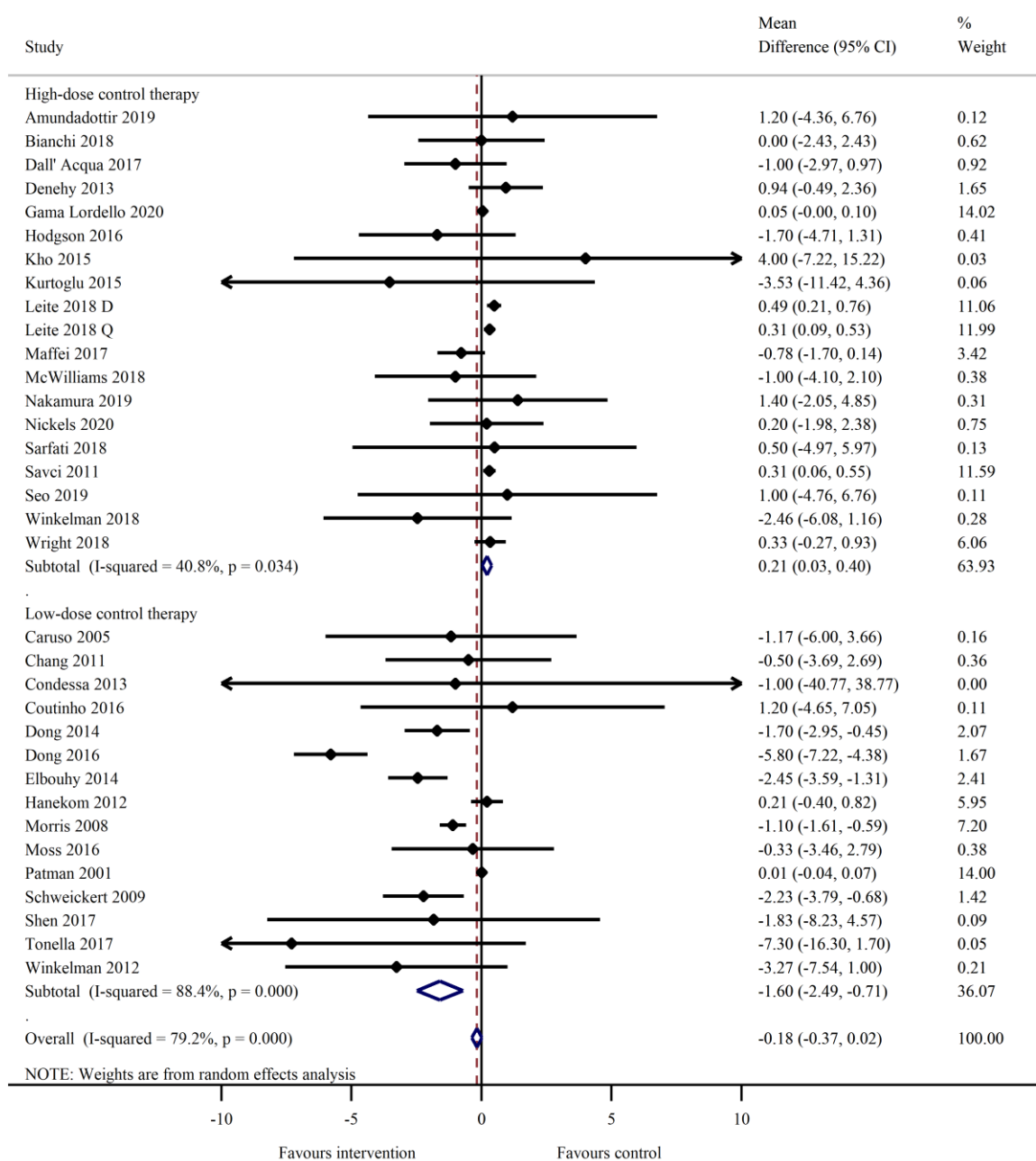


Figure 5.6 Meta-analysis and pooled effect sizes (raw mean difference in days) on the ICU LOS for physical rehabilitation and standard care, with subgroup analysis according to the type of exercise used in the intervention group.

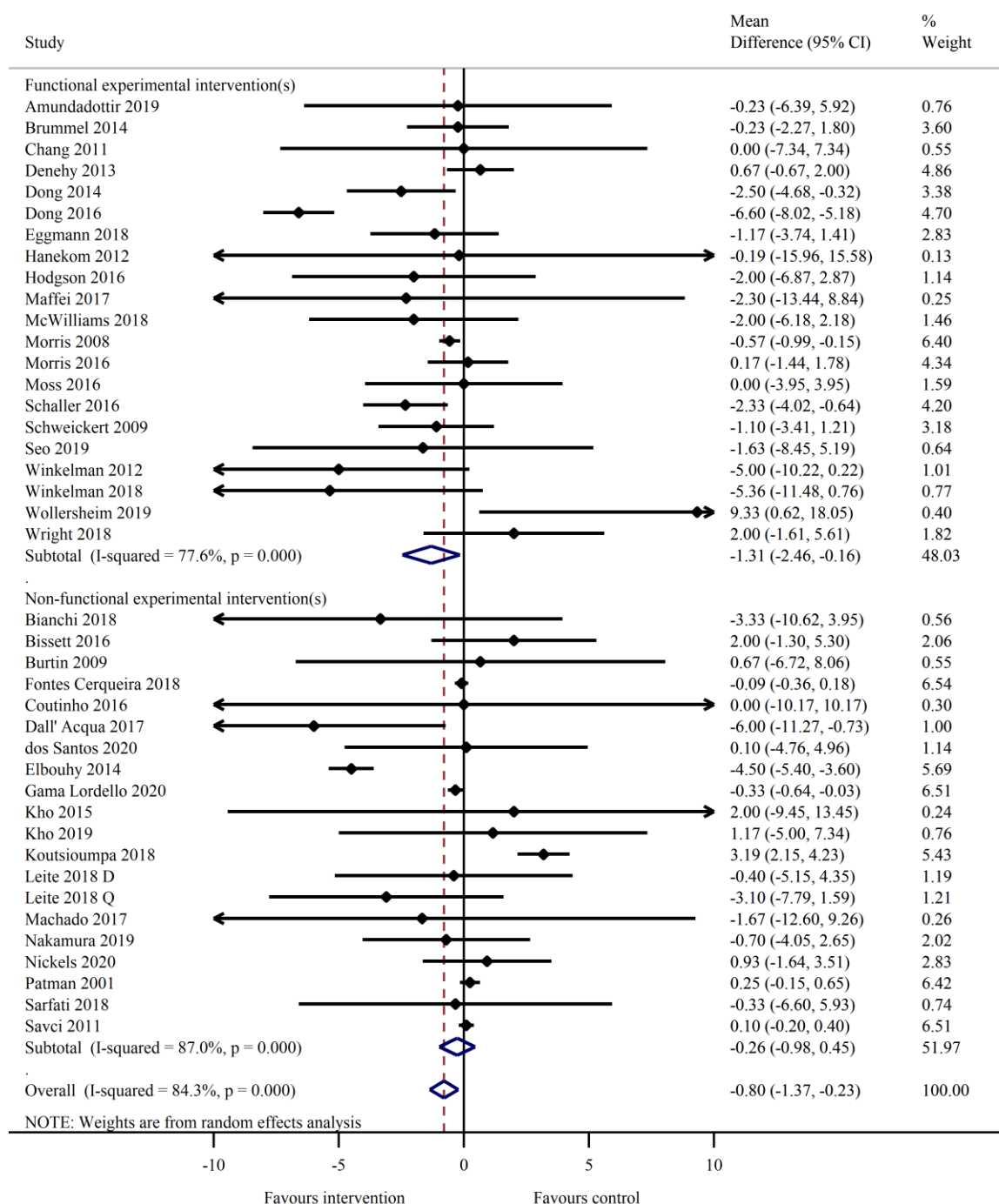


Figure 5.7 Meta-analysis and pooled effect sizes (raw mean difference in days) on the hospital LOS for physical rehabilitation and standard care, with subgroup analysis according to the dosage of control therapy.

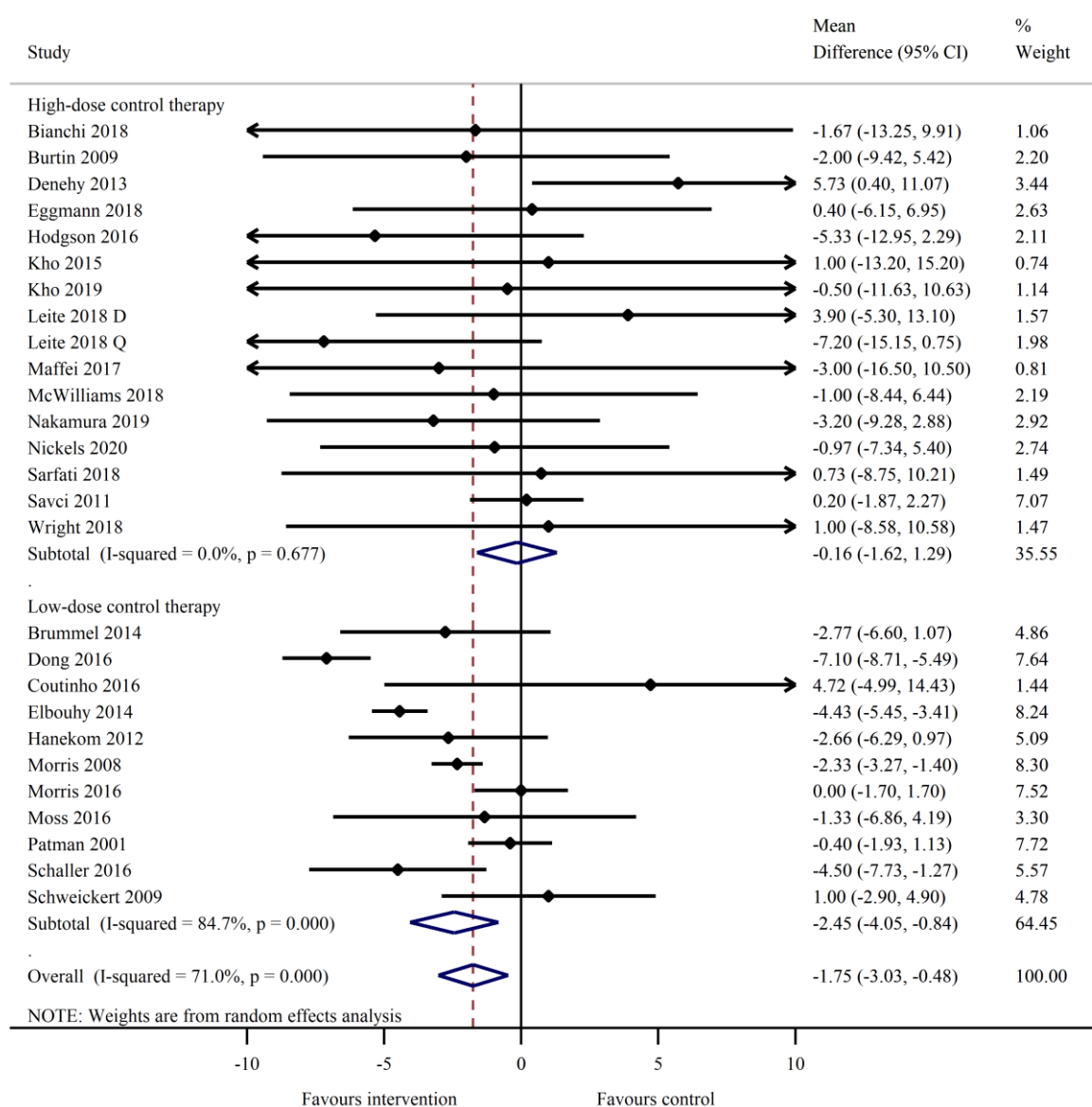


Figure 5.8 Meta-analysis and pooled effect sizes (risk difference) on the mortality outcomes for physical rehabilitation and standard care.

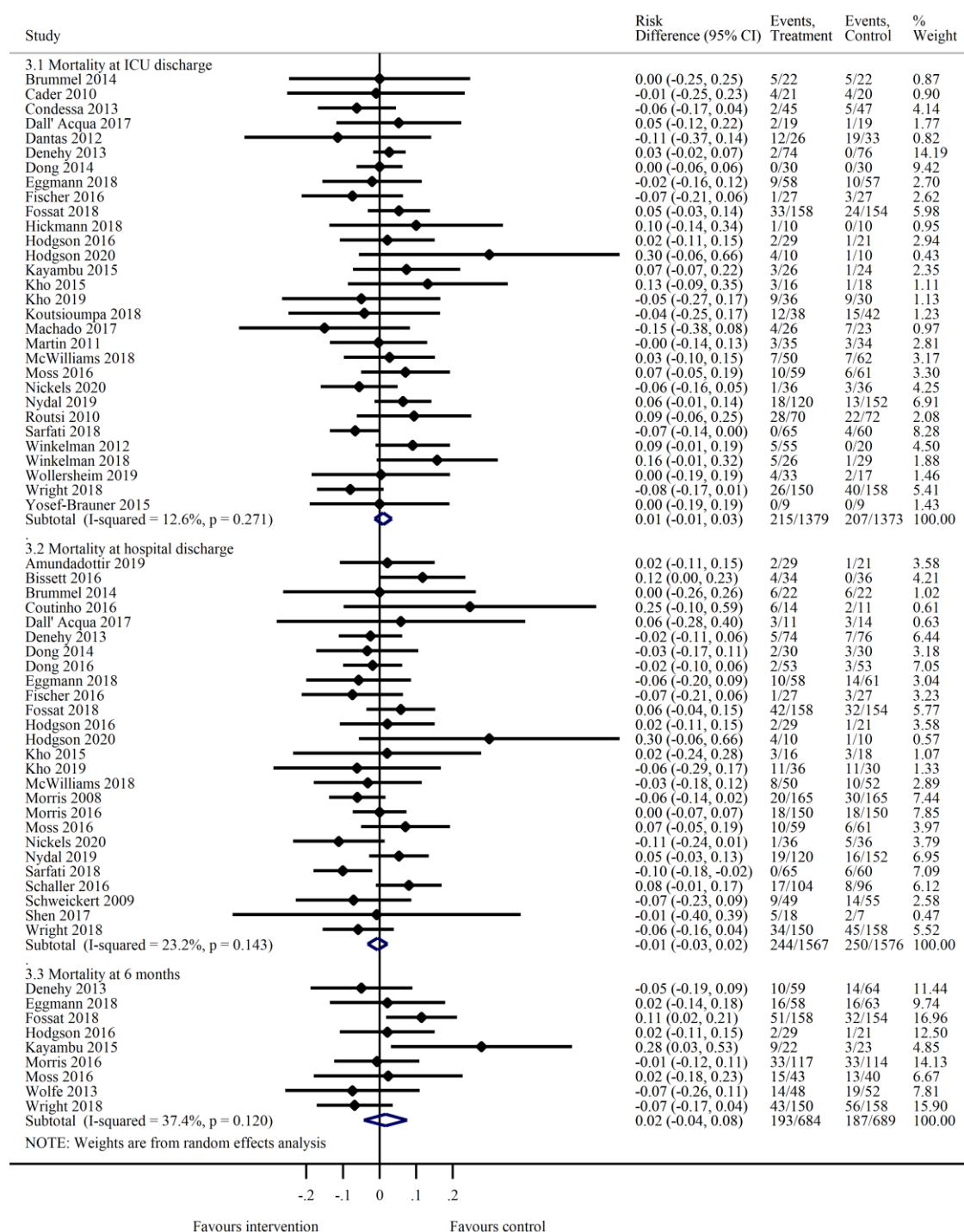


Figure 5.9 Meta-analysis and pooled effect sizes (standardised mean difference) on muscle strength outcomes for physical rehabilitation and standard care.

