

ON..... 20 December 2002

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ERRATA

- p xiii para 5, 4th line: "compiled" for "complied"
- p xvii para 1, 2nd line: "other" for "othr"
- p xix para 8, 3rd line: omit full stop after "the late"
- p 5 para 5, 3rd line: "*bandh* is often" for "*bandh* often"
- p 21 para 1, 4th line: "led" for "lead"
- p 29 footnote 21, 2nd line: omit one "that"
- p 34 para 2, 1st line: substitute a comma for the full stop
- p 67 para 3, 1st line: "contains" for "contain"
- p 71 last para, last line: "the" for "The"
- p 78 second para (quote), 2nd line: "SOV (sic) is the dominant one" for "SOV is the dominant one"
- p 81 1st line: The word /fa ra l n/ should appear as /fa ra n/
- p 87 para 2, last line: add final full stop
- p 87 para 3, last line: add final full stop
- p 88 para 3, 1st line: "classifiers as" for "classifiers are"
- p 90 last para, 1st line: "it is a distinguishing feature" for "it is distinguishing feature"
- p 106 para 3, 3rd line: "the name" for "the named"
- p 129 para 5, 2nd line: "a number of Tai people" for "a number Tai people"
- p 132 para 3, 1st line: "vis-à-vis" for "viz a viz"
- p 138 example (1), 4th line: "May" for "My"
- p 185 para 4, 2nd line: "consonants" for "letters"
- p 190 para 2, 2nd line: "there appear to be a number" for "there appear to be are a number"
- p 205 para 2, 2nd line: "somewhat longer than the" for "somewhat longer the"
- p 207 para 1, 4th line: "merged as a single phoneme" for "merged as single phoneme"
- p 217 para 2, last line: "have not been" for "has not been"
- p 221 para 3, 2nd line: "to use initial /l/" for "to use of initial /l/"
- p 255 para 2, 2nd line: "the qualities of the Shan tones are different." for "the quality of Shan tones are different.."

- p 255 para 2, 3rd line: "Furthermore" for "Furthemore"
- p 257 para 2, 3rd line: "the Aitons" for "The Aitons"
- p 269 para 1, 1st line: omit "see"
- p 293 para 1, 3rd line: "not" for "nor"
- p 301 para 1, 4th line: "post-modify" for "post-modifier"
- p 306 example (64), 6th line, "3PI" for "3Sg"
- p 324 footnote 61, 2nd line: "whether (76) is a case" for "whether (76) a case"
- p 333 para 1, 3rd line: "as is" for "as does"
- p 334 para 1, last line: add final full stop
- p 334 para 2, 1st line: "Example" for "Examples"
- p 338 last para, 1st line: "participant" for "partipant"
- p 339 para 2, 1st line: "their" for "there"
- p 346 example (128), 6th line, "3PI" for "3Sg"
- p 353 para 3, 6th line: "for the Khamti language" for "for at the Khamti language"
- p 354 para 4, 1st line: "needs" for "need"
- p 356 example (151), 3rd line, "3PI" for "3Sg"
- p 357 para 1, 5th line: omit the comma after "even"
- p 392 para 2, last line: add final full stop
- p 400 para 1, 1st line: "The context of this sentence" for "The context of sentence"
- p 416 para 3, 1st line: "This is especially" for "This especially"
- p 423 para 3, 2nd line: "becomes a" for "becomes of"
- p 423 para 3, 6th line: "pronounced" for "pronounce"
- p 428 para 1, 1st line: "which is" for "which"
- p 470 para 4, 2nd line: "These briefly describe" for "These briefly"
- p 481, the reference for Chau Khok Manpoong should read:
Chau Khok Manpoong. (ཁོ་མོ་ལོ་ལོ་ལོ་). 1993. *New Tai Reader*
(ལོ་ལོ་ལོ་) Chongkham, Arunachal Pradesh: Tai Literature
Committee. 2 volumes. (in Khamti).
- p 483, lines 12 and 14 "Egerod, Søren." for "Egerod, Søren.."

**The Tai Languages of Assam
a grammar and texts**

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Doctor of Philosophy

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SCHOOL OF LANGUAGES, CULTURES AND LINGUISTICS

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ကုန်မုခ်ပြုကုန်မုခ်ပြုကုန်မုခ်မုခ်စေ ၁၁ ကုန်မုခ်
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Abstract

This thesis presents a comprehensive analysis of the Tai languages spoken in Assam, Northeast India, together with a substantial corpus of texts in the Tai languages. The thesis is presented in two versions; as a book in the traditional format, and as an electronic document, with links to sound and picture files as appropriate.

Of the several Tai varieties spoken in India, this study concentrates on the Tai Aiton and the Tai Phake. Both communities have strongly supported the writer's intention to not only describe their languages but to document and make available as much information about the language and literature of the Tai as possible.

The electronic format in which this thesis was written has allowed all of the language examples to be linked to sound files. The reader of the thesis is therefore able to check any of the claims made by reference to the original data. In the case of language examples that come from texts, the reader will also be able to check the context.

In order to present the language examples in the scripts used by the Tais of Assam, several special fonts were produced. The use of the script not only makes the thesis more available to the native speakers of the languages, it also conveys to the general reader the importance of the native writing system.

In addition to a description of the current linguistic situation of the Tai peoples in Assam, the thesis also includes a comprehensive study of previous work on these languages. Following that, a detailed analysis of the sound systems, writing systems and syntax of the languages is presented. Finally, two lexicons have been compiled, an Aiton English lexicon and a Phake English lexicon.

This thesis is both a description and a documentation of the Tai languages of Assam. Over seven hours of text has been transcribed, translated, analysed and annotated and

these texts are all presented, linked to sound files, in the electronic appendix to the thesis.

It is argued in this thesis that the documentation of endangered languages is not only an urgent task, but that it is the documentation, the rich corpus of texts presented, that will be perhaps the greatest contribution to scholarship.

Statement of Originality

This thesis contains no material which has been accepted for the award of any other degree or diploma in any University, or which has been previously submitted for any degree or diploma. It contains no material previously published or written by another person, except where due reference is made in the text of the thesis.

.....
Stephen Morey

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* * *

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Abbreviations used in this thesis

Terms used in sentence glossing

1	First Person
2	Second Person
3	Third Person
CL	Noun class marker
CLF	Classifier
COMP	Comparative
DEF	Definite
DI	Dual
EUPH	Euphonic particle
EXCL	Exclamation
HESIT	Hesitation
HORT	Hortative
I	Indirect Object
INTENS	Intensifier
NEG	Negative
NP	Noun Phrase
O	(Direct) Object
ONOM	Onomatopoeia
PI	Plural
PP	Prepositional Phrase
PRT	Particle
QN	Question
RECIP	Reciprocal
RESP	Respectful
S	Subject
Sg	Singular
TAM	Tense, Aspect and Modality
V	Verb
VOC	Vocative
VP	Verb Phrase

ถ้าศึกษาภาษา ก็ศึกษามรดกของความคิด
When we study language, we study the heritage of human thought

- พระเปร่ง ปภัสสโร¹

1. Introduction

Diversity of human language, and arguably therefore of human experience, is under serious threat. The small languages of the world – and most of the languages of the world are small languages – face threats that it is believed many of them will not surmount. The work of documenting the undocumented languages of the world is as urgent a challenge as any in the whole range of scholarship.

The reader will already have realised my ideological commitment to the documentation and description of endangered languages. It is not uncontroversial that the study of endangered languages should be a high priority for linguistic endeavour. However in this work, it will be taken as axiomatic that the diversity of human language should be recorded.

Many scholars have written of the importance and urgency of this work. Mithun (1998:163) wrote that the diversity of human language as "one of our most valuable human intellectual resources". She makes the strong claim that "The loss of language diversity will mean that we will never even have the opportunity to appreciate the full creative capacities of the human mind." (1998:189)

Dixon (1997:5) has written about what he considers to be the major priority for linguists, which is:

"to get out into the field, and to provide description of some part of the wealth of human language, documenting the diversity before it is – as it will be – lost."

¹ In March 2002, when returning from Chiang Mai, having finished all the field work for this thesis, I met the Venerable Phra Preng Pathassaro, who asked me what I did. On being told, he uttered these words, which seem a fitting heading.

Documentation, surely, means more than merely listing a series of sounds and a series of strings of words which tell us what "the language" is or was made up of.

Documentation must mean the making available to scholars, and to the future generations of the speech community, as much of the speech and literature of that community as possible, and presenting it in a way which advances the knowledge of human language.

Mithun (1998) encapsulated this point in her conclusion:

"Simply eliciting vocabulary and basic paradigms will not be enough; speakers must be allowed to speak for themselves. It is crucial to record how good speakers use their language, what they choose to say in the multitude of settings that constitute their daily lives, how they describe their own experiences, how they provide explanations, and especially how they interact with each other. Such a record will lay a foundation for their descendants to discover the intricate beauty of a system unlike any other, and a chance for us all to appreciate some of the capacities of the human spirit." (1998:191)

For most of the twentieth century, printing was the mode of presenting "the grammar of a language". Printing imposed limits on the amount of language data that could be presented. Furthermore, the orthography of the community concerned was often unable to be printed and neither the sounds of the language nor any but a limited photographic record was possible.

These constraints do not apply at the beginning of the twenty-first century. With the use of widely available computer technology, in addition to the descriptive thesis itself, a large corpus of language data, both analysed in written form and as audio or video, can be presented.

This thesis consists of eleven chapters which present an analysis of the several Tai languages of Assam. The electronic version of this thesis is linked to a corpus of texts in the Tai languages (see 9.5 below), presented in both audio form and with

transcriptions, translations into English and annotated analyses. This corpus, it is hoped, not only presents some of the intricate beauty of the Tai languages, but allows the speakers to speak for themselves, as Mithun has urged (1998:191).

In the electronic version of this thesis, links will be provided to both sound and picture files. This will involve the presentation of:

- sound files for almost all language examples referred to in the thesis
- sound files for all of the spoken texts on which the thesis is based
- photographs linked to thesis.
- brief biographies of the informants

This, it is hoped, will provide a much richer level of documentation than was possible in the past, without compromising the linguistic analysis that will be presented in chapters 6 to 10.

1.1 Meeting the Tai of Assam

In late 1996, I undertook a semester of study at the Prince of Songkla University, Pattani, Thailand. When my Thai dialectology teacher, Dr. Thananan Trongdi, came to learn that I was planning a holiday to India, he suggested making a visit to Assam, because "there are Tai people there".

So it was that in October 1996 my wife and I first arrived in Assam². Thanks to the kindness of Professor Chatthip Nartsupha of Chulalongkorn University, Bangkok, we had the names of three Tai people in Assam. Only one of these, Nabin Shyam Phalung, was in Assam when we arrived. We visited him at the Department of Historical and Antiquarian Studies, in Pan Bazaar, Guwahati, where he works as an Ahom Pandit.

² The story of our arrival in Assam formed the basis of the *Poem in the khe² khyāg² style: How Stephen Morey came to the Tai Phakes*, composed by Ai Che Let Hailung of Nampakey Village.

By very good chance, a few days after our arrival, Nabin Shyam was due to travel back to his own village for a meeting of the Tai Aitons. We travelled with him and thus came to stay in the Aiton village of Bargaon (မာင်ဝဇ် baan³ luŋ¹).³

From there, we were able to travel to Dibrugarh, and through the kindness of Sri Atul Borgohain, reached the Phake village of Namphakey (မာင်ဝဇ်မာင်မာန် mān³ phā⁴ ke⁵ taü³), where by further good chance we were able to witness the annual ceremony to mark the end of the rains retreat of the Buddhist monks (ပိတုဇာတိပုဆိ² auk¹ wā¹).⁴

During our time in Namphakey, I had noticed that the Tai villagers possessed many books in manuscript form, but there were no printed books in the Tai language. I presumed that this was because having a script of their own that is read by relatively few people, the Tais had no resources to print books.

I asked the villagers whether it would be useful for them to have a 'computer printing block' for their script. At that time most of them had very little idea what computers were; but the older men in the village gathered together and discussed the matter and agreed that it would be a good idea. Returning to Australia, the first draft of the font was prepared (see 7.8 below) and my involvement with the Tai languages of India had begun.

After that initial three weeks in Assam, I have undertaken five more field trips, as follows:

January 1998

January 1999

November 1999 - February 2000

March - April 2001

February - March 2002

³ The Tai scripts are explained in Chapter 7 and the phonemicisation of them in 6.2 (Phake) and 6.3 (Aiton). The name of this village can also be spelled မာင်ဝဇ်.

⁴ This festival is also known as ပိတုဇာတိပုဆိ² kan¹ tɔ² 'festival-beg pardon'.

Altogether, I have visited about 12 villages in Assam, but I have only stayed in 6 of them, namely the Aiton villages of Bargaon (မာင်ဝဇ် baan³ luŋ¹) and Duburoni (မာင်ဝဇ် baan³ nam³ thum³), the Phake village of Namphakey (မာင်ဝဇ်မာင်မာန် mān³ phā⁴ ke⁵ taü³), the Khamyang village of Pawaimukh, the Khamti village of Mounglang and the Turung village of Rengmai.

Field work inevitably involves both some difficulties and some moments of great joy. Sometimes even the difficulties can be turned to advantage. It will be useful to briefly touch on both the difficulties and the joys of this particular project.

1.1.1 Some difficulties in undertaking this thesis

The Northeast of India has been somewhat disturbed by political problems for many years. There are a large number of insurgencies, described by Hazarika (1995). Some of these have as their genesis the desire for independence or autonomy by groups of people who speak a common, often non-official, language.

Naturally these insurgencies are no business of the visiting scholar, but their existence cannot be ignored. For example, among the Tai Ahom community (see below 2.1), there are some who believe in an independent country for Upper Assam. As Nartsupha and Wichasin (1998:179) state:

"If they can not free all of Assam, they hope to cut Assam into two parts.

Upper Assam will become an independent country called Ahomia or Mioung

Dun Sun Kham⁵ the old name of the Ahom kingdom."

Sometimes one group in the community or another will call for a *bandh*. These may be called by groups of students or political parties, but they are also called by insurgents. The term *bandh* often translated unsatisfactorily as 'strike'. For a *bandh* is not a strike, it is something like a curfew. Those calling the *bandh* will order that all transportation, schools and shops in the affected area be closed, although farmers may

⁵ In Aiton this would be မိယုနုနုသုနုသု *miy² dun² sun¹ kham²*, literally 'country-cotton-garden-gold'.

continue with their work in the fields. People breaking the bandh sometimes run the risk of physical harm.

I have experienced about ten bandhs in the time that I have been working in Assam. On one occasion, I had been invited to attend a wedding in Moran, Dibrugarh District, Upper Assam. This was to be a full Soklong wedding, my first opportunity to view this ceremony which is conducted in the Tai-Ahom language.

For the three weeks prior to the wedding, I was undertaking field work in the Tai Aiton villages in Karbi Anglong and Golaghat districts. On the day before the wedding, my host, Chaw En Lai Phalung came in and announced that he had learned that a two day bandh had been called in Karbi Anglong district. I would therefore not be able to catch the bus from the nearby town to make my way to Moran.

However, a plan was developed. We would pack my bags and carry them across the river into nearby Golaghat district, then proceed on foot to Duburoni village. Having spent the night there, in the morning a taxi would come and take me through Golaghat district to the Doiyang river. This would have to be crossed by boat. From there, after a short walk, we would reach another bus stop, and then go by bus to Golaghat where a bus to Moran would be available. Since it was regarded as unsafe for me to travel alone, I would be accompanied by two young men of Duburoni village as far as Golaghat.

So it was that my laptop computer, video camera, and all my tapes were carried through the river, which was fortunately only up to waist height at that time of year! With the help of Bidya Thaumoung and Pradip Thaumoung I reached Golaghat, and from there caught my bus to Moran and attended the wedding.

This inconvenience had a positive side. In Duburoni there is a very knowledgeable old man, Sa Cham Thaumoung. On what was supposed to be my last day in the village he began to tell a story for me to record. It was a Jataka, an account of a former life of the Buddha, and was to last for two hours. Before it was completed, I had used up all

my empty cassettes, so Pradip Thaumoung was despatched to the nearest town to buy some blank ones.

After a gap of several hours, I was able to resume recording. Sa Cham, who is nearly deaf, needed to hear the last minute or so of the tape to be sure he knew where he was up to. This was achieved with him wearing a stethoscope, the end of which was then placed on the tape recorder. He then resumed the story and completed it in about another hour, after which I left the village.

When he came to know that I had unexpectedly returned, two days later, he told me that there was still a little more to add. In a Jataka story, every character is a previous incarnation of a person from the life of the historical Buddha, and the story usually ends with an explanation of who the characters were. It was this that Sa Cham wished to add. Thus it was most fortunate that the bandh had caused me to return to the village⁶.

1.1.1.1 Crossing a bamboo bridge

The importance of crossing rivers was first brought home to me when I wished to visit Duburoni village in January 1999. In order to do so I had to cross a rather rickety little bamboo bridge⁷, with four parallel bamboo pieces on which to walk; although sometimes they were only as wide as an inch and sometimes some of them were missing. The reader may imagine a picture of an Australian, with his computer hanging over one shoulder and tape recorder on the other, crossing this bridge, sure that these machines and their owner would soon fall in. It was getting close to the end of the year, but I safely crossed that bridge and returned by another, rather longer, route.

Only later did I come to read the book of Tai proverbs, *Grandfather teaches Grandchildren*. Proverb number (11) reads as (1) below:

⁶ Unfortunately I have not yet had the time to transcribe and translate this story.

⁷ Photographs of this bridge can be found in the electronic version of the thesis at \biographies\Bamboo Bridge.doc

- 1) လာ ပီ ယာ တဲ ဟဲ့
 lā³ pī² yā¹ tai¹ kho⁶
 late year forbid move along bridge

ဟဲ့ ဟွက် ဆင် တုက် ဟဲ့
 kho⁶ nauk¹ cān⁵ tok¹ khoi³
 bridge decayed maybe fall die

မို့ ထိုက် ဆင် ကိုက် ဟဲ့
 mau¹ thām⁶ ian⁶ ik¹ nā³
 NEG think back are face

ဆင် ယိပ် ဟဲ့ ဟွက် တုက် တဲ
 cān⁵ yēp¹ khā⁵ mai⁴ nauk¹ tok¹ tāj²
 maybe tread on branch rotten fall die

Audio Link for this sentence example.

Yehom Buragohain translated this as: "Do not walk over the bridge at the end of the year for you may fall down from the bridge and die." The late Ainya Kharig Gohain translated the last two lines a little more explicitly as: "thinking back and fore, you may tread over the rotten branch and fall dead."

I have since learned that this type of bridge is built after the floods in about September. By January, coming close to the end of the Tai year, the bridge is getting rather rotten.

1.1.2 Life in Tai villages

Generally speaking, Tai people get up with or before the sun. In Assam, this is very early indeed, because India is a single time zone, and Assam is so far to the east in that time zone that the middle of the day is only a little past 11.00 AM by the clock.

After getting up, tea is offered, consisting of a cup of Assam tea and some biscuits or bread. A full rice meal will be taken around 9.00 or 10.00 AM and another meal after dark just before sleeping. I have never gone hungry in a Tai village, however. Tea and fruit and cake are offered throughout the day.

The main work of most people is rice farming. The rice season lasts from about May until December, with planting in May and harvesting beginning about four months later. After harvest the rice has to be separated from the stalks; this is done by having cattle trample on the stalks. During November and December this is a daily activity and can sometimes be heard in the background on some of the recordings made for this project (such as the *Butterfly Dance*).

During the rice season, the villagers are very busy. In the winter months, January to April, there is less work to do, and villagers were able to spend time helping with this Tai languages project.

There are several important festivals throughout the year. Some of the most important festivals are listed in Table (1):

Name of festival			Time of year
ပွဲသင်္ကြန်	poi ² sān ² kycn ²	Tai New Year (Sangkyen)	April 13-15
ပွဲဟဲ့	khau ³ wā ¹	Entering the rains retreat	July
ပွဲဟွက်	poi ² auk ¹ wā ¹	Leaving the rains retreat	October
ပွဲကုဗိုင်	poi ¹ ka ¹ thiŋ ¹	Presentation of robes to the monks	November
မီးကျယ်လဲ	mai ³ kō ¹ sum ⁶ phai ²	Burning of wooden pyre	February

Table 1: Tai festivals

In addition to regular festivals, there are also special festivals which may arise from time to time. The most important of these is the festival of ပွဲလိင် *poi² laiŋ⁶*, which is a ritual tug-of-war held to honour the passing of a Buddhist monk. This type of festival is discussed by Terwiel (1995). I have been fortunate to attend and make video recordings of two such festivals, in January 2000 and in February 2002.

1.2 The Tai language family

The *Linguistic Survey of India* (Grierson 1904)⁸ contains a very important study of the Tai languages. Grierson (1904:59) includes the Tai languages in a family which he called Siamese-Chinese. Nowadays the thesis that Tai belongs to the same family as Chinese is not accepted by all scholars, rather Tai is grouped together with the Kam⁹ languages of China as the Kam-Tai family, and at a higher level is related to the Kadai languages. This forms a family called Tai-Kadai.

Within the Tai proper, Li Fang Kuei (1977) identified 3 subgroups which he named Northern Tai, Central Tai and Southwestern Tai. The Tai languages of northeast India belong to this Southwestern group. Grierson (1904:59) divided these into two groups:

Northern - Khamti, Chinese Shan, Burmese Shan & Ahom

Southern - Lao and Siamese¹⁰

The best known language of Grierson's Northern Group is Shan, and the group as a whole is often now referred to simply as Shan.

1.2.1 The Shan group of languages

Any discussion of the classification of Tai dialects proceeds from an understanding of Tai tonal systems, because it is often the difference in tonal systems that most clearly marks the different dialects/varieties. The Tai tonal system is explained in section 6.1.5.3 below.

Edmondson and Solnit (1997b) discuss the Shan varieties of Burma and China in detail, and, on the basis of tonal distinctions, have proposed two groups: (1997:355)

Southern group - including the varieties of Shan Panglong, Mae Hong Son and Cushing's Shan

⁸ The *Linguistic Survey of India* was originally published in several volumes between 1903 and 1928, but the volume relating to the Tai languages was published in 1904, and this is the date by which it will be referred to in this thesis. There was also a reprint of the Survey in 1966-1968, with the volume relating to the Tai languages being reprinted in 1966, and a second reprint in 1977.

⁹ For a description of Kam, see Long and Zheng 1998.

¹⁰ Siamese is better known as Standard Thai

Northern group - included Tai Nua and transitional Shan at Mangshi, Namkham and Mu-se.

Edmondson and Solnit provide tone boxes, based on the principles outlined by Gedney 1972 (see 6.1.5.3 below) for each of these two groups. In these tone diagrams, an alphanumeric tonal notation is employed, using a scale of 1 to 5 where 1 is the lowest pitch of the voice and 5 the highest. This differs from the arbitrary notation of tones employed in this thesis (following Banchob 1987), which is discussed in 6.1.5.2.

Merged boxes have been shaded:

	A	B	C	DL	DS
1					
2	325	221	332	31	35
3					
4	44	332	41	33	53

Table 2: Southern Shan tone box (tone values for Panglong)

	A	B	C	DL	DS
1	25				
2	33	2'	31	31	13
3					
4	51	33	52	55	

Table 3: Northern Shan tone box (tone values for Mangshi)

Edmondson and Solnit place Khamti in Northern Shan group (1997:356).

Since Aiton, Khamyang and Phake are spoken still further north, we might have expected them to belong to Edmondson and Solnit's Northern group (Table 3), and indeed the Khamyang tone box as described in this thesis (see 6.4.3) is similar to Table (3) above.

However, the Aiton and Phake tonal systems, particularly the later, do not appear to be related to the system as shown in Table (3). In the Phake tonal system, there is no merge between the boxes A2-3 and B4 that is observed in Table (3). Moreover in both Aiton and Phake there is a merger between A2-3 and A4. The tonal patterns of Phake and Aiton, as reported in this thesis, would appear to require a re-examination of the classification within the Shan group of languages.

1.2.2 The similarity of the languages in the Shan group

Already in the time of Grierson (1904:66), it was clear that the various varieties of the Shan group were closely related. As he stated: "Northern Shān (i.e the language of the Northern Shan states)¹¹ is closely allied to Southern Shān, indeed they form one language, with only slight difference of dialect. When they differ, Northern Shān is often in agreement with Khāmṭī."

Needham (1894:ii) opined that "Khāmṭī undoubtedly comes from the same sources as the Shan language treated in the Rev. Dr. Cushing's work, though almost all the words found in use in Khāmṭī are quite different from those in use among Dr. Cushing's Shans."

Grierson (1904:66) disputed Needham's opinion that the Khamti words are quite different from the Shan, stating that "To me it seems as if the two languages were almost the same." He states that of the first 20 words in Needham's Khamti vocabulary, "fourteen can at once be found in the same spellings and meanings in Dr. Cushing's Shān Dictionary, and probably more would be found there if allowance were made for difference of orthography."

I have compared the first 20 words in Needham's vocabulary with the *Phake-English-Thai Dictionary* (Banchob 1987), and found all but three of the words in that dictionary, the exceptions being:

¹¹ Parentheses added by S. Morey.

ဝၢ်	'about (near in time, &c)'
ဗဲ	'abuse' ¹²
ဗၢ်ဗၢ်	'accused', n.

Table 4: Words recorded by Needham not in Banchob 1987

Grierson further added (1904:78) that "these languages are all closely related to each other. Indeed, they should not be considered as languages, but as members of the group of Northern Shān dialects."

Grierson went on to attempt to classify the Tai varieties. After declaring that the Tairong was the closest to Khamti, he added that:

"The next nearest is Norā. It uses the Khāmṭī alphabet, but has one letter, *q*, which has been lost by Khāmṭī, but which existed in Āhom, and still also survives in Shān and Aitonā. Its vocabulary has more words which are peculiar to Shān than Tairong has, and its grammar often uses both Khāmṭī and Shān forms (when they differ) indifferently."

Grierson's classification seems to be based on orthography rather than spoken language, since it is doubtful that Grierson ever heard spoken Tai. With the near extinction of Khamyang (apparently the same as Grierson's Nora) and the fact that all the Tairong or Turung now speak a Tibeto-Burman language, it is now no longer possible to check his claims.

1.2.3 The Tai varieties of Northeast India

Grierson (1904) lists 6 varieties of Tai which have been spoken in Northeast India in historical times, namely: Ahom, Aiton, Khamti, Nora, Phake and Turung. A seventh variety, Khamyang, which may be the same as Nora, is still spoken in a single village in Tinsukia District, Assam.

¹² Perhaps Phake pāu' 'to announce aloud, by shouting along the road'.

The living Tai varieties differ in terms of consonant inventories, and more particularly in terms of tone systems. Unfortunately, little or no information about the tonal system of the Ahom and Turing survives, nor about Nora if it were not the same as the Khamyang still spoken in Pawaimukh village.

This thesis concentrates on the Aiton and Phake varieties, but reference will be made to all the other varieties as appropriate and a brief discussion of Khamyang phonology is also presented (see below 6.4). It is common amongst the Aiton and Phake communities to talk of the Tai language, or Tai-Aiton language or Tai Phake language. Since these are named varieties, and there are some features which are accepted by all to be distinguishing features between them, they will be termed as Aiton language and Phake language. When referring to features common to all the Tai varieties, the terms Tai languages of Assam, or simply Tai languages, will be used.

The fact that Aiton and Phake are named varieties does not mean to suggest that they are not mutually intelligible to native speakers. Diller (1992:7 fn6) reported that there was full mutual intelligibility between Aiton and Phake, that they "find each other's speech totally unproblematic." It has however been suggested that some Phake informants sometimes have difficulty understanding the Aitons, although the converse is not the case. This may be due to the reduced number of tone and vowel contrasts in Aiton, discussed in 6.3.2 and 6.3.4 below.

1.3 The data which forms the basis of this thesis

The phonological and grammatical analysis of Tai Aiton and Tai Phake presented in this thesis is based on a corpus of texts, including a word list, collected by the writer during field trips to Assam between 1996 and 2002.

This corpus includes oral literature of a variety of genres, the translation of manuscripts, and some more informal texts, which are discussed in Chapter 9.

All of the texts on which this grammar is based have been translated, analysed and rechecked with native speakers, and these transcriptions, translations and analyses are presented as an electronic appendix to this thesis (see 9.5). The process of collecting and analysing this data is discussed in Chapter 5.

This presentation of the texts generally includes the full text in Tai script, and a translation into English. A line by line analysis with phonemic forms and interlinear gloss will then follow. These texts are important not just for the way that they say things, but also for what they say. Therefore text and translation have been presented to encourage readers to read the whole text. Whilst these texts are useful for mining linguistic data, it is also important to bear in mind that they have deeper meaning for the community from whom they have been collected.

In the body of the analysis itself, particularly chapters 6-8, examples will be presented as in (2), where the text is given in Tai script, followed by a phonemic gloss, an interlinear gloss in English and a translation into English, with notes where necessary. The source and informant for the text will also be given.