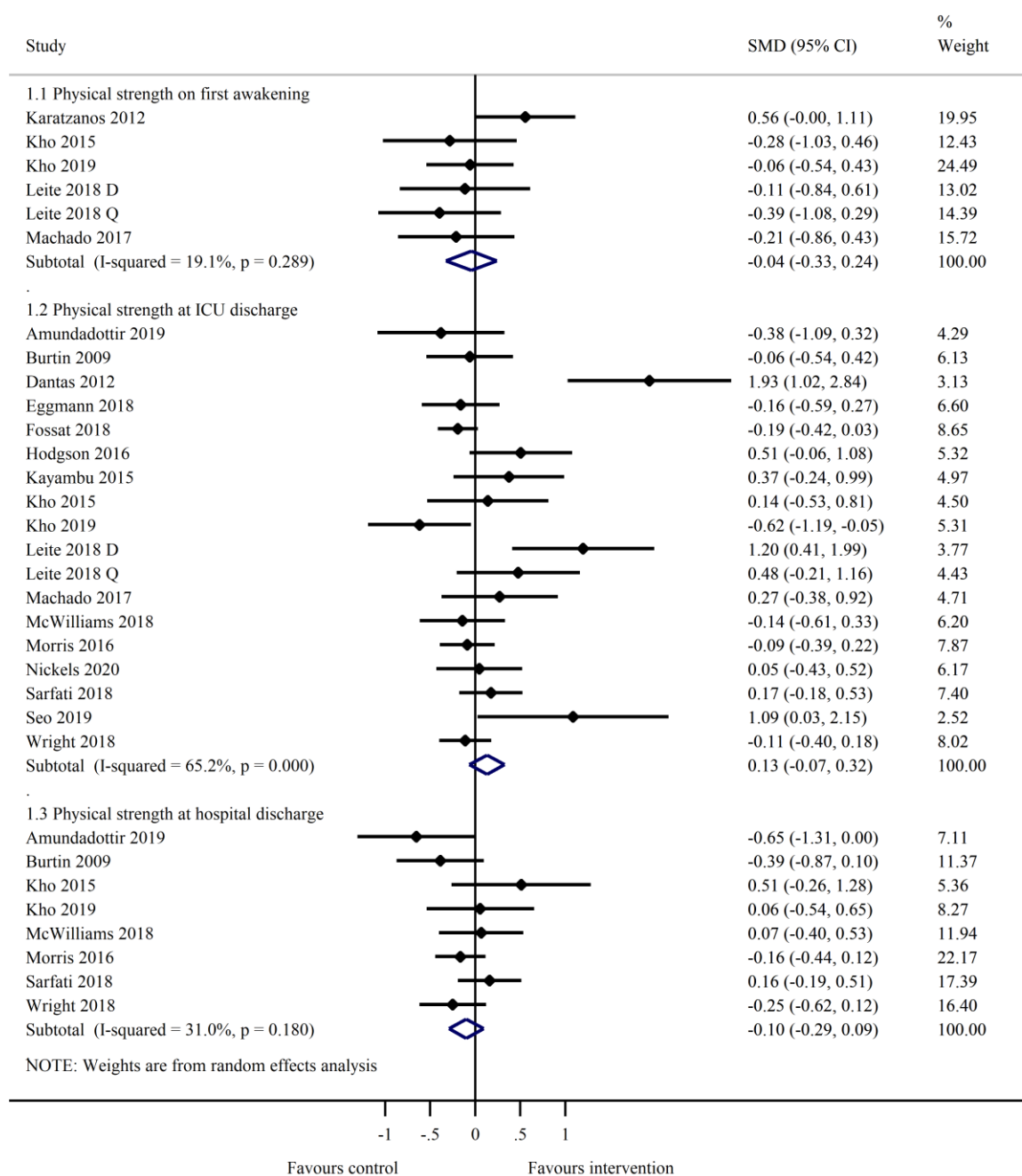


Figure 5.10 Meta-analysis and pooled effect sizes (standardised mean difference) on physical function outcomes for physical rehabilitation and standard care, at intensive care unit discharge, hospital discharge, and 6 months follow-up.

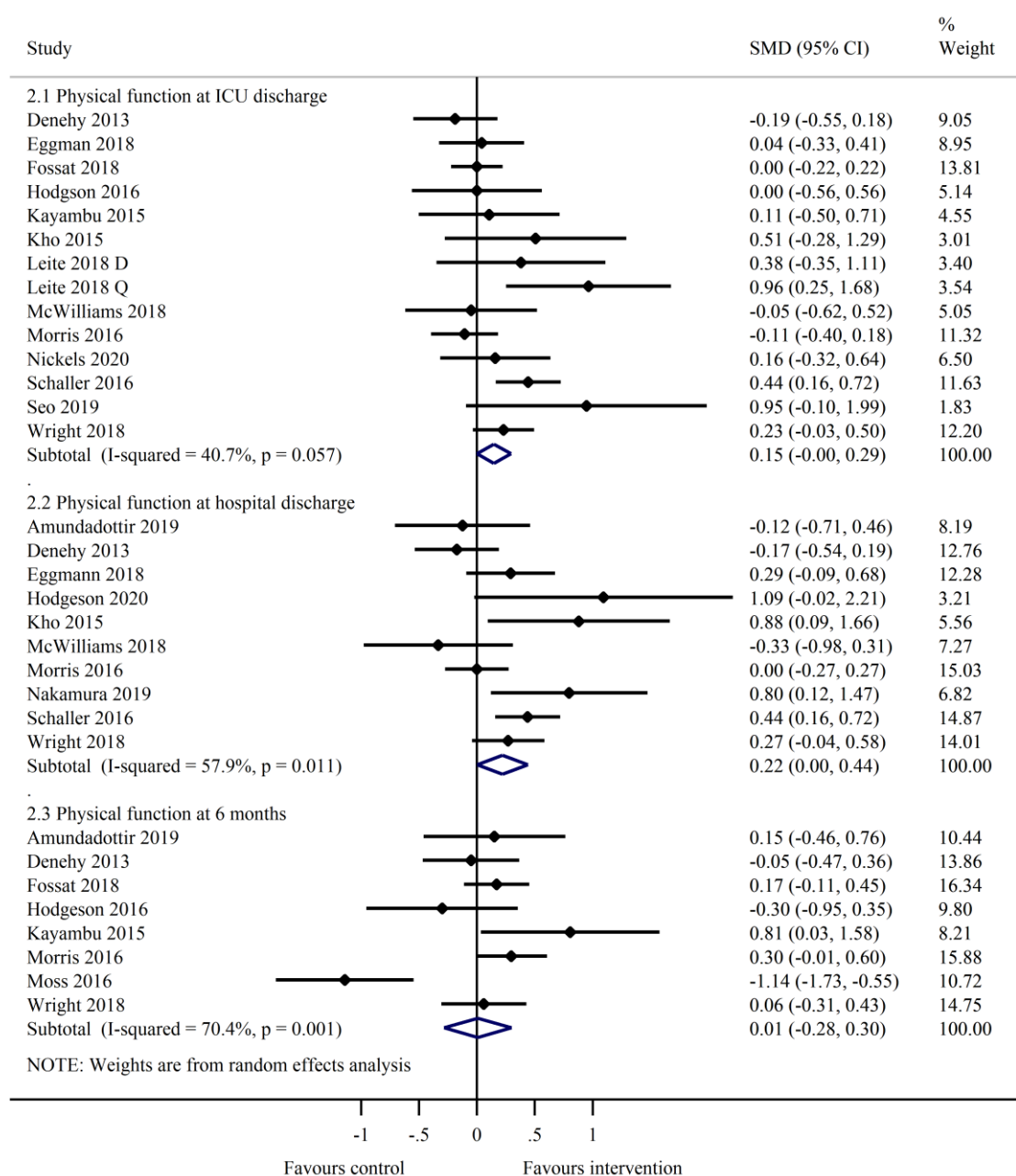


Figure 5.11 Meta-analysis and pooled effect sizes (raw mean difference in days) on MV free days to day-28 for physical rehabilitation and standard care.

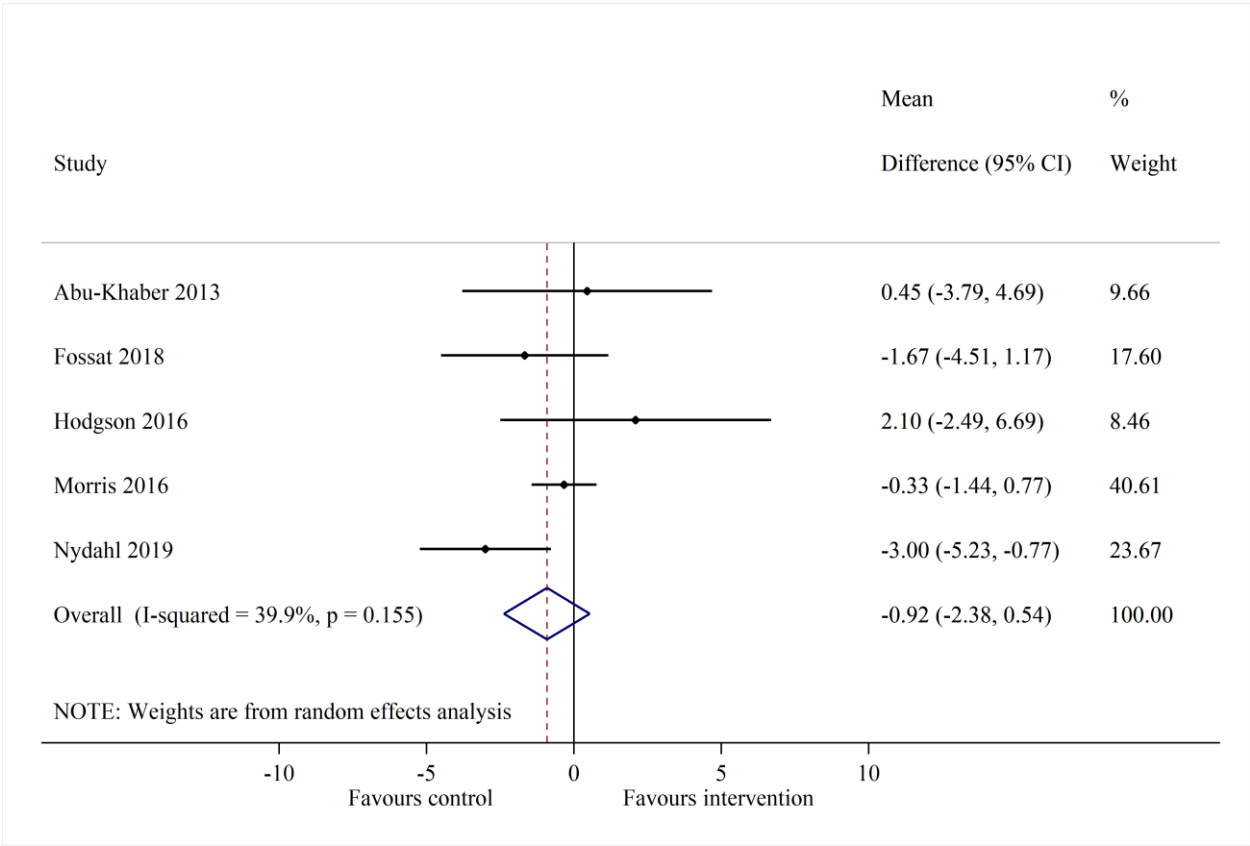
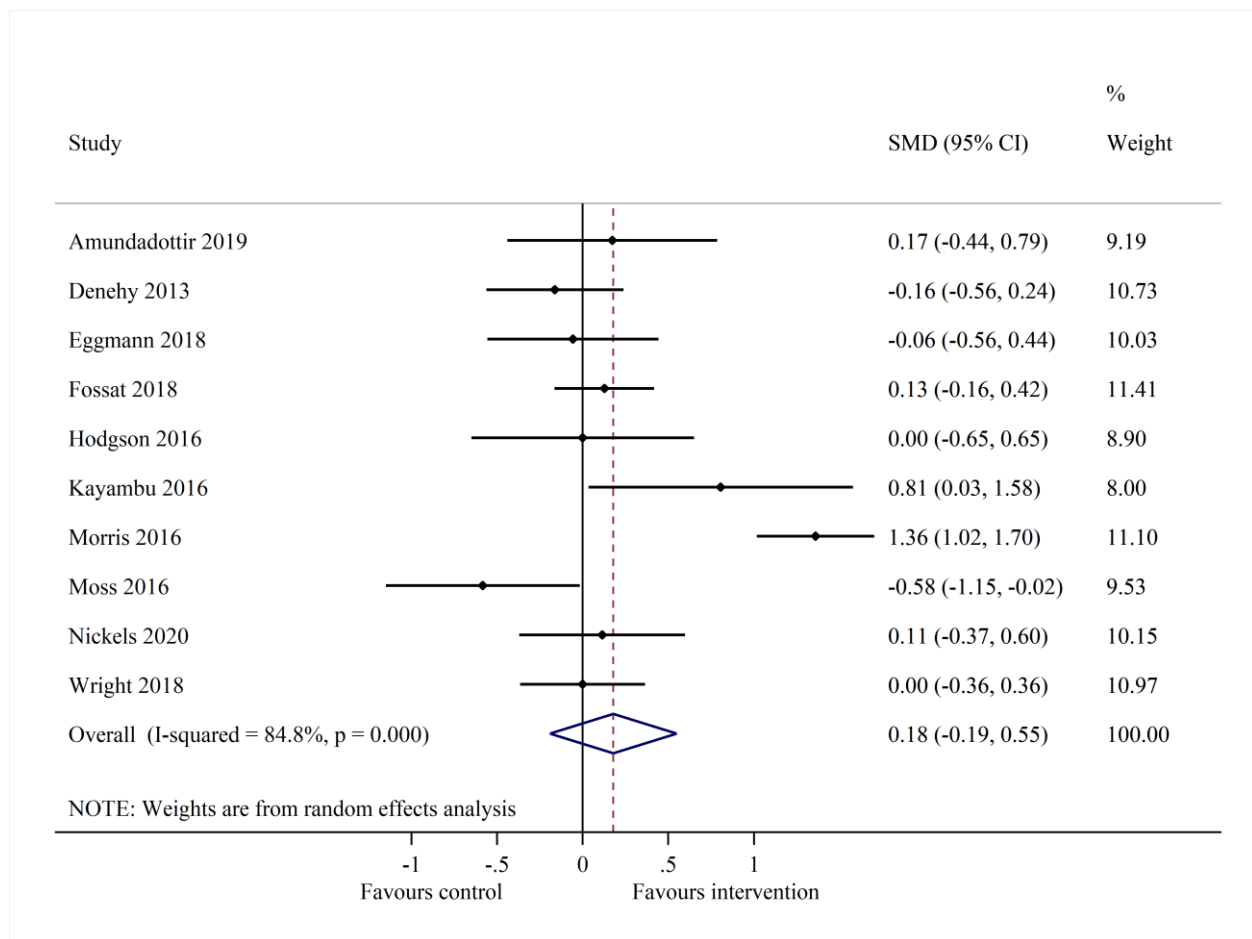


Figure 5.12 Meta-analysis and pooled effect sizes (standardised mean difference) on health-related quality of life at 6 months for physical rehabilitation and standard care.