2)	lik ⁴	hau ²	lāi ²	hau ²	loi ²	loi ²	sūŋ ⁶	hāi ⁶
	book	1Pl	pattern	1Pl	slowly	if		disappear
	ḡḡ	ḡḡ	ḡḡ	ḡḡ	ḡḡ	ḡḡ	ḡḡ	ḡḡ
	mā ⁵	nūŋ ⁵	nan ⁴	cam ⁴	hau ²	ta ¹	nāi ¹	
	time	one	that	PRT	1Pl	will	regret*	
	'If our books and writing slowly disappear, then we will regret it.'							
	(Tai Phake Song, <i>Song of teaching the Tai language</i> , Nos. (10)-(11), composed and sung by Ee Kya)							

Notes: * synonymous with *yən³* 'to regret'.

In the electronic version of this thesis, hyperlinks have been inserted to take the reader to the text from which the example comes, or to the sound file for the example, or to some information about the informant.

Readers of the printed version of this thesis will be able to access this information in one of two methods. The first method, which is somewhat simpler, would be to open the electronic version of the thesis at the same point in the text and then use the electronic links.

Alternatively, the reader can find the files which have been hyperlinked to the example. All of the texts are stored according to the arbitrary number given to the text. This number can be found in section 9.5 below. In the case of example (2), the number of the *Song of teaching the Tai language* is 1-7-2-3. Being a Phake Text, it will be found under \texts\phake on the accompanying compact disc as 1-7-2-3.doc.

The sound file for the whole text is 1-7-2-3.mp3. Being a Phake text, it will be found under \wave files\phake\texts. Aiton texts would be found under \wave files\aiton\texts. The particular sentence example given in (2) would be found by going to \wave files\phake\extracts\1-7-2-3 and then opening 10-11.mp3, because it is lines (10) and (11) from the *Song of teaching the Tai language*.

Finally, it is possible to find some brief biographical information and a photograph of the informant. These are found in the \biographies folder. In the case of example (2), open that folder and open Ee Kya.doc.

As discussed above, although in the past it was sometimes difficult to present texts in languages using scripts other than the Roman, now it is both possible and practical to do so. Several fonts have been produced as part of the present project. The Tai scripts are discussed in Chapter 7 below, and the creation of the fonts is discussed in 7.8 and Chapter 11.

These Tai fonts have become accepted by the Tai Aitons and Tai Phakes and in order to make at least parts of this thesis accessible to those communities, I regard it as absolutely essential that the Tai script is used in every example. (see 4.5 below).

In the electronic versions of this thesis, all sentence examples will be linked to a wave file of that sentence, as is shown in (2) above.

In the past it was not possible to do this, and linguists have grown to accept that when examining data from other languages it is not possible, and therefore not necessary, to actually listen to the linguistic data. Now it is possible, and indeed optimal, to be able listen to the data as it is being examined. This is particularly true for any examination of phonetic, phonological or prosodic detail.

By listening to the data as it presented, a deeper understanding of the richness of a language will be gained; linguistic features which cannot necessarily be put into words, or communicated by a transcription, will be grasped.

1.4 The basic thesis of this work

1.4.1 Key aims of the research

Much of the linguistics in the past century has concentrated on finding formal theories to explain the way that language works, and from that to tell us about human thought processes.

This work, on the other hand, aims to provide a documentation and grammatical description, allowing specialist scholars to use the data from these languages for more detailed analysis of any particular phenomena. Equally importantly this thesis hopes to help preserve the knowledge and literature of the Tai of Assam. The theoretical underpinning of this thesis is discussed in Chapter 4.

The aims of this thesis are summed up as:

- To write a descriptive grammar of the Tai languages of Assam, drawn both from manuscripts and tape recordings collected.
- To produce a lexical data base for these languages, drawing on previous research and my own data.
- To record stories, songs, and other traditional information of the Tais of Assam, to form a basis for linguistic analysis.
- To present the data and findings in an integrated electronic format.

The bulk of the analysis of the language is presented in Chapter 6 *Phonology*, Chapter 7 *Writing* and Chapter 8 *Syntax*. In each of these chapters there are sections relating to theoretical considerations.

2. The Tais of Assam & their languages

Tai people have been living in Northeast India for at least 700 years¹³. Today there remain at least 7 groups which identify themselves as Tai. Of these the Tai Aiton, Tai Khamti and Tai Phake still maintain their traditional languages. However the numbers of speakers are relatively small, possibly as few as 10,000 in India. Due to the small numbers of speakers, these languages can be regarded as endangered.

This thesis has been written not only because of the importance of documenting this variety of human linguistic experience for future generations, but also because of the enthusiasm of the Tai people that their language should be adequately documented, studied, analysed and published.

The Tai groups all use traditional writing systems, which they probably brought with them from what is now Burma. The ability to read and write the traditional Tai writing system, discussed in Chapter 7, is certainly more endangered than the spoken language.

The writing system makes the interpretation of written texts very difficult (see 9.1). It is possible to know the letters of the alphabet without being able to understand the old manuscripts. The task of recording these languages is thus more urgent than it may otherwise appear, because the number of Tai speakers who can interpret the old manuscripts is decreasing. There would be a loss of a considerable part of the Tai linguistic heritage if the growing generation were not able to interpret these old manuscripts.

Before turning to examine the Tai communities about whom this thesis is principally written, it will first be necessary to place these languages in the context of the linguistic mix which is Northeast India, and secondly to refer to the Tai Ahom, rulers

¹³ If we accept the traditional date for the arrival of the Tai Ahom king Sukapha, Tai people have been in India since 1228.

of Assam for 600 years, whose hopes to recover their Tai heritage prompted my initial research interest in visiting Assam.

2.1 The Linguistic mix in Northeast India.

Four large language families are represented in India, the Indo-Iranian branch of Indo-European, the Dravidian, the Tibeto-Burman and the Austroasiatic. Of these, all but the Dravidian are present in the seven states of the Northeast, known as the *Seven Sisters*. Four of these states, Arunachal Pradesh, Manipur, Mizoram and Nagaland have large or majority populations of Tibeto-Burman speakers; while a fifth, Meghalaya, has a large population of people who speak Khasi, which is a Mon-Khmer language, one of the branches of the Austroasiatic family.

In Assam itself, there are a large number of indigenous Tibeto-Burman languages, some of which have very large populations. There are also a significant number of tribal people from the other states who have come into Assam in more recent times. In addition, there are a large number of Tea Garden labourers, descendents of mostly Munda (Austroasiatic) speakers who were kidnapped by the British in the 1860s. Taken from their homes in eastern India, they were forced to work on Tea Plantations. They now speak a patois of Santhali, Oraon, Munda and Assamese (Hazarika 1994:35).

Most of the people of Assam, however, speak Assamese, which is the most easterly of the Indo-Aryan languages, and was, until recent migrations by Russian and English speakers, the most easterly of any of the Indo-European languages. This part of India is thus the meeting place of these great language families, and it is into this mix that Tai people have arrived in recent times.

2.2 The Ahoms

Historical records show that the Ahom were the first group of Tai in Assam. They were led there by Sukapha (𑜋𑜧𑜨𑜃𑜫 sy kaa phaa),¹⁴ a Tai prince from Muang Mau near the region where it is believed the Tai originated, now Yunnan Province in China. Sukhapha lead a group of Tai into the Brahmaputra valley and set up a Kingdom in the country which he named 𑜋𑜧𑜨𑜃𑜫 *mum dun sun kham*, literally 'country-cotton-garden-gold'. The traditional year for this event was 1228. The Ahoms ruled the Brahmaputra valley for nearly 600 years until they were overthrown by the Burmese in 1819, before the Burmese were themselves conquered by the British in 1824 (Gait 1992:344).

From the surviving Ahom manuscripts, it can be seen that the Ahom language was closely related to the language of the Shan states, and even more closely related to the varieties of Tai still spoken in Northeast India, particularly Aiton (see below 6.5.4).

The Ahoms practised their own traditional religion, of which some traces remain in the present day wedding ceremony of the Ahoms (called Soklong), in an annual feast in honour of the ancestors (Me Dam Me Fi¹⁵) and in certain animal sacrifices. Almost alone amongst the Southwestern Tais, the Ahoms seem never to have followed Theravada Buddhism¹⁶.

After the sixteenth century, it appears that the Ahoms gradually assimilated into the majority Hindu population of their kingdom, adopting Hinduism and many other cultural practises of Northern India. The language used by the Ahom population today is Assamese, an Indo-European language closely related to Bengali.

¹⁴ Nothing is known of the Ahom tonal system, and therefore no tones are marked. Nabin Shyam Phalung states that the meaning of this King's name is "tiger-equal to-sky", and is pronounced *suu' kaa' phaa'* in Aiton.

¹⁵ Me Dam Me Fi is now celebrated as a public holiday on 31st January in Assam. Me Dam Me Fi is Tai language, in Phake it is *me² nam³ me² phi⁶* "repair-ancestor spirit-repair-spirit".

¹⁶ There are, however, a number of Buddhist manuscripts in Ahom script in the Department of Historical and Antiquarian Studies in Guwahati. One of these was examined and translated as *Advice to Women* (2-4-2-2). It is not clear what the presence of these manuscripts tells us about the practice of Buddhism under the Ahoms.

Despite the loss of the language in everyday communication, a large number of Ahom manuscripts survive, representing a substantial literature of histories, creation stories, astrologies and other religious texts.

Brown (1837b) was the first to attempt to translate an old Ahom manuscript, using one Juggoram Khargaria Phukan as his informant. These early attempts are discussed by Terwiel (1989). In more recent times, Terwiel and Ranoo (1992), and Ranoo (1996) are two excellent modern editions of very important Ahom texts. Ranoo (1996) is a very scholarly edition of the Ahom Buranji, the history of the Ahoms back to the very beginnings. These and other works on Ahom are briefly discussed in Chapter 3.

Diller (1992:6) has pointed out that "Ahom, as an ethnic term, has two associated but still somewhat distinct senses." The first sense refers to "the former Tai-speaking population who came to rule in the upper Brahmaputra valley", while the second sense refers to the "modern Assamese-speaking subgroup presuming themselves to be the descendents of the former."

In Assam, there is an increasing interest in the Ahom language by the descendents of the Ahom, Diller's second sense of the term. A language revival movement has been underway for several generations, involving the teaching of Ahom in primary schools in those areas where large numbers of Ahom people live, the setting up of a Tai language course at Dibrugarh University, and the publication of short texts including modern songs and poems in the Ahom language. Despite the genuine wide interest in the language, and the clear enthusiasm of many of the revivalists, the writer has rarely met any Ahom who can use the language. One of the leading revivalists, Chow Nogen Hazarika, confirmed to the writer that when Ahom revivalists meet, their conversation goes little beyond greetings and simple statements.

It has been claimed that some members of the priestly caste still have knowledge of the language. Terwiel thoroughly investigated this claim, and following a symposium where several priests were asked to assist with the translation of manuscripts, came to the conclusion that "whereas they could readily decipher the script, ... they did so

without assigning tones ... and without any idea of the meaning of the words, except for a few of the simplest expressions. I reluctantly drew the conclusion that ... Ahom really was a dead language." (1996: 284)

Terwiel went on to claim that

"There are marked differences between the Ahom of the old documents,... and what the revivalists call Ahom, which has totally abandoned the rules of Tai grammar and often uses Ahom words in a non-idiomatic sense." (1996: 284)

One of the examples of what Terwiel calls the Ahom pseudo-language is found in the Ahom wedding ceremony (Chaklong) described by Gogoi (1976). Terwiel says:

"... the sentence khung lu mao cao kao di di si hap ao jao, which is translated 'I respectfully accept your offer'. The most probable dictionary equivalents of these words are khrueng lu 'offering'; mo chao 'priest'; kao 'I', di di 'very good'; sia a suffix; hap 'to accept'; ao 'to take' and jao 'finished'. All these words can be found in Ahom Lexicons (Barua, B.K. and Phukan 1964). To any Tai speaker, however, it is gibberish." (Terwiel 1996: 284)

Terwiel's claims were further discussed by Morey (2002a:103). The Ahom priest, Biswa Sangbun Phukan, who had officiated at the wedding at Moran in December 1999 (see 1.1.1 above) translated the words as follows:

khung lu "offering"	mao chao "you"
kao "I"	di di "cordially (=good)"
si "PARTICLE"	hap ao "to accept (lit:accept-take)"
yao "PAST TENSE"	

Several Phake speakers indicated that this sentence would be syntactically acceptable in Phake, although pragmatically unacceptable because in Phake *khauj*⁶ 'thing' could never refer to a person. Furthermore, to be syntactically acceptable the sentence would be spoken as three intonation units, here separated by ().

1) khauj^6 lu^1 mau^2 cau^3 () kau^1 ni^2 ni^2 si^6 ()
 offering you I good PRT

𑜋𑜨𑜧	𑜋𑜨𑜧	𑜋𑜨𑜧
hap ⁴	au ²	yau ⁴
accept	take	PAST
"I cordially accept your offering"		

From the above, I conclude that at least some of the present day Ahom rituals are based on real Tai texts. The Ahom texts in the Soklong Wedding are divided into two types: responses made by the bride, her father and the bridegroom, of which (1) above is an example, and chanting which is performed by the priests. When I interviewed three senior priests in January 1999, they stated that these texts were sacred, and they were not ready to divulge their meaning.

This raises the issue of the sacredness of the language. Since many of the Ahom texts are religious in nature, and those who possess any vestigial knowledge of the language are mostly priests, the Ahom language itself has developed a sacred place in modern Ahom society. As with many communities that have lost most of their language and culture, the part which remains is held to be increasingly sacred and knowledge of it is often restricted.

It may even be that the Ahom manuscripts were traditionally not available to common people. At least one plausible etymology of the word *Buranji*, used to describe Ahom histories and extended to all Assamese histories, is that it should be read 𑜋𑜨𑜧𑜨𑜧 (bao-ran-ci), literally 'never to be shown' (Aimya Khang Gohain 1991:54). In Phake language this would be *mau¹ han⁶ ci⁴* 'NEG-see-show'. Aimya Khang goes on to add "The Tai Royal Chronicles were never to be shown to any unauthorised person."

Yehom Buragohain stated that this etymology was unlikely, given the spelling of the word in the manuscripts was 𑜋𑜨𑜧𑜨𑜧 *buu ran zii*, whereas in Ahom sources the word for 'not' was spelled 𑜋𑜨 *bau* and that for 'see' 𑜋𑜨 *han*. On the other hand, she had been told by her father, the late Cham Mow Gohain, that the historical books of the Phake (𑜋𑜨𑜧𑜨𑜧𑜨𑜧 *lik⁴ kha² ma²*) should not be shown to any person unauthorised to see them, for fear that they might be misunderstood.

It is always possible that in the 19th century, and even up to the present day, there were people who knew more about the language than they felt able to reveal. Terwiel (1989), on the other hand, discusses the early attempts to translate the Ahom texts for the British scholars in the 19th century. It does appear unlikely that there were any fluent Ahom speakers at that time, otherwise better translations would have been produced.

It is not possible in this thesis to explore all of the issues involved with the Ahom language and its revival. It appears certain that Ahom is not spoken as a mother tongue by any speakers, but equally it appears that the Ahom priests do have some knowledge of their language and the religious texts which they are using do have some roots in the ancient Ahom culture.

It was my original intention and wish to study the revived Ahom. It quickly became clear to me that it would only be possible to interpret the old Ahom texts if I had a very thorough knowledge of the living Tai languages. It is significant that today the scholars in Assam most likely to be relied upon as Ahom authorities, are the two Tai pundits, Nabin Shyam Phalung (Aiton) and Yehom Buragohain (Phake) employed at the Department of Historical and Antiquarian studies. This would suggest that knowledge of the spoken Tai varieties is essential to be able to make sense of the Tai Ahom manuscripts.

2.3 The other Tai groups

Other smaller Tai groups have followed the Ahom into India, namely the Aiton, Khamti, Khamyang, Nora, Phake and Turung or Tairung. It is said that Nora and Khamyang are the same (see Diller 1992:12), although as far as I know the Khamyang speakers in Pawaimukh do not call themselves Nora. Diller (1992:5), also listed seven groups, but stated that the Khamyang and Nora "are perhaps by now one."

There may even have been more groups of Tai in the past than there are at present. The Aiton manuscript, *The Treaty between the Aitons and the Turungs*, refers to the Takum and Tarai, who came to live with the Aiton. These may not have originally been Tai speaking groups. Nabin Shyam stated that he did not know what the origin of these groups was.

Unlike the Ahom, who today are overwhelmingly Hindu and speak Assamese, the Tai language survives amongst several of the other Tai groups (see 2.4 below). All of these Tai speaking groups, together with those who have lost their language in the last few generations, are now Theravada Buddhists, although some pre-Buddhist practices still survive, such as calling the *khon* or spirit¹⁷ (see *The book of calling back the Khon*).

Terwiel (1981) records that in the early part of the twentieth century, animal sacrifice was still practised by Phakes and Khamyangs. The stricter following of Theravada Buddhist teaching precludes such ceremonies today. However the practise of calling the *khon* still persists and has been witnessed by the writer in the Khamyang village of Pawaimukh.

Other cultural practises also differ between the Ahom and the other Tai groups. For example, whereas the Ahoms generally live in mud rendered houses built on the ground, the other Tai generally live in houses built on stilts, often with bamboo walls. These houses are called $\text{ᠠᠨᠠᠨ}^2 \text{ᠬᠠᠩ}^3$ in Phake¹⁸.

Whereas the Ahom dress in a manner similar to other Assamese, the dress of the other Tai groups¹⁹ is influenced by the Burmese, with men wearing a Burmese-like lungi ($\text{ᠠᠨᠠᠨ}^3 \text{ᠫᠠᠭ}$ in Phake) and women distinctive long skirts ($\text{ᠠᠨᠠᠨ}^3 \text{ᠰᠢᠨ}$ in Phake).

¹⁷ The word *khon* cannot be readily translated into English. It is akin to some aspects of 'soul' and 'spirit'.

¹⁸ Photographs of several Tai houses can be found at \biographies\Tai Houses.doc in the electronic version of this thesis.

¹⁹ For some photographs, see \biographies\Tai Clothing.doc in the electronic version of this thesis.

Most of the Tai speakers live on the south side of the Brahmaputra river. The Aitons, along with several several Turung and Nora/Khamyang villages are clustered around the Dhonsiri River. The Phakes, the Khamyang village of Pawaimukh and the Khamti village of Mounglang are clustered around the Dihing River.

In addition, there is a small cluster of Khamti speaking villages near Narayanpur in North Lakhimpur District, and a larger group of Khamtis in Arunachal Pradesh.

A Map showing the middle Brahmaputra valley and the location of the various Tai villages in which I have worked can be found in the electronic version of this thesis at \photos\maps\Map of Tai Villages.jpg.

2.3.1 Some notes on the history of the Tai

The traditional view is that the Aiton, Khamti, Khamyang, Phake and Turung all entered Assam between the middle of the 18th century and the early 19th century, having migrated from Burma and bringing with them Theravada Buddhist religion and scripts which are closely related to the Shan of Burma.

This view is not uncontroversial. Diller (1992:8-11) discusses the evidence including the fact that some local accounts indicate that at least some of these groups arrived in Assam with Sukapha, some five hundred years before the traditional date. Even today, for example, Aiton villagers can recite by heart stories of the arrival of Sukapha in Assam, and several ancient manuscripts of the Aiton tell this story. If it is not their own history, why is it such an important part of their culture?

Some Aiton stories refer to contact with kings of the Tai Ahom. The *History of the Aiton* (2-2-1-1) No. (51) refers to the Ahom King Suhungmung ($\text{ᠰᠤᠬᠤᠩᠮᠤᠩ}^3 \text{ᠮᠤᠩ}$ or Dehingia Raja (1497 - 1539). This king conquered the Dhonsiri valley in which the Aitons now live. If the Aitons were already living in the Dhonsiri valley in the time of Suhungmung, we would expect them to record this event.

However, as Diller (1992:11) points out, if we accept that the other Tai groups arrived at any date earlier than the 18th century, "their (Burmese-linked) Buddhism and relative lack of Indo-Aryan assimilation would then remain to be explained."

In the case of the Aiton, it may be possible to explain this. On the last morning of fieldwork for this thesis, Ong Cham, an Aiton elder from Bargaon (𑜋𑜨𑜃𑜫 *baan³ lun⁴*) explained to the writer that until about five generations ago, the Aitons did not follow Theravada Buddhism, but rather worshipped spirits (𑜋𑜨𑜃𑜫 *suŋ² phii⁴*) and sacrificed animals. He made it clear that the Aitons were only converted to Theravada Buddhism in the mid 19th century.

In the *Story of Barhula*, Ong Thun talks about the visit of the Burmese monk Pi En Duin Sayadaw (*pii¹ en¹ duŋ³*) who converted the Aitons to Buddhism (see *Story of Barhula*, No. (23)). Prior to this, according to Ong Thun, the Aitons had conducted animal sacrifice. (see *Story of Barhula*, No. (24)).

Nabin Shyam later told the writer that whilst it was true that the Aitons had not followed Theravada Buddhism before the visit of Pi En Duin Sayadaw, they were Mahayana Buddhists at that time.

It is also worthwhile to note Grierson's statement on the Aitons, namely that they were "also called Shām Doāniyās, or Shān interpreters, are said to have been a section of the Shāns at Mūng Kāng which supplied eunuchs to the royal seraglio, and to have emigrated to Assam to avoid the punishment to which, for some reason, they had been condemned." (1904:65)

The Aitons that I have interviewed all reject this suggestion by Grierson. However the statement about interpretation may be significant, given that the Aiton language is demonstrably much closer to what the original Ahom seems to have been than any of the other spoken Tai languages are (see below 6.5.4). Perhaps the Aiton speech of today does contain some echoes of the original Ahom speech. If indeed the Aitons have been in the Dhonsiri valley since the 15th century, and if they were not followers

of Theravada Buddhism at that time, then perhaps their language and cultural practises were closer to the Ahoms.

Less historical information has been collected for this thesis in the Phake villages. As mentioned above, Terwiel (1981) reports animal sacrifice having been performed in the past, with some elderly people alive when Terwiel undertook his field work still remembering those ceremonies. The Phakes also have a tradition of being converted to Theravada Buddhism. In 1992 they held a celebration at Borphakial village, to mark the centenary of their conversion.²⁰

Of the origin of the Khamyangs, very little has been written. Muhi Chandra Shyam Panjok's pamphlet²¹ (1981) is the only reference that I have found. He does not cite any sources, but presumably his pamphlet is based on historical Tai manuscripts (*lik⁴ khv² mvŋ²*).

According to Muhi Chandra Shyam Panjok's account, a group of Tai, identified as Khamyangs, were sent into Assam by the Tai King Sukhanpha to search for his brother Sukapha who had founded the Ahom Kingdom in the Brahmaputra valley in 1228. After being welcomed by Sukapha, the Khamyangs set out to return to King Sukhanpha in what is now the Shan States. The Khamyangs then settled at Nang Yang Lake and lived there for about 500 years, before venturing back into Assam after 1780.

Caught up in the various troubles that accompanied the final years of the Ahom Kingdom, the Khamyangs fought both with and against the Ahoms and were divided. One group eventually settled in Dibrugarh district and from there migrated to Pawaimukh in 1922. Chaw Sa Myat Chowlik, born in about 1920, has confirmed to me that the village was founded when he was two, and that his parents brought him there from Dibrugarh district.

²⁰ I am very grateful to Dibya Dhar Shyam for pointing this out to me.

²¹ Muhi Chandra Shyam Payok is from Chalapathar and is not a speaker of Tai. It must be presumed that that a Tai speaker, possibly not a Tai Khamyang speaker, conveyed the meaning of the old Tai MS to him.

A second group of Khamyangs settled in Dhali in 1796 and these were the ancestors of the Khamyangs who now live in Golaghat and Jorhat districts, mentioned by Boruah (2001) below (see 2.3.4.2).

2.3.2 The Tai Aiton Villages

There are eight Aiton villages in Karbi Anglong and Golaghat Districts. These are listed in Table (1):

Tai name	Translation of Tai name	Assamese/English name	District
မာၣ်နံထံ baan ³ nam ³ thum ³	Flood village	Duburoni	Golaghat
မာၣ်လုံ baan ³ sum ³	Sour village	Tengani	Golaghat
မာၣ်ဟူၣ်လုံ baan ³ hui ¹ lun ¹	Big fruit village	Eorhola ²²	Golaghat
မာၣ်ဟိ baan ³ hin ¹	Stone village	Ahomani	Karbi Anglong
မာၣ်လုံ baan ³ lun ¹	Big village	Bargaon	Karbi Anglong
မာၣ်သုံ baan ³ noi ² /doi ²	Hill village	Sukhihola	Karbi Anglong
မာၣ်လုံ baan ³ lun ¹	Big village ²³	Kalyoni	Karbi Anglong
မာၣ်လုံ baan ³ saai ²	Sand Village	Balipathar	Karbi Anglong
		Jonapathar	Lohit

Table 1: List of Aiton Villages, Tai and Assamese names.

Buragohain (1998:63) listed the names, years of establishment, and population of the various Aiton villages as in Table (2).

²² Dibya Dhar Shyam believes that Borhola might come from a Tai word meaning 'low land'.

²³ Most Aitons cannot give a Tai name for Kalyoni, a curious thing considering its importance in the history of the Aiton. The name *baan³ lun¹* was given by Dibya Dhar Shyam in a letter he wrote to me after reading an earlier draft of the thesis.

Village	District	Year of establishment	No. of houses	Population
Ahomani	Karbi Anglong	1939	31	267
Baragaon	Karbi Anglong	1835	39	359
Balipathar	Karbi Anglong	1898	59	528
Chakihola	Karbi Anglong	not known	18	180
Kaliyani	Karbi Anglong	Man era 1239	15	154
Borhola	Golaghat	1836	26	235
Dubarani	Golaghat	not known	43	334
Tengani	Golaghat	not known	19	150
Jonapathar	Lohit, Arunachal Pradesh	1950s	15	148
			260	2155

Table 2: List of Aiton villages after Buragohain (1998)

The three Aiton villages which had already been founded by the 1870s are all found in the 1877 edition of the *Indian Atlas*.²⁴ However they do not appear with the names that they bear today. Bargaon appears in the Atlas as Mitgaon²⁵ and Kalyoni as Aitungaon, the latter meaning literally 'Aiton village' in Assamese.

Buragohain's survey does not state the number of people in each village who speak the Tai Aiton language. Although Nabin Shyam stated that all Aitons can speak the Tai language, it seems possible that in some villages there may be a proportion of Buragohain's total who do not know the language. For example, the population of Balipathar consists of both Tai Aiton and Tai Turung. Since the Turung no longer speak Tai, the lingua franca of the village is now Assamese and this is likely to speed the loss of Tai Aiton as a language in that village.

Of these villages, I have visited all but Jonapathar in Arunachal Pradesh. Jonapathar was set up by a group of Aitons who left the crowded Dhonsiri valley in the 1950s to live in an area of Arunachal Pradesh populated by Khamtis. Their children have grown up surrounded by Khamtis and it has been reported to me that they speak a

²⁴ To view an image of this, go to \photos\maps\Indian Atlas 1873.jpg in the electronic version of this thesis.

²⁵ Several Aiton informants have told me that Bargaon was once a Karbi Village and that this was its original name. The Knife Pond (*noh¹ mit³*) probably gets its name from the old Karbi name.

form of Aiton influenced by Khamti. Nabin Shyam Phalung reported that the language of the people of Jonapathar "is becoming like Khamti."

In February 2002, I was able to interview Sam Khang Chiring, an Aiton from Jonapathar. In an informal discussion, it appeared that his language was indeed influenced by the Khamti tones, but when a recording was taken, the tones and vowels were very similar to those recorded for other Aiton speakers.

2.3.3 The Tai Phake Villages:

Table (3) lists the Phake villages in Dibrugarh and Tinsukia Districts, Assam:

Tai name		Translation of Tai name	Assamese/ English name	District
မာ်လုကေၣ်	mān ³ phā ⁴ kē ⁵ tai ³	Lower Phake village	Namphakey	Dibrugarh
မာ်လုကေၣ်	mān ³ phāk ⁴ tã ⁵	Other side of the river village	Tipam Phake	Dibrugarh
မာ်လုကေၣ်	mān ³ phā ⁴ kē ⁵ nã ⁶	Upper Phake village	Borphake	Tinsukia
နီၣ်ကံ	ni ¹ kam ⁴	Ning kam Nagas	Nigam Phake	Tinsukia
မာ်လုကေၣ်	mān ³ phā ⁴ nai ²	Red sky village	Faneng	Tinsukia
မိုင်လင်	məŋ ² lāŋ ²	Country of the Lang Nagas	Mounglang	Tinsukia
မိုင်လု	məŋ ² mo ¹	Mine village	Man Mau	Tinsukia
မာ်လု	mān ³ loŋ ⁶	Big village	Man Long	Tinsukia
လွၣ်လဲ	nauŋ ¹ lai ⁶	Nong Lai Nagas	Nonglai	

Table 3: List of Phake Villages, Tai and Assamese names.

The Tai Phake names and their translations were supplied by Sam Thun Wingkyen of Namphakey village. It is interesting that so many of the villages are named after

different groups of Nagas (လဲ khāŋ⁶ in Phake). The term Naga seems to be used to refer to any group of non-Buddhist Tibeto-Burman speakers.

Ngowken Gohain (2001:31) listed the Phake villages, their foundation dates and the foundation date of their respective Buddhist Temples (here spelled Bihar following Assamese practice). This information is listed in Table (4):

	Name	Village	Buddhist Bihar
1.	Borphake	1830	1912 ²⁶
2.	Man mow	1840	1942
3.	Nonglai	1936	1938
4.	Long gaon	1938	1940
5.	Nigam	1938	1940
6.	Faneng	1910	1921
7.	Mounloun	1900	1902
8.	Tipam phake	1850	1850
9.	Namphake	1850	1850

Table 4: List of Tai Phake Villages, after Ngowken Gohain (2001)

Yehom Buragohain (1998:127) also listed the Tai Phake villages, including two more in Arunachal Pradesh:

1.	Man Phake Tau	(Namphake village, Assam)
2.	Man Tipam	(Tipam Phake village, Assam)
3.	Man Phake Neu	(Bor Phake village, Assam)
4.	Man Mo	(Man Mo village, Assam)
5.	Man Phaneng	(Phaneng village, Assam)
6.	Man Long	(Long village, Assam)
7.	Man Nonglai	(Nongloui village, Assam)
8.	Man Monglang	(Monglang village, Assam)
9.	Man Nigam	(Nigam village, Assam)
10.	Man Wagun	(Wagun village, Arunachal Pradesh)
11.	Man Lung Kung	(Lung Kun village, Arunachal Pradesh)

Table 5: List of Tai Phake Villages, after Yehom Buragohain (1998)

Mounglang is a village which is partly Phake and partly Khamti.

²⁶ Dibya Dhar Shyam of Bargaon, informed me that the temple was founded in 1883, according to a Souvenir published to celebrate the centenary of the Phakes conversion to Theravada Buddhism.

I have not been able to visit either of the Phake villages in Arunachal Pradesh. The names of both villages, Wagun and Lung Kung, are said to be either Naga or Singpho language.

Because I have not been able to undertake field work in most of the Phake villages. I cannot say whether the phonology described for Namphake village (see below 6.2) is also found in these other villages. I have interviewed one Phake from Ningkam village, Ngo Ong, and his language is very similar to that of Namphakey.

2.3.4 Other Tai Speaking Villages

2.3.4.1 Khamti villages

Boruah (2001:41) after listing 30 Khamti villages in Arunachal Pradesh, goes on to list some in Assam "In Assam seven Khamti villages are found in Lakhimpur District and these are - Bor-Khamti, Sribhuyan, Gosainbari, Borpathar, Deotula, Tipling and Tunijan. More than one thousand souls are found in these seven villages.

Unfortunately I have not yet had the chance to visit these villages and record any linguistic data there.

As mentioned above in 2.3.2, there is one Khamti Village in Tinsukia District, Mounklang, which I have visited. It is a joint Khamti/Phake village and is listed in Table (3) above. In February 2000, I spent one night in that village and established that the phonology of Tai Khamti spoken there seems to be very close to that described for Khamti by Harris (1976).

2.3.4.2 Tai Khamyang village

There is said to be only one village in which the Khamyang language is still spoken, Pawaimukh in Tinsukia District, which is situated about 7 miles downstream of Margherita. Only older people in Pawaimukh can speak Tai Khamyang, although

some middle aged and younger adults can be described as semi-speakers. The speech of these younger people has yet to be investigated.

Tai name	Translation of Tai name	Assamese/English name	District
မာၣ်ပုၣ်	maan ³ paa ¹ waa ⁶	Village of the Pawai ²⁷ river	Pawaimukh Tinsukia

Table 6: List of Khamyang Villages, (where Tai is spoken) with Tai and Assamese names.

Boruah (2001:41) lists in addition the following Khamyang villages. "In the Chalapathar area of the district of Sibsagar several Khamyang villages are found, Na-Shyam Gaon, Balijan Shyam Gaon and Betbari Shyam Gaon are in Jorhat Sub-division of Jorhat District and Rajapukhuri No. 1 Shyam Gaon is situated at the Golaghat Sub-division of Jorhat district."

2.3.4.3 Turung Villages

Boruah (2001:41) lists the Turung villages as "Pahukatia, Pather Shyam and Tipomiya are in Jorhat Sub-division and No. 1 Veleng Turung village, Naojan Balipather Turung village and Da-Basapather Turung village are situated at Golaghat Sub-division²⁸."

In February 2002 I was able to spend a night in Rengmai Turung Village, Sarupathar. I am unsure whether this village is the same as any of those listed by Boruah for Golaghat district.

A number of Aitons have married into this village, and there is at least one Turung, Chaw Pong Chap, who has learned Tai language as a second language learner. The Turung language is briefly discussed below in 2.4.1.

²⁷ According to Chaw Sa Myat, waa⁶ means 'rattan'. This name was given because there are rattan plants growing there.

²⁸ Boruah 2001 seems to be a reprint of an earlier work: Golaghat sub-division is now known as Golaghat district.

2.3.5 Difficulties with identifying the various Tai groups

Two other names sometimes mentioned in connection with the Tai peoples of India are *Doania* and *Man*. As noted above, (see 2.3.1.1, Grierson (1904:65) commenting on the origin of Aitons, said that they are "also called Shām Doāniyās, or Shān interpreters." According to Nabin Shyam, the word *Doania*, adapted from the Assamese word meaning 'interpreter' is nowadays used to refer to the Singpho who live in the Margherita division of Tinsukia District. These people, although following Theravada Buddhism, do not speak Tai and are not regarded as Tai either by themselves, or by the other Tai groups.

As to *Man*, it appears that the word is applied to some Tai people because of their origin in Burma (*muŋ*² *maan*² in Aiton and *məŋ*² *mān*⁵ in Phake). The Government of Assam and the Indian Constitution both recognise the Tai speakers of Assam as "Man-Tai Speaking". There is a Man-Tai Speaking Association which includes many Tais both from communities that speak Tai and from those that no longer speak Tai. This organisation speaks on behalf of the Tais in the world of politics.

A number of Tai people in India were keen for me to use the term Man-Tai in this thesis, rather than the terms Aiton, Khamyang and Phake. However, the principal informants in each of the villages in which I work prefer the terms Aiton, Khamyang and Phake, and so these are the terms used in this thesis, with the term Tai referring to all these groups together.

The number of people claiming Tai ethnicity in Assam has been estimated as high as several million. By far the largest proportion of people claiming Tai ethnicity in Assam are Ahoms, whose population has been estimated as anywhere between one and four million.

As has been discussed above in 2.2, it seems certain that there have been no native speakers of Tai Ahom for many generations. Who, then, are the Ahoms? There is no

reason to doubt that they are descended from Tai speaking people who arrived in the Brahmaputra valley many hundreds of years ago. However, Nabin Shyam stated that, from his reading of the Ahom *Buranji* (historical chronicles), in all probability the number of Tai Ahoms who originally settled in Assam was quite small and that it was intermarriage with other groups like the Chutiyas and Kacharis that has produced the large population today.

Since very few of the Khamyang, very few of the Turung and almost none of the Ahom have any knowledge of Tai language, the vast majority – at least 99% – of the million or more ethnic Tais in Assam cannot speak Tai language at all.

2.3.6 A note on the names of the Tai groups

2.3.6.1 Ahom

The origin of the name *Ahom*, from which the word Assam comes, is debated. The most widely accepted etymology is that *Ahom* is cognate with the words *Shan* and *Siam*. The current pronunciation of Ahom arises from the fact that in Assamese, /s/ is lenited to /h/ and hence the name of the country and the Ahom people is pronounced [ahom]. We may presume that at an earlier stage of history, the name was pronounced [asam].

Grierson (1904:61) quoted at length from Sir George Gait's *Report on the Census of Assam for 1891*, in which Gait discussed the origin of the name *Ahom* as follows:

"Many different derivations of the name of the province have been suggested, and some of these ignore the undoubted fact stated above, viz., that the country derived its name from the Ahoms and not the Ahoms from the country."

The word *Ahom* is not found in the old Ahom books, where the Ahoms refer to themselves simply as ʔai tai or ʔai rau 'our Tai'.

Gait, quoted by Grierson (1904:61) also discussed the origin of the word *Ahom*, adding that prior to the advent of the Ahoms, the name was unknown, and that:

"How the name came to be applied to the tribe is still unknown. The explanation usually offered, that they are called 'A-sama' (the Sanskrit word meaning 'peerless') by the Morans and the Borahis, whom they conquered ... is based on the assumption that these tribes had abandoned their own Indo-Chinese dialects more than eight hundred years ago, an assumption which is clearly erroneous."

2.3.6.2 Aiton

Sa Cham discusses the origin of the word Aiton (*aai³ tən²*) in his *History of the Tai* No (10). The first element of the name is the word *aai³* 'eldest son'.

There is less agreement as to the meaning of the second element of the name. Nabin Shyam stated that *tən²* means 'expert', and that in several Aiton books this meaning is to be found. He explained the meaning in (2):

2)	ကိတွန်	ကော	တိ	လိက	ဖြူ	ကျ	မင်
	aai ³ tən ²	nɛ ³	tii ²	lik ³	phraa ²	ka ³	ʒaŋ ²
	Aiton	DEF	at	book	Buddha	LINK	have

တွန်	လေ	မေ	ပိုန်	။
tən ²	se ¹	me ¹	pɛn ²	
clever	PRT	COMPARATIVE	other	

'(The word) Aiton is also found in Buddhist books, (where) *tən²* means 'cleverer than others.'

In the meaning of 'expert', *tən²* is found in the Aiton dictionary, but a cognate word is not found in the *Phake-English-Thai Dictionary* (Banchob 1987). There is a Shan word, တွင်: *tən*: 'exceed, extend beyond.' which would be realised in Aiton as *tən²*.

As noted above in 2.3.1.1, Grierson (1904:65) mentioned that the Aitons "are said to have been a section of the Shāns at Mūng Kāng which supplied eunuchs to the royal seraglio...". The word *tən²* 'castrate' is known in Aiton, as well as in both Phake (also

tən²) and Shan (တွင် *tən* 'geld, castrate'). This etymology of the origin of their name is rejected by the Aitons.

A further explanation of the name was offered by Ai Mya, of Bargaon (*baan³ luŋ¹*) which was that the original term was *aai³ thun²*, where *thun²* means 'bright, radiant'.

Sa Cham stated that the King of the Aitons was once called *cau³ phaa³ aai³ tun³*, where *tun³* means 'beginning, origin'. This name, he stated, came about when the royal ancestor of the Tais had five sons, and the first of these, *la¹ aai³* was the ancestor (origin) of the Aitons. Sa Cham went on to say that the present pronunciation, *aai³ tən²*, was a Kachari pronunciation of the original *aai³ tun³*.

One further alternative explanation was offered by Dibya Dhar Shyam. He stated that in ancient times large numbers of Tai, 'equal to nine baskets of grain on nine rafts' (see also *History of the Aiton* (2-2-1-1), No (14)), migrated into India. They shattered into many groups, and the group (*tən²*) of the elder son (*aai³*) became the Aiton.

2.3.6.3 Khamti

As pronounced by both Aitons (*kham² tii²*) and Phakes (*kham² tɪ⁵*), the meaning of Khamti appears to be 'golden place', although syntactically this would be *tii² kham²/tɪ⁵ kham²*. Sa Cham explained that are called Khamti because at some time in the past they came to live at a place called 'River of Gold', as in (3):

3.1)	ကွ	နိ	ကူ	မ	တိ	နံ	ကံ	ရွ	ယူ	။
	khau ¹	nai ³	uu ¹	maa ²	tii ²	nam ³	kham ²	waa ²	saa ¹	
	3Pl	get	live	come	place	water	gold	say	PRT	

'They lived at the place called 'The river of gold.', it is said.'

3.2)	လိ	ကင်	ရွ	ကံတိ	။
	lai ³	caŋ ¹	waa ²	kham ² tii ²	
	so	so	say	Khamti	

'So they are called Khamti.'

(Aiton text, *History of the Tai*, Nos. (47) & (48) told by Sa Cham Thoumoung)

Harris (1976:113) discusses the issue of the meaning of *Khamti* in some detail and offers an etymology similar to that proposed by Sa Cham Thoumoung. He also mentions "a second meaning in the literature for *Khamti* is 'the place where they stuck', which comes from a legend about a Khamti king in Northern Burma who stopped a Tibetan army in a mountain pass to keep them from entering his country."

Harris points out that the current Khamti pronunciation of their name would suggest the meaning 'the place (where) gold (is).'

2.3.6.4 Khamyang

According to Sa Cham, (see *History of the Tai* No. (31)), the name of the Khamyang comes from the fact that at some distant time in the past, they lived for a time at $\eta\omega\omega$ $no\eta^1$ $jaan^2$ or Yang Pond. This account accords with that given by Muhi Chandra Shyam Panjok in 2.3.1 above.

2.3.6.5 Phake

Sa Cham offered the meaning of Phake as being $phaa^1$ ke^1 , 'wall-old', which would be realised in Phake as pha^6 ke^1 (see *History of the Tai* No. (40)). This is curious since Phake is today realised as pha^4 ke^5 by the Phake community.

2.3.6.6 Turung

Grierson (1904:167) states that "The Tairongs (or great Tais) ... are also called Turung or Sham (i.e. Shan) Turung ...". The term 'great Tai' is first encountered in Buchanan (1799), who says of them that they are:

"... a people called, by the *Burmas*, *Kathee Shawn*, to themselves they assume the name of *Tai-loong*, or great Tai. They are called *Moitay Kabo* by the *Kathee*, or people of *Cussay*. They inhabit the upper part of the *Kiaynduayn* river, and from that west to the *Erawade*."

This would appear to refer to the Khamtis who reside in what is now Burma.

It is possible that the name Turung is not derived from the Tai words $\eta\omega\omega$ tai^2 $lu\eta^1$ at all, but is in fact a Singpho word. There are several groups mentioned in *The Treaty between the Aitons and the Turungs*, that is the Takum, Tarung and Tarai. As with Turung, they seem to be pronounced with an initial syllable of [tə]. Perhaps these were names of smaller groups of Tibeto-Burman speakers, and the name has been re-interpreted as a Tai word, because of its chance similarity to the words for 'great Tai.'

2.4 Current linguistic situation

Of the various Tai languages which have been spoken in Assam, the situation of the Ahom is the hardest to categorise. There are no Ahoms who use Tai language as a mother tongue, as discussed above in 2.2.

Each of Aiton, Khamti and Phake is still spoken by several thousand people, and each is still taught to children. They are thus not under immediate threat of extinction, although those who have a deep understanding of the traditional literature of the community are often rather elderly and there is therefore a threat to the range of domains of use of the languages.

Despite being small and endangered languages, their use is expanding into at least one community of non-Tai speakers. Small numbers of ex-Tea Garden labourers now work as labourers for the Tai and live in their villages. Some of them have learned Tai, and some of their children are functionally multilingual in Tai and their own language, and no doubt in Assamese as well.

Khamyang is spoken only by around 50 older adults in the single village of Pawaimukh, near Margherita in Tinsukia district. As mentioned above in 2.3.4.2, some younger adults can be regarded as semi-speakers. Only two elderly men are able to read Tai script.

Both the Nora (if it is different from Khamyang) and Turung are extinct. All Nora people now speak Assamese, whereas the Turung now speak a language which is largely Singpho (Tibeto-Burman), and is discussed briefly below in 2.4.1.

All the Tais are multilingual, with Assamese as a second language in every community, and as the language of Primary Education. There is almost universal literacy in Assamese.

The following tables list each of these languages, with the most important references to them and some information about the manuscript collections and speaker numbers

Language:	Ahom
References:	Brown (1837), Campbell (1874), Grierson (1903), Grierson (1904), Barua, G.C. (1920), Barua, G.C. (1930), Barua, G.K. (1936), Barua, B.K. & Phukan (1964), Phukan (1966), Terwiel and Ranoo (1992), Ranoo (1996), Terwiel (1996), Morey (2002a)
Manuscripts:	Large collections, many in private hands
Speaker Status:	No native speakers; some knowledge of manuscripts by Ahom priests.

Language:	Aiton
References:	Campbell (1874), Grierson (1904), Phukan (1966), Banchob (1977), Diller (1992), Morey (1999a), Morey (1999b)
Manuscripts:	There are collections of manuscripts in every village. Most are held in the Buddhist temple, but a few are in private hands.
Speaker Status:	Probably up to 2000 speakers. The language is still learned by children.

Language:	Khamti
References:	Brown (1837), Robinson (1849), Campbell (1874), Needham (1894), Grierson (1904), Harris (1976), Weidert (1977), Wilaiwan (1986), Diller (1992), Chaw Khok Manpoong (1993), Gogoi (1993)
Manuscripts:	There are known to be significant manuscript collections in the Khamti villages in North Lakhimpur (Gogoi 1993). The Khamti village of Mounklang possesses a large collection, and there are no doubt many more in Arunachal Pradesh and in Burma.
Speaker Status:	10,000 +; Perhaps 500-1000 speakers in Assam; there are no doubt a larger number in Arunachal Pradesh and many more again in Burma. Khamti is not an endangered language, although it may be endangered in North Lakhimpur.

Language:	Khamyang
References:	Diller (1992), Morey (2001a)
Manuscripts:	There is a collection of at least a hundred manuscripts in Pawaimukh village temple, and several more in private hands.
Speaker Status:	Less than 50 speakers over the age of 40 in the one village of Pawaimukh.

Language:	Nora
References:	Grierson (1904)
Manuscripts:	Unknown
Speaker Status:	Dead language; Grierson stated that there were about 300 speakers in his time.

Language:	Phake
References:	Wilaiwan (1983), Wilaiwan (1986), Banchob (1987), Gogoi (1987), Diller (1992), Aimya Khang Gohain (1991), Gogoi (1994), Aimya Khang Gohain (1997), Morey (1999c), Aimya Khang Gohain (1999), Morey (2001b) Ngi Khang Gohain & Ai Che Lei Hailung (2001)
Manuscripts:	Large collections of manuscripts in every village. Those in Namphakey village have been catalogued and the list is published in Gogoi (1994).
Speaker Status:	Perhaps 2000+ speakers. The language is still learned by children.

Language:	Turung or Tairong
References:	Buchanan (1799)?, Grierson (1904), Turung (1986)
Manuscripts:	Unknown - there are said to be manuscript collections in some Turung villages.
Speaker Status:	As a Tai language, Turung is extinct; even in Grierson's day there were very few speakers. Turungs today speak a Tibeto-Burman language.

2.4.1 A note on the Turung

Very little work has been done on the Turung language. Aroonrat Wichienkeo (1985), cited in Diller (1992), reported that "Tai names for certain foods, ornaments, items of apparel, and so forth, were still used or recognized, although other communication had to proceed 'through the language of gesture'" (Diller 1992:13).

On the basis of my own observations, it appears that Turung, at least that spoken in Rengmai village, Golaghat district, is closely related to the Singpho and is clearly Tibeto-Burman. There are a number of Tai words used by the Turung, particularly religious and kinship terms, mixed in with the Tibeto-Burman base.

Singpho speakers from Margherita have reported to me that the Turung language of Golaghat has a different tonal system from their own variety. At the present time it is only possible to report that the Turung language at Rengmai certainly is tonal, having clearly two and probably three contrastive tones. To hear the Turung language being spoken, refer to the *Story of the old couple without any food*.

In the 1960s, Dr. Banchob Bandhumedha recorded some tapes which are said to be Turung. These recordings are clearly Tai language. Unfortunately it has not been possible to analyse these as part of this present project.

2.4.2 The Khamyang language revitalisation (2002)

In April 2001, I recorded Deben Chowlik discussing *The future of the Khamyang language*. In the course of the discussion, he mentioned that the Khamyang elders had met to discuss the fact that the language was no longer being spoken by children, and expressed the hope that something could be done about it.

By the time I returned to Pawaimukh in March 2002, a language revitalisation project had begun. Each day at around 4pm, the younger children in the village attend the village primary school for instruction in the Tai Khamyang language. I was able to video tape about 15 minutes of one class.

The teacher is Chaw Mihingta Chowlik, the younger brother of Sa Myat Chowlik. At the age of about 73, Mihingta Chowlik has commenced studying the Tai writing system so that he can pass it on to the children.

The lessons consist of practise in writing the characters, practising lists of words for everyday objects (foods, body parts, numbers etc.) and practising short dialogues which Mihingta Chowlik has written for the class.

It seems that all of the children in the village are now able to pronounce at least some words of Tai. Furthermore at least some of them can use simple expressions, like, for example, calling guests to take rice or tea.

3. Previous studies of the Tai languages

3.1 The need for a critical review of sources on the Tai languages of Assam

A significant number of authors have written on the Tai languages of Northeast India over the past three centuries. These previous studies of the Tai languages form three distinct groups:

- 19th century studies by British and other European scholars
- 20th century studies by linguists, either from Thailand or from western countries
- 20th century studies by scholars from Assam, many of whom are from the Ahom community.

These works consist of all types of linguistic analysis, from the highly theoretical (for example Weidert 1977), or purely lexicographical (for example Barua, G.C. 1920) to those that attempt to survey the Tai languages, such as Grierson (1904) and Diller (1992). All of these works are discussed in some detail in 3.2 below.

In addition to works which focus primarily on linguistics, there are a number of works that contain important linguistic information that is nevertheless peripheral to the main aim of the particular source. These are discussed briefly in 3.3 below. For example, Ranoo Wichasin (1996) is an excellent scholarly edition of the Ahom *Buranji*, carefully analysed and translated into Tai. It is not primarily a linguistic study, but in presenting a huge amount of reliable data on the Tai Ahom language, it would form an important basis of any future comprehensive study of the grammar of Ahom.

There are several reasons for critically reviewing these sources. Firstly many of the earlier sources contain valuable information about earlier phases of the Tai languages, and give a greater time depth to any study of them. Unfortunately, many of these earlier sources do not give reliable transcriptions of vowels and tones, and need to be read in the light of more recent research. Secondly, some of these sources are very difficult to obtain, particularly in India. It is important to present some information

about these sources for scholars who are unable to access the original materials.

Thirdly, many of these sources, particularly Banchob (1987) and Diller (1992) have significantly informed this thesis.

Not all of the previous work, unfortunately, is of such a high standard. It is important not only to academic linguists, but also to the Tai community themselves, that the reliability of these sources be discussed in some detail.

3.2 Linguistic sources

3.2.1 Buchanan (1799)

In Grierson's bibliography of each of the Tai languages spoken in the then Indian empire (1904:76), the earliest reference is Buchanan (1799). Grierson noted that Buchanan gave three short vocabularies for "Tai-nay (i.e. Siamese); Tai-yay (apparently Burmese Shan) and Tai-loong (apparently Khamti or Tairong)."

Buchanan's vocabulary (1799:228-229) is the oldest Western source for the Tai languages that has been identified. It lists 50 words in each of these three languages. The list headed Tai-loong is a language closely related to the Tai languages now spoken in India. Several of these are words the initial consonant of which is proto Tai */d/, which is realised as /l/ in modern Shan, but usually realised as /n/ in Khamti and Phake.

As Table (1) indicates, the language could be Khamti, in which these words are usually realised with initial /n/. This is also true for Phake.

English	Buchanan 1799 Tai-loong	Khamti Robinson 1849	Khamti Needham 1894	Khamti Harris 1976	Phake Banchob 1987
moon	noon	Lün	nün or lün	nxn ¹	nən ²
stars	nau	Náu	nau	naaw ¹	nău ²
earth	need	Nin	nin	nin ¹	nin ²

Table 1: Comparison of several words from Buchanan (1799) Tai-loong with other Khamti sources.

Buchanan's notation for the languages is poor, and the main reason for discussing his work here is that it is the first data about the Tai languages of India in any European source. There are a number of other references listed by Grierson containing word lists of the Tai languages, including Leyden (1808), Hodgson (1850), Hunter (1868), Dalton (1872), Damant (1880) and Gurdon (1895). These will not be discussed further in this thesis.

3.2.2 Brown (1837)

Brown (1837a:17-21) is the first study of a Tai language which attempts to go beyond simply listing words. He compares five branches of "the Tai language", namely Khamti, Shyán, Láos, Siamese and Ahom. For example he states (1837a:18)

"2. The Siamese *d* is changed by the Shyáns to *l*, and by the Khamtis to *n*, but the Ahoms give it its correct pronunciation."

By "correct pronunciation", Brown is presumably referring to the Standard Thai (Siamese) pronunciation, which, at least in the case of this particular phoneme set, is now believed the closest to the proto Southwestern Tai phoneme */d/.

Brown goes on to give a comparative table of the five languages, part of which is presented here as Table (2):

Siamese	Láos	Shyán	Khamti	Ahom	
Dí	dí	lí	ní	dí	good
Deng	deng	leng	neng	deng	red
Doi	doi	loi	noi	doi	a mountain
Dáu	dáu	láu	náu	dáu	a star
Düan	đün	lün	nün	đün	the moon

Table 2: Extract of comparative vocabulary from Brown (1837a:19)

Brown (1837a:plate opp. p19) also gives a table headed "Alphabets of the Tai Languages", in which he compares the Burmese, Ahom, Khamti, Shyan (Shan state), and Laos scripts, the latter of which refers to the Lanna script of Chiang Mai.

Brown also provided William Robinson with the list of Khamti words which Robinson published (1849:342-349). Since these are marked for tone, it may be that it was Brown who did the research which Robinson published in 1849, and was thus the first researcher who was able to notate tones in the Tai language. There is no evidence of tonal marking in Brown's 1837 paper, however.

3.2.3 Robinson (1849)

Robinson's short paper (1849) is of great importance, containing as it does a very important insight: that the Tai languages are tonal and the tones need to be marked.

As Robinson says: (1849:312)

"By its finely modulated intonations, sounds organically the same are often made to express different ideas. Thus *má*, for instance (with the rising tone) signifies a *dog*; *má* (the *Italic m* denoting the falling tone) signifies *to come*; while the same syllable, with an abrupt termination, or a sudden cessation of the voice at the end of it, *má*, denotes a *horse*."

Elsewhere in the text Robinson notates another tone where italics are used for the vowel rather than consonant, as in *Po* 'father' (1849:344). Robinson does not describe any characteristics of this tone, but Grierson (1904:144) described it as a 'straightforward tone, of an even pitch'.

The importance of this notation of tone cannot be underestimated. Robinson's word list, which he acknowledges was provided by Rev. N. Brown, contains 282 words. The entire list has been examined, and following the methodology devised by Gedney (1972) (see 6.1.5.3) the following tone box has been derived:

	A	B	C	DL	DS
1	1 (rising)	4 (level??)	3 (glottal)		
2	2 (falling)				
3					
4			?? falling, glottalised		

Table 3: Tone Box for Khamti, derived from data in Robinson (1849)

The tone box presented in Table (3) differs quite significantly from that of present day Khamti as reported by Harris (1976) and Chaw Khok Manpoong (1993), and presented in Table (4):

	A	B	C	DL	DS
1	3		6	3	
2	4				
3					
4	7	4	5	4	

Description of Tones:

Tone number (Harris 1976)	Description (Harris 1976)	Tone number (Chau Khok Manpoong 1993)	Tone mark (Chau Khok Manpoong 1993)
4	high level	3	—
1	mid falling	4	°
2	low falling with glottal constriction	5	˘
5	mid rising with glottal constriction	6	˙
3	high falling	7	ˊ

Table 4: Tone box for Khamti (Arunachal Pradesh and Assam) after Harris (1976) and Chaw Khok Manpoong (1993)

It is difficult to see how the present day Khamti tonal system could have developed from that described in Table (3), because, for example, it would require a split in the falling tone of Table (3), (boxes A2-4).

Nevertheless, the importance of Robinson in notating tones cannot be underestimated. In the 150 years that have followed his publication, unfortunately, the notation of tones has not become an obligatory part of language description in this part of India. Tones are not marked by Needham (1894), Grierson (1904), Gogoi (1994) nor by most of the present generation of Assamese scholars writing on Tai languages.

In addition to his vocabulary and notes on the tones, Robinson also provided a brief grammatical sketch, and several sentence examples. These show, for example, that the particle haŋ^2 'to' is used to mark an animate non-subject argument of the verb (see discussion at 8.3.3), although Robinson does not fully understand its use, writing simply: "The preposition *Hang* is most commonly used as the particle to denote the dative case." He then gives the following examples:

2	3	1	1	2	3
Hang	man	haü	da.	Give	(it) to him.
3	1	2	1	2	3
Hang	man	maü	po.	You	beat him.

Table 5: Sentence examples from Robinson (1849:313) showing the use of haŋ^2 "to"

Furthermore, although a number of the sentences given by Robinson show the verb in final position, it is not always the case, as in:

- 1) Sang tüt háng man, ta khán.
If (you) pull her tail (she) will scratch you.

Example (1) can be analysed as (2)²⁹:

- 2) saŋ^3 tut^4 haaŋ^3 man^7 ta^3 khan^3
if pull tail 3Sg will scratch
'If you pull (her) tail, she will scratch you.'