Supplementary methods

Search strategies

CENTRAL, Cochrane Library search strategy

[mh "ICU"] OR [mh "Critical Illness"] OR [mh "Critical Care"] OR [mh "Critical Illness Polyneuropathy"] OR [mh "muscle weakness"] OR [mh "paresis"] OR [mh "MV"] OR [mh "Respiration, Artificial"] OR (critical* NEAR (ill* OR care*)):ti,ab OR "intensive NEXT care":ti,ab OR (ICU*):ti,ab OR ((critical* OR intensive) NEAR (paresis OR weakness OR *opathy)):ti,ab

AND

[mh "rehabilitation exercise"] OR [mh "Physiotherapy (Techniques)"] OR [mh "Exercise Therapy"] OR [mh "Physical Therapy Modalities"] OR [mh "Occupational Therapy"] OR mobili*:ti,ab OR exercis*:ti,ab OR (therap* NEAR (physical OR exercise OR occupation*)):ti,ab OR ((physical OR bed* OR "daily living") NEAR activit*):ti,ab OR (transfer OR training OR *gait OR walk* OR adl OR physiotherap* OR ambulat*):ti,ab OR ((cycle OR bicycle) NEAR ergomet*):ti,ab OR *musc* NEAR stimul*:ti,ab

Medline OVID search strategy

exp ICU/ OR exp critical illness/ OR exp intensive care/ OR exp critical illness polyneuropathy/ OR exp muscle weakness/ OR exp paresis/ OR exp MV/ OR critical* adj3 (ill* OR care*).tw. OR intensive adj3 care.tw. OR ICU*.tw. OR ((critical* or intensive) adj3 (paresis or weakness or myo* or neuro* or poly*)).tw.

AND

exp rehabilitation/ OR exp Physical Therapists/ or exp Physical Therapy Modalities/ OR
exp exercise therapy/ OR exp occupational therapy/ OR mobili*.tw. OR exercis*.tw. OR
therap* adj3 (physical OR exercise OR occupation*).tw. OR ((physical OR bed* OR "daily
living") adj3 activit*).tw. OR (transfer OR training OR gait OR walk* OR adl OR
physiotherap* OR ambulat*).tw. OR ((cycle OR bicycle) adj3 ergomet*).tw. OR (neuro* OR
musc*) adj3 stimul*.tw. OR exp Electric Stimulation/ or exp Electric Stimulation Therapy/
AND

exp controlled clinical trial/ OR exp clinical trial/ OR exp randomized controlled trial/ OR
exp multicenter study/

NOT

exp animal/

EMBASE search strategy

'ICU'/exp OR 'Critical Illness'/exp OR 'intensive care'/exp OR 'Critical Illness
Polyneuropathy'/exp OR 'muscle weakness'/exp OR 'paresis'/exp OR 'artificial
ventilation'/exp OR critical* NEAR/3 (ill* OR care*) OR intensive NEXT/3 care OR
(ICU*):ti,ab OR (critical* OR intensive) NEAR/3 (paresis OR weakness OR myo* OR
neuro* OR poly*)

AND

'rehabilitation'/exp OR 'physiotherapy'/exp OR 'exercise therapy'/exp OR 'occupational
therapy'/exp OR mobili*:ti,ab OR exercis*:ti,ab OR therap* NEAR/3 (physical OR exercise
OR occupation*) OR (physical OR bed* OR "daily living") NEAR/3 activit* OR (transfer OR
training OR gait OR walk* OR adl OR physiotherap* OR ambulat*):ti,ab OR (cycle OR
bicycle) NEAR/3 ergomet* OR (neuro* OR musc*) NEAR/3 stimul* OR
'electrostimulation'/exp OR 'electrotherapy'/exp

AND

'controlled study'/exp OR 'controlled clinical trial'/exp OR 'clinical trial'/exp OR 'randomized controlled trial'/exp OR 'multicenter study'/exp

NOT

'animal'/exp

CINAHL Plus (EBSCOhost) search strategy

TI (ICU*) OR TI intensive care OR TI critical* N3 (ill* or care*) OR TI (critical* OR intensive) N3 (paresis OR weakness OR myo* OR neuro* OR poly*)

AB (ICU*) OR AB intensive care OR AB critical* N3 (ill* or care*) OR AB (critical* OR intensive) N3 (paresis OR weakness OR myo* OR neuro* OR poly*)

OR (MH "ICUs+") OR (MH "Polyneuropathies+") OR (MH "Critical Care+") OR (MH "Muscle Weakness") OR (MH "Respiration, Artificial+") OR (MH "Critical Illness")

AND

(MH "Therapeutic Exercise+") OR (MH "Occupational Therapy") OR (MH "Physical Mobility") OR (MH "Electrical Stimulation, Neuromuscular") OR (MH "Electrical Stimulation, Functional") OR (MH "Ergometry") OR (MH "Physical Activity") OR (MH "Rehabilitation+") OR (MH "Physical Therapy+")

TI mobili* OR TI exercis* OR TI therap* N3 (physical OR exercise OR occupation*) OR TI (physical OR bed* OR "daily living") N3 activit* OR TI (transfer OR training OR gait OR walk* OR adl OR physiotherap* OR ambulat*) OR TI (cycle OR bicycle) N3 ergomet* OR TI (neuro* OR musc*) N3 stimul*

AB mobili* OR AB exercis* OR AB therap* N3 (physical OR exercise OR occupation*) OR AB (physical OR bed* OR "daily living") N3 activit* OR AB (transfer OR training OR gait

OR walk* OR adl OR physiotherap* OR ambulat*) OR AB (cycle OR bicycle) N3 ergomet*
OR AB (neuro* OR musc*) N3 stimul*

AND

(MH "Clinical Trials+") OR (MH "Randomized Controlled Trials+") OR (MH "Multicenter
Studies")

NOT

(MH "Animal Studies") OR (MH "Animals, Laboratory") OR (MH "Animal Physical Therapy

Data extraction

Data items included:

- a) Study design: author, publication year, the geographical location of the study, study design, patient group
- b) Participant: demographics, eligibility criteria
- c) Intervention and control: items 1 to 11 of the template for intervention description and replication (Hoffmann et al., 2014)
- d) Outcome measures: muscle strength outcomes, physical function outcomes, mortality, health-related quality of life, duration of MV, MV-free days to day 28, and ICU and hospital LOS.

Data synthesis

Group means were presented as median with Interquartile range (IQR), mean and 95% confidence interval were included. Data presented in median and range were excluded. All data were converted to mean and standard deviations. Conversion from median and IQR to

mean and the standard deviation was done using the formula proposed by Wan et al. (Wan et al., 2014).

Studies with more than two groups

Trials with more than two groups were included in the meta-analysis as guided by the Cochrane Handbook (Higgins, 2019). Where feasible, we combined all relevant groups to create a single pair-wise comparison. In studies where two intervention groups received significantly different interventions, we split the 'shared' group into two or more groups with smaller sample sizes and included independent comparisons. All groups that satisfied the inclusion and exclusion criteria were included.

Supplementary results

Characteristics of included studies

Physical rehabilitation interventions

In twenty-nine trials (Abu-Khabber et al., 2019; Bianchi et al., 2018; Bissett et al., 2016; Burtin et al., 2009; Cader et al., 2010; Caruso et al., 2005; Chang et al., 2011; Condessa et al., 2013; Coutinho et al., 2016; Dall' Acqua et al., 2017; Elbouhy et al., 2014; Fischer et al., 2016; Fontes Cerqueira et al., 2018; Gama Lordello et al., 2020; Kho et al., 2019; Kho et al., 2015; Koutsidoumpa et al., 2018; Kurtoğlu et al., 2015; Machado et al., 2017; Martin et al., 2011; McCaughey et al., 2019; Nakamura et al., 2019; M. R. Nickels et al., 2020; Pandey et al., 2013; Routsis et al., 2010; Sarfati et al., 2018; Savci et al., 2011; Shen et al., 2017; Tonella et al., 2017), the experimental therapy consisted of a single modality; in

thirteen trials (Amundadottir et al., 2019; Brummel et al., 2014; Dantas et al., 2012; Dong et al., 2016; Dong et al., 2014; Maffei et al., 2017; Morris et al., 2016; Morris et al., 2008; Moss et al., 2016; Schweickert et al., 2009; Winkelman et al., 2012; Winkelman et al., 2018; Yosef-Brauner et al., 2015) the experimental therapy was guided by a protocol; in eight trials (Denehy, Skinner, et al., 2013; Hanekom et al., 2012; Hodgson et al., 2016; Hodgson et al., 2020; McWilliams et al., 2018; Nydahl et al., 2019; Schaller et al., 2016; Wright et al., 2018) experimental therapy was individualised or goal directed, and in ten trials (Akar et al., 2017; dos Santos et al., 2020; Eggmann et al., 2018; Fossat et al., 2018; Hickmann et al., 2018; Kayambu et al., 2015; Leite et al., 2018; Patman et al., 2001; Seo & Shin, 2019; Wollersheim et al., 2019), a combination of more than one modality was used.

In twenty-six trials (Amundadottir et al., 2019; Brummel et al., 2014; Chang et al., 2011; Dantas et al., 2012; Denehy, Skinner, et al., 2013; Dong et al., 2016; Dong et al., 2014; Eggmann et al., 2018; Hanekom et al., 2012; Hodgson et al., 2016; Hodgson et al., 2020; Kayambu et al., 2015; Maffei et al., 2017; McWilliams et al., 2018; Morris et al., 2016; Morris et al., 2008; Moss et al., 2016; Nydahl et al., 2019; Sarfati et al., 2018; Schaller et al., 2016; Schweickert et al., 2009; Seo & Shin, 2019; Winkelman et al., 2012; Winkelman et al., 2018; Wright et al., 2018; Yosef-Brauner et al., 2015), the experimental intervention contained task-specific exercises, and thirty-four trials (Abu-Khaber et al., 2019; Akar et al., 2017; Bianchi et al., 2018; Bissett et al., 2016; Burtin et al., 2009; Cader et al., 2010; Caruso et al., 2005; Condessa et al., 2013; Coutinho et al., 2016; Dall' Acqua et al., 2017; dos Santos et al., 2020; Elbouhy et al., 2014; Fischer et al., 2016; Fontes Cerqueira et al., 2018; Fossat et al., 2018; Gama Lordello et al., 2020; Hickmann et al., 2018; Kho et al., 2019; Kho et al., 2015; Koutsoumpa et al., 2018; Kurtoğlu et al., 2015; Leite et al., 2018; Machado et al., 2017; Martin et al., 2011; McCaughey et al., 2019; Nakamura et al., 2019; M. R. Nickels et

al., 2020; Pandey et al., 2013; Patman et al., 2001; Routsis et al., 2010; Savci et al., 2011; Shen et al., 2017; Tonella et al., 2017; Wollersheim et al., 2019) did not.

In thirty-two trials (Akar et al., 2017; Amundadottir et al., 2019; Bianchi et al., 2018; Bissett et al., 2016; Burtin et al., 2009; Dall'Acqua et al., 2017; Dantas et al., 2012; Denehy, Skinner, et al., 2013; dos Santos et al., 2020; Eggmann et al., 2018; Fontes Cerqueira et al., 2018; Fossat et al., 2018; Gama Lordello et al., 2020; Hickmann et al., 2018; Hodgson et al., 2016; Kho et al., 2019; Kho et al., 2015; Koutsidoumpa et al., 2018; Kurtoğlu et al., 2015; Leite et al., 2018; Machado et al., 2017; Maffei et al., 2017; McWilliams et al., 2018; Nakamura et al., 2019; M. R. Nickels et al., 2020; Sarfati et al., 2018; Savci et al., 2011; Seo & Shin, 2019; Winkelman et al., 2018; Wollersheim et al., 2019; Wright et al., 2018; Yosef-Brauner et al., 2015), the control group received physical rehabilitation at least 5 times per week, compared with twenty-eight trials (Abu-Khaber et al., 2019; Brummel et al., 2014; Cader et al., 2010; Caruso et al., 2005; Chang et al., 2011; Condessa et al., 2013; Coutinho et al., 2016; Dong et al., 2016; Dong et al., 2014; Elbouhy et al., 2014; Fischer et al., 2016; Hanekom et al., 2012; Hodgson et al., 2020; Kayambu et al., 2015; Martin et al., 2011; McCaughey et al., 2019; Morris et al., 2016; Morris et al., 2008; Moss et al., 2016; Nydahl et al., 2019; Pandey et al., 2013; Patman et al., 2001; Routsis et al., 2010; Schaller et al., 2016; Schweickert et al., 2009; Shen et al., 2017; Tonella et al., 2017; Winkelman et al., 2012) where they did not, or the dosage was not described in sufficient detail.

Outcomes measures

There was wide variation in the outcome measures used, as well as their timing. The most common outcome measures for muscle strength were handgrip dynamometry and Medical Research Council Sum Score (MRC-SS); the most used physical function outcome

measures were the Functional Independence Measure (FIM) and the Barthel index. The 36-Item Short Form Health Survey (SF-36) was the most used outcome measure for HRQoL.

Pooled analysis of intervention effect

Duration of mechanical ventilation

Inclusion of data into pooled analysis

Forty-six studies (Amundadottir et al., 2019; Bianchi et al., 2018; Bissett et al., 2016; Caruso et al., 2005; Chang et al., 2011; Condessa et al., 2013; Coutinho et al., 2016; Dall'Acqua et al., 2017; Dantas et al., 2012; Denehy, Skinner, et al., 2013; Dong et al., 2016; Dong et al., 2014; dos Santos et al., 2020; Eggmann et al., 2018; Elbouhy et al., 2014; Fischer et al., 2016; Fossat et al., 2018; Gama Lordello et al., 2020; Hanekom et al., 2012; Hickmann et al., 2018; Hodgson et al., 2016; Hodgson et al., 2020; Kayambu et al., 2015; Kho et al., 2019; Kho et al., 2015; Kurtoğlu et al., 2015; Leite et al., 2018; Machado et al., 2017; Maffei et al., 2017; McCaughey et al., 2019; McWilliams et al., 2018; Morris et al., 2008; Moss et al., 2016; Nakamura et al., 2019; M. R. Nickels et al., 2020; Patman et al., 2001; Routsis et al., 2010; Sarfati et al., 2018; Savci et al., 2011; Schweickert et al., 2009; Seo & Shin, 2019; Shen et al., 2017; Tonella et al., 2017; Winkelman et al., 2012; Winkelman et al., 2018; Wright et al., 2018) reported the duration of MV. Three studies (Dantas et al., 2012; dos Santos et al., 2020; McCaughey et al., 2019) were unable to be included due to incomplete reporting of results. Hodgson et al. (Hodgson et al., 2020) reported MV duration separately for survivors and non-survivors, in median and interquartile range, so the results of the survivors and non-survivors could not be combined.

A further nine studies (Bissett et al., 2016; Eggmann et al., 2018; Fischer et al., 2016; Fossat et al., 2018; Hickmann et al., 2018; Kayambu et al., 2015; Kho et al., 2019; Machado et al., 2017; Routsis et al., 2010) were excluded from the primary pooled analysis and were included in the sensitivity analysis only. The results of six studies (Eggmann et al., 2018; Fossat et al., 2018; Hickmann et al., 2018; Kayambu et al., 2015; Kho et al., 2019; Machado et al., 2017) were significantly skewed and presented as median with interquartile range. Two studies (Fischer et al., 2016; Routsis et al., 2010) reported MV duration using the median, minimum, and maximum. In one study (Bissett et al., 2016), the experimental intervention began after participants were weaned off MV.

The control group from Leite et al. (Leite et al., 2018) was divided into two groups to allow comparison with groups that received NMES of the diaphragm (Leite 2018 D) and NMES of bilateral quadriceps (Leite 2018 Q). Thirty-four pairwise comparisons from thirty-three studies (n=2831) (Amundadottir et al., 2019; Bianchi et al., 2018; Caruso et al., 2005; Chang et al., 2011; Condessa et al., 2013; Coutinho et al., 2016; Dall' Acqua et al., 2017; Denehy, Skinner, et al., 2013; Dong et al., 2016; Dong et al., 2014; Elbouhy et al., 2014; Gama Lordello et al., 2020; Hanekom et al., 2012; Hodgson et al., 2016; Kho et al., 2015; Kurtoğlu et al., 2015; Leite et al., 2018; Maffei et al., 2017; McWilliams et al., 2018; Morris et al., 2008; Moss et al., 2016; Nakamura et al., 2019; M. R. Nickels et al., 2020; Patman et al., 2001; Sarfati et al., 2018; Savci et al., 2011; Schweickert et al., 2009; Seo & Shin, 2019; Shen et al., 2017; Tonella et al., 2017; Winkelman et al., 2012; Winkelman et al., 2018; Wright et al., 2018) were included in the primary pooled analysis, with all units of measurement converted to days.

Sensitivity analysis

Sensitivity analysis including studies excluded from the primary pooled analysis showed a reduction of MV duration favouring the intervention group (mean difference, 95% confidence interval) (-1.03, 95% CI: -1.75 to -0.30). Five CCTs contributed to the primary pooled analysis, subgroup analysis involving the RCTs only showed that physical rehabilitation resulted in a decrease in the duration of MV (mean difference, 95% confidence interval) (-0.27, 95% CI: -0.50 to -0.04).

Subgroup analysis and meta-regression

Meta-regression analyses demonstrated a moderating effect of the intervention on this outcome by the presence of low-dose control group therapy. Physical rehabilitation was more effective in these studies, with a further 1.58-day reduction in duration of MV (meta-regression coefficient, 95% confidence interval, p-value) (-1.58, 95% CI: -2.70 to -0.48, $p=0.006$).

Meta-regression examining the moderating effect of functional experimental intervention did not reach statistical significance (meta-regression coefficient, 95% confidence interval, p-value) (-0.94, 95% CI: -2.15 to 0.27, $p=0.123$). However, the magnitude of the point estimate of this interaction effect was beyond the magnitude that would be considered a minimum clinically significant difference.

Further subgroup analysis combining exercise intervention type and the amount of physical rehabilitation available to control group participants demonstrated an interaction effect. The effect of the intervention on MV duration was magnified if the intervention group received functional exercises and the control group received low-dose physical rehabilitation, with a

reduction of 1.82 days. (mean difference, 95% confidence interval) (-1.82, 95% CI: -3.14 to -0.49). In contrast, in studies where the intervention group received non-functional exercises only, and if physical rehabilitation was available to the control group at least 5 times a week, the intervention resulted in a longer duration of MV (mean difference, 95% confidence interval) (0.25, 95% CI: 0.05 to 0.44). In meta-regression analysis, the control group receiving low-dose physical rehabilitation was a significant effect modifier after accounting for the effect of having functional experimental interventions, with a further 1.43-day reduction in duration of MV (meta-regression coefficient, 95% confidence interval, p-value) (-1.43, 95% CI: -2.6 to -0.26, p=0.018).

ICU length of stay

Inclusion of data into pooled analysis

Forty-seven studies (Akar et al., 2017; Amundadottir et al., 2019; Bianchi et al., 2018; Bissett et al., 2016; Brummel et al., 2014; Burtin et al., 2009; Chang et al., 2011; Coutinho et al., 2016; Dall'Acqua et al., 2017; Dantas et al., 2012; Denehy, Skinner, et al., 2013; Dong et al., 2016; Dong et al., 2014; dos Santos et al., 2020; Eggmann et al., 2018; Elbouhy et al., 2014; Fischer et al., 2016; Fontes Cerqueira et al., 2018; Gama Lordello et al., 2020; Hanekom et al., 2012; Hickmann et al., 2018; Hodgson et al., 2016; Hodgson et al., 2020; Kayambu et al., 2015; Kho et al., 2019; Kho et al., 2015; Koutsidoumpa et al., 2018; Leite et al., 2018; Machado et al., 2017; Maffei et al., 2017; McWilliams et al., 2018; Morris et al., 2016; Morris et al., 2008; Moss et al., 2016; Nakamura et al., 2019; M. R. Nickels et al., 2020; Patman et al., 2001; Routsis et al., 2010; Sarfati et al., 2018; Savci et al., 2011; Schaller et al., 2016; Schweickert et al., 2009; Seo & Shin, 2019; Winkelman et al., 2012; Winkelman et al., 2018; Wollersheim et al., 2019; Wright et al., 2018) reported

the ICU LOS. One study (Dantas et al., 2012) was unable to be included due to incomplete reporting of results. Hodgson et al. (Hodgson et al., 2020) reported ICU LOS separately for survivors and non-survivors, in median and interquartile range, so the results of the survivors and non-survivors could not be combined.

Five further studies (Akar et al., 2017; Fischer et al., 2016; Hickmann et al., 2018; Kayambu et al., 2015; Routsis et al., 2010) were excluded from the primary pooled analysis and included in the sensitivity analysis only. Three studies (Akar et al., 2017; Fischer et al., 2016; Routsis et al., 2010) reported LOS using the median, minimum, and maximum. In two studies (Hickmann et al., 2018; Kayambu et al., 2015), the results were significantly skewed and presented as median with interquartile range. The control group from Leite et al. (Leite et al., 2018) was divided into two groups to allow comparison with groups that received NMES of the diaphragm (Leite 2018 D) and NMES of bilateral quadriceps (Leite 2018 Q). Dos Santos et al. (dos Santos et al., 2020) presented data from four groups: passive bed exercises, active bed exercises, NMES, and NMES plus active bed exercises. The groups were combined to form a single pairwise comparison to examine the main effect of NMES and NMES plus active bed exercises, comparing to passive and active exercises bed exercises without NMES.

Forty-one pairwise comparisons from forty studies (n=3804) (Amundadottir et al., 2019; Bianchi et al., 2018; Bissett et al., 2016; Brummel et al., 2014; Burtin et al., 2009; Chang et al., 2011; Coutinho et al., 2016; Dall' Acqua et al., 2017; Denehy, Skinner, et al., 2013; Dong et al., 2016; Dong et al., 2014; dos Santos et al., 2020; Eggmann et al., 2018; Elbouhy et al., 2014; Fontes Cerqueira et al., 2018; Gama Lordello et al., 2020; Hanekom et al., 2012; Hodgson et al., 2016; Kho et al., 2019; Kho et al., 2015; Koutsioumpa et al., 2018; Leite et al., 2018; Machado et al., 2017; Maffei et al., 2017; McWilliams et al., 2018;

Morris et al., 2016; Morris et al., 2008; Moss et al., 2016; Nakamura et al., 2019; M. R. Nickels et al., 2020; Patman et al., 2001; Sarfati et al., 2018; Savci et al., 2011; Schaller et al., 2016; Schweickert et al., 2009; Seo & Shin, 2019; Winkelman et al., 2012; Winkelman et al., 2018; Wollersheim et al., 2019; Wright et al., 2018) were included in the primary pooled analysis, with all units of measurement converted to days.

Sensitivity analysis

Sensitivity analysis including studies excluded from the primary pooled analysis showed a reduction of -0.86 days (mean difference, 95% confidence interval) (0.86, 95%CI: -1.45 to 0.26). Six pairwise comparisons from five CCTs contributed to the primary pooled analysis, in a subgroup analysis of the RCTs only, the intervention no longer had a significant effect on LOS in the ICU (mean difference, 95% confidence interval) (-0.45, 95% CI: -1.01 to 0.10).

Subgroup analysis and meta-regression

Meta-regression analyses demonstrated a moderating effect of the intervention on this outcome by the presence of low-dose control group therapy. Physical rehabilitation was more effective in these studies, with a further 1.82-day reduction in ICU LOS (meta-regression coefficient, 95% confidence interval, p-value) (-1.82, 95% CI: -3.41 to -0.24, p=0.026).

Meta-regression examining the moderating effect of function experimental intervention did not reach statistical significance (meta-regression coefficient, 95% confidence interval, p-value) (-0.96, 95% CI: -2.65 to 0.73, p=0.256). However, the magnitude of the point

estimate of this interaction effect was beyond the magnitude that would be considered a minimum clinically significant difference.

Further subgroup analysis combining exercise intervention type and control group therapy dosage was done to assess the interaction effect of the two study characteristics. The positive effect of the intervention on ICU LOS was magnified if the intervention group received functional exercises and if the control group received low-dose physical rehabilitation, with a reduction of 1.84 days (mean difference, 95% confidence interval) (-1.84, 95% CI: -3.44 to -0.25). Meanwhile, the effect of treatment was not significant in studies where the intervention group received non-functional exercises only or if physical rehabilitation was available to the control group at least 5 times a week. In meta-regression analysis, the control group receiving low-dose physical rehabilitation was not a significant effect modifier after accounting for the effect of having functional experimental interventions (meta-regression coefficient, 95% confidence interval, p-value) (-1.71, 95% CI: -3.5 to 0.09, $p=0.062$). However, the magnitude of the point estimate of this interaction effect was beyond the magnitude that would be considered a minimum clinically significant difference.

Hospital length of stay

Inclusion of data into pooled analysis

Thirty-three studies (Amundadottir et al., 2019; Bianchi et al., 2018; Brummel et al., 2014; Burtin et al., 2009; Coutinho et al., 2016; Dantas et al., 2012; Denehy, Skinner, et al., 2013; Dong et al., 2016; Eggmann et al., 2018; Elbouhy et al., 2014; Fischer et al., 2016; Gama Lordello et al., 2020; Hanekom et al., 2012; Hodgson et al., 2016; Hodgson et al., 2020; Kayambu et al., 2015; Kho et al., 2019; Kho et al., 2015; Leite et al., 2018; Machado et al.,

2017; Maffei et al., 2017; McWilliams et al., 2018; Morris et al., 2016; Morris et al., 2008; Moss et al., 2016; Nakamura et al., 2019; M. R. Nickels et al., 2020; Patman et al., 2001; Sarfati et al., 2018; Savci et al., 2011; Schaller et al., 2016; Schweickert et al., 2009; Wright et al., 2018) reported the hospital LOS. One study (Dantas et al., 2012) was unable to be included due to incomplete reporting of results. Hodgson et al. (Hodgson et al., 2020) reported Hospital LOS separately for survivors and non-survivors, in median and interquartile range, so the results of the survivors and non-survivors could not be combined. Five further studies were excluded from the primary pooled analysis and included in the sensitivity analysis only. In four studies (Amundadottir et al., 2019; Gama Lordello et al., 2020; Kayambu et al., 2015; Machado et al., 2017) the results were significantly skewed and presented as median with interquartile range. One study (Fischer et al., 2016) reported LOS using the median, minimum, and maximum.

The control group from Leite et al. (Leite et al., 2018) was divided into two groups to allow comparison with groups that received NMES of the diaphragm (Leite 2018 D) and NMES of bilateral quadriceps (Leite 2018 Q).

Twenty-seven pairwise comparisons from twenty-six studies (n=2845) (Bianchi et al., 2018; Brummel et al., 2014; Burtin et al., 2009; Coutinho et al., 2016; Denehy, Skinner, et al., 2013; Dong et al., 2016; Eggmann et al., 2018; Elbouhy et al., 2014; Hanekom et al., 2012; Hodgson et al., 2016; Kho et al., 2019; Kho et al., 2015; Leite et al., 2018; Maffei et al., 2017; McWilliams et al., 2018; Morris et al., 2016; Morris et al., 2008; Moss et al., 2016; Nakamura et al., 2019; M. R. Nickels et al., 2020; Patman et al., 2001; Sarfati et al., 2018; Savci et al., 2011; Schaller et al., 2016; Schweickert et al., 2009; Wright et al., 2018) were included in the primary pooled analysis, with all units of measurement converted to days.

Sensitivity analysis

Sensitivity analysis including studies excluded from the primary pooled analysis showed a reduction of 1.62 days (mean difference, 95% confidence interval) (1.62, 95% CI: 2.91 to 0.34). Five pairwise comparisons from four CCTs contributed to the primary pooled analysis, in a subgroup analysis of the RCTs only, the intervention no longer had a significant effect on hospital LOS (mean difference, 95% confidence interval) (-1.21, 95% CI: -2.91 to 0.49).

Subgroup analysis and meta-regression

Meta-regression analyses examining the moderating effect of the intervention on this outcome by the presence of low-dose control group therapy did not reach statistical significance (meta-regression coefficient, 95% confidence interval, p-value) (-2.00, 95% CI: -4.73 to 0.73, $p=0.144$). However, the magnitude of the point estimate of this interaction effect was beyond the magnitude that would be considered a minimum clinically significant difference.

Meta-regression analysis examining the moderating effect of functional experimental interventions did not reach statistical significance (meta-regression coefficient, 95% confidence interval, p-value) (-0.59, 95% CI: -3.43 to 2.26, $p=0.675$).

Further subgroup analysis combining exercise intervention type and control group therapy dosage was done to assess the interaction effect of the two study characteristics. The positive effect of the intervention on hospital LOS was magnified if the intervention group received functional exercises and if the control group received a low-dose physical rehabilitation, with a reduction of 2.63 days (mean difference, 95% confidence interval)

(-2.63, 95% CI: -4.69 to -0.57). Meanwhile, the effect of treatment was not significant in studies where the intervention group received non-functional exercises only, or if physical rehabilitation was available to the control group at least 5 times a week. In meta-regression analysis, the control group receiving low-dose physical rehabilitation was not a significant effect modifier after accounting for the effect of having functional experimental interventions (meta-regression coefficient, 95% confidence interval, p-value) (-1.99, 95% CI: -4.96 to 0.97, $p=0.118$). However, the magnitude of the point estimate of this interaction effect was beyond the magnitude that would be considered a minimum clinically significant difference.

Mortality

Sensitivity and subgroup analysis

Sensitivity analysis was not performed because all studies that reported mortality data were included in the primary pooled analysis. Two CCTs contributed to the pooled analysis, subgroup analysis involving the RCTs only did not alter the result.

At 6 months, mortality outcome in the subgroup with non-functional experimental interventions favoured the control group (risk difference, 95% confidence interval) (0.11, 95% CI: 0.02 to 0.21). However, this subgroup only had one study (Fossat et al., 2018) at this time point. There were no differences between groups for any of the other subgroup analyses.

Muscle strength

Inclusion of data into pooled analysis

Six trials (Karatzanos et al., 2012; Kho et al., 2019; Kho et al., 2015; Leite et al., 2018; Machado et al., 2017; Routsis et al., 2010) reported the muscle strength on first awakening. Karatzanos et al. (Karatzanos et al., 2012) and Routsis et al. (Routsis et al., 2010) reported data from the same trial. Routsis et al. reported strength on awakening in the median, minimum, and maximum. Therefore, data from Karatzanos et al. were included. The control group from Leite et al. [66] was divided into two groups to allow comparison with groups that received NMES of the diaphragm (Leite 2018 D) and NMES of bilateral quadriceps (Leite 2018 Q). Six pairwise comparisons from five trials (n=252) (Karatzanos et al., 2012; Kho et al., 2019; Kho et al., 2015; Leite et al., 2018; Machado et al., 2017) were included in the primary pooled analysis for muscle strength on first awakening.

Twenty trials (Amundadottir et al., 2019; Burtin et al., 2009; Dantas et al., 2012; Eggmann et al., 2018; Fischer et al., 2016; Fossat et al., 2018; Hodgson et al., 2016; Kayambu et al., 2015; Kho et al., 2019; Kho et al., 2015; Leite et al., 2018; Machado et al., 2017; McWilliams et al., 2018; Morris et al., 2016; Pandey et al., 2013; Sarfati et al., 2018; Seo & Shin, 2019; Winkelman et al., 2012; Wright et al., 2018; Yosef-Brauner et al., 2015) reported at least one muscle strength outcome at ICU discharge. Four trials (Fischer et al., 2016; Pandey et al., 2013; Winkelman et al., 2012; Yosef-Brauner et al., 2015) were unable to be included due to incomplete reporting of results. The control group from Leite et al. [66] was divided into two groups to allow comparison with groups that received NMES of the diaphragm (Leite 2018 D) and NMES of bilateral quadriceps (Leite 2018 Q). Seventeen pairwise comparisons from sixteen trials (n=1366) (Amundadottir et al., 2019; Burtin et al., 2009; Dantas et al., 2012; Eggmann et al., 2018; Fossat et al., 2018; Hodgson et al., 2016; Kayambu et al., 2015; Kho et al., 2019; Kho et al., 2015; Leite et al., 2018; Machado et al., 2017; McWilliams

et al., 2018; Morris et al., 2016; Sarfati et al., 2018; Seo & Shin, 2019; Wright et al., 2018) were included in the primary pooled analysis for muscle strength at ICU discharge.