²⁹ Tones numbered following Chaw Khok Manpoong (1993).

3.2.4 Campbell (1874)

Campbell (1874:168-181) gives a list of words and phrases in several languages, namely: "Assamese, Ahom, Khampti, Aiton, Cacharee, Hojai, Mikir." The list of English words is virtually the same as that in Grierson (1904:213-233), but the Tai forms given are not the same.

Campbell is the first source to mention the Aiton. However, as with Grierson, his data does not seem to accord with present knowledge of the Aiton variety. For example, in his word lists there is no sign of initial /d-/, which the Aitons pronounce today. It may be that Campbell relied entirely on the written form, which uses the graph for /n/ to write /d/ (see 7.2). Some words recorded by Campbell are presented in Table (6):

English	Ahom	Khampti	Aiton
Sun	Bán	...	Bhan
Moon	Den	...	Neun
Star	Dáu	...	Nao
Good	Di	Nioh	Ni uga

Table 6: Some vocabulary examples from Campbell (1876)

As for the sentences, the following from the Aiton are interesting. They are compared with Grierson's version from 1904:

English	Campbell 1876	Grierson 1904
What is your name?	Chiuma chiuchang	Maü chü sāng
My father lives in that small house.	Pukai uhun annai	Pō kau ū ti hūn iñ (en)
Give this rupee to him	Inguna ennai hau hang mun	Ngūn trā ą nān haü hāng man

Table 7: Some sentence examples from Campbell (1876)

Campbell's phonetic notation is very poor indeed, especially when compared to the excellent work done by Robinson and Brown nearly fifty years earlier. For example, he could not write initial /ŋ/, so that /ŋun/ comes out as <Inguna>. Nevertheless, the

sentences remain useful and can still be analysed. For example, the first sentence listed in Table (7) can be analysed as (3)

- 3) cu^2 mau^2 cu^2 saŋ^1
 name 2Sg name what?
 'What is your name?'

It is interesting that in Standard Thai this question is expressed as '2Sg-name-what?', which is similar to the form recorded by Grierson in Table 7, whereas among the Tai Aitons today, the form is as in (4)

- 4) cu^2 mau^2 ka^1 saŋ^1
 name 2Sg what
 'What is your name?'

The Aiton pandit, Nabin Shyam Phalung stated that the form in (3) would be acceptable to Aiton speakers, just as (4) is, although (4) is much more common. The form given by Grierson for this sentence (the form which has the same syntax as modern Standard Thai), which would be rendered in Aiton as $\text{mau}^2 \text{cu}^2 \text{saŋ}^1$, is not acceptable to the Aitons. Early in my fieldwork for this thesis, I often asked this question in this form, and the speakers did not understand what I was asking.

Even though his notation is poor, it does appear that a comprehensive and careful analysis of Campbell's sentences, and their comparison with modern Aiton practice, would add to our knowledge of these languages. Such a study has been beyond the scope of this present thesis.

3.2.5 Needham (1894)

Needham (1894), at 201 pages, is a very considerably longer and more exhaustive text than any of the earlier works on the Tai languages. The title (*Outline Grammar of the 𑜋𑜧 (Khamti) Language as spoken by the Khâm-tis residing in the neighbourhood of*

Sadiya) makes it clear that this text refers to the Khamti language as spoken in what is now India, although the book itself was published in Rangoon.

In his introduction Needham admits that: "The character is not difficult, but the various tones met with in the language are very puzzling." (1894:ii).

He goes on to explain what he understands by these tones, and to give examples for the graph 𑜋 (khai). This is reproduced in Table (8), with the addition of a phonemicisation of the modern Khamti forms, using the tonal number of Chaw Khauk Manpoong (1993).

"By finely modulated intonation sounds organically the same are often made to express totally different ideas; thus ... there are no less than six words written 𑜋 (khai), but each one expresses a different meaning according to the tone in which it is uttered, namely, -

𑜋 = ill	(modern Khamti <i>khai</i> ⁶)
𑜋 = sell	(modern Khamti <i>khai</i> ³)
𑜋 = buffalo	(modern Khamti <i>khai</i> ⁷)
𑜋 = egg	(modern Khamti <i>khai</i> ³)
𑜋 = go, depart	(modern Khamti <i>khai</i> ⁵)
𑜋 = tell, inform	(modern Khamti <i>khai</i> ⁴)

Table 8: Discussion of Khamti tones in Needham (1894)

Needham goes on to explain that there are three tones which are "very marked and not difficult to acquire" (1894:8). These three tones are the very same that Robinson had reported in 1849, and are described in almost identical terms, as for example the third tone:

"(iii) an abrupt termination of the voice, or a sudden cessation of it at the end of a word, as 𑜋 (mâ) a horse."

It is also clear that Needham could not distinguish contrast of height in the central vowels, where a contrast between [u] and [ʏ] or [u] and [ə] could be expected. He also confuses mid-close and mid-open back vowels, such as his transcription of 𑜋 as

[oi], when it clearly should be [oi]. See for example 1894:2 𑜋𑜧 = (noi) hill, mountain, which should be transcribed as *noi*⁴.

However Needham's work remains a very useful study. He gives a comprehensive analysis of the writing system, (1894:1-8), a section on nouns (9-14), Adjectives (14-22), Pronouns (23-30), Adjective Pronouns (30-35), Verbs (35-65), Adverbs (65-80) and then a section of Syntax (81-85), then a section giving further sentence examples of the various features.

Finally there is section of Miscellaneous Phrases (107-115), Texts (115-130) and a substantial Vocabulary (130-201).

Needham's rules of syntax (1894:81), here reprinted as Table (9), appear to have been used by scholars over generations to justify the claim that the Khamti language has changed to become an SOV language, probably due to Assamese influence. Khamti is listed as an SOV language in Greenberg (1966). This claim is discussed in detail in 8.4.

- | | |
|----|---|
| a) | The adjective follows the noun it qualifies |
| b) | Numerals sometimes precede, at others follow, the nouns they qualify. When a numeral particle is used, they invariably <i>follow</i> the noun they qualify. |
| c) | Demonstrative pronouns are generally placed immediately <i>after</i> the nouns they qualify, except in relative clauses when they may <i>either</i> be put at the <i>beginning</i> or at the <i>end</i> of the sentence. |
| d) | Relative clauses should stand <i>before</i> antecedent clauses |
| e) | Interrogative pronouns rarely ever stand <i>first</i> in a sentence. |
| f) | Adverbs are <i>generally</i> placed <i>after</i> a verb. |
| g) | Prepositions precede their nouns |
| h) | The order of words in a predicative sentence are (1) subject, (2) direct object (3) indirect object, and (4) verb.
In an interrogative sentence the order is (1) subject, (2) indirect object (3) direct object, and (4) verb. |

Table 9: Needham's *General Rules of Syntax* (1894:81)

Needham's work is referred to frequently in this thesis, especially in the sections of Constituent Order (8.4) and the marking of Tense and Aspect (8.5.7). The greatest value of Needham is not so much in his analysis, but in the mass of data which he

provides; it is only to be regretted that he could not hear the tones and did not name his sources. Nevertheless it remains the most comprehensive discussion in English of the Khamti language, a variety very closely related to the languages examined in this work.

3.2.6 Grierson (1904)

Grierson is the first scholar to discuss all of the Tai languages of India; and his gigantic seminal work, *The Linguistic Survey of India*, has been the first port of call for any researcher in this field for a hundred years, as it was mine.

3.2.6.1 Contents of Grierson (1904)

Table (10) lists the full contents of Grierson

p56	Siamese Chinese Family
p58	Map
p59-79	Tai Group
p81-105	Ahom - Grammar
p106-115	Ahom - Texts
p120-140	Ahom - Word List
p141-149	Khamti - Grammar
p150-165	Khamti - Texts
p167-169	Tairong - Grammar
p170-177	Tairong - Texts
p179-181	Nora - Grammar
p182-191	Nora - Texts
p193-195	Aitonia - Grammar
p196-211	Aitonia - Texts
p213	Phakial
p214-233	Comparative Word List
p226-233	(Comparative Sentences)

Table 10: Contents of Grierson (1904)

3.2.6.2 General Comments on the Tai Group (1904:59-79)

Grierson's classification of the Tai languages has been mentioned in 1.2 above. In addition, he proposed a classification of the Tai writing systems (1904:59) which also accords with modern knowledge noting that they have "seven distinct forms of the written Character - the Āhom, the Cis-Salwin Shān, the Khām̐ti, and the Tai Mau (Chinese Shān), the Lū and Khūn (trans-Salwin Shān), the Lao and the Siamese."

He also discusses the grammatical structure of the various Tai languages in general terms. Some of these comments will be revisited when examining these languages further in this thesis.

As Terwiel (1989:133) points out, Grierson's analysis for all of the many languages and varieties that he looked at, was based on three types of texts, firstly a translation of *The Parable of the Prodigal Son*, secondly another piece of text in the vernacular, such as a piece of folklore or narrative prose, and thirdly a word list based on the standard list drawn up by Campbell. Unfortunately, for the Tai languages, the second text was missing, except for the short Nora text, containing riddles which belongs to the second category.

Although we know, for example, that the Deputy Commissioner of Sibsagar provided the Nora texts (see below 3.2.6.6.1), in general the sources of Grierson's work are not known and the native speakers who provided information are never named.

Grierson appears to have analysed and glossed the texts and written his comments on the basis of those texts. He did not have access to any information about the tones of the languages, and, at least in the case of the Aiton text, his analysis seems to be based on transliteration rather than a phonetic realisation of the text.

In this brief survey, I will examine the first two lines of each of his translations of *The Parable of the Prodigal Son* (except for that in Ahom), and make any other pertinent observations.

3.2.6.3 Ahom (1904: 81-140)

Terwiel (1989:133-141) discusses the Ahom material in Grierson in considerable detail, listing the sources from which Grierson probably got his information. In this present study, only his brief discussion of the tones of Ahom will be mentioned.

3.2.6.3.1 Tones

Grierson makes one important point about the Ahom tones, namely that there should be tones in Ahom, but noted that tradition is silent on the matter. He states

"Moreover, in the one word, the tones of which I have been able to ascertain, they differ from those of the Khām̐ti and Shān. This is the word *mā*, which, when it means 'a horse', has in Āhom a long tone, and in Khām̐ti and abrupt tone, while *mā*, 'a dog', has in Āhom an abrupt tone, but in Khām̐ti and Shān a rising inflection." (1904:90)

It is not known where this information about the tones has come from, but if it is true, it would be very unusual. The abrupt tone referred to is clearly a glottal tone, but it is reflexes of the proto Tai C tone (see below 6.1.5.3) which are usually glottal, not the A tone. Thus we would expect the word for 'horse' (which is C4) to be glottal, not the word for 'dog' (which is A1).

3.2.6.4 Khamti (1902:140-165)

3.2.6.4.1 Tones

Grierson provides very little information about the tones of any of the Tai varieties, and almost everything that he writes about the Khamti tones is based on Robinson (see 3.2.3 above. He does, however, give information about the tone which Robinson did not describe, as follows:

"(2) The straightforward tone, of an even pitch. Robinson does not mention this tone, but in a number of words, nearly all of which have this tone in Shān, he puts the vowel of the word into special type. This *po*, 'a father'. As Robinson makes no other provision for this tone, it appears that he intended to indicate it by this typographical device, but omitted to draw attention to it.

This tone corresponds to Dr. Cushing's third or 'straightforward' tone in Shān."
(Grierson 1904:144)

3.2.6.4.2 Texts

Unfortunately Grierson did not record a genuine Khamti text. Example (5) is his analysis of the first two lines of the *The Parable of the Prodigal Son*. The presentation of the texts here differs from Grierson's original, in which the Tai script was on one page, and the transliteration with gloss on the opposite page.

5.1) မိုက်ဘာၤ လုၤ လုၤ န ဃာ် လုၤလုၤ ယွၤလုၤ
Mü-nan kōn kō-lüng yang lūk-chai shāng-kō
Formerly man's a were sons two

5.2) ဘွဲ လုၤ မာ် ယွၤလုၤ ဘိ
Nau lūk man shāng-kō nai
Amongst children his the-two aforesaid

လုၤလုၤ ကွၢ်ဘိ ဟေ မာ် မိ စုၤလုၤ
lūk-chai ān-nai pō man mai wā-kā
child (or son) younger-the father his to said

ဟိုၤ စုၤ လုၤ ဟွၢ်ဟေၤလုၤလုၤ
khüng chā kau chāt-khā-laü-ü-ko
property share my whatever (there be)

ပာ်ဟွၢ်တုၤ
pan-haü-tā'.
divide-give

Notes:

- The spelling of လုၤ 'CLF', suggesting [ko], might indicate an /o/~/ɔ/ merge. The word is *ka'* in Phake.

3.2.6.5 Tairong (1904:167-177)

Unlike the Khamti, Grierson's work on Tairong is the only description of this language which is based on information from apparently native speakers. There is however some evidence that the translator of the *The Parable of the Prodigal Son* was not a fluent speaker of Tai. The first sentence of the text is unusual in several aspects, in particular in that the human classifier ကု *ka* is not found, and that the noun လုၤ *luk* 'child' is represented as a count noun, in which form it is not found in any of the spoken Tai languages today.

3.2.6.5.1 Texts

6.1) ကုၤ လုၤ ဃာ် ယွၤ လုၤ
Kun fū-lüng yang sâng luk
Man one had two sons

6.2) လုၤလုၤ ကွၢ်ဘိ စုၤလုၤ
Luk-mwān koi-nai wā-kā
son-his younger said

ဟေ ဟိုၤ ဟိုၤ မိၤ မိၤ ကုၤလုၤ ကုၤလုၤ
'pō ēi khüng māi ok-chā khā-laü
father O. property your property how much

စိၤလုၤလုၤ ကုၤလုၤ ဟွၢ်ဟေၤ
tī-fāt-kwō hāng-kau haü-mā'.
portion to-me give

Notes:

- လု *phuu* is known as a classifier from several Aiton manuscripts.
- The verb ဃာ် *yang*, 'have' is most often in utterance final position in the other Tai languages
- The word မွၢ် *mwān* '3Sg' has a very unusual spelling in line 2
- ကု *kaa* 'TAM marker' appears to be attached to စု *waa* 'say' in line 2.

2.6.6 Nora (1904:179-191)

As discussed above in 2.3, the word Nora is sometimes understood to refer to the Tai Khamyang. In comparing Grierson's Nora with the Khamyang which I have been able to record, it is interesting to note that Grierson stated that "the letter *o* is sometimes *ba*, but more usually *wa*." (1904:179). The same process, by which words spelled with initial <w> are pronounced with initial /b/ is found in the speech of some present day Khamyangs (see below 6.4.1).

On the other hand, Grierson stated that the particle *mai* is "regularly used to make a kind of oblique form when a noun is governed by a preposition. Thus ... *tī Frā-mai* to (i.e. against) God" (1904:180). No sign of this postpositional *mai* has been found in my research on the Khamyang.

3.2.6.6.1 Texts

3.2.6.6.2 From the Parable of the Prodigal Son:

7.1) တုန် လူန လုန် ယွင်ကျ ခင် ။
 Kōn kō-lūng luk sâng-kō jāng
 Man person-one sons two-persons had.

7.2) လုန် ယးကွန် ဂျ နှင် ပေါ်
 Luk pā-ān wā hāng pō
 son male-younger said to father

ပေါ် ဟို ကးမုန် မှီ ကျ ကွ
 'pō hai a-muñ (muy) māu kā kau
 father O, good your to me

တက် ဂိးဂိ နှီ နှင် ကွ
 tak nai-nai haü hāng kau.
 will be-given give to me

Notes:

- It is interesting that the pronunciation of ခင် yang 'have' is (presumably) [dʒaŋ], as it is among the Aitons but not in the Khamyang data that I have collected.

3.2.6.6.3 Riddles

The only native Tai texts recorded by Grierson were several riddles, one of which I have analysed below. Example (8) is the riddle as Grierson presented it (with the Tai script together with the transliteration) and (9) is my analysis of the same, using a transcription based on the brief study of Khamyang phonology as described in 6.4 below.

8) တုန်မာ်ကျလံမိတ်
 Ton man kā lam met
 Tree its is-equal rod fishin.g

တုန်ပိတ်ကွန်ကျ
 Nok pit nān kū khā
 Bird Tuni sleeps every branch
 Its tree is equal to a fishing rod and the Tuni-bird sleeps on every branch
 Answer: Ton-māk-khū, the Brinjal tree

9) တုန် မာ် ကျ လံ မိတ်
 tun³ man² kaa⁵ lam² met¹
 tree it equal rod fishing hook

တုန် ပိတ် ကွန် ကျ ။
 nok⁴ pit¹ nān² kuu⁵ khāa⁶
 bird "Tuni" sleep every branch

တုန် မာ်ဟိုတ် ။
 tun³ maak¹ khv⁶
 tree brinjal / egg plant

Notes:

- nok⁴ pit¹ in Phake refers to "any kind of small birds"

3.2.6.7 Aitonia (1904:193-211)

Grierson noted that there were two groups of Aitons - in the Naga Hills and in Sibsagar District, and that there were 163 of them in the 1891 census. It is possible that this refers to the same groups and the same villages as are still found, with the

No.	English	Āhom	Khāmṭī	Tairong	Norā	Aitoniā
52	Woman	Nūng, kīn-mī	Pā-yīng	Kun pā-yīng	Pā-jīng	Pā-yīng
62	Sun	Bān	Wan	Wān	Ban, khun-ban	Wān
63	Moon	Dūn	Nūn or lūn	Nūn	Nūn	Nūn
64	Star	Dau	Nau	Nau	Nau	Nau
132	Good	Dī	Nī	Nī	Nī	Nī
163	Be	Nāng or ū	Chāū	Chau	Pin (pen)	Chāū

Table 11: Extract from Grierson (1904) Comparative Vocabulary

3.2.6.8.2 Tense

Grierson attempted to provide a full tense paradigm for several verbs. In sentences No 180-204 he attempts to do this for *po* (Aiton *poʔ*) "to hit"

No.	English	Āhom	Khāmṭī
202	I am beaten	Kau-mai-po-ū (<i>mai is used in the passive voice when the agent itself is an object</i>)	<i>Cannot be given</i>
203	I was beaten	Kau-mai-po-jau	<i>Ditto</i>
204	I shall be beaten	Kau-mai tī-po- ū	<i>Ditto</i>

No.	Tairong	Norā	Aitoniā
202	Hāng kau pō-yo	Kau kin khān (<i>I eat stripes</i>)	Pō hāng-kau
203	Pō kau	Kau kin khān kã	Hāng-kau pō-kwã
204	Kau-mai tī-pō	Kau tak kin khān	Tā-pō hāng-kau

Table 12: Extract of Grierson's elicitation of 'tense'

Nabin Shyam confirmed that each of the forms listed by Grierson was found in contemporary spoken Aiton. They would be realised in modern script as follows:

11.1) 𑜀𑜢𑜤𑜰𑜫 𑜁𑜪𑜤𑜰𑜫 𑜁𑜪𑜤𑜰𑜫 (Grierson's No. 202)
 po³ haŋ² kau²
 beat to 1Sg

11.1) 𑜁𑜪𑜤𑜰𑜫 𑜁𑜪𑜤𑜰𑜫 𑜀𑜢𑜤𑜰𑜫 𑜀𑜢𑜤𑜰𑜫 (Grierson's No. 203)
 haŋ² kau² po³ kaa¹
 to 1Sg beat GO

11.1) 𑜀𑜢𑜤𑜰𑜫 𑜀𑜢𑜤𑜰𑜫 𑜁𑜪𑜤𑜰𑜫 𑜁𑜪𑜤𑜰𑜫 (Grierson's No. 202)
 ta¹ po³ haŋ² kau²
 WILL beat to 1Sg

3.2.7 Barua, G.C. (1920)

As discussed by Terwiel (1989), G.C. Barua was the person who provided Grierson with his data on Ahom. Terwiel notes that Barua was "an Assamese speaker who in the mid-1890s had been appointed to learn Ahom from a committee of five Deodhai priests and to translate Ahom documents." (1989:133)

Barua states his work is based on "the collection of words from Ahom manuscripts", a task performed by an unnamed clerk, and the "further collection of words in consultation with a few Deodhais who could read Ahom and perform *Deopujas*."

It appears that the dictionary, like the *Ahom Lexicons* (see below 3.2.9) contain words which cannot be confirmed in other sources.

3.2.8 Barua, G.K. (1936) (*Ahom Primer*)

Terwiel (1989:143) writes of the *Ahom Primer* (Barua, G.K. 1936) that:

"It represented a whole new departure, and, refreshingly, provided a critical reordering of some sentences, in which the influence of at least some authentic Ahom texts is apparent. However, since the main text of the *Ahom Primer* is in the Assamese language and script, it has not had any noticeable influence upon scholars outside Assam."

The *Ahom Primer* contains information which suggests that speakers of one of the spoken Tai varieties were consulted in its preparation. The text contains a word list and some extracts from the Ahom Buranjis, but it also contains some short stories which are similar in form to some of the short stories gathered for this study.

It also contains some short sentences such as (12):

2) an° v° /nɤ° an° mən
 ti pai le ti kaɪ
 WILL go roam to market
 'Will you go to the market.'

Chaw En Lai Phalung has informed me that when his father, the late Ai Ne Phalung (Mohenda Phalung) was a student, he lived with G. K. Barua in Jorhat. Apparently G. K. Barua would ask Ai Ne Phalung about the Tai language, and used much of the knowledge he gained thereby to produce the *Ahom Primer*.

This claim is backed up by the fact that there is some evidence of peculiarly Aiton forms in the *Ahom Primer*, such as the use of 𑜀𑜢𑜤𑜰𑜫 ka in words like 𑜀𑜢𑜤𑜰𑜫 ka nū 'above', which in Aiton would be 𑜀𑜢𑜤𑜰𑜫 ka' nư', a shortened form for 𑜀𑜢𑜤𑜰𑜫 kan² nư' (literally 'direction-above').

Significantly, the Ahom letter *w* is rendered in Assamese as *ৱ* which would suggest a pronunciation of [dʒ], which is found in Aiton (see below 6.3.1.1.6), rather than using *৺* which would have suggested [y]. This is consistent with G.K. Barua's informant having been an Aiton.

Unfortunately Barua did not credit Ai Ne Phalung in the published book. It was normal practice at that time not to name native speaker informants in works of this type. We are fortunate that it has been possible to establish who provided at least some of the information in the *Ahom Primer*.

3.2.9 Barua, B.K. and Phukan (1964) (*Ahom Lexicons*)

Barua, B.K. and Phukan (1964) is an important text for the Tai languages of Northeast India, in that it claims to be an edition of the *Bar Amra*, an 18th century Ahom word list now in the possession of the Department of Historical and Antiquarian Studies, Guwahati (MS 31). However, there are key differences between Barua and Phukan and the *Bar Amra*.

For a start, Barua and Phukan begin their text with the letter 𑜀 (a), whereas the manuscript commences with the letter 𑜀 (ka). The first two lines of the manuscript, which give all the meanings of the Ahom word 𑜀 (kā) is reprinted below as (13):

[illegible]

A translation of (13) is presented below in Table (13). From this it can be seen how the Bar Amra is arranged. Written entirely in Ahom script, the Ahom word under consideration is presented first, followed by the Ahom words *বৰ্ণ* *cam ba* "means" and the Assamese gloss written in Ahom script.

Bar Amra	Transcription	Translation
ကလံဇကုဏ္ဍီ ॥	ka cam ba kaurik	Ka means a crow.
ကလံဇသ္ဗဇ ॥	ka cam ba djabor	Ka means to go.
ကလံဇဗိကဇ ॥	ka cam ba behabor	Ka means to trade.
ကလံဇဗုဒ္ဓ ॥	ka cam ba dzukhak	Ka means measure
ကလံဇကုဏ္ဍီ ॥	ka cam ba kopalik	Ka means a forehead ornament.
ကလံဇကုဏ္ဍီ ॥	ka am ba kostak	Ka means hardship.
ကလံဇကုဏ္ဍီ ॥	ka cam ba eral dakurak	Ka means a tethering block.
ကလံဇကုဏ္ဍီ ॥	ka cam ba bhar khuak	Ka means to pay a dowry
ကလံဇကုဏ္ဍီ ॥	ka cam ba nasibor	Ka means to dance.

কামৰাং ১১	ka cam ba kothiak	Ka means paddy seedlings.
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Table 13: Translation of the first two lines of the Bar Amra.

The entry for ৰূ as given by Barua, B.K. and Phukan (1964) is reproduced in Table (14):

ৰূ	কা	kā	যোৱা, বেহা কৰা, জোখা, ছোৱালী খোজোতে দিয়া ভাৰ, নচা	To go; to trade; to measure; to offer things to the parents of a girl when wooing; to dance
ৰূ	কা	kā	কাউৰী, কষ্ট, দুখ, এবাল, ডাকুল, কঠীয়া, কপালী, বেপাৰ, যথেষ্ট,	A crow; hardship; pain; a tether blow; a piece of wood tied to the neck of an animal; paddy seedlings; a forehead ornament; trade; sufficient
ৰূ	কা	kā	অতীতকাল বুজোৱা ক্ৰিয়াৰ পাচত বহা অৰ্থাৎ, কোনো শব্দৰ আগত বহি অন্য অৰ্থ কৰে, যেনে - প্ৰতি, পাচ,	The verbal suffix denoting past tense; used as a prefix to denote: at; after, behind.

Table 14: Entry for ৰূ in Barua, B.K. and Phukan (1964)

Barua and Phukan translate the word ৰূ into 17 separate meanings, whereas the manuscript gives only 10. Three of the meanings are given twice by Barua and Phukan, and a further four meanings given by Barua and Phukan are not found at all in the Bar Amra, namely: 'sufficient', 'the verbal suffix denoting past tense', 'used as a prefix to denote: at' and 'after, behind'.

In addition, Barua and Phukan have grouped the words into three groups. This grouping is not based on anything in the original Bar Amra.

It appears that Barua has included a large number of words that N.N. Deodhai Phukan added but which were not found in the *Bar Amra*. The provenance of these extra words is not known, and while they may be authentic Ahom words, the fact that so many words of uncertain origin are included in this and other Ahom words lists has caused scholars considerable confusion.

There is an urgent need for a new edition of the *Bar Amra*, containing only the words found in the manuscript.

3.2.10 Barua, B. (1966).

B. Barua discusses and exemplifies what he claims are loan translations / calques in Assamese from Ahom. One of his examples is

"TU - meaning an animal in Ahom and also suffixed to indicate birds and animals etc, is used in the same way as to denote a definite article in Assamese, such as 'Charai-to', 'Ghora-to' and 'Hati-to', that is the bird, the horse and the elephant, etc." (1966:66)

The Assamese language does indeed contain a suffix /-tu/ (টো), defined by Baruah (1980:51) as "a kind of definitive for certain nouns and pronouns referring to them." Dasgupta (1993:58) defines it as being "used in case of inferior object or to indicate contempt or disrespect."

Barz and Diller (1985:170) discuss the possibility of Ahom influence on the Assamese classifier system. They find that the contemporary Assamese classifiers, including *to* do not have direct Tai cognates. Nevertheless, they point out that in the last six centuries there has been an increase in both the number and function of classifiers in Assamese. This time frame closely parallels the period in which Assam was ruled by the Ahoms.

3.2.11 Biswas, S. (1966).

Biswas (1966) contains information on the Khamti language from a conference paper. He does not present any data not in Needham (1894), and typically does not mention tones. His phonological analysis seems to be entirely drawn from the written forms, as the transcriptions seem based only on script, as (14) will show:

- 14) hit (do), hing (dry)
(1966:175)

There are no Tai languages in Northeast India in which these two words share the same vowel, although they are written with the same vowel symbol. It is clear that in Khamti they are distinct, as is shown in (15), from Weidert (1977)

- 15) ɬeŋ "to be dry dried" suggesting /heŋ/
ʔhi.t "to do", suggesting /het/

3.2.12 Phukan (1966)

Phukan discussed the classifications of the Tai languages, and then proceeded to present some comparative vocabularies. These contain some important information about Aiton phonology in the 1960s, namely that the palatal semivowel was pronounced with fricativisation, as is found today. This phenomenon is discussed in some detail at 6.3.1.1.6. Phukan was also the first to report that the Aitons pronounce /d/ (although writing <n>) in those words which are reflexes of words that have initial *d in proto Southwestern Tai. His examples are given in Table (15):

Tai Ahom	Aitonia	English meaning
dap	written <i>nap</i> but pronounced <i>dap</i>	knife
di	written <i>ni</i> but pronounced <i>di</i>	good
doi	written <i>noi</i> but pronounced <i>doi</i>	hill
ji	written <i>yi</i> but pronounced <i>ji</i>	store

Table 15: Comparative word list from Phukan (1966)

Phukan further notes, in relation to *di*, that "Grierson puts it as *ni*; it is likely that he has given the written form of the word."