Twelve trials (Amundadottir et al., 2019; Burtin et al., 2009; Fischer et al., 2016; Kho et al., 2019; Kho et al., 2015; McWilliams et al., 2018; Morris et al., 2016; M. R. Nickels et al., 2020; Sarfati et al., 2018; Schweickert et al., 2009; Wright et al., 2018; Yosef-Brauner et al., 2015) reported at least one muscle strength outcome at hospital discharge. Two trials (Fischer et al., 2016; Yosef-Brauner et al., 2015) were unable to be included due to incomplete reporting of results. One trial (Schweickert et al., 2009) was excluded from the primary pooled analysis and included in the sensitivity analysis only, as the results were significantly skewed and presented as median with interquartile range. Nine pairwise comparisons from nine randomised controlled trials (n=751) (Amundadottir et al., 2019; Burtin et al., 2009; Kho et al., 2019; Kho et al., 2015; McWilliams et al., 2018; Morris et al., 2016; M. R. Nickels et al., 2020; Sarfati et al., 2018; Wright et al., 2018) were included in the primary pooled analysis for muscle strength at hospital discharge.

Sensitivity and subgroup analysis

Sensitivity analysis including the study (Schweickert et al., 2009) with the skewed data did not change the pooled result at hospital discharge (standardised mean difference, 95% confidence interval) (-0.02, 95% CI: -0.21 to 0.18). Sensitivity analysis excluding CCTs did not change the outcome at any of the time points. There were no differences between groups for any of the subgroup analyses.

Physical function

Inclusion of data into pooled analysis

Twenty-one (Amundadottir et al., 2019; Burtin et al., 2009; Denehy, Skinner, et al., 2013; Eggmann et al., 2018; Fischer et al., 2016; Fossat et al., 2018; Hodgson et al., 2016; Kayambu et al., 2015; Kho et al., 2019; Kho et al., 2015; Leite et al., 2018; McWilliams et al., 2018; Morris et al., 2016; M. R. Nickels et al., 2020; Schaller et al., 2016; Schweickert et al., 2009; Seo & Shin, 2019; Winkelman et al., 2012; Wollersheim et al., 2019; Wright et al., 2018; Yosef-Brauner et al., 2015) studies reported at least one physical function outcome at ICU discharge. Four studies (Burtin et al., 2009; Fischer et al., 2016; Winkelman et al., 2012; Yosef-Brauner et al., 2015) were unable to be included due to incomplete reporting of results. A further four studies (Amundadottir et al., 2019; Kho et al., 2019; Schweickert et al., 2009; Wollersheim et al., 2019) were excluded from the primary pooled analysis and were included in the sensitivity analysis only, as their results were significantly skewed and presented as median with interquartile range. The control group from Leite et al. [66] was divided into two groups to allow comparison with groups that received NMES of the diaphragm (Leite 2018 D) and NMES of bilateral quadriceps (Leite 2018 Q). Fourteen pairwise comparisons from thirteen studies (n=1465) (Denehy, Skinner, et al., 2013; Eggmann et al., 2018; Fossat et al., 2018; Hodgson et al., 2016; Kayambu et al., 2015; Kho et al., 2015; Leite et al., 2018; McWilliams et al., 2018; Morris et al., 2016; M. R. Nickels et al., 2020; Schaller et al., 2016; Seo & Shin, 2019; Wright et al., 2018) were included in the primary pooled analysis.

Fifteen trials (Amundadottir et al., 2019; Brummel et al., 2014; Burtin et al., 2009; Denehy, Skinner, et al., 2013; Eggmann et al., 2018; Fischer et al., 2016; Hodgson et al., 2020; Kho et al., 2019; Kho et al., 2015; McWilliams et al., 2018; Morris et al., 2016; Nakamura et al., 2019; Schaller et al., 2016; Schweickert et al., 2009; Wright et al., 2018) reported at least

one physical function outcome at hospital discharge. Two trials (Brummel et al., 2014; Fischer et al., 2016) were unable to be included due to incomplete reporting of results. Three trials (Burtin et al., 2009; Kho et al., 2019; Schweickert et al., 2009) were excluded from the primary pooled analysis and included in the sensitivity analysis only, as their results were significantly skewed and presented as median with interquartile range. Ten pairwise comparisons from ten randomised controlled trials (n=949) (Amundadottir et al., 2019; Denehy, Skinner, et al., 2013; Eggmann et al., 2018; Hodgson et al., 2020; Kho et al., 2015; McWilliams et al., 2018; Morris et al., 2016; Nakamura et al., 2019; Schaller et al., 2016; Wright et al., 2018) were included in the primary pooled analysis.

Sensitivity analysis

Sensitivity analysis including studies excluded from the primary pooled analysis showed a small difference between groups at ICU discharge, favouring the intervention group (standardised mean difference, 95% confidence interval) (0.13, 95% CI: 0.01 to 0.25), while there were no differences between groups at hospital discharge and 6 months. Pooled analysis of RCTs only did not change the results from the primary pooled analysis.

Subgroup analysis

Subgroup analysis of studies with functional (n= 8) vs. non-functional experimental interventions (n= 2) demonstrated that in the non-functional experimental interventions (Kho et al., 2015; Nakamura et al., 2019), resulted in an improvement of physical function compared to control (standardised mean difference, 95% confidence interval) (0.83, 95% CI 0.32 to 1.34), while the functional intervention resulted in no difference between groups (standardized mean difference, 95% confidence interval) (0.14, 95% CI: -0.08 to 0.35).

Subgroup analysis by the dose of control group therapy showed that physical rehabilitation did not change physical function in either subgroup.

MV free days

Inclusion of data into pooled analysis

Six randomised controlled trials (Abu-Khaber et al., 2019; Fossat et al., 2018; Hodgson et al., 2016; Morris et al., 2016; Nydahl et al., 2019; Schweickert et al., 2009) reported ventilatory free days at day 28. One trial [18] was excluded from the primary pooled analysis and included only in the sensitivity analysis, as their results were significantly skewed and presented as median with interquartile range. Five pairwise comparisons from five RCTs (n=1014) were included in the primary pooled analysis.

References

References have been incorporated into the thesis bibliography.

5.3. Chapter summary

This systematic review and meta-analysis found that physical rehabilitation in the ICU improves physical function at hospital discharge and reduces ICU and hospital LOS. However, it does not appear to impact MV duration, muscle strength, HRQoL, and mortality.

The results of this systematic review and meta-analysis suggest the dose-response relationship of physical rehabilitation in critically ill patients is not linear, with a diminishing benefit at higher doses. Task-specific exercises produced better outcomes than non-functional exercises and should be the focus of care delivery in the clinical setting.

Chapter 6. Conclusions

6.1. Summary of research findings

The aims of this body of research presented in this thesis were to explore the mechanism of muscle wasting in the critically ill; investigate the safety and feasibility of a physical activity program in ICU patients requiring CRRT, and investigate the effectiveness of physical rehabilitation in the ICU.

The first aim of the thesis was to investigate the relationship between serum activin A levels, muscle strength, and physical function. Serum activin A concentration was elevated in a cohort of critically ill patients. Higher peak activin A concentrations were associated with worse muscle strength and physical function. High activin A concentrations were also associated with increased ICU and hospital mortality. Activin A is a potential therapeutic target to prevent and treat muscle wasting in critically ill patients.

The second aim was to test the safety and feasibility of mobilisation in ICU patients with femoral vascular catheter placement during CRRT. This study demonstrated an extremely low incidence of adverse events in critically ill patients requiring CRRT participating in functional and bed-based physical rehabilitation. Patient mobilisation was associated with extended filter life.

The systematic review and meta-analysis aimed to investigate the effectiveness of physical rehabilitation that begins in the ICU, focusing on task-specific interventions and the dosage of control group therapy. Physical rehabilitation in the ICU improves physical function at hospital discharge and reduces ICU and hospital LOS. However, it does not appear to impact MV duration, muscle strength, HRQoL, and mortality.

The experimental intervention reduced the duration of MV, ICU and hospital LOS in the subgroup of studies where control therapy was available for 5 days per week or less. However, in the subgroup of studies where control therapy was available for at least 5 days per week, the experimental intervention did not result in benefits.

Subgroup analysis of studies with functional experimental interventions resulted in reductions in the duration of MV, ICU and hospital LOS, but not in studies with non-functional experimental interventions.

6.2. Clinical significance of the research

In the first observational study, I have explored and identified a relationship between activin A concentration, muscle strength, and physical function in the critically ill. Elevated peak activin A concentration was associated with worse muscle strength and physical function at different time points in the patient's ICU and hospital stay. This is of particular significance because inhibition of ActRIIB has been shown to increase muscle mass in healthy volunteers (Attie et al., 2013) and patients with chronic obstructive pulmonary disease (Polkey et al., 2019). The data suggest activin A is an influential factor in the muscle catabolism observed in critical illness survivors and a potential therapeutic target.

In the second clinical study, I have demonstrated that mobilisation of patients with femoral vascular catheters receiving CRRT in the ICU was safe and feasible. The intervention did not result in vascular catheter dislodgement, haematoma, or bleeding, and there were no detectable clinical sequelae, including suspected thrombosis or filter circuit disruption. The results of this study have directly influenced clinical practice guidelines for active

mobilisation of mechanically ventilated critically ill adults (Hodgson et al., 2014). Patients undergoing CRRT are no longer precluded from early mobilisation on the basis that a vascular catheter or CRRT is in situ. The results of this study have contributed to and are supported by a recent systematic review and meta-analysis of fifteen studies (K. P. Mayer et al., 2020).

My findings also suggest that stasis of blood influences filter life, which may be a significant contributor to ICU morbidity and mortality in this population. However, this concept remains unexplored in the ICU literature. Further research is necessary to optimise the delivery of CRRT in the ICU, which is a critical component of the care of patients with acute kidney injury.

The systematic review and meta-analysis found that physical rehabilitation commenced in an intensive care unit improves physical function at hospital discharge and reduces ICU and hospital LOS compared to usual care.

Physical rehabilitation was associated with a shorter LOS in the hospital, with improved physical function at hospital discharge. The rate of recovery in physical function was faster in participants who received the intervention. However, this more rapid rate of recovery has not led to improved outcomes at 6-month follow-up. In comparison to in-hospital data, only a small number of included studies have reported physical function and health-related quality of life beyond discharge from the acute hospital. Further high-quality studies are required to investigate the long-term effect of physical rehabilitation in the ICU.

The present data suggest that additional rehabilitation intervention, when compared to control therapy that is infrequent (< 5 days per week), provided benefits, but not when

compared to control therapy that is available frequently (≥ 5 days per week). This result suggests that the dose-response relationship of physical rehabilitation in the critically ill patient is not linear, with benefits diminishing at higher doses (Figure 6.1). Diminishing returns with increasing dosage is not a new concept in physical rehabilitation (Rose et al., 2017). This is the first study to demonstrate a diminishing benefit of increased dosage of physical rehabilitation in the critically ill.

Studies with task-specific experimental intervention resulted in improved outcomes, but studies with non-functional experimental interventions did not. Functional exercises produce better physical function outcomes than non-functional exercises in non-ICU patients (de Vreede et al., 2005; Di Monaco et al., 2009; Lowe et al., 2009; Nadeau et al., 2013). Functional exercises also have benefits in other domains in the critically ill population, including cognition and resolution of delirium (Needham et al., 2010; Schweickert et al., 2009).

In summary, intensive care units should have physical rehabilitation services available up to 5 days per week, leading to decreased healthcare utilisation and improved physical function. When interpreting trials investigating rehabilitation in the ICU, it is crucial to consider the intervention provided to the control group. Wherever possible, progressive functional exercises should be used for the physical rehabilitation of patients in the ICU. In ICUs that already offer physical rehabilitation services at least five days per week, the evidence does not support a further increase in the dosage of rehabilitation to improve outcomes.

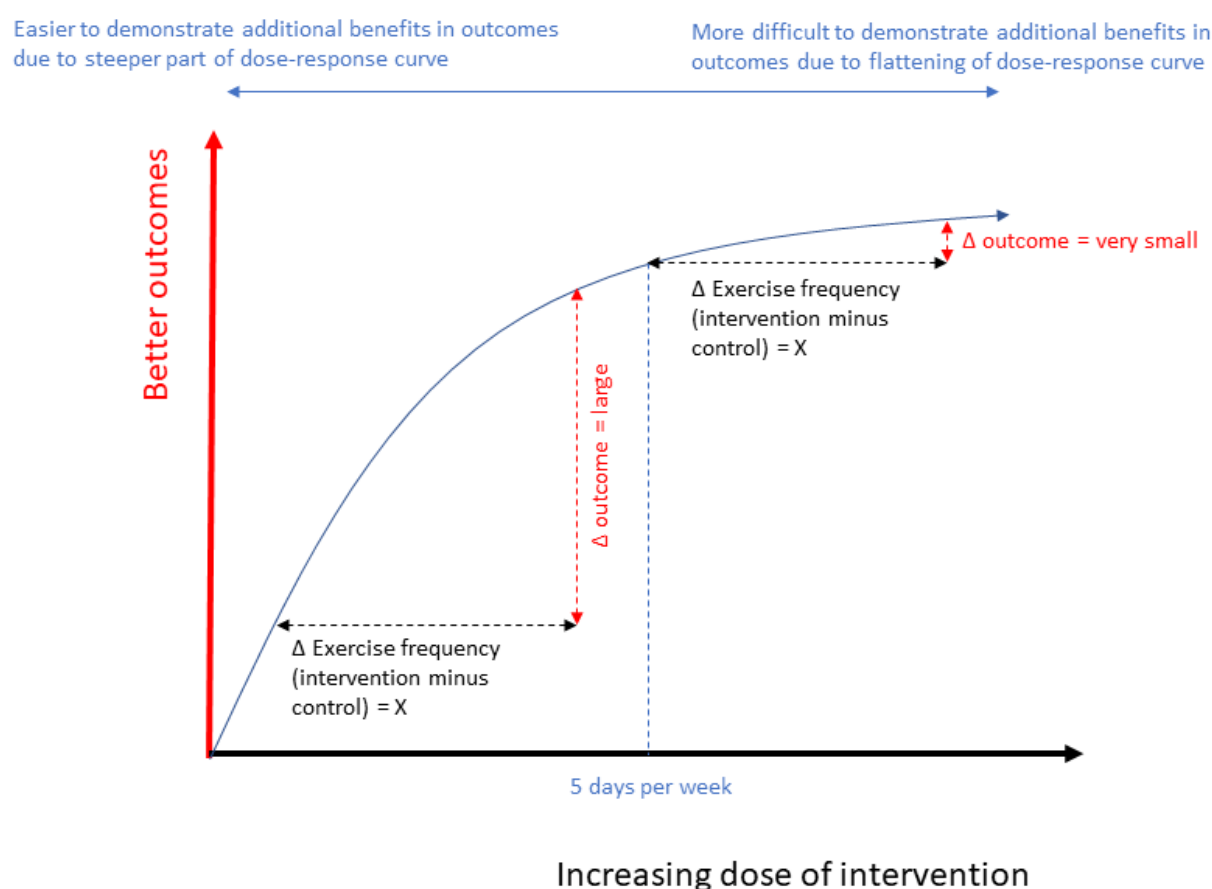


Figure 6.1 A conceptual dose-response relationship of physical rehabilitation in the ICU.