Unfortunately, like so many of the Assamese scholars who have worked on Tai languages, he does not notate tones. He lists the number of tones in some other Tai languages and references his sources, stating:

"It is certain that Tai-Ahom also used tones. But it is now difficult, without a thorough investigation, to say the exact number of tones used in Tai Ahom ...

from a general observation of words and their meaning in the Tai-Ahom dictionaries and other records, it may not, however, be inaccurate to say that it contained five to six general tone variations." (1966:11)

There is no direct evidence for the number of tones that might have been present in Tai-Ahom, and in any case the number and distribution of those tones would have changed over time. Phukan's claim appears to be based on the fact that most Tai languages in the Shan group have five or six tones. Significantly, however, Aiton, the closest Tai variety to Ahom, has only three phonemic tones.

Phukan's main contribution has been in the documentation of what he calls "coupiets", some of which are reprinted as Table (16):

two word
luk lan (lit. son grandson) - descendants
three word
kin mung ban (lit. eat country village) - ruling the kingdom
four word
kin mung kin ban (lit. eat country eat village) - ruling the kingdom
five word
thao mung khry mung nyau (lit. official big country big) - high officials of state
six word
phan kan nan kan taw kan (lit. cut mutual fight mutual strike mutual) - mutual fighting and cutting
eight word
rang kan plong kan sheng kan bang kan (lit. consult mutual decide mutual oath mutual bind mutual) - oath taking after consulting together

Table 16: Couplets in Phukan (1966)

These are also known as elaborate expressions, and are discussed below in 8.3.6.

3.2.13 Harris (1976)

Harris collected a substantial Khamyang word list in the 1970s. His informant was a Buddhist monk who was at the time living in Delhi. Harris is the first publication to systematically list tones for any Tai language of India, using the principles set out by Gedney (see 6.1.5.3). The tone box based on his study is presented in Table (17):

	A	B	C	DL	DS
1	4		5	4	
2	1				
3					
4	3	1	2	1	

Description of Tones:

Tone number (Harris 1976)	Description (Harris 1976)
1	mid falling
2	low falling with glottal constriction
3	high falling
4	high level
5	mid rising with glottal constriction

Table 17: Khamti tones (after Harris 1976)

Harris also discusses the phonetic realisation of consonants (1976:116f), of which the following are noteworthy:

/ph/ "represents a voiceless aspirated bilabial affricate [pʰ]"

/w/ "represents a voiced labio velar approximant [w] or a voiced bilabial approximant [ʋ]"

/y/ "represents a voiced front-palatal approximant [j] or a voiced front-palatal fricative [ɟ] ...In syllable final position this symbol represents a close front unrounded vowel [i]"

Vowel phonemes are discussed at (1976:119). Some of the most interesting observations are:

/ɛ/ "represents a falling diphthong [ie]"

/ɔ/ represents [ɔ] "that occurs in free variation with a more common diphthong [uo]"

Unfortunately the word list given by Harris is very difficult to use; it follows neither Khamti nor English alphabetical order, and appears to be in several sections. The first section (p122-129) seems to follow Roman alphabetical order of presumed proto Tai forms. In this section of the word list, for example, words which have initial *b in the proto language come after those having initial *a, but are generally realised with initial /m/ in Khamti.

From page 129 to the end of the list, there seems to be less motivation for the ordering of the items. It is regrettable that this is so, because it is very difficult to find any particular item in the list.

3.2.14 Weidert (1977 & 1979)

3.2.14.1 Weidert (1977)

Weidert (1977) is essentially a treatise on phonological theory, mainly relating to vowel phonemes. Weidert claims that Khamti has the following vowel phonemes:

i)	lax:		tense:
	ɪ	ʊ	ii) i
		U	u
		A	ε
			ɔ
			a

Table 18: Vowel Phonemes after Weidert (1977)

In the realisation of these phonemes, the following rules apply

6.3.4	ɔ _a	>	o	(regularisation) - for vowels in open syllables
	ε _a	>	e	
6.3.5	u _λ	>	o	(lowering rule) - for vowels in closed syllables
	i _λ	>	e	
	U _o	>	w-	(semiconsonant realisation)
	I _o	>	j (y)-	
	ʊ _v	>	final ʊ	(non-syllabification)
	U _v	>	final w	
	I _v	>	final j (y)	

Table 19: Vowel Phonemes after Weidert (1977)

In his data, there is no sign of the /u/~v/ distinction, found in both Harris (1976) and Chow Khok Manpoong (1993). This is exemplified in Table (20):

Weidert:	Harris	Phake	English gloss
`muu	muu ³	mū ²	hand
`muu		mā ²	go back home
`suuk	suuk ⁴	sūk ¹	war
`sui	sy ⁴	sə ⁶	tiger

Table 20: u~v distinction in Khamti and Phake

A short sample from Weidert's list will demonstrate the difficulty which his phonemic notation presents:

`AI _v	4th female child
`phAI _v `AU _v	To be smoky
⌊AU _v	To take, accept
`AU _v `pət	To shorten, to reduce in size
⌊Aη	Foul or brackish water
`Aη	To be spread

Table 21: Sample of Weidert (1977) word list.

Needless to say it is difficult for native speakers (and for academic linguists) to make use of this list. Since both Weidert and Harris (1976) present word lists that are so difficult to work with, it is not surprising that many people tend to go back to Needham (1894) for information on Khamti.

3.2.14.2 Weidert (1979)

Weidert (1979) was an attempt to rediscover the Ahom tones. He compared Shan, Khamti and Standard Thai Tonal systems and proposed the following tone box for Ahom:

Consonant Classes	A	B	C	D
(1)	*5	*4	*2	*high
(2)	*5	*4	*2	*high
(3)	*5	*4	*2	*high
(4)	*1	*2	*3	*low

Table 22: Reconstruction of Ahom tones, after Weidert (1979)

The reconstruction is based on the assumption that where there is a regular correspondence between Khamti, Shan and Standard Thai, a correspondence can be expected in Ahom as well. So, for example, the Khamti high falling tone always corresponds with the Shan high tone and the Thai mid tone (A4 tone). Weidert assumes that this will be a discrete tone, as it is shown in Table (22). This reconstruction is discussed further in 6.5.3 below.

3.2.15 Wilaiwan (1983, 1986)

3.2.15.1 Wilaiwan (1983)

Wilaiwan (1983) presents a number of Tai Phake sentences and other data about the language. Her findings on Tai Phake tone are discussed in detail below in 6.2.4.3.

On word order, Wilaiwan observed that Tai Phake was

"in a state of changing from being a language which has the word order SVO to being one with the order SOV. We can see that of the old languages, both Ahom and Sukothai Thai were of the order SVO and we can show that this ordering is the original ordering of languages of the Tai family. As for present-day Phake, the form of the sentences is both SVO and SOV, but if we study the old manuscripts, we find the order SVO only." (1983:229)

While it is certainly true that both SVO and SOV sentences are found in Phake, the claim that SVO is the only order found in manuscripts cannot be sustained, as shown in the following example:

- 16)

khām ²	saŋ ¹	pū ¹	tak ¹	lau ⁵
word	advise	grandfather	will	tell

 "I will speak these (words) of teaching".

(Tai Phake Manuscript, *Grandfather teaches grandchildren*, Introduction No. (10))

3.2.15.2 Wilaiwan (1986)

Wilaiwan (1986) is a study of Khamti, although it is not clear where the data was collected or how, whether by elicitation or from texts. Her conclusion (1986:178) is that

"SOV is the dominant word order in Kamti while in other known Tai dialects SOV is the dominant one. We may conclude ... that Kamti has developed from SVO to SOV. It has also been shown that Kamti contains a set of object marking postpositions."

This claim is discussed in detail in Section 8.4.

3.2.16 Banchob (1970, 1987)

Dr. Banchob Bandhumedha is one of the giants of this field. Without access to her masterly *Phake-English-Thai Dictionary* (Banchob 1987), this thesis could not have been written.

Dr. Banchob Bandhumedha first visited Assam in April 1955, hoping to find speakers of the Ahom language. She met a number of Tai Ahoms but never met any who could speak Ahom, and came to the conclusion that there were indeed no speakers of Ahom (Navavan Bandhumedha 2002:24).

However Dr. Banchob did meet speakers of other Tai varieties and as a result published the *Phake-English-Thai Dictionary* (Banchob 1987), and prepared an *Aiton-English-Thai Dictionary* that was never completed. Thanks to the generosity of Dr. Navavan Bandhumedha, I have been able to obtain a copy of the *Aiton-English-*

Thai Dictionary and this work, together with copies of tapes collected by Dr. Banchob which will be referred to in this thesis.

Two Aiton texts collected by Dr. Banchob have been translated and analysed and are presented here for the first time, these are the *Story of the forest spirits and the opium pipes*, told by Ai Ne Phalung (Mohendra Shyam) and *Various Cultural Items* as explained by Nang Am.

3.2.16.1 Banchob (1977)

In the 1970s Banchob published a number of articles in the Thai journal *Satri San* in which she listed words and discussed the phonemes and tones of the various Tai languages in India and in other parts of Asia. It is reasonably clear that Banchob was never satisfied with her analysis of the Aiton tones, and when Banchob (1977) is compared to her manuscript *Aiton-Thai-English Dictionary*, a number of inconsistencies appear. These are discussed in detail at 6.3.4.1.1.

3.2.16.2 Banchob (1987)

Banchob's *Phake-English-Thai Dictionary* (1987) was a cooperative project between Dr Banchob and the Phake informant Ai Ney Ken Gohain. Banchob describes the phonology and tonal system of Tai Phake (see 6.2). Her key findings are supported by the analysis undertaken in the present work, and her transcription has been adopted for Tai Phake, with the exception that her notation of long vowels, except for /a/, has not been followed. Her decision to notate the phoneme /ɛ/ as <ai> before a velar creates a difficulty for its phonological status, because /ai/ is a reflex of proto Tai *aj. This is further discussed at 6.2.2.

The *Phake-English-Thai Dictionary* is the basis for all the lexicography in this thesis. It will be referred to throughout the thesis.

3.2.17 Nomal Gogoi (1987 & 1994)

3.2.17.1 Gogoi (1987)

Nomal Gogoi's *Assamese English Tai Dictionary* (1987) is a large work, of about 9000 entries, which he gathered by eliciting information from various Tai groups, comparing this with the old Ahom dictionaries and using the Thai-English Dictionary of Dr. Wit Thiengburanathum.

Whilst the endeavour of producing a dictionary for a reviving language is undoubtedly a difficult undertaking, and whilst any such effort would inevitably involve the gathering of data from a variety of sources, it is most essential that those sources be identified. To the speakers of any Tai variety in Assam, Gogoi (1987) is a most unsatisfactory work, because all of the words are mixed up. Examples (17) and (18) will clearly demonstrate this:

17)

ঘৰ, house	𑜀𑜢𑜤𑜰𑜫, 𑜀𑜢𑜤𑜰𑜫
-----------	--------------

In (17), the Assamese word [ghor] and its English gloss are given, followed by two words, the first is the Ahom pronunciation, which we might regularise as [ruŋ], and the second is [huŋ], which in Phake is pronounced [hɯŋ²] (written hən² by Banchob). These two words are simply different reflexes of proto South Western Tai *ruanA4, one from Ahom where *r > /r/ applies and the other from the other Tai groups where *r > /h/ applies. One form has come from old Ahom manuscripts, and the other from spoken Tai, without any attempt to indicate which is which.

Standard Thai words are also intermixed, as in (18):

18)

ইংৰাজ, the British	𑜀𑜢𑜤𑜰𑜫𑜀𑜢𑜤𑜰𑜫, 𑜀𑜢𑜤𑜰𑜫
--------------------	-------------------

The Assamese word [injraʒ] and its English gloss are given, followed by two "Tai" definitions. The first is the Tai term used in Tai villages 𑜀𑜢𑜤𑜰𑜫𑜀𑜢𑜤𑜰𑜫 [ku¹ lā¹ phək¹],

whereas the second is clearly the Thai word /fa raɪN/ 'western foreigner' which is given as 𑜀𑜢𑜤𑜰𑜫 [fa raŋ]. So far as I know this word is never used in Assam.

Academic linguists wishing to make use of Ahom lexicon for any purpose would certainly be advised to avoid this text, and rather make use of B.K. Barua and Phukan (1964), despite its shortcomings.

3.2.17.2 Gogoi (1994)

Gogoi (1994) purports to be a comprehensive study of the Tai Phake language, but, like so many works published in India during the last century, it completely fails to take account of the fact that Tai languages are tonal, and the tones are a crucial part of the language. Gogoi makes the basic error of assuming that tones mark out different meanings on words which are otherwise the same, stating:

"Because of mono-syllabism, the same word is to express various meanings according to tones. Tones are the primary characteristics to differentiate meanings between homonymous words." (1994:7)

The reality is that in tonal languages such as Tai, two words which differ only in their tone are not the same word with different tones; they are different words, as different to a native speaker as two words which differ minimally only in their initial consonant or their vowel.

Gogoi (1994:19) lists the tones that Banchob found, but nowhere uses them. One is forced to the conclusion that he could not hear the tonal distinctions. It is not just the tones that are not distinguished. On page 18, he lists the vowel symbols with their names and pronunciation, of which Table (23) is a short extract.

𑜀𑜢𑜤𑜰𑜫	wa choi sat	au
𑜀𑜢𑜤𑜰𑜫	ha tho sat	au

Table 23: Extract from Table of Vowel symbols in Phake (Gogoi 1994:18)

The first of these two examples is indeed /au/, but the second is /au/ or /aü/ in Banchob's transcription. This mistake is repeated throughout his thesis, as in example (19):

19)	Tai script (Gogoi)	ᨧᩣ᩠ᨦ	ᨧᩣ᩠ᨦ	ᨧᩣ᩠ᨦ	ᨧᩣ᩠ᨦ	ᨧᩣ᩠ᨦ	ᨧᩣ᩠ᨦ
	Gogoi's notation	cha	kau	khau	hau	ma	ta
	Tai script (corrected)	ᨧᩣ᩠ᨦ	ᨧᩣ᩠ᨦ	ᨧᩣ᩠ᨦ	ᨧᩣ᩠ᨦ	ᨧᩣ᩠ᨦ	ᨧᩣ᩠ᨦ
	Banchob	cā ⁵	kau ²	khau ³	hau ³	mā ²	ta ⁴
	gloss	to	1Sg	rice	give	COME	PRT-request
	translation (Gogoi)	"Give me food."					

As well as the distinction between /au/ and /au/, Gogoi never makes the /u/-/y/ distinction (given by Banchob as /ü/ and /ə/), nor in his word list (Gogoi 1994:80-97) does he distinguish /u/ and /o/. For example, he lists *kui* as meaning 'cotton, banana'. Banchob gives *kui*² cotton, *kor*³ banana).

On grammar, his thesis does not advance the study of these languages much. For example, of past tense, he says (1994:53)

"To form past tense, the following help-words are suffixed to the Verb, as ᨧᩣ᩠ᨦ (ka), to go, ᨧᩣ᩠ᨦ (ma) to come, ᨧᩣ᩠ᨦ (yau) to complete, ᨧᩣ᩠ᨦ (ka yau), ᨧᩣ᩠ᨦ (ma yau) and ᨧᩣ᩠ᨦ (koi) to end."

Even if we accept that some of these are tense markers, no attempt has been made to give any information about when they are used, or how they differ. For a study which has as its title *Morphological Study of the Tai Language*, this is most unfortunate.

The work also contains examples of Phake Literature, which show little improvement on the earlier generations of transcribers, as shown in example (20) from his transcription of *Grandfather teaches grandchildren*. (1994:72)

(20) Passage 1 Kho Lik Pu Son lan

kham wan nan nam oi. au kin khau nau pak. wan lak san tan khen. lum pha mü chai yin. hen pi hau ton mat. kham son lan pu lat. koi mun wai phau mun ta wan...

Translation

Grandfather teaches Children

Words are sweet as molasses. Food taken through the mouth nourishes the whole body. Young children should remember the words spoken by grandfathers for thousands of years. O my dearest grandchildren, do not forget the old customs and traditions.

This section is not the beginning of the text, although from Gogoi's presentation it appears that it might be. Example (21) gives my analysis of the first portion of (20):

21.1) ᨧᩣ᩠ᨦ ᨧᩣ᩠ᨦ ᨧᩣ᩠ᨦ ᨧᩣ᩠ᨦ ᨧᩣ᩠ᨦ
khām² wān⁶ nūṅ¹ nam⁴ ɔi³
word sweet like water sugarcane
'... words are as sweet as molasses.'

21.2) ᨧᩣ᩠ᨦ ᨧᩣ᩠ᨦ ᨧᩣ᩠ᨦ ᨧᩣ᩠ᨦ ᨧᩣ᩠ᨦ
au² kin² khau³ nau² pāk¹
take eat enter in mouth

ᨧᩣ᩠ᨦ ᨧᩣ᩠ᨦ ᨧᩣ᩠ᨦ ᨧᩣ᩠ᨦ ᨧᩣ᩠ᨦ ᨧᩣ᩠ᨦ
wān⁶ lāk¹ sān⁵ tūṅ² khiṅ²
sweet different diffuse all body
'Take and eat them, and (let) the sweetness diffuse through your body.'
(Tai Phake Manuscript, *Grandfather teaches grandchildren*, Introduction Nos. (32) & (33))

Gogoi (1994) is the one of very few large works on the Tai languages published since Grierson's day. It does contain some useful data, but none of that data can be interpreted by anybody who is not fluent in the Tai languages, for all of the reasons discussed above. It has been necessary to discuss Gogoi in some detail both to point out the ways in which it could have been improved, and to ensure that it is not held up as a comprehensive grammar of the Tai Phake language.

3.2.18 Ainya Khang Gohain (1991, 1997, 1999)

The late Ainya Khang Gohain was a great help to me in my study of the Tai language. He would have greatly enjoyed reading this thesis, and he would certainly have

supplied corrections and opinions on many matters. He is the only author from Assam who consistently marks tones in his publications on the Tai language.

3.2.18.1 Aimya Khang Gohain (1991)

Aimya Khang Gohain (1991:47) discusses the phonology of Tai Phake. His vowel charts do not differentiate /u/ and /v/ (given by Banchob as /ū/ and /ə/) and curiously /ə/ is consistently written as <ə>, so that he writes /kək/ 'cup' (Banchob kauk¹) and /əj/ 'yes' (Banchob əj²).

Although I shall be arguing that for Aiton /u/ and /v/ have merged, it is very clear that for Phake this is not so (see 6.2.2).

Aimya Khang Gohain also gives some useful text examples, which he phonemicises, with tones, and translates. (22) is an example:

22) From Phake Chronicle

ປີລັກລີໄຫມິດ ຄໍ ປີ ລັກລີ ໄຫມິດ ຄໍ || pí laklī háimūt cām In the year haimit,

I have analysed this as (23):

23) ປີ ລັກລີ ໄຫມິດ ຄໍ
pī² lak¹ lī³ hai² mit⁴ cam⁴
year era Hai Mit PRT
"The year Haimit."

Aimya Khang Gohain worried about the potential loss of the Tai Phake language. I reprint here, with my analysis of his texts, his final statement on the matter (1991:58):

"The one time mighty Ahoms have lost their language. So also the Khamjangs and the Turungs. They still have a chance for revival or at least regain to some extent their lost language through the Phakaes, and also the Khamtis and the Aitons. The Phakaes are also now in the threshold of being lost, unless the Ahoms and the Government come to help. The Tai-Phakaes have a saying:

24) ມາ ປາ ໄຫມິດ
ma⁶ pai² hai⁵
dog go dry rice field
'Dog roams in jhumfield, i.e. a useless journey.'

A riddle:

25) ຕີ ສາມ ປີ ມາ ນາ ໄຫມິດ ຕາ ຕາ
tāi² sā⁶ pī² ma¹ nau⁵ ho⁶ khau¹ tan³ tuŋ² khuŋ²
die three year NEG rotten knee erect INTENS.

'Died three years ago, but not rotten. Its knees are still erect as they were. (dead spider)'

And a proverb

26.1) ມີ ລັກ ລາ ປີ ຕາ
mai⁴ phāk³ ka² pen² tauk¹
tree separate clump be thin strip
'Trees separated from grove become pieces.'

26.2) ມາ ລັກ ລາ ປີ ຕາ
mauk¹ phāk³ phā⁴ pen² phu⁶
cloud separate sky be rain
'Cloud separated from sky becomes rain drops.'

26.3) ຕາ ລັກ ມີ ປີ ຕາ
kon² phāk³ maŋ² pen² pān⁵
person separate country be other
'Man separated from country becomes alien.'

"Sometimes it occurs in their mind whether all their contributions will be "useless trip" or though appears to be dead but still not "rotten"; or have they become alien or are they soon going to be alien from their own people like the "rain-drops?" They have to wait and see."

3.2.18.2 Aimya Khang Gohain (1997)

Aimya Khang Gohain (1997) is a text book for teaching Ahom script but with Phake tones and grammar. Words are introduced in Ahom script with a tone mark in Assamese and then the meaning in Assamese and English, as in (27)

classifier'. The first is defined as "those where nouns that can occur with them share some semantic features"³¹. Some features will be listed at the beginning of each classifier." (1992:68)

An example of such a classifier is (29), from page 69:

- 29) /kū/
 <+pair> <+wearing>
 /kép tín/ 'shoes'

Kingcom categorises lexically restricted classifiers are those which "occur with a limited number of nouns in both the varieties. The nouns form closed systems." (1992:110). (30), from page 119, is one of these:

- 30) /māk/ This classifier occurs only with the noun /hóklây/ 'staircase steps'

He then fully lists all classifiers, comparing Tai Phake and Standard Thai, grouping the classifiers into those which are (i) formally and functionally similar, (ii) formally different but functionally similar and (iii) additional.

Kingcom's lexical comparison is based on a basic word list added to words obtained in conversations. He found that Tai Phake and Standard Thai shared lexicon in varying proportions depending on the semantics of the lexicon. The highest proportion of sharing was Animals 77.6% and Systems numeral 75.4 %, whereas the lowest proportions were Social Institutions 23.3% and Technology 0%. (1992:204)

Having noted a reduction in consonantal and vowel phonemes, number of classifiers and variety of lexicon in Tai Phake vis a vis Standard Thai, Kingcom makes the following extraordinary statement: "Tai Phake is a stunted language. There is no growth and it is not used in all fields of life as a living language." (1992:209). How exactly the national language of a large independent country and a minority language spoken in up to 11 villages are supposed to compare, is not clear to me. What is clear

³¹ My copy of Kingcom (1992) is unreadable at this point.

to me after spending a considerable time among the Phakes is that their language can express everything that they wish to express, with the occasional borrowing of words from outside, although far less borrowing than is to be found in modern Standard Thai.

At the end of his thesis, Kingcom gives 495 sentences, of which No. 44 is here reprinted as (31). I have given Kingcom's example in Tai script - which he does not use, with phonemicisations after Banchob (1987).

- 31) ကု ကံ ကါ ကို ကွ ကွာ ကွေ ကူ ကိ ကို
 kau² han⁶ kā¹ hən² ɔn¹ cən¹ khau⁶ ũ¹ ɛm³ nam⁴
 I see past house small John (of) is near river
 "I saw John's small house near the river."

Some of Kingcom's key findings were summarised in Dhongde & Kingcom (1992).

3.2.20 Diller (1992)

Diller's invaluable sketch of the Tai languages of Assam (1992) includes the first publication of any text in any of the living Tai languages using the Tai script since Grierson published the Nora riddles in 1904. In addition to presenting this important text, Diller carefully discusses the historical background of the Tais in India, the state of the Ahom language, and gives some very useful information about the syntax of the living Tai languages.

Diller (1992) is an indispensable aid to any scholar working on the Tai languages of India and will be referred to throughout this thesis.

3.2.21 Boruah (2001)

Boruah performs a valuable service by comprehensively listing all the Tai villages in Assam and Arunachal Pradesh (2001:41-42). Unfortunately, like all other Assamese writers on Tai, he does not use tonal notation in this article, though he is clearly aware of the tones. For example, on page 45 he gives a table with a syllable *kā* and its five meanings without indicating which meaning is in which tone. He makes the statement

that: "five tones have been observed, three of which are more prominent. These are rising, falling and level. Sharp rising and sharp falling are also observed." (2001:46).

Boruah's analysis of the vowels is also at odds with other evidence. He states that

"The phonetic system of all these six groups of Tai language is almost similar. Ahom, Khamti, Phake, Khamyang, Tukung and Aiton have got eight vowel phonemes namely /i e u o a ɔ̃ ā ü/. These vowel phonemes have their long and short forms." (2001:46)

Boruah gives the 8 vowel system, as follows:

	Front		Central		Back	
	Short	long	Short	long	Short	long
High	i	i:	ü	ü:	u	u:
Higher-mid	e	e:			o	o:
High-mid low					ɔ	ɔ:
Lower-mid					a	a:
Low			ā	ā:		

Table 24: Vowel Phonemes in Tai languages, from Boruah (2001)

So far as I know, no Tai language exhibits such a system. It is true that in the Thai writing, there is more specification possible of back vowel distinctions than of front vowel distinctions, and I will be arguing in 6.3.2 that in Aiton the lack of vowel distinction in front vowels is clearer and more complete than it is for the back vowels. Nevertheless I know of no evidence for a four way distinction of back vowels. It may be that this has arisen because <a> is used in some Assamese transcriptions to indicate /ɔ/, and that as a consequence /ā/ is used to indicate the low vowel. It may be that <ɔ> and <a> in Boruah's transcription indicate the same thing.

Moreover, it is distinguishing feature of the Tai languages in Northeast India, and in neighbouring Burma, that length distinctions have been lost in all vowels, except for the low vowel. Boruah's chart is thus very misleading.

3.3 Other Sources

A number of non-linguistic publications contain important data on the Tai languages. The most significant of these are briefly reviewed below.

3.3.1 Barua, G.C. (1930)

The first attempt to publish the Ahom Buranji (historical chronicle), Barua, G.C. (1930) consequently contains a huge amount of data in the Tai Ahom language. His translations and text are still used as the basis for many other examinations of the Ahom language, and where the Ahom historical texts are published by other scholars in part, it is usually this text from which they have come.

The Ahom Buranjis have recently been comprehensively re-examined and republished by Ranoo 1996.

3.3.2 Yehom Buragohain (1981, 1998)

Yehom Buragohain, who occupies the position of Special Officer for Ahom Studies in the Department of Historical and Antiquarian studies in Guwahati, is one of the principal informants for this study, and has revised the translations of many of the Phake texts which are presented here. She has also published a small number of articles.

3.3.2.1 Yehom Buragohain (1981)

Yehom Buragohain (1981) lists the Tai divisions of time, as:

Po ting khun:	the period when the night is at the highest point, i.e., midnight
Po ting khun na kai kai khan:	the period of time after midnight but before the cocks crow.
Po na kai:	the period immediately before crowing of cocks
Po kai khan:	the period when the cocks crow
Po phong phow:	the twilight period in the morning

Table 25: Some Time Divisions among the Tai (after Yehom Buragohain 1980:7)

The second of these entries can be phonemicised (in Phake) as follows:

- 32) 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫
 pa¹ teŋ⁵ khūm² nā³ kai¹ kai¹ khan⁶
 time middle night face chicken chicken crow

This very useful article lists the divisions of the day as they are found in the Ahom manuscripts. It is to be hoped that in the future, publication of such research will include tonal information.

3.3.2.2 Yehom Buragohain (1998)

Yehom Buragohain's list of Phake villages (1998:127) has already been referred to in 2.3.3 above. She also lists Phake texts with a non-phonemic "transliteration", and translation, as the following example from page 130 shows:

- 33) Nuk yang lai sang mau kok kek ti aim nong
 (Oh crane why are you moving hither and thither on the lakeside?)
 To pa ma ok
 (Because fishes are not out)

This can be regularised as:

- 34.1) 𑜏𑜤𑜂𑜫𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫𑜏𑜤𑜂𑜫𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫
 nok⁴ yāŋ² lai⁴ san¹ mau² kauk⁴ kaik⁴ tī⁵ em³ nauŋ⁶
 crane why 2Sg hither and thither at edge pond
- 34.2) 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫
 to⁵ pā² ma¹ auk¹
 because fish NEG come out

3.3.3 Sharma Thakur (1982)

Sharma Thakur (1982) is essentially an anthropological study of the Tai Phake, and does not claim to be a linguistic study. It does, however, reproduce a number of texts, with translations. The translations appear to be good, but the transcription of the Tai Phake misses both vowel and tonal distinctions. Table (26) is an extract of Thakur's translation of *Grandfather teaches Grandchildren*.

3. Chom Che Hik Fu Khām	People condemn the lazy people.
4. Hāi Na Hāo Me Ka	When you plough your fields, bring your spade to every nook and corner
5. Khāt Chang Yā Khāng Non	Do not while away your time on the plea of tiredness

Table 26: Extract from the translation of *Grandfather teaches Grandchildren*,
Sharma Thakur (1982:200)

Sharma Thakur's No 3, is the same as the second line proverb No (3) in my translation of *Grandfather teaches grandchildren*, here reprinted as (35):

- 35) 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫
 hāi⁴ yāk⁴ pān⁵ nū² yom²
 bad poverty other people look down upon
- 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫 𑜏𑜤𑜂𑜫
 som² sē⁶ hik⁴ phū³ khān⁴
 contempt/ridiculePRT call male (person) lazy
 'If you are poor, others will look down on you, they will ridicule you and call you lazy.'
 (Tai Phake Manuscript, *Grandfather teaches grandchildren*, No. (3))

As with so many of the works referred to in this chapter, it would have been much more useful if the transcription had marked tones and vowel distinctions. However Sharma Thakur wrote before the publication of Banchob (1987), and is writing in a different discipline (anthropology). Perhaps there needs to be more consideration given by those outside of linguistics to the problems of transcriptions such as Table (26), and some greater efforts made to produce transcriptions which will be useful in a multi-disciplinary sense.