6.3. Strengths and limitations of the research

The observational study investigating the relationship of activin A and patient outcomes was a novel proof-of-concept study, with daily measurements of activin A concentrations during the intensive care unit stay. However, this study was underpowered with a small consecutive sample to thoroughly investigate the relationship between activin A and patient outcomes. Several outcome measures did not have significant associations with activin measures. While the directions of coefficients were consistent with our hypothesis, the confidence intervals were wide. I therefore cannot be sure that a clinically meaningful association with these variables does not exist due to our small sample size. A consecutive sample also

meant there was heterogeneity in the participant cohort, with a mixture of medical and surgical patients, and different admission diagnoses. The effect of activin A could have been variable across this cohort.

At the time of the study, there was no feasible and accurate method to measure total skeletal muscle mass. Therefore I did not include muscle mass as an outcome. Consequently, I need to consider the possibility that activin A impacts muscle strength and physical function through mechanisms other than muscle mass. I also did not have the opportunity to investigate the expression of key genes involved in the UPS and ALS, which would have provided further insight into the role of activin A in muscle catabolism in the critically ill.

Since the design and conduct of this study, the importance of pre-ICU physical function and frailty has been highlighted in the literature. Unfortunately, it was not considered in the design of this present study. Therefore I do not have data on the pre-ICU physical function of the participants and how that may have affected the results.

Activin A concentrations had to be treated as an ordinal dependent variable because low-level measurements of activin A taken using the assay approach return a result of “<24 pg/ml”. While this was the most appropriate way to analyse the data, it was impossible to investigate the accuracy of activin as a predictor variable while adjusting for other confounders with patient outcomes.

The safety and feasibility study in the cohort of patients undergoing renal replacement therapy utilised comprehensive outcome measures for both safety and feasibility, including

Careful measurement of adverse events such as dislodgement, bleeding, bruising, and neurovascular observations.

This study was limited by its single-health-service design, and the sample size was small (albeit one of the largest to date in this field reported in the literature). Large multicentre studies are warranted to confirm our findings and further strengthen our conclusions, particularly those pertaining to filter life. Delivery of CRRT was not standardized, and the filter failure criteria were not specified *a priori*.

The systematic review and meta-analysis address two major sources of heterogeneity not investigated in previous reviews, the type of exercise and intensity of control condition, which are essential in interpreting the body of evidence. This review benefits from a novel data synthesis and analytic approach, and many included studies.

The main limitation of this review was the existing heterogeneity and lack of adequate reporting of interventions. I aimed to investigate the dose-responsiveness of physical rehabilitation and compare the efficacy of different exercise modalities; however, these were limited by insufficient reporting of frequency, intensity, and duration of therapy. Reid and colleagues (Reid et al., 2018) found similar results in a scoping review of 117 studies, wherein the interventions provided in most studies were poorly described, particularly the amount of physical rehabilitation available to the control group participants.

As an ICU physiotherapist working clinically, I have been exposed to ongoing trials and systematic reviews investigating physical rehabilitation in the ICU before undertaking this review. Therefore, I acknowledge that my perception of what should be defined as functional exercise and what represents standard practice may have been influenced by this.

This systematic review did not have the scope to investigate the effect of sedation practices on the delivery and effectiveness of physical rehabilitation. Sedation breaks or lighter sedation targets allow volitional participation in physical rehabilitation, particularly task-specific exercises. Sedation practices may also influence the time to initiation of physical rehabilitation, which is also an important factor in the effectiveness of physical rehabilitation (Tipping et al., 2017). The positive benefits of MV duration, ICU length of stay and hospital length of stay may be confounded by sedation practices. Although in practice, it is highly recommended that sedation management and physical rehabilitation are both included in a bundle of care to optimise the outcomes of critically ill patients (Barr & Pandharipande, 2013; Morandi et al., 2011; Vanhorebeek et al., 2020).

It also must be noted that overall, the risk of bias in the included studies was high. Therefore, caution should be exercised in interpreting and applying the results based on the risk of bias of the included trials. This is an important consideration, for the interpretation of this evidence and the planning of future trials, given that a generally positive view of early rehabilitation can create a cognitive bias leading to other positive beliefs about early rehabilitation (Goddard et al., 2018).

There was no scope within our research program to follow up on findings from the individual studies. Activin was identified as a potential explanatory variable of ICU-AW; physical rehabilitation was safe, feasible, and effective. Investigating the response of activin A concentrations to physical rehabilitation would have provided further insight into the prevention and management of ICU-AW.

6.4. Recommendations

Most recommendations from this body of work primarily relate to suggestions for future research.

A single physical function outcome measure that is responsive to change across the continuum of care needs to be developed to support future observational and interventional studies in this population. In addition, an accurate and practical method to measure total skeletal mass in critically ill patients is needed. Recent work by Cawthon and colleagues (Cawthon et al., 2019) using D3-creatine dilution to measure muscle mass shows promise and should be validated in this population.

Activin A concentrations should be described in populations at risk of ICU-AW, such as patients undergoing continuous renal replacement therapy or patients with acute respiratory failure. The associations between initial activin A concentration should be investigated in an adequately powered study. Activities of signalling pathways upstream to activin A should also be investigated. The pre-ICU frailty of the participants should be considered.

It is recommended that future research take serial measurements of activin A so the peak value can be identified. This will allow activin A to be investigated as an independent continuous variable, alongside other predictors of physical function and mortality. In addition, a larger study in a more homogenous patient cohort such as sepsis or acute respiratory failure is warranted to explore further the relationship between activin receptor agonists and patient outcomes, including measurements of muscle mass and gene expression.

The activities of the activin A receptor pathway in response to treatments proposed to prevent or treat ICU-AW should be investigated. The reaction of activin A concentrations to physical rehabilitation would have provided further insight into the prevention and

management of ICU-AW. Phase I clinical trials involving activin receptor antagonists, such as bimagrumab (Lach-Trifilieff et al., 2014; Polkey et al., 2019) and ACE-031 (Attie et al., 2013), should be planned in the critically ill populations susceptible to muscle catabolism.

Patients undergoing CRRT should no longer be precluded from early mobilisation on the basis that CRRT is in situ via a femoral dialysis catheter. Given the established benefit of early mobilisation in the critical care population, early mobilisation should be considered as part of the management of patients undergoing CRRT. Early mobilisation protocols aimed to ensure safety and feasibility should be developed by multidisciplinary teams within individual institutions, considering patient, equipment, and staffing profiles. Stasis secondary to immobility may contribute to the life of the haemodiafiltration circuit. Large multicentre studies are warranted to confirm our research findings and further strengthen our conclusions, particularly those pertaining to filter life. In future studies, researchers could investigate a possible dose-response relationship between mobilisation and filter life.

From the systematic review and meta-analysis of physical rehabilitation, it is recommended that future trials report control and experimental interventions in a fashion that would allow analysis based on type and dosage of intervention delivered (i.e., using the TIDieR checklist (Hoffmann et al., 2014)). Future trials should use standardised outcome measures and time points that are meaningful to ICU survivors and healthcare providers, such as European quality of life-5 domains and 36-item Short-Form Health Survey version 2 for the evaluation of HRQoL and pain; six-minute walk test for physical function, manual muscle test, and grip strength for muscle strength (D. M. Needham et al., 2017). Studies reporting time-related outcomes such as MV duration and LOS in a critically ill population should report survivors and non-survivors separately and follow-up for 60 days (Blackwood et al., 2019). Embedding biochemical outcomes measures such as activin A concentration in future trials investigating

physical rehabilitation in the ICU would help to bridge the knowledge gap between pathophysiologic mechanisms and patient outcomes. Specific dose-response trials are needed, where the type of intervention is held constant, and different dosages of physical rehabilitation are investigated. As standard practice evolves to include routine physical activity in the ICU and with diminishing benefits of physical rehabilitation at higher doses, larger sample sizes may be needed for future trials.

6.5. Conclusions

In conclusion, Activin A appears to be a promising pharmacological target for physical disability in critical illness. Mobilisation during CRRT via a vascular catheter in patients who are critically ill is safe and feasible. Physical rehabilitation in the ICU improves physical function at hospital discharge and reduces ICU and hospital LOS. However, it has no impact on MV duration, muscle strength, HRQoL, and mortality. Wherever possible, task-specific exercises should be used for patients in the ICU, and the benefits of higher dose physical rehabilitation are unclear in patients already receiving regular exercise therapy.

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Appendices

Appendix 3.1 Daily ICU Data Collection Form

Daily ICU Data Collection Form

Date and study ID

Time point

- ☐ Standard ICU day
- ☐ First awakening
- ☐ First sit out of bed session - also complete PFIT form
- ☐ ICU discharge - use ICU Discharge Form INSTEAD

Assessment for wakefulness

Ask the patient in a loud clear voice to do the following activities: tick if performed correctly.

A minimum 3 out of 5 is deemed awake

- ☐ Open/close your eyes
- ☐ Look at me
- ☐ Poke out your tongue
- ☐ Nod your head
- ☐ Raise your eyebrows

Grip strength attempt 1(kg)

If unable, why?

Grip strength attempt 2 (kg)

If unable, why?

Grip strength attempt 3 (kg)

If unable, why?

Standardised grip strength test position

As upright as practicable either on a bed/plinth or sitting in a chair, with backrest support.

Shoulder adducted and neutrally rotated.

Elbow flexed at 90°.

Forearm and wrist in neutral position, with 0-15° of ulnar deviation and 0-30° of wrist extension.

Allow 6 seconds for each contraction, as a delay in peak force generation may occur.

ICU Mobility Scale

Tick the most accurate description of the participant's HIGHEST level of mobilisation in the last 24 hours.

- ☐ (0) Nothing (lying in bed) Passively rolled or passively exercised by staff, but not actively moving
- ☐ (1) Sitting in bed, exercises in bed Any activity in bed, including rolling, bridging, active exercises, cycle ergometry and active assisted exercises, not moving out of bed or over the edge of the bed
- ☐ (2) Passively moved to chair (no standing) Hoist, passive lift or slide transfer to the chair, with no standing or sitting on the edge of the bed
- ☐ (3) Sitting over edge of bed May be assisted by staff, but involves actively sitting over the side of the bed with some trunk control
- ☐ (4) Standing Weight bearing through the feet in the standing position, with or without assistance. This may include use of a standing lifter device or tilt table
- ☐ (5) Transferring bed to chair Able to step or shuffle through standing to the chair. This involves actively transferring weight from one leg to another to move to the chair. If the patient has been stood with the assistance of a medical device, they must step to the chair (not included if the patient is wheeled in a standing lifter device)
- ☐ (6) Marching on spot (at bedside) Able to walk on the spot by lifting alternate feet (must be able to step at least 4 times, twice on each foot), with or without assistance
- ☐ (7) Walking with assistance of 2 or more people Walking away from the bed/chair by at least 5 m assisted by 2 or more people
- ☐ (8) Walking with assistance of 1 person Walking away from the bed/chair by at least 5 m assisted by 1 person
- ☐ (9) Walking independently with a gait aid Walking away from the bed/chair by at least 5 m with a gait aid, but no assistance from another person. In a wheelchair bound person, this activity level includes wheeling the chair independently 5 m away from the bed/chair
- ☐ (10) Walking independently without a gait aid Walking away from the bed/chair by at least 5 m without a gait aid or assistance from another person

Medical Research Council muscle strength grade

| | | | |
|--------------------|------------------------------|-------------------------------|---|
| Wrist extension | Left <input type="text"/> | Right <input type="text"/> | If unable, why? <input type="text"/> |
| Elbow flexion | Left <input type="text"/> | Right <input type="text"/> | If unable, why? <input type="text"/> |
| Shoulder abduction | Left <input type="text"/> | Right <input type="text"/> | If unable, why? <input type="text"/> |
| Hip flexion | Left <input type="text"/> | Right <input type="text"/> | If unable, why? <input type="text"/> |
| Knee extension | Left <input type="text"/> | Right <input type="text"/> | If unable, why? <input type="text"/> |
| Ankle dorsiflexion | Left <input type="text"/> | Right <input type="text"/> | if unable, why? <input type="text"/> |

Total score (sum of all scores)

Presence of focal/unilateral neurological deficit

☐ No

☐ If Yes, please provide details

Grade 5: Muscle contracts normally against full resistance.

Grade 4: Muscle strength is reduced but muscle contraction can still move joint against resistance.

Grade 3: Muscle strength is further reduced such that the joint can be moved only against gravity with the examiner's resistance completely removed. As an example, the elbow can be moved from full extension to full flexion starting with the arm hanging down at the side.

Grade 2: Muscle can move only if the resistance of gravity is removed. As an example, the elbow can be fully flexed only if the arm is maintained in a horizontal plane.

Grade 1: Only a trace or flicker of movement is seen or felt in the muscle or fasciculations are observed in the muscle.

Grade 0: No movement is observed.

Sequential [Sepsis-Related] Organ Failure Assessment Score (SOFA Score)

PaO₂/FiO₂ ratio

- ☐ ≥400
- ☐ <400
- ☐ <300
- ☐ <200 with respiratory support
- ☐ <100 with respiratory support

Platelets, x1000/μL

- ☐ ≥150
- ☐ <150
- ☐ <100
- ☐ <50
- ☐ <20

Bilirubin, μmol/L

- ☐ <20
- ☐ 20-32
- ☐ 33-101
- ☐ 102-204
- ☐ >204

Mean arterial pressure

- ☐ ≥ 70 mm Hg
- ☐ < 70 mm Hg
- ☐ < 5 mcg/kg/min dopamine OR any dose of dobutamine
- ☐ ≤ 0.1 mcg/kg/min noradrenaline OR adrenaline OR 5.1-15 mcg/kg/min dopamine
- ☐ > 0.1 mcg/kg/min noradrenaline OR adrenaline OR >15 mcg/kg/min dopamine

Glasgow Coma Scale score

- ☐ 15
- ☐ 13-14
- ☐ 10-12
- ☐ 6-9
- ☐ <6

Creatinine, μmol/L

- ☐ <110
- ☐ 110-170
- ☐ 171-299
- ☐ 300-400 OR urine output <500 mL/day
- ☐ >400 OR Urine output <200 mL/day

Septic shock criteria (Sepsis-3)

Requiring vasopressors to maintain mean blood pressure of ≥ 65 mmHg

- ☐ Yes
☐ No

Serum lactate ≥ 2 mmol/L

- ☐ Yes
☐ No

Has the patient received ≥ 30 ml/kg crystalloid fluid resuscitation?

- ☐ Yes
☐ No

SIRS, Sepsis, and Septic Shock Criteria (Sepsis-1)

Temperature $>38^{\circ}\text{C}$ or $< 36^{\circ}\text{C}$

- ☐ Yes ☐ No

Heart Rate > 90

- ☐ Yes ☐ No

Respiratory rate > 20 or $\text{PaCO}_2 < 32$ mm Hg

- ☐ Yes ☐ No

White cell count $> 12,000/\text{mm}^3$, $< 4,000/\text{mm}^3$, or $> 10\%$ bands cells

- ☐ Yes ☐ No

Confirmed source of infection

- ☐ Yes ☐ No

Lactic Acidosis, oliguria, or acute change in mental status

- ☐ Yes ☐ No

Sepsis induced hypotension (systolic blood pressure of <90 mm Hg, or a drop of more than 40 mm Hg from baseline)

- ☐ Yes ☐ No

Has the patient received adequate fluid resuscitation?