3.3.4 Ranoo (1986, 1996)

3.3.4.1 Ranoo (1986)

Ranoo's 1986 thesis on the Ahom script remains the most comprehensive study of any of the scripts of any of the Tai communities of India. She has carefully compared the usage of the Ahom script at various periods, and her work can be reliably used for the dating of Ahom texts.

3.3.4.2 Ranoo (1996)

As referred to above in 3.3.1, Ranoo (1996) is a new and thoroughly researched edition of the Ahom Chronicles, or *Ahom Buranji*, translated into Standard Thai. The text is presented in four columns, as follows:

Column 1	Ahom text
Column 2	Transliteration of Ahom text into Standard Thai script
Column 3	Word by word translation into Standard Thai
Column 4	Translation into Modern Standard Thai.

Any study of the grammar of the Tai Ahom manuscripts would begin by thoroughly examining this work.

3.3.5 Terwiel and Ranoo (1992)

An earlier publication of an Ahom text, this time transliterated and translated into English, was Terwiel and Ranoo (1992). This also contains a useful summary of the Ahom script and some grammatical information.

3.3.6 Chaw Khouk Manpoong (1993)

Chaw Khouk Manpoong (1993) is a set of two primers to teach the revised Khamti alphabet (see 7.3 below). This was the first attempt to introduce tonal marking to the orthography of any of the Tai languages of India.

3.3.7 Jaya Buragohain (1998)

Jaya Buragohain worked in the Tai Aiton villages in the few years immediately before I first visited there, and she had the good fortune to have as her informant the late Sri Mohendra Shyam. Her information on the Tai villages has already been referred to in 2.3.2 above.

Jaya Buragohain gives a number of texts, which are prayers spoken at various important times of the year, such as when rice sowing begins. Unfortunately, as is typical of Assamese scholars, she does not provide tones. Example (36) is a text which is also translated into Standard Thai.

36)

"Nursery Bed (ta ka)

- the following prayer is offered on the day of sowing by the head man of the family, who "offers his prayer to the Lord Buddha at the Kheng-lik of his house. On reaching the nursery bed a prayer is made at first towards the east after praying to the Spirit of the earth thus:

"ao mun Phra, ao mun Tra, ao mun Sanggha
wan nai kao wan khao ka
ao mun Phra, ao mun Tra, ao mun po mun me
mun pu nai, ya nai, kao wan khao

translated as

"O'Phra O'Tra O'Sanggha, today I have come carrying in my hands the seeds of paddy to throw in the name of Lord Buddha. O'Phra O'Tra let me have the strength to give alms to the Sangha ...
(Buragohain 1998:72)

There are several errors in (36). Dibya Dhar Shyam pointed out that nursery bed should be 𑜀𑜢𑜤𑜰𑜫 (tɪi² kaa³) and what she has rendered as *Kheng-lik* is probably 𑜀𑜢𑜤𑜰𑜫 (kyɔŋ² lik³). Jaya Buragohain's transcription of the prayer is also confusing. The first two lines are re-analysed here as (37), employing linguistic methodology.

37) 𑜀𑜢𑜤𑜰𑜫 𑜀𑜢𑜤𑜰𑜫 𑜀𑜢𑜤𑜰𑜫 𑜀𑜢𑜤𑜰𑜫 𑜀𑜢𑜤𑜰𑜫 𑜀𑜢𑜤𑜰𑜫 𑜀𑜢𑜤𑜰𑜫 𑜀𑜢𑜤𑜰𑜫 𑜀𑜢𑜤𑜰𑜫
au² mun² phraa² au² mun² traa² au² mun² saŋ¹ khaa¹
take glory Buddha take glory Dharma take glory Sangha

৩৯ নী লু ৩৯ লু লু

wan² nai³ kau² wān¹ khau³ kaa³

day this 1Sg sow rice rice seedlings

'With gratitude to the glorious Buddha, Dharma and Sangha, today I (am going to) sow the rice seedlings.'

Jaya Buragohain also lists the clans and subclans of the Aiton (1998:105).

Her work appears to be a valuable anthropological record of the Tai Aiton.

Unfortunately she never provided copies of her thesis to the Aiton community, and so there has been no opportunity to properly assess her claims.

3.3.8 Hazarika, Chow Nogen. (1993, 1996).

3.3.8.1 Hazarika (1993)

This work is a collection of songs written by Hazarika in modern Ahom. There is no English or Assamese language in the bulk of the text.

(38) is the title and first line of the first song, while (39) is my attempt to analyse it.

38) ৬ বসুন্ধৰা-বাস
বসুন্ধৰা-বাস লু লু লু লু

39) ৬ বসুন্ধৰা-বাস লু লু লু লু
cau³ phraa² tra² a¹ lo² ui² sik¹ kyaa²
RESP Buddha Dharma Boddhisatva EXCL Sakka

3.3.8.2 Hazarika (1996)

Hazarika (1996) is a passionate essay in favour of Ahom revival and in favour of Ahom culture. Referring to other communities, to philosophers and thinkers, the author hopes to persuade the Ahoms to take their cultural revival seriously. The following quote will illustrate the tenor of the work:

"The color', says B. Russel, 'ceases to exist if I shut my eyes, the sensation of hardness ceases to exist if I remove my hand from contact with the table...'

The sensation of the colourful past of the Tai Ahoms may not help if we cannot assert, survive and REVIVE, we, after Descartes, shall have to syllogise the first dictum of modern philosophy, viz. *cogito ergo sum* (I think, therefore, I exist). Let us also like Sartre practise, 'If to die is to die in order ... to bear ... witnessetc., then anybody at all can die in my place.'" (1996:2)

4. Theoretical considerations

4.1 Linguistic and Theoretical basis for this thesis

No piece of academic work exists without reference to other work; nor does there exist any piece of academic work which has been informed by all the other academic work which might be relevant to that endeavour. The exponential increase in academic publication over recent years, in many languages, renders it impossible to read all the literature from the present, let alone from the past, any of which might conceivably relate to and inform the matter being discussed. In this chapter I will touch on that part of the literature that I have found useful as general background to this thesis.

4.1.1 'Basic Linguistic Theory' and formal theories of syntax

Not only has the huge amount of literature made the task of writing a thesis like this more difficult, but a writer in my position needs to adopt a view on a basic controversy within linguistics. Is it the task of someone in my position to write this grammar within the framework of a particular theory of syntax, or outside of it?

In the opening to his work on the study of language universals, Comrie (1989) discusses what he terms "two major methodological approaches". Three important parameters mark out the two approaches, "the data base for research ..., the degree of abstractness that is required... and the kinds of explanations advanced ..." (1989:1)

Comrie's position is that to study language universals, data would be needed from a wide range of languages, and that:

"linguists advocating this approach have tended to concentrate on universals stable in terms of relatively concrete rather than very abstract analyses, and have tended to be open, or at least eclectic, in the kinds of explanations that may be advanced ..." (1989:2)

According to Comrie, the alternative approach, associated with Noam Chomsky, argues that:

"... the best way to learn about language universals is by the detailed study of a small number of languages; such linguists have also advocated stating language universals in terms of abstract structures and have tended to favour innateness as the explanation for language universals." (1989:2)

Since this thesis deals with a single language group, the first of Comrie's parameters is not relevant here. However the general direction of Comrie's thought, that concrete structures and open explanations are preferable, is indeed the direction of this thesis. In that sense, the underlying theoretical basis of this thesis may be termed 'typological'.

More recently, Dixon (1997) has proposed that linguistic endeavours of the kind which I am undertaking would be best done in the framework of 'Basic Linguistic Theory', a term which

"has recently come into use for the fundamental theoretical concepts that underlie all work in language description and change, and the postulation of general properties of human language." (Dixon 1997:128)

Basic Linguistic Theory is contrasted with what Dixon refers to as "formalisms", a long list of which he names (1997:131), adding that

"Each is useful for describing certain kinds of linguistic relationships, but it is put forward as if it were a complete theory of language. The word "theory" is being used in a novel way." (Dixon 1997:131).

Dixon outlines the program of Basic Linguistic Theory as follows:

"When working in terms of Basic Linguistic Theory, justification must be given for each piece of analysis, with a full train of argumentation. Working within a non-basic theory there is little scope for argumentation ... Needless to say, such an approach tends to make all languages seem rather similar, and

ignores the really interesting features which do not conform to any expectations." (Dixon 1997:132-133)

It is hoped that this thesis does provide full and comprehensive argumentation for the claims contained herein. The model adopted for this thesis, then, is consistent with Basic Linguistic Theory.

Nevertheless, a scholar in my position must take note of the endeavour of those who are working within any of the various formal theories of syntax, perhaps best represented by the figure of Noam Chomsky. Several features of Chomsky's program need to be taken into account by linguists working on projects like this thesis:

- A key aim of Noam Chomsky and others would appear to be to fathom the mysteries of the human mind, using language as a window to the mind.
- Chomsky and others hold that non-native speakers can hardly be expected to know enough about the languages they are looking at to make really useful judgements.
- There must be a universal human language faculty; otherwise why do all humans develop language. There must therefore be language universals, which we will discover by introspecting.

It is obviously true that native speakers of a language have a deeper understanding of their language than visiting linguists, and we may certainly hope that in the future there will be trained linguists who are native speakers of the Tai languages and who can advance their study far beyond what is possible in this thesis.

However, this thesis does contain considerable insight into the Tai languages. Moreover, had I not proceeded with this thesis, I would not have collected any recordings of the Tai languages of Assam. Much linguistic information would be lost to future generations. For example, we can expect the best Khamyang speakers to survive no more than another generation. The recordings that have been made can always be re-examined in the future; but if this work is not done now, it never will be.

The aim of scholars like Chomsky and many others who are attempting to find and refine the universal theories of language are worthy aims; however in the case of the present study, it will be found more useful to describe the language in terms of pre-theoretical terminology, such as 'subject' and 'object', 'noun' and 'verb' without recourse to formal theories.

4.1.2 Text Based Grammar

Supposing we were to ponder what we would hope to leave behind of the English language for future generations. Most would probably prefer to leave a work of great literature rather than a grammar which explains how past and future time are marked or how to express a sentence where the verb has three arguments.

There is a very real chance that the Tai languages will cease to be spoken in a few generations. The Tai Khamyang certainly will be and even if Tai Aiton and Tai Phake are spoken in fifty years time, the likelihood is that the domains of usage of these languages will be even further reduced.

There is an equally very real chance that this present work will become and remain the standard work on these languages until that time, and that by then the opportunity to collect the rich variety of texts which is now available will be lost.

Therefore this thesis is in a great measure a collection of texts, a compendium of as much of the knowledge and literature of the Tai that could be collected and analysed³² in the all too brief time available. Whatever analysis can be drawn is taken from those texts, and every one of the texts is presented in transcription, analysis and with linked sound files (see 9.5 below). The process of collection and analysis of these texts is discussed in more detail in Chapter 5.

³² Perhaps as little as 10% of the recordings that I made have been analysed. Great riches remain to be explored.

Every single sentence example in this thesis will give its source; and where it is from a text, as the overwhelming majority will be, the example will be linked to that text, so that the reader can see and hear the context from which the sentence comes.

That context is not just a position in time within a series of utterances. Every text that has been collected has meaning, and that meaning is important. If I could, I would urge the reader to read the texts for their meaning, as well as for their structure. If we do not know the meaning, how are we different from the 90,000 ministers who could not interpret the Queen's dream in the story of *The Twelve Questions* (2-1-1-3), told by Sa Cham Thoumoung, of Duburoni village?

There is another reason for basing this study firmly on texts. The texts collected are of a wide variety, there are stories, songs, prayers, manuscripts, explanations of the manuscripts or of rituals, speeches and conversations, they represent a wide range of the speech act types in the Tai communities.

Himmelmann (1998:178) puts the question as to whether certain kinds of linguistic structures only occur in particular kinds of communicative events. If this is so, and intuitively it is (the type of linguistic structures exhibited in this thesis being very rarely found in chat), then we need to record as wide a range of communicative events as possible in order to even find all the linguistic structures.

Himmelmann (1998:180) lists events on a parameter of spontaneity, from the most spontaneous, events like exclamations, through to the most planned, such as ritual texts. His types of communicative events are listed in Table 1

Parameter	Major Types	Examples
planned	exclamative	'ouch' 'fire!'
	directive	'scalpel!' greetings small talk
	conversational	chat discussion

planned	monological	interview narrative description speech formal address litany
	ritual	

Table 1: Types of communicative events according to the parameter of spontaneity, Himmelmann (1998:180)

Thus the writing of a text based grammar is underpinned by two theoretical principles. First, since this thesis is about the living language of a group of humans, for whom their language is of the greatest importance, we will pay tribute to that importance by recording as rich a variety of that community's heritage as we can. Second, by doing so we stand to gain a much greater insight into the language anyway.

By way of closing comments to this issue, let us compare the approach of Grierson (1904). Grierson, following the method of Wilhelm von Humboldt³³, collected examples of the *Parable of the Prodigal Son*, in every Tai language (and indeed in 364 languages of the Indian empire!) and used that as the basis for his study. From this text he drew conclusions about the Tai languages.

For one century ago, that approach can only draw praise; but for today it is no longer appropriate. If we try to ask the same questions of every language, we run the risk of receiving similar answers. It will surely seem incredible to the reader reading this thesis when it is as old as Grierson is today, that in the same opening years of the 21st century there are projects afoot to make a record of all of the languages of the world, using a translation of the *Book of Genesis* as the comparative text.

Surely, it is the linguistic and literary forms that differ from language to language and community to community that are most illuminating. That is why letting the grammar be based on the texts that emerge is likely to lead to the most interesting results. This is why, in Mithun's (1998:191) terms, we should let the speakers speak for themselves.

³³ I am grateful to Dr. Tamsin Donaldson for pointing out to me that Humboldt had pioneered this method.

4.1.2.1 Description and Documentation

Himmelman (1998:165) proposes that the relationship between documentation and description of languages should no longer be seen as "one of unilateral dependency, with the documentary activity being ancillary to the descriptive activity (i.e. primary data collected IN ORDER TO make a descriptive statement of the language.)"

Himmelman goes on to add that the two activities should be seen as being in a relationship of "bilateral mutual dependency."

Himmelman, therefore, proposes a new field of linguistic endeavour, which he calls 'Documentary Linguistics'. The basic aim of this discipline will be "to document the linguistic behavior and knowledge found in a given speech community," (1998:168). The data thus assembled should be "amenable to a broad variety of further analyses and uses."

A clear statement of the importance of this approach is that "there is no infallible way of drawing corpus from descriptive statements, that corpus is richer." (Himmelman, pers.comm.). One can always write a grammar from the texts, but one could never expect to recover the texts from a grammar.

From the perspective of the current speakers of endangered languages, the documentation process is certainly more important than the descriptive. Such communities often hope for linguists to permanently record and make available large numbers of texts. This is one of the reasons why so many texts are presented here and why the sound recordings of them are also included. Moreover, for the speakers of these languages, the message conveyed in the texts is at least as important as the means of conveying it. If our only knowledge of these languages were based on a translation of Genesis 1, it would be very shallow knowledge indeed.

Let us suppose that in a hundred years our understanding of human grammar has advanced as much as it appears to have in the last hundred years, and let us further suppose that most of the languages now spoken have passed away. For some of those

languages a grammar will have been written, which, in traditional format, may have two or three short texts attached.

When the linguists of the year 2102 come to do their re-analysis of that language, and go back to those texts and see possibilities which we cannot see today, they may lament the lack of material with which to work. If, on the other hand, we provide them with a great deal of text, and a pointer as to what might be found where, will they not then be able to do much more with those texts?

Himmelman notes that "language documentation is NOT some kind of "theory-free" enterprise. Instead, documentary linguistics is informed by a broad variety of theoretical frameworks and requires a theoretical discourse. ..." (1998:190)

This thesis will provide analysis drawn from the texts, which could be termed 'a grammar of the Tai languages', though the term implies the kind of deep knowledge of the language which I could not hope to acquire in the time allowed. It may be the texts themselves that will be the most important contribution of this work to future scholarship.

4.1.3 Language centred approaches

A very important issue in dealing with languages like the Tai languages of Assam, or indeed any language other than the researcher's native tongue, is that it will be difficult for the researcher not to be influenced by their native tongue in undertaking linguistic research.

This difficulty is multiplied if the researcher happens to have been born a monoglot English speaker, as I was. It is too easy for an English speaker at the beginning of the 21st century to assume that all knowledge is available in English and that the English language traditions will provide all the theoretical bases for the understanding of language that are needed.

Working in the field with non-Indo-European languages gradually makes one aware of other ways of describing things, other ways of thinking not just about the world, but about the language which it is our task to describe.

For example, readers of Noss (1964), a masterly survey of the Standard Thai language, will have noticed that many single Standard Thai lexemes are analysed as several different polysemous lexemes by Noss. Usually this is because this one Standard Thai lexeme cannot be translated into a single English lexeme. Yet for Thai people, it is a single word.

Long & Zheng (1998) show a fairly Chinese-centric approach in their grammar of the Kam language - most obviously in the title of the work, which uses the Chinese name for the people, Dong, rather than Kam, the name used by the people themselves.

But their Chinese centred approach extends somewhat further than that. For example, in their very useful brief description of the language (1998:17), they state: "The word order of Dong is essentially the same as that of Chinese, with one clear exception: in Dong, adjectives come after nouns while in Chinese they come before."

The really interesting observation is not that Kam (Dong) has noun-modifier word order, for that is typical of the Kam-Tai family from which it comes, rather, it is that in some cases Kam (Dong) differs from the patterns to be found in other languages to which it is genetically related and has, perhaps, converged to Chinese.

In Chapter (3), it has been seen that many of the writings about the Tai languages of Assam, both those from the early British and American researchers, and those more recently by Assamese researchers, have been seriously affected by the language bias of the authors. The extent to which this is also true of the present work will be left to the reader to judge.

4.1.4 Some particular difficulties in working with the Tai languages of Assam

When writing a description of a language, the tradition has long been to produce a synchronic description, in which everything is related to the stage at which the language is now.

Advances in the study of the history of the Tai languages cannot, however, be ignored. For example, the analysis of the phonology of the Tai languages may differ according to whether the history of the languages is taken into account or not. The Aiton consonant inventory is such a case in point. In section 6.3.1.2, a plausible analysis of the Aiton consonant inventory is presented which is based purely on synchronic observations and takes no account of historical factors or other related languages. A different inventory is presented in 6.3.1, having taken account of these factors. On balance, the latter approach is preferred, at least in this case.

Another issue which has affected this thesis is the question of grammaticality judgements, the intuition that native speakers have about whether a particular utterance is grammatical or not. Many times I have asked the Tai informants whether a particular sentence is grammatically acceptable or not; in many cases the answer may be yes, but only depending on circumstances. Since the circumstances are the linguistic context, it is much safer to rely on actual examples of spoken text where the context can be made explicit.

4.2 Approaches to the study of Tai languages

Several different theoretical approaches have been brought to bear in the description of Standard Thai, or Siamese. This language, the national language of Thailand, is often simply referred to as Thai, but that terminology may be confusing given that the languages being considered here are called Tai. In India it is referred to as Bangkok Thai.

In this section, the approaches of Noss (1964), Panupong (1970) and Lekawatana (1970) will be discussed, since they are referred to in the syntactic analysis of the Tai languages of India (see below Chapter 8).

4.2.1 Noss (1964)

Noss (1964:1) outlines his approach as "to outline the main structural features of standard spoken Thai, ... and also to elaborate by sub-classification and example those structural features which are least covered by existing grammars and dictionaries."

He gives considerable and detailed information about the phonology of the language, including a very detailed discussion of the prosody of Thai, defining prosodic phonemes (1.6), classed as stress phonemes – loud, normal and weak onset, in combination with sustained or diminishing stress; intonation phonemes, identifying eight possible intonation sequences for a single utterance; and rhythm phonemes, which apply at phrase level.

To give an example of the stress phonemes, he claims that the word /pay/ 'go', can have the following meanings which are differentiated only by a combination of stress phonemes:

- | | | | |
|----|---------------------------|---------|------------------|
| 1) | loud diminishing stress | /!paj/ | 'Let's go' |
| | loud sustained stress | /!paj:/ | 'Sure (he) went' |
| | normal diminishing stress | /paj/ | 'Yes, (he went)' |
- (Noss 1964:21)

It can also be argued that the difference between these three utterances very much depends on the circumstances in which they are uttered, on the context of the utterance; but this is not a concern of Noss. In the present thesis this level of prosodic analysis has not been possible.

The largest portion of Noss (1964) deals with lexemes (words), and how they fit into the structure of the language. He divided lexemes into two basic classes, free lexemes

and bound lexemes. His bound lexemes are defined as occurring "as constituents of syntactic units and higher-order constructions only." (1964:79). The term *bound lexeme* does not refer to the kind of bound morphology found in the various agglutinating or polysynthetic languages of the world, and indeed many of Noss's bound lexemes have the same form as a free lexeme, but are unstressed.

For example /pay/, which in (1) above was clearly a free lexeme, a full verb meaning 'go', also appears as a modal verb, a sub class of bound morphem, with the meaning 'to act away from the speaker, or so as to affect the speaker and his group', as in (2)

- 2) yà-pay k^hian bon kradaan-dam
 Don't write on that (or their) blackboard
 (Noss 1964:135)

As will be seen in section 8.5.7 below, the Tai languages of Northeast India show analogous processes. The word *kaa'*/k^ha' is both a full verb meaning 'to go', and a word which has undergone grammaticalisation to be a TAM marker, expressing past time. It is a contention of this thesis that regardless of the level of grammaticalisation, the word is not fully bleached of its meaning, and that the two will not therefore be regarded as separate lexemes, in the way that Noss regards /pay/ and would regard *kaa'*.

Diller (2001:146) assesses Noss' methodology as follows:

"Studies in Thai in what is generally referred to as the structuralist tradition have emphasized synchronic form classes as determined by sentence test frames. In this tradition, frames are typically taken *a priori* as the main criteria in the setting up of a system of syntactic classification. This may lead to "structural homophones". If a form occurs in each of two test frames set up to distinguish form classes, then even if there is a strong semantic motivation for considering the form a single word, the guiding assumptions normally require it to be taken as two separate lexical items."

4.2.2 Vichin Panupong (1970)

Where Noss concentrated on words and how they fit into different constructions, Vichin Panupong's (1970) grammar of Thai is based around the concept of a constituent. She introduces her work by defining the basic sentence types as initiating sentences and non-initiating sentences – where the latter is "one which cannot start a conversation" (1970:1).

She exemplifies this as follows:

"For example, *mây mi*: ไม่มี (No, there isn't) is to be regarded as a non-initiating sentence on the ground that it cannot be fully understood without some such preceding utterance as *mi: khon mây* มีคนไหม (Is there anybody there), which serves as a verbal clue."

This is an important insight into the languages of the Tai family. Tai languages permit the deletion of noun phrases such as *khon* in the non initiating sentence in Vichin's example (*mây mi*).

An initiating sentence does not necessarily contain all of the noun phrases which the verb subcategorises for, as in the following example of an initiating sentence:

- 3)

ที่นี่	ทาน	ข้าว	กี่	โมง	อะ
thî nî:	tha:n	khâ:w	kî:	mo:ŋ	hâ?
here	eat	rice	how many	o'clock	PRT

 "What time do you have dinner here?
 (after Panupong 1970:2)

The subject is not stated; it is not required because pragmatically it is understood to be the interlocutor, even in the initiating sentence of a conversation.

Vichin Panupong goes on to discuss a range of sentence types and the constituents of those sentences. Her definitions of subject, object and indirect object are primarily structural, that is a subject is a "noun in its function as a sentence constituent of the sequence nv (noun-verb)" (1970:13), that is to say a noun or noun phrase which

precedes the verb. An object, on the other hand, is a noun phrase which follows the verb, and the indirect object is "the third noun of the sequence nvnn."

The canonical structure for a sentence, in Panupong's analysis, is therefore:

- 4) (Subject) Verb (Direct Object) (Indirect Object)

The verb in a sentence which has no object is called an Intransitive Verbum (V_i), that which has a single object is a Transitive Verbum (V_t) and that with two objects a Double Transitive Verbum (V_{tt}).

Furthermore, where the constituents contain more than one word, one constituent may be anteposed. (1970:15)

This leads to the following list of possible structures:

- 5) SV_i , V_iS , SV_tO , V_tO , OSV_t , $V_{tt}OI$, $SV_{tt}OI$, $OSV_{tt}I$.

Two of these structures are similar, being both V N - namely V_iS and V_tO . They are different because the first type may be reversed to SV_i , whereas the second cannot be. Panupong gives (6) as an example of V_iS and (7) as an example of V_tO :

- 6)

เจ็บไหม	คุณ
cèp máy	khun
pain-QN	you

 "Are you in pain"
- 7)

ปวด	หัว
pùat	hũa
ache	head

 "(I've) got a headache."

Example (6) could also be expressed as *khun cèp máy* whereas * *hũa pùat* is impossible.

Throughout this work Vichin Panupong gives lists of the possible arrangements of phrases, such as the possible combinations of items within a noun phrase, within a classifier phrase and so on. She does not discuss the context in which such structures might be used.

Another important insight of Vichin Panupong's is what she calls discontinuous sentence constituents. She suggested that:

"It is frequently necessary to postulate 'discontinuous' constituents when the elements which make up the constituents are interrupted by another constituent in the sentence" (1970:20)

Both verb complexes and noun phrases can appear 'discontinuous' in surface realisation, as in (8):

- 8) เพื่อน ยืม หนังสือ ไป เล่มหนึ่ง
 friend borrow book GO CLF-one
 S V_T O- (-V_I) (-O)
 "A friend's borrowed a book."

In the Tai languages of Assam, structures similar to (8) are not uncommon. They will be discussed in section 8.3.

4.2.3 Pongsri Lekawatana (1970)

Pongsri Lekawatana (1970) is a study of Thai based on the theories of Fillmore (1968).

The following assumptions are basic to her analysis:

- a) each case relationship occurs only once in a simple sentence (Fillmore 1968:21)
- b) only noun phrases representing the same case may be conjoined (Fillmore 1968:22)
- c) complex sentences involve recursion through the category Sentence under the case category Objective (Fillmore 1968:32)

d) every noun phrase begins with a preposition or a case marker (Fillmore 1968:33)

Fillmore proposes that a proposition is made up of a verb and cases, once a verb has been chosen, its case frame must be filled with noun phrases marked for those cases.

Pongsri Lekawatana has studied all of the possible cases in Thai according to this approach, discussing, for example, "verbs that take the agentive". Some of the features of such verbs are that they

- can occur in command imperative
- can occur as complements of verbs like สั่ง *sàŋ* "order"
- can co-occur with an objective or experiencer as in (9)³⁴:

- 9) แต่ง ฆ่า นก ตัว นั้น
 dææŋ khâa nók tua nán
 Dang(A) kill bird CLF that (E)
 "Dang killed that bird"
 (after Lekawatana 1970:48)

Lekawatana's analysis of the dative is also very useful for the analysis and is discussed below in 8.3.3.3.

Lekawatana also introduces another interesting proposal. Most of the oblique cases are marked by prepositions, but there is a subjectivisation rule which moves one case to the front and triggers the deletion of the case marker. This has been summarised in (10), based on Lekawatana (1970:172-173), where the principles identified by Lekawatana are also exemplified with English glosses.

- 10) if there is an Agentive, it will be in subject position:
 as in Suda (A) eat banana (O)
 if there is no Agentive, the Instrument becomes subject
 as in Fire (I) burn factory
 the experiencer becomes the subject if it co-occurs with objective
 as in Suda (E) see Dang

³⁴ The Thai script has been added by me.

the objective becomes subject if is by itself
 as in Window (O) open
 or with a material instrumental
 as in This dress (O) is dirty with paint (Im)
 the factitive becomes the subject if the agentive is unspecified at surface level
 as in house cl this (F) build when year that last (T)

The approach in (10) may prove useful as the Tai languages are studied in more detail, but a considerably deeper analysis than is possible in this thesis would be necessary.

4.2.4 Previous research on Shan

As discussed above in 1.2, the Tai languages of Assam are very closely related to Shan. The term Shan can be used to identify the subgroup of languages of which the Tai languages of Assam are part. Alternatively it is used to refer to the language of the majority of the population in Shan state, Myanmar. Young (1985:1-3) briefly discusses what is meant by the term Shan.

There is no modern linguistic grammar of Shan available in the English language, although the language was described in some detail by Cushing (1888) and Glick and Sao Tern Moeng (1991). The latter is a teaching grammar of the modern language of Shan state and the diaspora of Shan speakers who have fled the government of Myanmar.

The *Elementary Handbook of the Shan Language* by the Rev. J.N. Cushing (1888) contains a significant amount of data on the language. Cushing developed a system of marking the vowels and tones in his transcriptions, using numbers for the tones and the letters *c* 'close', *m* 'mediate' and *o* 'open' to identify vowel height, as in *cō lōm*, 4o 'the wind'. He also presents several texts in Shan language and an English-Shan vocabulary.

Young (1985) presents a number of texts in Tai Mau, a variety closely related to Shan and the Assamese varieties. She introduces her book by noting that it was "the first major collection of materials made in recent decades within an area on which, in

general, very little has been written" (1985:1). Young presents a brief sketch grammar including a description of the writing system of Tai Mau. The bulk of her book is the presentation of a number of texts, in analysis and translation. Several of these have parallels with the texts presented in this thesis. Her first text, *Revenge* has a similar material to that found in *Story of a new king* (1-1-3-4).

Glick and Sao Tern Moeng (1991) is a teaching grammar of Shan. They give very comprehensive rules for the use of particular grammatical structures, as exemplified in Table (2), which explains the various permutations of TAM marking.

(i)	unmodified verb	may indicate that the action or description is either in present or past time
(ii)	V + yaw.	action has already been performed, or that a condition has been achieved. This pattern does not indicate whether the goal, if any, of the action has been achieved.
(iii)	V + yaw. + yaw.	action has definitely been performed, or a condition has definitely been achieved. The second yaw. emphasizes completion of the action or achievement of the condition
(iv)	V + yuu.	means that an action is still happening, or a condition still exists (this can refer to the present or the past)
(v)	te + V	means that an action will be taking place, or that a condition will be reached. te is also used to indicate potentiality
(vi)	te + V + yaw.	says that an action is about to take place at any moment, or just about to take place at the time of the speech, or that a condition is just about to come into being.
(vii)	te + V + yuu.	says that an action will definitely take place, or that a condition will definitely be reached.
(viii)	te + V + yuu. + yaw.	says that something will have already taken place, or that if the situation does not change, then the action or the description indicated by the verb will be taking place
(ix)	am. + V	the negative particle may be used before any verb or adjective
(x)	am. + V + yaw.	means that the action will not take place, or a condition will not be reached. It is similar in meaning to the English "not ... anymore", or "not ... after all."
(xi)	am. + V + yuu.	DOES NOT EXIST IN SHAN LANGUAGE
(xii)	te + am. + V	means that an action will not be taking place, or that a condition will not be achieved. It is like am. + V but refers to the future.
(xiii)	te + am. + V + yaw.	says that something will not be happening, or that a condition is not going to be reached
(xiv)	te + am. + V + yuu.	Similar to the previous. This must be either a phrase in a sentence beginning with the shan equivalent of "if" or "when", or else must be a response to a preceding sentence. te + am. + V + yuu. may be used where this is used, but the reverse is not always true

Table 2: TAM marking after Glick and Sao Tern Moeng (1991:41f)

As can be seen from the above, Glick and Sao Tern Moeng do not use the Shan script in their grammar, although it is used in the comprehensive index and some of the teaching texts appear in script at the end of the book. For the bulk of the book, they use the phonemicisation shown above, where the symbols <>, <^>, <v> and <v> are used as tone marks just as they are in the Shan script.

As a teaching grammar, Glick and Sao Tern Moeng (1991) is not designed for use by linguists wishing to (conveniently) discover insight into the structure of the grammar. Fortunately every word used in the text appears in the index at the end which refers back to any mention of that word. A good deal of very valuable data is presented, in part from the author's own native speaker knowledge.

Finally, the *Shan-English Dictionary* (Sao Tern Moeng 1995) has been an invaluable aid in the preparation of this thesis. Every single word which does not appear in Banchob (1987) or in the Aiton word list elicited from Bidya Thoumoung (see below 10.1.1) has been checked in the *Shan-English Dictionary*.

4.3 Native Intuitions and Native Pedagogy

In addition to the work of previous scholars on the Tai languages (see above Chapter 3), and the work of scholars on related languages of the Tai family (see above 4.2), this thesis has also been informed by the knowledge and insight of the native speakers of Tai into their own language. Sometimes these insights are informed by Grierson (1904), the only reference widely available in Assam.

A very good example of the native speaker intuition as to the structure of the language was the late Aimya Khang Gohain's insistence that these languages were not verb final. In 8.4 below, the basic order of constituents (phrases) in the Tai languages is discussed, and the claims that they have become verb final, or specifically SOV, are explored.

It appears to be true that a much larger proportion of utterances in the Tai languages of Northeast India exhibit verb final structures than is true for other languages of the family, such as, for example, Standard Thai. Yet Aimya Khang Gohain, and other Tai speakers, insisted that for this thesis I should make the clear statement that SVO is the basic word order of the Tai languages, as it is of the Tai family in general.

How are we to explain this insistence, if not in terms of some kind of understanding of the Tai language? Many previous researchers have concluded that Tai has become or is becoming SOV, but the people themselves do not feel that, even when they utter an SOV sentence.

Native speaker understanding of the language goes beyond intuition into native pedagogy. There are no grammars of the language written; there is, as yet, no monolingual dictionary, but there are pedagogical traditions.

For example, in the translation of Burmese loan words, devices such as (11) can be used:

11) ကျော် နမ် တို့ နှင့် ကျော် ဗျက် နေ ပိုက်
 kyo¹ cam⁴ wā⁵ tī⁵ hon⁶ kyo¹ pon⁴ se⁶ pan⁵
 famous PRT PRT famous famous exceed COMP* other

ကတ် လူရွာ လဲ ကျက် ။
 nat¹ lu¹ wā¹ lāi⁶ kyun²
 god village** many CLF of place

'The word *kyo*' means famous, the fame of one exceeding all other gods and humans in every place.'

(Tai Phake Manuscript *Explaining the meaning of words to children*, No. (4), read by Ee Nyan Khet)

A monolingual dictionary is not necessary for most Tai people, but there is a need to have words such as *kyo*¹ explained, because it is a Burmese loan word and its meaning is not known to all people.

4.4 Prescription and description.

A very basic principle of modern linguistics is sometimes called the "descriptive approach". The fact that linguistics is about describing the system of language as it is (descriptivism), rather than making any statements about what ought to be (prescriptivism), is emphasised to linguistics students³⁵.

Pure description, without any regard to community's perception of what is prestigious or correct, may not produce a grammar which is a true representation of the language. What happens when a sentence which is non-standard or even non-grammatical is nevertheless uttered in one of the texts? If there is no standard against which to measure the sentence, how are we to know?

One might collect a sentence like 'I want to talk about a guy that I knocked on his door of.' This sentence would not be accepted as grammatical by most English speakers in Australia³⁶. It was spoken by a respected member of our community, an Australian Member of Parliament³⁷. If a similarly ungrammatical but interesting sentence was spoken by a person of similar status in the Tai community, it is almost certainly included in this thesis.

It may not only be for the benefit of the linguist, but for the benefit of the speakers of the language that a prescriptive grammar should be done. The role of the linguist in this process is unclear; because of the small number of speakers of such languages, and because of the socio-economic conditions in which most of them find themselves – speakers of small languages are rarely amongst the wealthy classes of a society – the linguistic description which researchers like myself write may well become a de-facto prescriptive grammar for that community.

If, in one or two generations, these languages begin to slide into rapid decline, then the community will inevitably look back to this thesis, in the way that they now look

³⁵ In my case, it was emphasised in the very first linguistics lecture I attended at Monash University, given by Dr. Peter Kipka.

³⁶ It is acceptable according to my computer, however, unlike the sentence that this footnote is attached to.

³⁷ The practise adopted in this thesis of identifying all the informants has not been followed in this case.

to Grierson (1904), for information about their language; they may even begin to trust the written words more than their own native speaker intuitions.

Therefore, it is essential that scholars always bear the native speaker community in mind when writing works on small languages. The English speaking world as a whole barely notices the discussions which linguists indulge in about small pro or the syntax of relative clauses in English. For a small language, however, everything written acquires great importance.

In this thesis, where any prescriptive norms have been pointed out, they are noted. A good example is the possessive construction explained in example (207) in section 8.5.2. Aithown Che Chakap indicated that one structure is preferred for inalienable possession and another for alienable possession. Both structures are probably available for both types of possession, but for him at least, there is a clear preference.

4.5 The importance of the Tai Script

A clear decision has been made in this thesis to use the Tai script for language examples, both in order to make them more accessible to the Tai community and to stress the importance of the native orthography.

It is worthwhile digressing for a moment into history. When Sir William Jones' collected works were published (1799), scholars commonly wrote and understood several classical languages. Let us consider a footnote to his *Grammar of the Persian Language*:

"and Apollolius compiled an 'Ἰωνία *بنفشه زار* a garden of violets, or a collection of proverbs and sentences." (1799, II:205)




It was not unusual to switch into Greek or even Arabic, and the reader was apparently expected to be able to read the scripts and perhaps understand the languages. Even to this day, scholarly works which slip into French or Latin, untranslated, are still to be found.

However in the 20th century a new tradition of talking about languages without the use of native orthography arose. One can see that this might have arisen when the multitude of scripts in which the languages of Southeast Asia are written became apparent, and the costs of printing in these scripts became manifest.

However there are several serious objections to this. Firstly an ethical one: native speakers of these languages will scarcely be able to read anything about their language if their script is not included, they will have real problems reading any phonemicisation, whether it be the relatively user friendly Banchob (1987) orthography (see 6.2) or the somewhat less accessible realisation of Khamti in Weidert (1977).

Since these are living languages, the heritage of human beings, it is not acceptable to deny to the native speaker community access to the information collected about them.

The second objection relates to the semantic content of the symbol. There are times when the script conveys a message that no transcription can convey. The Tai languages of Assam have a vocative particle, /əi²/ in Phake, which also has a ritualistic function in some texts.

This particle can be written as , but in texts it often appears in very flourished form, as  or  or some other even more ornate form. This communicates the ritualistic nature of the particle, which the phonetic transcription cannot show.

Another example is the subscripted consonants /r/, /y/ and /w/. Each of these consonants can be the second member of an initial cluster in Aiton, with a stop as the first member (see below 6.3.3.1). But when they are written, they appear in a different form, as in Table (3):










phoneme	initial consonant form	subscripted consonant form	example		
r				phraa ²	Buddha
w				phwaa ²	birth
y				myat ¹	happiness

Table 3: Comparison of initial and subscripted forms

The approximants in word initial position and in clusters are pronounced slightly differently. Indeed it is possible to argue that Tai initial clusters should be treated as single phonemes, analogous to the affricates in English. The subscripting of the consonant, in the way that vowels are subscripted, shows this. The phonetic transcription, on the other hand, cannot.

A third objection is that the orthography sometimes affects the language. Among the Tai scripts we see that there is no initial <d> or , yet /d/ and /b/ are phonemes in Aiton. However, they are in variation with /n/ and /m/, with which characters they are usually written. This variation may have arisen, at least in part, as a result of the writing system.

Becker (1993) has put the issue of the use of native orthography clearly before linguists: in a passage which he headed "Defamiliarizing Burmese", he wrote that:

"transliteration of this passage into roman writing is not a 'meaning preserving' act, for the writing system which one uses shapes the way one imagines one's language and thinks about it. ... writing systems (and other systems of representation) are among the deepest metaphors in a language ... and so for us to substitute one technology of writing for another is not a neutral act ... It means to reimagine language itself." (1993:63)

Glossing a language is, to Becker, "clearly a political process", and one in which he argues languages do not meet as equals. He goes on to add that putting Burmese into

Roman transcription obliterates the very deep metaphor of centre and periphery in writing, adding that

"Much traditional philology and modern linguistics depends upon this romanization as a first step (even before glossing) in analysis. The illusion is that nothing important is lost." (1993:64)

I find it greatly reassuring that there is knowledge of language which cannot be gained from the examination of a Roman, or IPA, transcription. Since we do not wish to lose any more information about the language than is absolutely necessary in the process of translation, the script must remain.

This is not to criticise those scholars of the past who were unable to use native orthographies in their work, because of the technical difficulties that then obtained. Those technical difficulties apply no more³⁸, and it is a contention of this work that native orthographies should be present in all linguistic work.

4.6 The presentation of text examples.

The linguistic method of text analysis followed in this thesis presents each example in four lines. The first is the script (see above 4.5). The second is a phonemic transcription, the third an interlinear morphemic gloss and the fourth a translation.

The phonemic transcriptions used in thesis are discussed at length in Chapter 6. They are, however, not ideal. Writing of his comparative study of several Southeast Asian languages, Enfield (2000:62) admitted that "Transcription systems used for these languages should not be regarded as final, but for purposes of this work they are satisfactory."

A transcription system regarded as final, in Enfield's terms, is not necessary if there is a practical orthography. In general a transcription is really only necessary for those

³⁸ This is not to say that there is not some extra effort involved. Considerable time was spent in this thesis on the production and refinement of the Tai fonts, (see 7.8). However fonts now exist for the practical orthographies of most of the languages of the world.

readers who do not know the practical orthography. However, it must be said that in the case of the Tai languages of India, the phonemic transcription is essential because of the problems of the orthography (such as underspecification of vowel contrasts, see 7.2, and lack of tonal marking, see 7.5).

The interlinear morphemic gloss presents further problems. Enfield raised some of the concerns; writing that there is

"a perennial difficulty when dealing with morphemes which do not have ready one-word translations into English. Over-general glossing can obfuscate important and relevant information for the reader. An example is the extensive use of 'TAM' as a direct gloss, neutralising in a single term the substantial distinctions between the semantic fields of tense, aspect and modality, as well as many conceivable distinctions within each of those." (2000:9)

In an attempt to overcome some of the difficulties raised by Enfield, in this thesis those morphemes which are grammaticalisations of content words will be notated using upper case letters, but with their original meanings, for example *kā*¹'GO', *mā*²'COME', both of which are TAM markers. When they are found as main verbs, they will be notated as 'go' and 'come' respectively.

Some important morphemes in this thesis will be glossed using neutralising terms like 'PRT', here used for 'particle'. This is not ideal; as Enfield points out, this class of words are "sentence-final illocutionary particles which are a semantically rich and yet poorly understood semantic field across these languages." (2000:9). In many cases the full semantics of these 'particles' is not yet understood and this is the reason for the glossing 'PRT'.

The final line of the examples is the translation. In many cases the need to maintain some of the syntax of the original leads to English which is not particularly idiomatic. Translating texts line by line is a different task from translating a whole; both have been attempted here, but the size of the task is such that in some cases the best possible result may not have been achieved.

Becker (1993) discusses in detail what he calls the the exuberancies (adding to what we hear and read) and deficiencies (taking away from what we hear and read) of translation, by referring to (12)

- 12) ဟတး
 hta:
 put

He describes this as follows:

"The point here is not to argue, for instance, *hta:* has a different range of meaning from English "put", although that is certainly true. It is rather that many words of the English translation have no counterpart in the Burmese text at all, words like: "be", "as", "we", "a", "is", "I" and past tense. I don't think it is possible to say that these words are somehow "understood" from the context in the Burmese text. They just aren't there." (1993:65)

4.7 Ethical considerations

As pointed out at the opening of Chapter 1, one of the main considerations in this thesis has been to offer some support to the community of Tai speakers in Assam. This community very much wants to conserve its language and linguistic heritage. Some important ethical issues have arisen in the process of undertaking this thesis.

This discussion does not propose to enter into the issues of consent, privacy and protection of anonymity that take up a good deal of discussion when dealing with the ethics of some fields of research, such as medical research. Rather, this discussion will concentrate on how this thesis relates to the Tai communities and their wishes for the survival of their language.

The presence of language researchers is not a neutral act. When we work in a community, we are not working in a vacuum. We are dealing with the very real languages of very real people, who are affected by the presence of the researcher, as

well as by many other pressures from outside. For many people in the Tai villages, my visit is an opportunity to practise English, and some ask for gifts or assistance to help them to better themselves in the society in which they live. I can hardly decline either request, yet in doing so, I am playing a part in changing their culture.

Mühlhäusler is of the opinion that

"the decline of Pacific and Australian languages, ... is due primarily to the loss of their ecological support system (language ownership, cultural practices, speaker's lifestyles, settlement patterns, speaker's physical and spiritual well-being) and their functional relationship with other languages (language chains, bi-, dual- and multilingualism, sign languages, pidgins etc.)." (1996:323)

Many of the items listed by Mühlhäusler as 'ecological support system' are challenged by the presence of foreign researchers. For one, the whole concept of language ownership is challenged if most of the recordings and the copyright of the most comprehensive grammar of a language are held by a person who lives in far-away Australia.

Mühlhäusler further points out (1996:144) that the languages of the Pacific under the impact of contact with Christianity and European languages, have moved towards a

"...Standard Average European typology, particularly in the semantic domains of time, being, causality, spatial organisation, nature and nurture, human relationships and emotions."

In my researches into the languages of the Tai of Assam, by the very questions that I ask, and the gifts that I bring, and certainly by the things that I write here, some effect on those small languages is certain. Mühlhäusler discussed the effects of missionary policies and quoted from Codrington and Palmer (1896:VIII), who said:

"It is probable that some corruption of a native language is inevitable in Mission work."

Can this not also be said for linguistics? One hopes that it is less true of linguistics, perhaps because university linguists often do not spend as many years in the field as missionaries do. Nevertheless, it is surely the case that our presence and our work will have effects on the community, and therefore on the language, that we may not intend. These effects are not necessarily good or bad, but they must be taken into account.

Rhydwen (1996) further reminds us of the human aspect of this type of work:

"My original research aims were subverted in response to my interactions with Aboriginal people who, unlike the abstraction 'objects of research' are real people with whom relationships develop. Nevertheless, I was still constrained by my obligations to funding bodies and the formal requirements of writing a thesis." (1996:ix)

This present work has also undergone a similar 'subversion', in that the needs and wishes of the Tai community to have their culture and literature recorded has become a more pressing aim than I initially believed it would be.

Rhydwen also discusses the problem of literature and its use in academic work (1996:172), and the copyright thereof. Having read aloud a paper which included a story, she was asked by her informant (an Aboriginal Australian): "Who owns stories, you know that thing you got, copyright intit? Who got copyright of my story?". After answering that she would not in fact receive any money for publishing the story, Rhydwen admits that it is not the point, because

"How can I explain, how could I say that her story is not of interest in itself, that it is my interpretation, my linguistic analysis, that is the point, the meaning of the text?"

The recording of traditional literature must be accompanied by a respect for that literature as literature; and a respect for the fact that it is important not just to the community but to the whole of human culture that these literatures be recorded for their own sake, not just for the linguistic information we can mine from them.

4.7.1 The approach in this thesis

Bearing in mind the issues raised by Mühlhäusler, Rhydwen and others, this thesis will take into consideration the effects which it might have on the languages and the informants.

I believe it is of the utmost importance that the sources of linguistic information be identified and named. There are communities and situations in which the identification of informants would be inappropriate or may create difficulty for those individuals. This does not apply among the Tai of Assam; there is no taboo on naming the dead and some of my elderly informants are enthusiastic about recording their language, in the knowledge that those recordings will survive them. Examples (13) to (15) are extracts from a conversation between Sa Cham Thaumoung, an Aiton in his eighties, his daughter Silawa, and myself:

Silawa

13.1)

ကံ	မံ	ကံ	မံ	မံ	မံ
khaam ²	mau ²	an ²	caai ²	sa ¹ hap ¹	hau ²
word	2Sg	CLF	male	Sahib	1Pl

တံ	တံ	ကံ	ပံ	တံ	ကံ
ta ¹	tep ¹	au ²	pai ²	tii ²	us ¹ tre ¹ lii ¹ yaa ¹
will	tape	take	go	to	Australia

'Our Sahib will tape your words and take them back to Australia.'

13.2)

ကံ	မံ	ဟံ	တံ	ကံ
ka ¹ lan ¹	mau ²	pa ²	taai ²	kaa ¹
after	2Sg	PRT	die	GO

ကံ	မံ	ကံ	တံ	ကံ	မံ	ကံ	မံ	တံ
khaam ²	mau ²	an ²	ta ¹	kui ¹	wai ³	kaa ¹	naui ²	tep ¹
word	2Sg	CLF	will	remain	KEEP	GO	in	tape

'After you die, your words will remain on the tape.'

SaCham

13.3)

ဟံ	ကံ	ကံ	ဟံ	ဟံ	...
ui ²	kau ²	ko ³	saa ¹ tho ²	naa ¹	can ¹ ...
yes	1Sg	LINK	grateful	PRT	then ...

'Yes, I am pleased!' ...

Audio Link for example (13) can be found at \wave files\aiton\extracts\2-9-2-6.

- 14)

ကောမ်	ကော	အ	ဆ	ကုတ်	ဝဲ	က
khaam ²	kau ²	an ²	saŋ ¹	kut ¹	wai ³	kaa ¹
word	1Sg	CLF	if	remain	KEEP	GO
- | | | | | | | | | | |
|------------------|-------------------|------------------|--------------------|-----------------|-----------------|------------------|------------------|-----------------|------------------|
| ကော | တဲ | က | ကော | အ | တ | ကုတ် | ဝဲ | ကော | နီ |
| kau ² | taai ² | kaa ¹ | khaam ² | an ² | ta ¹ | kut ¹ | wai ³ | an ² | dii ² |
| 1Sg | die | GO | word | CLF | will | remain | KEEP | CLF | good |
- 'If my words remain, though may I die, my words will remain. that will be very good.'

Audio Link for example (14) can be found at \wave files\aiton\extracts\2-9-2-6.

- 15.1)

ဟိ	ကော	အ	ဟိ	တဲ
u ²	khaam ²	an ²	u ²	taai ²
YES	word	CLF	NEG	die
- 'The language will not die.'
- 15.2)

ကုတ်	အ	တဲ	က	ကော	အ	တ	ကုတ်
kun ²	an ²	taai ²	ko ³	khiam ²	an ²	ta ¹	kut ¹
person	CLF	die	LINK	word	CLF	will	remain
- 'People will die but the language will remain.'

SM:

- 15.3)

နီ	န
dii ²	naa ¹
good	PRT-QN
- 'Is it good?'

Sa Cham

- 15.4)

ဟိ	နီ	လု	နီ	လု
u ²	dii ²	luŋ ¹	dii ²	luŋ ¹
YES	good	big	good	big
- 'Yes, very good.'

Audio Link for example (15) can be found at \wave files\aiton\extracts\2-9-2-6.

The full acknowledgement of the informants is both important and appropriate for the community, as well as for scholars. In this thesis, every sentence example will be sourced to the text from which it comes, and the author or teller of that text.

By acknowledging the informants in this way, we are recognising that their contribution to the body of knowledge presented in this thesis is on an equal footing with the many academic texts quoted or referred to here. We are treating the oral texts as we would a book, and quoting and acknowledging the informants in the same way as we would quote and acknowledge a professional linguist.

Furthermore, by presenting the texts in the electronic appendix (see 9.5 below), we are giving them the status of a published text. This is clearly an advantage from the point of view of scholarship, because it allows for the checking of any of the claims made in the thesis. In addition, it is a method by which the oral scholarship of the Tai community can be presented.

The Tai people themselves greatly value many of the texts that I have collected. When I recorded informants speaking a story, they not only knew that it would be used for the purpose of study (see examples (13)-(15) above), but they expected that I would make these texts available.

As I moved from village to village, people were often keen to hear some of the texts recorded in other villages. For example, a number Tai people, such as Sa Cham Thaumoung (Aiton), Sa Myat Chowlik (Khamyang) and Ee Nyan Khet (Phake) can tell Mahosatha stories (see below 9.2). Each of these was very interested in what the others were saying.

In previous work on the extinct languages of Victoria (Bowe and Morey 2000), it became clear how important the knowledge of where information came from can be to a community that has lost its language. In the future, the Tai community will be able to use this thesis to look back on some aspect of their language, and to hear again the stories that people like Sa Cham Thaumoung told them.

In addition to the proper acknowledgement of the informants, there is an ethical requirement to return to the community whatever knowledge has been gathered from them. This, of course, includes the making available of the text analyses to the informants, and making copies of tapes and videos and printed articles which are written as a result of this study. It also includes the making available of this thesis.

Making this thesis available is not just sending a copy to India, it is also making this text as friendly to Tai readers as it can be.

4.7.2 A note on bad language and swearing

I have not been able to record anything by way of swearing, oaths or bad language, save only the single example given in Chapter 8.3, example (135).

While, no doubt, the recording of bad language would greatly add to the linguistic diversity presented here, and while it would be interesting, it is not possible in this thesis to present any such data. All my Tai informants were united in wishing that no 'bad language' be included in the thesis. If a community does not want to have any bad language recorded, then the same ethical reasons which protect the names of the dead, or some types of sacred texts in other communities, apply.

5. Methodology - collection, analysis and translation of the texts:

5.1 Theoretical considerations

The two main methods of obtaining the linguistic data on which a thesis such as this can be based are elicitation and the preparation of a corpus of text.

The very nature of this type of research almost always involves the significant use of elicitation, particularly in the initial stage of studying the language (Payne 1997:370). In this initial stage, the researcher will usually not yet have sufficient competence in the language to be able to make use of large amounts of textual material, and this was certainly the case with the writer of this thesis.

However, as the language competence increases, the researcher is likely to be able to make more use of texts of different types, and those texts are more likely to be given by the informants.

The example of my work with the Khamyang informant, Chaw Sa Myat Chowluk, will illustrate this point. On my first visit very brief visit to Pawaimukh (13th January 1998), I was not yet able to converse in Tai. Using the late Aimya Khang Gohain and Mr. Bibek Borgohain as my interpreters, I elicited a tone box (see 6.1.5.3) from Sa Myat, and some simple sentences.

Chaw Sa Myat was then asked if he could tell a short story, perhaps a children's story. He replied that he did not really know any stories, although the text *Advice to Children* was collected. I left Pawaimukh that day with the impression that there was perhaps not very much information that could be collected there.

In the succeeding years, as my competence in Tai has reached the point where I can converse freely with Chaw Sa Myat, he has volunteered a large number of very long

texts, and has shown himself to be most knowledgeable in the traditions, literature and culture of the Tai³⁹.

Why then, did Sa Myat not volunteer a story in 1998? The answer may be that to him it would seem pointless to give such a text to a researcher who could not understand at least some part of it.

Thus it may be said that for this thesis, the texts have increased in importance viz a viz elicitation as the project has developed.

Payne (1997:366) opens his discussion on the issue of the relationship between elicited and text data with the following comments:

"Both text and elicited data are essential to good descriptive linguistics. Each have advantages and disadvantages. The linguistic researcher needs to be aware of these in order to make the best use of all the data available."

In discussing the relative position of elicitation in a descriptive linguistics project, Payne concluded (1997:368) that

"The controlled, systematic, and rule-dominated parts of language are best approached with an emphasis on elicited data. These would include:

- 1 phonology (excluding intonation);
- 2 morphophonemics;
- 3 inventory of derivational morphology (which derivational operations apply to which roots, etc.);
- 4 inflectional inventory (determining the range of inflectional possibilities for person and number "agreement" and case marking);
- 5 pronoun inventory (isolating the entire set of free pronouns);
- 6 lexical inventory (acquiring the words for a large number of culturally significant things and activities)."

³⁹ I have often referred to him as *Sa Myat Bor Pandit*, 'Sa Myat the great knowledgeable man'. I have not met any Tai person in Assam who knows more about a greater range of cultural practices and the texts, oral and written, that relate to them.

According to Payne, text data will be made use of more for analysing "the more pragmatic, semantic, and subtle parts of language" (1997:368f). These include:

- 1 intonation;
- 2 constituent order;
- 3 inflectional morphology (determining the precise functions, including tense/aspect/mode);
- 4 voice (alignment of grammatical relations and semantic roles of verbal arguments);
- 5 sentence-level particles (evidentials, validationals and pragmatic highlighting particles);
- 6 clause combining (including relativization, complementation, adverbial clauses, and clause chaining);
- 7 lexical semantics (determining the nuances associated with various lexical choices, including derivational morphology and pronouns)."

For each of the processes for which Payne recommends the use of elicited data, it has been found that reference to the texts considerably deepens the understanding of the languages concerned. Two examples will suffice to illustrate this point.

The Aiton phonology discussed in 6.3 below is largely based on a list of 1900 words elicited from Bidya Thoumoung in December 1999 and January 2000. However a deeper understanding of the extent to which Aiton phonology follows this was only gained by a very close study of the texts that have been recorded. For example, the realisation of the proto Southwestern Tai initial /y/ as /ɜ/ apparent in Bidya's list is confirmed in most spoken texts recorded in the Aiton villages, although not in read texts. The decision to notate this as /ɜ/ consequently relied as much on the textual confirmation of what was collected in elicitation, as on the elicitation itself.