- ☐ Yes ☐ No

Presence of altered function in one or more organ requiring intervention to achieve homeostasis

- ☐ Yes ☐ No

Patient requiring renal replacement therapy in last 24 hours

☐ Yes ☐ No

Patient requiring mechanical ventilation in last 24 hours

☐ Yes ☐ No

If requiring mechanical ventilation

- ☐ Invasive mechanical ventilation
- ☐ Noninvasive mechanical ventilation
- ☐ N/A patient not on mechanical ventilation

Patient requiring vasopressors in last 24 hours

☐ Yes ☐ No

Patient received systemic corticosteroids in the last 24 hours

☐ Yes ☐ No

Beta2-adrenergic agonist use in last 24 hours

☐ Yes ☐ No

Sedation at time of data collection

☐ Yes ☐ No

Neuromuscular blocking agent use in last 24 hours

☐ Yes ☐ No

Frequency of neuromuscular blocking agent use

- ☐ Continuous infusion
- ☐ Bolus
- ☐ N/A no neuromuscular blocking agent used

Respiratory muscle strength

Is the participant "Awake"

- ☐ Yes - Proceed with Maximal Inspiratory Pressure. Hold NIF button, ask participant to breath out as much as they can, and ask them to breath in as hard as they can. Refer to standard operating procedure for details.
- ☐ No - Test Maximal Inspiratory Pressure if intensivist happy. Hold NIF button for 20 seconds. Refer to standard operating procedure for details.

Is the treating intensivist happy for MIP to be measured?

- ☐ Yes
- ☐ Not applicable, participant is awake
- ☐ No, please state reason given

Maximal inspiratory pressure

If unable to complete, please state why

Is the patient suitable to undergo vital capacity testing on pressure support ventilation with Positive End Expiratory Pressure=5 and Pressure Support=5

- ☐ Yes
- ☐ No, please state why

Vital capacity

If unable to complete, please state why

Nutrition

- ☐ Enteral feeding ONLY (via GI tract)
- ☐ Parenteral feeding ONLY (bypassing GI tract)
- ☐ Enteral AND Parental feeding
- ☐ None

Percentage of daily energy requirement met. (Previous calendar day)
(If feed density is blinded due to TARGET RCT write "TARGET")

Percentage of daily protein requirement met. (Previous calendar day)

Enrollment in TARGET RCT (The augmented versus routine approach to giving energy trial)?

- ☐ Yes
- ☐ No

Appendix 3.2 ICU Discharge Form

ICU Discharge Form

Date and study ID

Assessment for wakefulness

Ask the patient in a loud clear voice to do the following activities: tick if performed correctly.

A minimum 3 out of 5 is deemed awake

- ☐ Open/close your eyes
- ☐ Look at me
- ☐ Poke out your tongue
- ☐ Nod your head
- ☐ Raise your eyebrows

Grip strength attempt 1(kg)

If unable, why?

Grip strength attempt 2 (kg)

If unable, why?

Grip strength attempt 3 (kg)

If unable, why?

Standardised grip strength test position

As upright as practicable either on a bed/plinth or sitting in a chair, with backrest support.

Shoulder adducted and neutrally rotated.

Elbow flexed at 90°.

Forearm and wrist in neutral position, with 0-15° of ulnar deviation and 0-30° of wrist extension.

Allow 6 seconds for each contraction, as a delay in peak force generation may occur.

ICU Mobility Scale

Tick the most accurate description of the participants HIGHEST level of mobilisation in the last 24 hours

- ☐ (0) Nothing (lying in bed) Passively rolled or passively exercised by staff, but not actively moving
- ☐ (1) Sitting in bed, exercises in bed Any activity in bed, including rolling, bridging, active exercises, cycle ergometry and active assisted exercises, not moving out of bed or over the edge of the bed
- ☐ (2) Passively moved to chair (no standing) Hoist, passive lift or slide transfer to the chair, with no standing or sitting on the edge of the bed
- ☐ (3) Sitting over edge of bed May be assisted by staff, but involves actively sitting over the side of the bed with some trunk control
- ☐ (4) Standing Weight bearing through the feet in the standing position, with or without assistance. This may include use of a standing lifter device or tilt table
- ☐ (5) Transferring bed to chair Able to step or shuffle through standing to the chair. This involves actively transferring weight from one leg to another to move to the chair. If the patient has been stood with the assistance of a medical device, they must step to the chair (not included if the patient is wheeled in a standing lifter device)
- ☐ (6) Marching on spot (at bedside) Able to walk on the spot by lifting alternate feet (must be able to step at least 4 times, twice on each foot), with or without assistance
- ☐ (7) Walking with assistance of 2 or more people Walking away from the bed/chair by at least 5 m assisted by 2 or more people
- ☐ (8) Walking with assistance of 1 person Walking away from the bed/chair by at least 5 m assisted by 1 person
- ☐ (9) Walking independently with a gait aid Walking away from the bed/chair by at least 5 m with a gait aid, but no assistance from another person. In a wheelchair bound person, this activity level includes wheeling the chair independently 5 m away from the bed/chair
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Medical Research Council muscle strength grade

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|--------------------|------------------------------|-------------------------------|---|
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| Shoulder abduction | Left <input type="text"/> | Right <input type="text"/> | If unable, why? <input type="text"/> |
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| Knee extension | Left <input type="text"/> | Right <input type="text"/> | If unable, why? <input type="text"/> |
| Ankle dorsiflexion | Left <input type="text"/> | Right <input type="text"/> | if unable, why? <input type="text"/> |

Total score (sum of all scores)

Presence of focal/unilateral neurological deficit

☐ No

☐ If Yes, please provide details

Grade 5: Muscle contracts normally against full resistance.

Grade 4: Muscle strength is reduced but muscle contraction can still move joint against resistance.

Grade 3: Muscle strength is further reduced such that the joint can be moved only against gravity with the examiner's resistance completely removed. As an example, the elbow can be moved from full extension to full flexion starting with the arm hanging down at the side.

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- ☐ <300
- ☐ <200 with respiratory support
- ☐ <100 with respiratory support

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- ☐ <100
- ☐ <50
- ☐ <20

Bilirubin, μmol/L

- ☐ <20
- ☐ 20-32
- ☐ 33-101
- ☐ 102-204
- ☐ >204

Mean arterial pressure

- ☐ ≥ 70 mm Hg
- ☐ < 70 mm Hg
- ☐ < 5 mcg/kg/min dopamine OR any dose of dobutamine
- ☐ ≤ 0.1 mcg/kg/min noradrenaline OR adrenaline or 5.1-15 mcg/kg/min dopamine
- ☐ > 0.1 mcg/kg/min noradrenaline OR adrenaline OR >15 mcg/kg/min dopamine

Glasgow Coma Scale score

- ☐ 15
- ☐ 13-14
- ☐ 10-12
- ☐ 6-9
- ☐ <6

Creatinine, μmol/L

- ☐ <110
- ☐ 110-170
- ☐ 171-299
- ☐ 300-400 OR urine output <500 mL/day
- ☐ >400 OR Urine output <200 mL/day

Septic shock criteria (Sepsis-3)

Requiring vasopressors to maintain mean blood pressure of ≥ 65 mmHg

- ☐ Yes
☐ No

Serum lactate ≥ 2 mmol/L

- ☐ Yes
☐ No

Has the patient received ≥ 30 ml/kg crystalloid fluid resuscitation?

- ☐ Yes
☐ No

SIRS, Sepsis, and Septic Shock Criteria (Sepsis-1)

Temp $> 38^{\circ}\text{C}$ or $< 36^{\circ}\text{C}$

- ☐ Yes ☐ No

Heart Rate > 90

- ☐ Yes ☐ No

Respiratory rate > 20 or $\text{PaCO}_2 < 32$ mm Hg

- ☐ Yes ☐ No

WCC $> 12,000/\text{mm}^3$, $< 4,000/\text{mm}^3$, or $> 10\%$ bands cells

- ☐ Yes ☐ No

Confirmed source of infection

- ☐ Yes ☐ No

Lactic Acidosis, oliguria, or acute change in mental status

- ☐ Yes ☐ No

Sepsis induced hypotension (systolic blood pressure of < 90 mm Hg, or a drop of more than 40 mm Hg from baseline)

- ☐ Yes ☐ No

Has the patient received adequate fluid resuscitation?

- ☐ Yes ☐ No

Presence of altered function in one or more organ requiring intervention to achieve homeostasis

- ☐ Yes ☐ No

Patient requiring renal replacement therapy in last 24 hours

☐ Yes ☐ No

Patient requiring mechanical ventilation in last 24 hours

☐ Yes ☐ No

If requiring mechanical ventilation

☐ Invasive mechanical ventilation

☐ Noninvasive mechanical ventilation

☐ N/A patient not on mechanical ventilation

Patient requiring vasopressors in last 24 hours

☐ Yes ☐ No

Patient received steroids in the last 24 hours

☐ Yes ☐ No

Beta2-adrenergic agonist use in last 24 hours

☐ Yes ☐ No

Sedation at time of data collection

☐ Yes ☐ No

Neuromuscular blocking agent use in last 24 hours

☐ Yes ☐ No

Frequency of neuromuscular blocking agent use

☐ Continuous infusion

☐ Bolus

☐ N/A no neuromuscular blocking agent used

Nutrition

- ☐ Enteral feeding ONLY (via GI tract)
- ☐ Parenteral feeding ONLY (bypassing GI tract)
- ☐ Enteral AND Parental feeding
- ☐ None

Percentage of daily energy requirement met.
(If feed density is blinded due to TARGET RCT write "TARGET")

Percentage of daily protein requirement met

Enrolled in TARGET RCT (The augmented versus routine approach to giving energy trial)?

- ☐ Yes
- ☐ No

Physical Function in ICU Test

Sit to stand. From sit out of bed position.

- ☐ Unable (0)
- ☐ Assist x 2 (1)
- ☐ Assist x 1 (2)
- ☐ No Assistance (3)

If unable, why?

Cadance (steps/min). Calculated by max number of steps taken and duration of marching.

- ☐ Unable (0)
- ☐ 1-49 (1)
- ☐ 50-79 (2)
- ☐ 80+ (3)

If unable, why?

Shoulder flexion power. Greatest of left OR right

- ☐ Grade 0 (0)
- ☐ Grade 1 (0)
- ☐ Grade 2 (0)
- ☐ Grade 3 (1)
- ☐ Grade 4 (2)
- ☐ Grade 5 (3)

If unable, why?

Knee extension power. Greatest of left OR right

- ☐ Grade 0 (0)
- ☐ Grade 1 (0)
- ☐ Grade 2 (0)
- ☐ Grade 3 (1)
- ☐ Grade 4 (2)
- ☐ Grade 5 (3)

If unable, why?

Total score

Timed Up and Go Test

Measure and mark a 3 meter walkway.

Place a standard height chair (seat height 46cm, arm height 67cm) at the beginning of the walkway.

Regular footwear and customary walking aids should be used.

Instruct the patient to sit on the chair and place his/her back against the chair and rest his/her arms chair's arms.

The upper extremities should not be on the assistive device (if used for walking), but it should be nearby.

Demonstrate the test to the patient.

The patient should walk to a line that is 3 meters away, turn around at the line, walk back to the chair, and sit down. Patients should be instructed to use a comfortable and safe walking speed.

When the patient is ready, say "Go".

The stopwatch should start when you say go, and should be stopped with the patient's buttocks touch the seat.

Timed Up and Go time - If unable to complete please write "unable to complete" here

Gait aid used?

- ☐ No
- ☐ Not applicable, could not complete test
- ☐ Yes. if yes, please state what was used

If unable to complete please state why

Six-Minute Walk Test

Measure and mark a 25 metre walkway. The track should be flat, with minimal blind turns or obstacles. The patient should rest for at least 15 minutes before beginning the 6MWT. Set up chair at start of track as required.

Describe the walking track to the patient and then give the patient the following instructions:

"You are now going to do a six-minute walking test. The object of this test is to walk as quickly as you can for six minutes (around the track; up and down the corridor etc... depending on your track set up) so that you cover as much ground as possible.

You may slow down if necessary. If you stop, I want you to continue to walk again as soon as possible. You will be regularly informed of the time and you will be encouraged to do your best. Your goal is to walk as far as possible in six minutes.

Please do not talk during the test unless you have a problem or I ask you a question. You must let me know if you have any chest pain or dizziness.

When the six minutes is up I will ask you to stop where you are. Do you have any questions?"

Begin the test by instructing the patient to: "Start walking now."

Use the following standard encouragements during the test:

At minute one: "Five minutes remaining (patient name). Do your best!"

At minute two: "Four minutes remaining (patient name). You're doing well - keep it up!"

At minute three: "Half way - three minutes remaining (patient name). Do your best!"

At minute four: "Two minutes remaining (patient name). You're doing well - keep it up!"

At minute five: "One minute remaining (patient name). Do your best!"

If the Patient Stops During the Six Minutes

Allow the patient to sit in a chair if they wish.

Measure the SpO₂% and heart rate.

Ask patient why they stopped.

Record the time the patient stopped (but keep the stop watch running).

Give the following encouragement (repeat this encouragement every 15 seconds if necessary):

"Begin walking as soon as you feel able."

Monitor the patient for untoward signs and symptoms.

Distance covered

Notes

- ☐ 0
- ☐ 25
- ☐ 50
- ☐ 75
- ☐ 100
- ☐ 125
- ☐ 150
- ☐ 175
- ☐ 200
- ☐ 225
- ☐ 250
- ☐ 300
- ☐ 325
- ☐ 350
- ☐ 375
- ☐ 400
- ☐ 425
- ☐ 450
- ☐ 475
- ☐ 500

Final distance covered to nearest metre - If unable to complete please score "0" here

Gait aid used?

- ☐ No
- ☐ Not applicable, could not complete test
- ☐ Yes. if yes, please state what was used

If unable to complete please state why

Complete infusion drug collection table (attached, print more as required)

If other, write in empty rows. Particularly inotropes and neuromuscular blocking agents

[illegible]

[illegible]

Appendix 3.3 Hospital Discharge Form

Hospital Discharge Form

Hospital discharge date

Study ID

ICU discharge date

Number of ICU admissions during stay

Hours on invasive mechanical ventilation

Hours of renal replacement therapy in ICU.
If none, score Zero.

CT abdomen during admission?

☐ Yes

☐ No

☐ If yes, check box when CT downloaded

Discharge destination

☐ Home

☐ Rehabilitation

☐ Other acute hospital

☐ Residential care facility

☐ Deceased

☐ Other

Continuation of consent signed

☐ Yes ☐ No ☐ N/A

☐ If no, reason

Timed Up and Go Test

Measure and mark a 3 meter walkway.

Place a standard height chair (seat height 46cm, arm height 67cm) at the beginning of the walkway. Regular footwear and customary walking aids should be used.

Instruct the patient to sit on the chair and place his/her back against the chair and rest his/her arms chair's arms.

The upper extremities should not be on the assistive device (if used for walking), but it should be nearby.

Demonstrate the test to the patient.

The patient should walk to a line that is 3 meters away, turn around at the line, walk back to the chair, and sit down. Patients should be instructed to use a comfortable and safe walking speed.

When the patient is ready, say "Go".

The stopwatch should start when you say go, and should be stopped with the patient's buttocks touch the seat.

Timed Up and Go time - If unable to complete please write "unable to complete" here

Gait aid used?

- ☐ No
- ☐ Not applicable, could not complete test
- ☐ Yes. if yes, please state what was used

If unable to complete please state why

Six-Minute Walk Test

Measure and mark a 25 metre walkway. The track should be flat, with minimal blind turns or obstacles. The patient should rest for at least 15 minutes before beginning the 6MWT. Set up chair at start of track as required.

Describe the walking track to the patient and then give the patient the following instructions:

"You are now going to do a six-minute walking test. The object of this test is to walk as quickly as you can for six minutes (around the track; up and down the corridor etc... depending on your track set up) so that you cover as much ground as possible.

You may slow down if necessary. If you stop, I want you to continue to walk again as soon as possible. You will be regularly informed of the time and you will be encouraged to do your best. Your goal is to walk as far as possible in six minutes.

Please do not talk during the test unless you have a problem or I ask you a question. You must let me know if you have any chest pain or dizziness.

When the six minutes is up I will ask you to stop where you are. Do you have any questions?"

Begin the test by instructing the patient to: "Start walking now."

Use the following standard encouragements during the test:

At minute one: "Five minutes remaining (patient name). Do your best!"

At minute two: "Four minutes remaining (patient name). You're doing well - keep it up!"

At minute three: "Half way - three minutes remaining (patient name). Do your best!"

At minute four: "Two minutes remaining (patient name). You're doing well - keep it up!"

At minute five: "One minute remaining (patient name). Do your best!"

If the Patient Stops During the Six Minutes

Allow the patient to sit in a chair if they wish.

Measure the SpO₂% and heart rate.

Ask patient why they stopped.

Record the time the patient stopped (but keep the stop watch running).

Give the following encouragement (repeat this encouragement every 15 seconds if necessary):

"Begin walking as soon as you feel able."

Monitor the patient for untoward signs and symptoms.

Distance covered

Notes

- ☐ 0
- ☐ 25
- ☐ 50
- ☐ 75
- ☐ 100
- ☐ 125
- ☐ 150
- ☐ 175
- ☐ 200
- ☐ 225
- ☐ 250
- ☐ 300
- ☐ 325
- ☐ 350
- ☐ 375
- ☐ 400
- ☐ 425
- ☐ 450
- ☐ 475
- ☐ 500

Final distance covered to nearest metre - If unable to complete please score "0" here

Gait aid used?

- ☐ No
- ☐ Not applicable, could not complete test
- ☐ Yes. if yes, please state what was used

If unable to complete please state why

Medical Research Council muscle strength grade

| | | | |
|--------------------|----------------------|----------------------|----------------------|
| Wrist extension | Left | Right | If unable, why? |
| | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Elbow flexion | Left | Right | If unable, why? |
| | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Shoulder abduction | Left | Right | If unable, why? |
| | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Hip flexion | Left | Right | If unable, why? |
| | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Knee extension | Left | Right | If unable, why? |
| | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Ankle dorsiflexion | Left | Right | if unable, why? |
| | <input type="text"/> | <input type="text"/> | <input type="text"/> |

Total score (sum of all scores)

Presence of focal/unilateral neurological deficit

☐ No

☐ If Yes, please provide details

Grade 5: Muscle contracts normally against full resistance.

Grade 4: Muscle strength is reduced but muscle contraction can still move joint against resistance.

Grade 3: Muscle strength is further reduced such that the joint can be moved only against gravity with the examiner's resistance completely removed. As an example, the elbow can be moved from full extension to full flexion starting with the arm hanging down at the side.

Grade 2: Muscle can move only if the resistance of gravity is removed. As an example, the elbow can be fully flexed only if the arm is maintained in a horizontal plane.

Grade 1: Only a trace or flicker of movement is seen or felt in the muscle or fasciculations are observed in the muscle.

Grade 0: No movement is observed.

Grip strength attempt 1 (kg)

Grip strength attempt 2 (kg)

Grip strength attempt 3 (kg)

Standardised grip strength test position

As upright as practicable either on a bed/plinth or sitting in a chair, with backrest support.

Shoulder adducted and neutrally rotated.

Elbow flexed at 90°.

Forearm and wrist in neutral position, with 0-15° of ulnar deviation and 0-30° of wrist extension.

Allow 6 seconds for each contraction, as a delay in peak force generation may occur.

Complete pathology data collection table (attached, print more as required)

☐

Appendix 4.1 Letter to the editor and our response

Jacobs *Critical Care* (2015) 19:205
DOI 10.1186/s13054-015-0781-4



LETTER

OpenAccess

Early mobilization on continuous renal replacement therapy is safe and may improve filter life

Frederic M Jacobs

See related research by Wang *et al.* <http://ccforum.com/content/18/4/R161>

In their study Wang and colleagues [1] found that mobilization during continuous renal replacement therapy (CRRT) is safe, and did not lead to filter circuit clotting. However, I feel that this work raises two remarks.

First, by delivering CRRT via continuous venovenous hemodiafiltration (CVVHDF) with a dialysate rate of 20 ml/kg/hour, a replacement fluid rate of 15 ml/kg/hour and a low effluent fluid removal rate, the filtration fraction, a major determinant of clotting, may have been

lower than the one resulting from continuous venovenous hemofiltration (CVVHF), which is as much used as the CRRT mode [2]. Furthermore, details concerning the blood flow (which impacts filtration fraction) are lacking. Thus, generalization of these results should probably be tempered.

Finally, regarding the exclusion criteria, the use of intermittent hemodialysis may be the best way to ensure daily patient mobilization, at a much lower cost [3].

Authors' response

Yi Tian Wang, Nigel Fealy, Terry P Haines and Elizabeth H Skinner

We read the letter by Jacobs with interest. We agree that a combined dose of 35 ml/kg/hour (incorporating both convective and diffusive clearance) in CVVHDF mode would reduce filtration fraction compared with a pure convective mode such as CVVHF. However, there is no conclusive evidence that filter life is related to filtration fraction, while there is some evidence to the contrary [4]. Our original manuscript agreed that the generalizability of the results should be tempered and stated that we felt large multi-center studies were warranted to confirm the findings [1]. We agree that blood flow rates in the circuit should be collected in such studies, as this may be an independent confounding factor for circuit life and filtration fraction. However, we conducted an additional *post hoc* sensitivity analysis adjusting for blood flow and the effect of the MOVE (movement on vascular catheter evaluation) intervention on filter life was still significant in our cohort (regression co-efficient (robust 95% CI), P value = 14.3 hours (5.3, 23.3), $P = 0.003$).

Moreover, mobilization restrictions placed on patients undergoing CVVHDF via femoral vascath frequently preclude the delivery of evidence-based practice [5, 6], where early mobilization reduces ventilation duration and ICU and hospital length of stay [7]. Whilst intermittent hemodialysis is an alternative method of CRRT delivery, CVVHDF is the therapy of choice in over 50% of Australian ICUs (unpublished data). The aim of our study was to test whether patients subjected to continuous therapies via femoral access could be safely mobilized. We reiterate our conclusions, which were that mobilization of patients undergoing CRRT via femoral vascular access was safe and feasible [1].

Abbreviations

CRRT: Continuous renal replacement therapy; CVVHDF: Continuous venovenous haemodiafiltration; CVVHF: Continuous venovenous haemofiltration.

Competing interests

The author declares that he has no competing interests.

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Appendix 4.2 Data Collection Form Intervention Day

Data Collection Form Intervention Day

| | | | | | |
|--|-----------------|---------------------|-------------------------|-----------------------|----------|
| Date: | | Time: | | Nursing Shift: | |
| Date of Vascath insertion: | | | Vascath type: | | |
| Vascath number (for patient): | | | | | |
| Site of vascath insertion: | | | Vascath sutured? | | |
| | | | Yes | No | |
| Vascath site: | NAD | haematoma | bruising | Oozing | Bleeding |
| Any ordered ultrasound for haematoma: | Yes | No | Vascath dressed? | | |
| | | | Yes | No | |
| Catheter dislodgement since insertion: | Yes | No | | | |
| Documented day and time of catheter dislodgement: _____ | | | | | |
| Documented reason for dislodgement: _____ | | | | | |
| Catheter dislodgement observations documented by (e.g. nursing/medical) _____ | | | | | |
| Vascular observations distal to Vascath: | NAD | Abnormal | Not done | N/A | |
| Suspected presence of thrombosis in upper or lower limb with vascath | Yes | No | | | |
| (Circle) | Nursing opinion | Medical opinion | Allied Health opinion | | |
| Confirmed presence of thrombosis in upper/lower limb with vascath (Medical) | | | | Yes | No |
| Any medical investigations/therapy for thrombosis: | | | | Yes | No |
| Any documentation in medical notes of complications with CVVHDF: | | | | Yes | No |
| Does the nursing staff think their filter is imminently going to crash? | | | | Yes | No |
| How concerned is the nursing staff that the line is going to stop working within the next hour | | | | | |
| Extremely | Very | Moderately | Mildly | Not at all | |
| How concerned is the nursing staff that the line is going to stop working within the next hour if the patient were to be moved within the descriptions of the study | | | | | |
| Extremely | Very | Moderately | Mildly | Not at all | |
| Filter Modality: | | Filter type: | | | |
| Is the filter planned for disconnection today? | | | | Yes | No |
| What is the current filter life (in hours)? | | | | | |

Additional notes/comments:

What have been the previous filter lives for this patient (whole admission):

| Filter Number | Filter starting time and date | Life (hours) | Electively off? (Y/N) | Reason for filter replacement ^a |
|---------------|-------------------------------|--------------|-----------------------|--|
| 1 | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |
| 5 | | | | |
| 6 | | | | |
| 7 | | | | |
| 8 | | | | |
| 9 | | | | |
| 10 | | | | |
| 11 | | | | |
| 12 | | | | |

^aElective (1); Clotted filter circuit (2); Clotted vascath requiring replacement (3); Mechanical filter failure (4); Medical procedure (5); Unknown (6); Other (7) – specify.

Anticoagulation: Type _____ Dose _____

(Heparin, Citrate, Saline flushes, regional heparinization)

Transfusions in last 24 hrs: Hb _____ Platelets _____

Pathology (during continuous renal replacement therapy)

| | | | | | | | | | |
|-----------|--|--|--|--|--|--|--|--|--|
| Date/Time | | | | | | | | | |
| Hb | | | | | | | | | |
| Platelets | | | | | | | | | |
| Haemocrit | | | | | | | | | |
| INR | | | | | | | | | |
| APTT | | | | | | | | | |

RASS score:

| |
|--|
| -5 Unarousable <i>No response to voice or physical stimulation</i> |
| -4 Deep sedation <i>No response to voice but any movement to physical stimulation</i> |
| -3 Moderate sedation <i>Any movement (but no eye contact) to voice</i> |
| -2 Light sedation <i>Briefly (< 10 seconds) awakens with eye contact, to voice</i> |
| -1 Drowsy <i>Not fully alert, sustained > 10 seconds awakening (with eye contact to voice)</i> |
| 0 Alert and calm |
| +1 Restless <i>Anxious or apprehensive but movements not aggressive or vicious</i> |
| +2 Agitated <i>Frequent non-purposeful movement or ventilator-patient dyssynchrony</i> |
| +3 Very agitated <i>Pulls on or removes tubes, catheter(s) or has aggressive behaviour towards staff</i> |
| +4 Combative <i>Overly combative or violent; immediate danger to staff</i> |

1. Observe patient, are they alert and calm? Does patient behave consistently with +1 to +4?
2. If the patient is not alert, loudly state the patient's name and direct them to open eyes and look at you. Repeat once if necessary. Can prompt to continue looking at speaker.
3. If no response to voice, physically stimulate the patient by shaking their shoulder and then rubbing sternum if no response.

CAM-ICU (assess if RASS score between -3 to +4 inclusive)

| Feature 1: Acute Onset or Fluctuating Course | Score | Check here if present |
|--|---|--|
| <p>Is the patient different than his/her baseline mental status?</p> <p style="text-align: center;">or</p> <p>Has the patient had any fluctuation in mental status in the past 24 hours as evidenced by fluctuation on a sedation scale, GCS, or previous delirium assessment?</p> | Either question Yes → | <input type="checkbox"/> |
| Feature 2: Inattention | | |
| <p>Letter attention test (see training manual for alternate pictures)</p> <p>Directions: Say to the patient, "I am going to read you a series of 10 letters. Whenever you hear the letter 'A,' indicate by squeezing my hand." Letters from the following letter list in a normal tone 3 seconds apart</p> <p style="text-align: center;">S A V E A H A A R T</p> <p>Errors are counted when patient fails to squeeze on the letter "A" and when the patient squeezes on any letter other than "A."</p> | Number of errors > 2 → | <input type="checkbox"/> |
| Feature 3: Altered Level of Consciousness | | |
| Positive if the actual RASS score is anything other than zero. | RASS anything other than zero → | <input type="checkbox"/> |
| Feature 4: Disorganized Thinking | | |
| <p>Yes/No Questions (see training manual for alternate set of questions)</p> <ol style="list-style-type: none"> Will a stone float on water? Are there fish in the sea? Does one pound weigh more than two pounds? Can you use a hammer to pound a nail? <p>Errors are counted when patient incorrectly answers the question.</p> <p>Command Say to the patient, "Hold up this many fingers" (examiner holds two fingers in front of patient) "Now do the same thing with the other hand" (not repeating the number of fingers). *If patient is unable to move both arms, for the second part of the command as the patient to "Add one more finger." An error is counted if patient is unable to complete the entire command.</p> | Combined number of errors > 1 → | <input type="checkbox"/> |
| Overall CAM-ICU | | |
| <p>Features 1 plus 2 and either 3 or 4 present = CAM-ICU positive</p> | Criteria met→ | <input type="checkbox"/> CAM-ICU Positive (Delirium Present) |
| | Criteria not met→ | <input type="checkbox"/> CAM-ICU Negative (No Delirium) |

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Positional changes in last 24 hours

| Supine (hours) | L)slly (hours) | R)slly (hours) | SUIB (hours) | SOOB (hours) | Other (hours) | Total changes of position |
|-------------------|-------------------|-------------------|-----------------|-----------------|------------------|---------------------------------|
| | | | | | | |

Pre Intervention:

Does nursing staff have concerns about the patient moving within the description of the intervention groups? If so, what are their concerns?

Mobilization Data Collection (4 mins in each position – total 20minutes)

Group 1_Non-responsive participants (e.g. not able to participate) – 4 mins each position

| | Blood flow (mL/min) | Access pressures | Return pressures | TMP | Alarmed? Number of times | Adverse events | Notes |
|-------------------------------------|---------------------------|---------------------|---------------------|-----|------------------------------------|-------------------|-------|
| Supine | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Hip flexion 45°, sustained | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Supine | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Hip flexion 45°, repeated | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Supine | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

Group 2_Low Level participants (e.g. unable to stand) – 4 mins each position

| | Blood flow (mL/min) | Access pressures | Return pressures | TMP | Alarmed? Number of times | Adverse events | Notes |
|-------------------------------------|---------------------------|---------------------|---------------------|-----|------------------------------------|-------------------|-------|
| Supine | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Hip flexion 45°, sustained | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Supine | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| SOEOB | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Supine | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

Group 3_High Level participants (e.g. able to stand), 4 mins each position

| | Blood flow (mL/min) | Access pressures | Return pressures | TMP | Alarmed? Number of times | Adverse events | Notes |
|----------|---------------------------|---------------------|---------------------|-----|------------------------------------|-------------------|-------|
| Supine | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Standing | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| MOS | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| SOEOB | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Supine | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

Post intervention, how do the nurses feel about how the session went?

Post intervention, does nursing staff have concerns about future, similar patients moving within the description of the intervention groups? If so, what are their concerns?

Post intervention Vascath site:

NAD haematoma Bruising Oozing Bleeding

If adverse event(s) occurred, please record in detail:

Did the filter clot and require removal during the intervention or as a direct result of the intervention?

YES NO

Appendix 5.1 Assessment by an independent statistician



Michael O. Harhay, PhD
Assistant Professor of Epidemiology and Medicine
Director, Palliative and Advanced Illness Research (PAIR)
Center Clinical Trials Methods and Outcomes Lab

April 24, 2021

Dear Critical Care Medicine Editors:

I have been asked to review the paper titled "Physical rehabilitation in the intensive care unit, a systematic review and meta-analysis," currently being considered for publication at CCM.

I wish to declare that I have a prior relationship with the authors. However, I also serve as the Statistical Editor for the *Annals of the American Thoracic Society* and I am confident that I can provide an unbiased assessment on behalf of the authors and the CCM Editors.

I have assessed the study design and statistical analysis as presented. I did not review the code or the source data, as that is atypical in my role as a statistical editor.

My assessment is that the authors have conducted their systematic review and meta-analysis in adherence to the PRISMA document, with clear and concise reporting, and have followed the correct risk of bias and random-effects meta-analysis methodology.

As a result, I believe the inferences from their analysis are appropriate.

I apologize for any missing elements requested by the editors. If necessary, I am available by cell: 609-457-7391, or email: mharhay@pennmedicine.upenn.edu

Sincerely,

Michael

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