Even items such as the pronoun inventory and lexical inventory could only be done up to a point with elicitation. The word *hā²* '1st dual' has survived in some Phake texts (see *Words of gladness - in honour of Stephen Morey* (1-7-1-11), No. (8)), even though it is bleached of its dual meaning. No amount of elicitation would have led to

this word being volunteered as a pronoun. As to the general lexicon, a large number of words are literary and can only be found in the texts in which they occur. No amount of elicitation will produce them. I believe that a high proportion of the words which will eventually be listed in Tai dictionaries will be words that would not be established through elicitation.

While Payne makes the important point that "text data should be distinguished from elicited data in whatever cataloguing system is employed" (1997:370), he does not go on to suggest that examples in the written grammar should be marked according to their sources. Since elicited and text data are by their nature different, it is maintained here that it is most important to indicate what kind of data is being discussed. For this reason, every Tai language example cited in this thesis will be sourced as either an elicitation, a sentence spontaneously uttered or an extract from a text.

In many grammars that have been written in the past it is impossible to know whether the sentence examples presented as an illustration of some aspect of the syntax were elicited or not. It is the firm belief of the present writer that knowing the source of a particular sentence will help the reader to understand more about the language

5.2 The collection of data

5.2.1 Elicitation undertaken as part of this project

The principal elicitations undertaken for this thesis were:

- i) An Assamese-Aiton word list, elicited from Bidya Thoumoung in December 1999 and January 2000.

This was done by taking each initial letter of the Tai alphabet, and writing down each of the monosyllables which were possible in Tai orthography for that initial letter. Bidya Thoumoung then wrote every Assamese meaning he could think of for each written syllable. These were then recorded and translated into English. This list forms the basis of the Aiton Lexicon discussed in Chapter 10.

- ii) Sentence elicitation.

In the early stages of this thesis, a number of sentences were elicited from both Phake and Aiton speakers, particularly from Chaw Sangea Phalung (Aiton) and Aithown Che Chakap and Aimya Khang Gohain (Phake).

- iii) Discussions of grammatical features.

A number of particular grammatical features were discussed with a number of informants, especially with Nabin Shyam Phalung (Aiton) and Yehom Buragohain (Phake). One example of this is the TAM particle *uu'/u'*, for which explanations and examples were given, see below 8.5.7.6.1.

The other major task which was necessary for the research on this thesis to proceed was the copying and typing into computer of the *Phake-English-Thai Dictionary* (Banchob 1987). I was very fortunate to obtain a copy of this dictionary in January 1998 in Namphakey village. A large proportion of the data contained in this dictionary was then entered into computer (see 10.3 below) and an English-Phake word finder produced from that data base. This allowed for much speedier learning of the language and analysis of the texts.

5.2.2 Text collection

The texts on which this thesis relies were collected in the period 1998 - 2002. An attempt has been made to collect a representative sample of the kind of texts that are regarded as important to the Tai communities, but inevitably the writer's own interests are reflected in the range of texts presented.

There are a number of reasons why some informants might not feel able to give a particular text at a particular time; some texts take considerable time to speak and that time may not be available. Some may not wish to take the time if they do not feel that the researcher is able to make use of the text.

Sometimes, on the other hand, people came to me wanting me to record a particular text for posterity. Often these were songs and as such not always the best texts for understanding the syntax of the language, since the songs almost invariably use either archaic syntax or syntax that allows variation not permitted in spontaneous speech.

Another important issue in field work is the need to balance the time taken in text collection with time spent analysing the texts collected. The latter is very time consuming and very much more demanding than the former.

However this research has been important as an archive of the Tai languages as well as an analysis of them. As many different speakers were recorded as possible. Priority was given to certain very knowledgeable elderly informants, such as Sa Cham Thaumoung in Duburoni or Sa Myat Chowlik in Pawaimukh. Whenever either of them had anything to say, it was recorded. Only a small proportion of the total recordings have been analysed for this thesis. The remaining texts still await transcription and analysis.

It may be that old people's language is over-represented in the data that forms the basis of this thesis. Certainly the language of the younger generation - those under 30 - is barely represented here. It will be the task of future research to overcome this shortcoming.

Another aspect was the need to try to achieve some kind of gender balance in data collection. Because the writer is male, and because males are much more likely to be able to read and write in Tai, there is a preponderance of data from male informants. This is particularly so for the Khamyang data. However it is not the case for the Phake, where my principal informant is a female, Ee Nyan Khet, who reads and writes Tai fluently.

Another issue with data collection is finding the right person to give a good example of a particular type of text. In March 2002, I was taken to Tipam Phake village to

meet Ai Than, who was said to be able to chant a particularly fine blessing, the *Prayer of Blessings*.

A number of practical issues also impinged on the data collection process. Minidisks and video cassettes are not available in Upper Assam, so that sometimes I was running short of space and could not record all the texts that were offered. Furthermore, electricity supply was sometimes unreliable or unavailable, and the recharging of the minidisk player or video camera was sometimes a problem.

5.3 Data Analysis

5.3.1 Prerequisites for analysis

Before the data analysis could proceed, a number of tasks need to be undertaken. The first of these was an understanding of the phonology of the language. In the case of Tai Phake, my analysis of the phonology agrees with Banchob (1987) with minor variations (see below 6.2.4.1). Her phonemic notation was thus accepted and employed in the thesis.

The situation of Aiton was somewhat more complex. Prior to this thesis, no comprehensive study of the phonology of Aiton had been undertaken. It took some time to establish the Aiton phonemic system as discussed in 6.3.

In the case of Khamyang, the problem of establishing a phonemic notation is increased by the tendency of most Khamyang speakers to mix Phake and Khamyang when speaking to me.

A second prerequisite for the analysis was the development of the fonts with which the Tai script and Phonemic transcription could be rendered (see 7.8 below). These went through several versions before the versions employed here were finalised.

5.3.2 Difficulties encountered in analysis.

The greatest difficulty encountered in the analysis was the amount of time required. There are around eight hours of text presented here, and it is estimated that the analyses took around four hours for every minute of text recorded, exclusive of the time spent on the prerequisites mentioned above or the time spent collecting the texts.

Every text has been checked with at least one native speaker, and some of the more difficult texts have been discussed with several speakers. The phonemic transcription and glosses were typed in, rather than generated automatically by a program such as Shoebox. This was both necessary and desirable. It was necessary because the considerable amount of homography in the Tai languages meant that every single grapheme had several possible meanings and the Shoebox system would not automatically gloss any text. Furthermore, using Shoebox would have created a number of difficulties with the various fonts in use.

It was desirable to type the glosses and transcriptions in because this both helped in the process of learning the language, and ensured that every sentence was comprehensively studied. Although this thesis employs computers, at no stage is any computer program making any linguistic decisions on my behalf.

A number of texts present particular difficulties. Example (1) is Yehom Buragohain's translation of a line from Nang Pe's *Blessing*:

- 1) နှင့် နံ နှံ နိ လူ မက် ထပ် တင် ယင်္ကျာ ။
 cau³ kham² hai³ nai³ lū¹ mā⁴ thāp⁴ tāŋ¹ saŋ¹ khā¹
 RESP gold GIVE get offer plenty place place Bhikkhus
 'My you get to offer many things to the venerable monks.'
 (Phake Blessing *Blessing*, No (24), intoned by Nang Pe)

Yehom Buragohain assumed that the first two words referred to the person who was being blessed, and could perhaps be translated 'oh golden one.'

However this text was rechecked with Ee Nyan Khet, who pointed out that the translation should be as in (2):

- 2) နှင့် နံ နှံ နိ လူ မက် ထပ် တင် ယင်္ကျာ ။
 cau⁴ kham⁵ hai³ nai³ lū¹ mā⁴ thāp⁴ tāŋ¹ saŋ¹ khā¹
 morning evening GIVE get offer plenty place* place Bhikkhus
 'Every morning and evening may you get to offer many things to the venerable monks.'

This text is an example of one which took a very great deal of time to translate, as was the case with all of the prayers and other liturgical texts.

Sometimes it was not possible to recheck everything, and there are places in several texts where the translation of a particular word remains unclear.

The writer's own lack of ability in Assamese and imperfect command of the Tai languages also made for difficulties in this regard. In the early field trips, I was reliant on multilingual speakers who knew English in order to translate the texts. Later it became possible to work with non-English speakers like Ee Nyan Khet and to work out the meaning of almost all the words, particularly with the aid of the Shan-English dictionary (Sao Tern Moeng 1995). Even so, some very difficult words may remain unclear.

Another difficulty in transcription was persuading the informants to notate items such as false starts and hesitations. On many occasions there would be such a false start but when listening back to it, the informant would say "Oh, he didn't say anything". For linguistic analysis, however, these false starts are very important. Wherever possible I have notated them when they occur in spoken texts.

I have been able to collect and analyse very little truly natural conversation between two or more Tai speakers. Usually when the tape is on the people speak as if speaking to me. To what extent, if any, the natural spoken form of the language really differs from the analysis in this thesis is not possible to say.

In order to write a truly useful description of these languages, it is essential for the writer to be able to speak the languages being studied fluently. In an Australian PhD, with its allotment of only three years, this is almost impossible. It is certain that some insights into the deeper structures of the language will have been missed. Clearly this thesis can only be regarded as a preliminary study; and the conclusions are only as good as the variety of text which I have been able to collect and analyse.

5.4 Data storage

The recordings collected for this thesis are a most valuable record of the Tai languages, a record which will survive the speakers and survive the writer of this thesis, just as Sa Cham Thoumoung observed in examples (13) to (15) of section 4.7.1. The recordings have been placed in the Department of Linguistics at Monash University. Copies have been made and placed in other safe locations, in case of accidental destruction of such a valuable resource.

A significant proportion of the video tapes collected for this thesis have already been copied onto VHS and sent to the Tai communities in India, as requested by them. It is hoped that in time all of the recordings will be copied and those copies placed in the communities in which the recordings were made. In many cases, printed copies of the texts and analyses were sent to the informant.

5.5 Grouping the texts

For the purpose of this thesis, a numbering system of texts was devised, which is presented in Table (1):

1st number	Language community	(1=Phake, 2=Aiton, 3=Khamyang, 4=Ahom, 5=Khamti, 6=Shan, 7=Turung)
2nd number	Type of text	(1=stories, 2=historical, 3=prayers, 4=Buddhist text, 5=ritual text, 6=other cultural texts, 7=songs, 8=explanations, 9=informal texts, 10=grammar, 11=speeches, 12=miscellaneous texts)
3rd number	Mode of recording	(1=video, 2=audio minidisc, 3=audio cassette, 4=manuscript only, 5=other researchers text)
4th number	Number of text	

Table (1) System of numbering texts

The first number gives the particular language community from which the text comes, the second the type of text, the third the mode of recording and the fourth the number of the text.

For example, Text 1-1-1-1 is a Phake text, a story, recorded by video and the first of that type. The document containing its translation is named 1-1-1-1.doc and the wave file is 1-1-1-1.mp3.

There are a number of issues which arise in this process. First of all, all of these communities are multilingual and the first number will therefore not necessarily refer to the language in which the text is recorded. In all of the Tai groups of Assam some mixing with Assamese is to be found, and Pali language is certainly used in religious texts.

With the Turung the situation is even less clear, because the Turung are often trilingual with some speaking Tai fluently as well as Turung (which is Tibeto-Burman) and Assamese. As an example of this, the text 7-1-2-1 (*The old couple without any food*) is a story told by the Turung informant Pong Chap in Turung language, but he also switches frequently into Tai, of which he is a competent second language speaker.

Text 7-1-2-2 is the same story told by Pong Chap in Tai. The initial number 7, therefore, refers only to the community from which the speaker comes, and does not necessarily refer to the language in which the text is spoken.

There has been a greater difficulty in the arbitrary definition of the 'type of text' which is the second number of this system. From my earliest days of working with these communities, the most frequently recorded texts were children's stories and songs.

The other category of early recordings was word lists, short sentences and information about the tones which I have categorised as 'grammatical'.

However it is now clear that there need to be more categories, and the following system has been devised:

Number	Type of text	including:
1	Stories	Children's stories Buddhist Jataka stories (particularly Mahosatha)
2	Historical	Historical manuscripts Oral retelling of histories Oral histories Informant's reminiscences and stories of their own life
3	Prayers	Prayers used in Buddhist ceremonies Prayers used in other ceremonies
4	Buddhist Texts	Buddhist manuscripts, including Mahosatha Oral retelling of Buddhist texts Explanations of Buddhist practice Explanations of Buddhist ceremonies
5	Ritual Texts	Manuscripts relating to non-Buddhist rituals Explanations of non-Buddhist rituals Dances and other texts performed during non-Buddhist rituals
6	Other cultural texts	Manuscripts containing information such as traditional medicines, proverbs, astrology, Explanations of traditional practises such as the process of building a new house, Children's texts such as lullabies, Riddles
7	Songs	Love songs Other songs
8	Explanations	This is the class of text where an informant talks about and demonstrates a particular aspect of cultural practise, such as explaining the ကျောင်းလိက် <i>kyōng² lik³</i> (or spirit house) in each Tai house
9	Informal Texts	Conversations Personal Letters
10	Grammar	Word lists Discussion about the tones Sample sentences Discussion of grammatical issues in other texts
11	Speeches	Speeches in public places
12	Miscellaneous	Other texts, such as people's names and their meanings,

Table 2: Categories of texts presented in this thesis

These categories are purely arbitrary and are not intended for in depth study of the literature of these communities.

For example, oral Mahosatha stories (Jataka stories based on the Jataka of wisdom) are listed as stories, whereas the Mahosatha manuscript itself is listed as a Buddhist text. The Mahosatha manuscript is the Tai translation of the Pali text, Mahāummaggajātaka (Fausböll 1896), and is an accepted part of the Buddhist canon., However the stories based on it are sometimes stylistically related to the children's stories, and are therefore listed as stories.

The literature of the Tai is discussed in more detail below in Chapter 9.

6. Phonology

6.1 Some theoretical considerations

6.1.1 Proto Southwestern Tai phonemes

6.1.1.1 Proto Southwestern Tai consonants

Proto Southwestern Tai phonemes have been reconstructed by both Jonsson (1991) and Brown (1985). Both of them reconstruct a large series of initials including both single consonants and consonant clusters. Brown (1985:246) reconstructs the series listed in Table (1), presented using his notation:

h	ph phl f	th	ch s	kh khl x	khw	hm hw	hn hr hl	hñ	hɲ
ʔ	p pr pl	t tr	c	k kr kl	kw	ʔm ʔml	ʔn	ʔñ	
	b br bl v	d dr	j z	g gr gl ɣ	gw	m ml w	n r l	ñ	ɲ

h refers to aspirate consonants
 ʔ refers to pre-glottalised consonants
 no mark refers to voiced consonants

Table 1: Proto Tai Initial Consonants, from Brown (1985)

Table (2) lists the initial consonants as reconstructed by Jonsson (1991:53), using her notation:

	Bilabial	Dental / Alveolar	Palatal	Velar	Glottal
Voiceless unaspirated stops	p	t	c	k	
Voiceless aspirated stops	ph	th		kh	
Voiced stops	b	d	j	g	
Preglottalised stops	ʔb	ʔd			ʔ
Nasals	m	n	ɲ	ŋ	
Voiceless Nasals	hm	hn	hɲ	hŋ	
Voiced fricative	v	z		ɣ	
Voiceless fricative	f	s		x	h
Semi vowel	w		j		
Preglottal semi-vowel			ʔj		
Voiceless Semi vowel	hw				
Rhotic Approximant		r			
Voiceless Rhotic Approximant		hr			
Lateral Approximant		l			
Voiceless Lateral Approximant		hl			

Table 2: Proto Southwestern Tai consonant phonemes, after Jonsson (1991)

Jonsson also adds the following clusters:

	Labial	Velar
-l	pl, ʔbl	kl
-l ~ -r	phr, ʔbr, mr~ml	khr~khl, gr~ɣr, xr
-w		kw, khw~xw, gw~ɣw

Table 3: Proto Southwestern Tai initial clusters, after Jonsson (1991)

Both of these reconstructions posit a phoneme inventory which is considerably larger than the present inventory of any the daughter languages from which the reconstruction has been done. Jonsson's inventory posits 37 proto initial consonants in Table (2) but none of the daughter languages has more than 22. None of the daughter languages, for example, includes any voiceless sonorants (here notated as *hm, *hn, *hŋ, *hl, *hr, *hw) in their consonant inventories.

In Jonsson's reconstruction, the glyph <j> is used, following the International Phonetic Alphabet, to refer to the palatal semi vowel. However, in this thesis the symbol <y> will be used instead, because it was the symbol employed for this sound by most researchers into these languages in the past, especially Panchob (1987). Furthermore, the use of <j> might imply to some readers, particularly the Tai speakers themselves, that the sound was actually [dʒ]. In Aiton, the reflex of the proto palatal semi vowel is usually realised as [dʒ] or [ʒ] (see below 6.3.1.1.6).

6.1.1.2 Consonant change in Southwestern Tai.

Previous work on the reconstruction of the Southwestern Tai languages, such as Gedney (1972), Li (1977), Brown (1985) and Jonsson (1991) posit that the voiced consonants as a distinct group have disappeared, as have the voiceless nasals. In the modern Tai languages the voiced and voiceless nasals have merged and are usually realised as voiced nasals.

The voiced stops, on the other hand, have either merged with the voiced aspirate stops (as in Standard Thai and Lao) or to voiceless aspirate stops (as in Shan and in the Tai languages of Assam). For this reason, Standard Thai and Lao are sometimes called 'ph' languages (for example Enfield 2000:50) and Shan and the Tai languages of India are called 'p' languages. This process is bound up with tonogenesis, which is discussed below in 6.1.5.3.

6.1.1.3 Proto Southwestern Tai vowels

The traditional reconstruction of proto Southwestern Tai vowels is that of Li who reconstructs nine vowels, as presented in Table (4)

i	ĩ	u
e	ə	o
ɛ		ɔ
	a	

Table 4: Proto Southwestern Tai vowel phonemes, after Li (1977:297)

Li posited that vocalic length was not phonemic in his Proto Tai and developed secondarily. Jonsson, on the other hand, claims that only seven primary vowels "are well-retained in all the daughter languages" (1991:104). These are presented in Table (5), using her notation:

i	ĩ	u
æ		ɔ
	a, aa	

Table 5: Proto Southwestern Tai vowel phonemes, after Jonsson (1991)

Jonsson explicitly states that "The mid vowels // e ə o // are not reconstructed. The evidence shows that they are a result of raising, lowering, shortening and reducing of other primary and complex vowels." (1991:104). It is worthwhile to note that this reconstruction is exactly the vowel inventory proposed in this thesis for Aiton (see 6.3.2) and for Ahom (see 6.5.2).

Jonsson also reconstructs three diphthongs, /ia/, /ia/ and /ua/, although she puts the question as to whether there were complex vowels in proto Southwestern Tai or whether they arose as a result of diffusion (1991:122).

Since the various authors discussed here use different notations for the same vowels, a comparison of these various different notational systems is presented in Table (6)

Li (1977)	Banchob (1987)	Jonsson (1991)	Diller (1992)	This thesis
i	i	i	i	i
ĩ	ũ	ĩ	u	u
u	u	u	u	u
e	e	e	e	e
ə	ə	ə	ɤ	ɤ
o	o	o	o	o
ɛ	ɛ	æ	ɛ	ɛ
ɔ	ɔ	ɔ	ɔ	ɔ
a	a	a	a	a

Table 6: Comparison of vowel notations - Li (1977), Banchob (1987), Jonsson (1991), Diller (1992) and this thesis.

6.1.2 Diphthongs in Tai languages

As mentioned above in 6.1.1.3, three diphthongs (/ia/, /ua/ and /ua/) are reconstructed for proto Tai. In addition to these three diphthongs, there are a number of combinations which are usually analysed as being vowel + semi vowel combinations, in particular [aj] and [aw], and in the Shan languages [au].

Edmondson and Solnit (1997a:15) discuss the issue of "syllable-final semivowels", pointing out that Gedney and others in what they term the American tradition

"treat them as consonants and write them with consonantal symbols such as [-y, -w, -u]. They point out that in Tai there are no possible syllable shapes aiC/auC/auC (where C stands for an arbitrary consonant). By assuming that -Vi/-Vu/-Vw are in fact phonologically -Vy/-Vw/-Vu, then no further apparatus is necessary; double consonant codas are simply forbidden as syllable types in Tai."

Edmondson and Solnit also point out that in many Tai languages, vowel length is only contrastive in syllables that have final consonants. This vowel length contrast also applies to syllables with final semivowels.

Li (1977) and other Chinese scholars, on the other hand, notate these "syllable-final semivowels" with the vowels, (-i, -u and -u). In this thesis, the approach of Li will be followed, rather than that of Gedney and the American tradition. There are several reasons why this is being done.

Firstly, phonetically, it is clear that in many cases the finals are vowels rather than semi vowels. Harris (1976:118), writing of Khamti, says of /y/ that "In syllable final position this symbol represents a close front unrounded vowel [i]". In the Tai languages of Assam, final /y/ and /w/ are often long after /a/, particularly if /a/ is short. This is clearly demonstrated in Table (7), which presents some Tai Aiton examples spoken by Bidya Thoumoung:

Assamese	Tai	gloss	length of /a/	length of /i/
কুকুৰা kukura	ꠘꠤ kai ¹	chicken	0.1"	0.3"
খজুৱা khodzuwa	ꠘꠤ kaai ²	irritated	0.32"	0.1"

Audio link to Pronunciation of these words (\wave files\aiton\sounds\kai.mp3)

Link to spectrogram analysis of kai¹ 'chicken' (\wave files\aiton\wave images\kai1.bmp)

Link to spectrogram analysis of kaai² 'irritated' (\wave files\aiton\wave images\kaai2.bmp)

Table 7: Length of syllable final vowels/semivowels in Aiton.

A second reason for the decision to use final vowel notation is that in Phake at least, the notation of these as <ay> (<aj>) and <aw> would create another difficulty. As discussed below in 6.2.2.2, synchronically Phake allows the diphthongisation of mid-open vowels in front of velars. This means that the vowel in ɔŋ² laiŋ² 'light' (from proto *leŋA4)⁴⁰ is the same as that in ɔ³ lai⁶ 'to flow' (from proto *laiA1). This can be heard in the words *phā⁴ laiŋ² ye⁴* 'the light', as spoken by Ee Nyan Khet in the *Story of Deception* 1-1-3-6 No. (33). The wave form for this utterance also shows the transition from the low to the high front vowel⁴¹.

If we notate the [ai] group as <ay>, then we have to admit the possibility for the final consonant to be a cluster, at least phonetically.

A third reason for writing these combinations as two vowels is that historically the Tai languages have written /ai/ as a single graph, which would suggest that the intuition of this is as a diphthong, rather than a vowel-semi vowel combination.

Finally, treating the finals in /ai/ and /au/ as semi vowels will necessitate treating the final in /au/ as a semi-vowel as well. This would involve the positing of a consonant phoneme which is restricted to a syllable final position, and which has exactly the same phonetic form as a vowel which has normal vowel distribution.

⁴⁰ The proto tone is symbolised by A4, which refers the tone box. See below 6.1.5.3.

⁴¹ The sound file for this example is in \wave files\phake\sounds\laing2.mp3. The wave form can be viewed at \wave files\phake\wave images\laing2.bmp.

6.1.3 Syllable structure in Tai languages

Noss (1964:5) categorises the syllable structure of Standard Thai as follows:

"Within the syllable, there are four types of components: initial, nucleus, final, and tone. Consonant phonemes result from the analysis of initial and final components, vowel phonemes from nuclear components, and tone phonemes from tonal components. These are all syllabic phonemes."

Table (8) presents an analysis of the Tai syllable, where what Noss calls initial is described as onset (O), and the other three components, here called nucleus (N), coda (C) and tone (T), are part of the rime (R). The Phake word ɔŋ² kin² "eat" will be exemplified

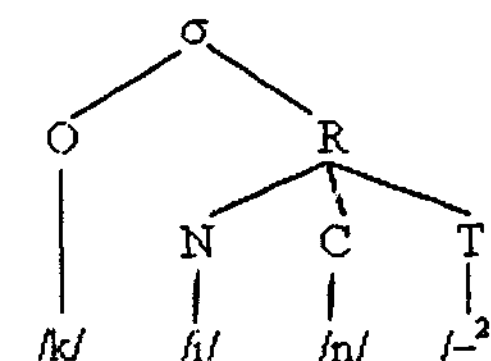


Table 8: Syllable structure in Tai, as exemplified by the Phake word ɔŋ² kin² "eat"

Within this structure, the onset and rime are obligatory – with vowel initial words being regarded as having an initial glottal stop as the onset – and within the rime, the coda is optional. It is generally accepted by Tai scholars that a nucleus can be a diphthong, but only from the following restricted set of diphthongs /ia/, /ua/ and /ua/.

As discussed above in 6.1.2 there are problems with this model for Tai Phake, at least synchronically. In a word like Phake ɔŋ² laiŋ² 'light' we either have to posit that /ai/ is a diphthong (the position adopted in this thesis) or that the word is /layŋ/ and two final consonants are allowed in the coda. It is beyond the scope of this thesis to explore in detail the theory of syllable structure in Tai.

6.1.4 The glottal stop

Most researchers into Tai languages mark a glottal stop in front of initial vowels. At least some slight consonantal onset is always necessary before vowels can be released in any language, although in many languages glottal initials are not written.

In some languages, glottal stop initial is contrastive with the lack of same, and minimal pairs, or near minimal pairs can be found. Table (9) illustrates such a near minimal pair for Maltese:

Written Maltese	Pronunciation	Gloss
arja	arja	"air"
qara	ʔara	"he read"

Table 9: Glottal contrast in modern Maltese

In Tai languages, the writing of glottal stop occurs for several reasons. Firstly, in Tai orthography – at least for the Indic based orthographies – vowels cannot stand alone (see Chapter 7 below), and so a dummy consonant (often called simply *a*) is written. This can then be marked in transcription using the glottal stop.

Secondly, the glottal stop is usually regarded as necessary to explain a tonal phenomenon in the Tai languages – the fact that vowel initial words can occur only with a restricted number of tones.

For example, in Phake, vowel initial words are mostly confined to tones 1, 2 and 3. (see 6.2.4 below). The lack of the rising tone for vowel initial words in Phake is explained by positing the glottal stop **ʔ* as an initial phoneme in the proto form of such words. Since the tone of a Tai word is dependent on what its initial syllable was at the time of the tonal splits (see 6.1.5, Table 10), it is argued that glottal stop is still a phoneme, causing words with glottal initial to be able to take only certain tones, the same tones as the reflexes of the words which began with proto **b*/ and **d*/.

Together these three proto phonemes make up the proto glottal series of initials in Gedney's (1972) tone chart (see 6.1.5.3).

A further argument for marking glottal stop is that it conforms to the theory of Tai syllable structure, namely that a syllable consists of an onset (the initial consonant or consonant cluster), a nucleus (the vowel), a tone and an optional coda (final consonant). The glottal stop is the onset in a word which has a vowel initial.

Finally, glottal stop is often present phonetically where vowel hiatus would otherwise occur, as in Phake မာရ် [má ʔúk] /má¹ úk¹/ "tomato".

One example in which the vowel hiatus is overcome by a glottal stop is presented in (1), from Example (53) in *Story - Chaw Mahosatha meets Nang Pingya*, spoken by Ee Nyan Khet. Here there is a glottal stop, between the [u²] of *kaun² mū²* 'pagoda' and the following word⁴². This would be expressed phonetically as [kaun² mū² ʔan²].

- 1) ... ကွေမူ ကာ နီ ဃ ...
 ... kaun² mū² an² nū² yan² ...
 ... pagoda CLF one have ...

All of the above reasons have lead many scholars to mark glottal stop in their transcriptions. However it is not universal. Some of the scholars that do not mark glottal stop include Nos. (1964), Weidert (1977) and Banchob (1987). Along with these scholars I will not be marking glottal stop in phonemic transcriptions.

In support of this decision, there are cases of vowel hiatus where not only was there no insertion of the glottal stop, but the two vowels are run together. The best example of this is (2), where the words *kaa²* 'crow' and *an²* 'general classifier' are spoken run together so that it is phonetically [kaan²].

- 2) မိုဝ်သံကာ ကျ ကာ ကူ လု ဝီ တုမ်
 mu² zaam² nan³ kaa² an² uu¹ luk³ tii² tun³ mai³
 at that time crow CLF live from at tree
 'At that time the crow was living in a tree.'

(Aiton Story, *Story of the Crow and the Fox*, No. (5), told by Ong Cham).

⁴² The audio link for example (1) is at \wave files\phake\sounds\hiatus1.mp3.

Had a glottal stop been present at the onset of *an*², we would have expected this merge would not result. Although the recording quality is poor, this phenomenon is still audible.

The argument about the need to express a glottal stop to explain the tonal distribution of words with vowel initials, can easily be rephrased as vowel initial words behave like those which had proto-glottal initials and are basically confined to tones permitted for A3, B3, C3 and D3 (see below 6.1.5.3).

In Aiton, at least synchronically, it is no longer necessary to posit the glottal stop even for this reason. Because of the reduction to three tones, all three tones are available in Aiton for all initial consonants, as well as for all initial vowels. It is extremely unlikely that any scholar describing Aiton without the benefit of the various theoretical models discussed above would ever think of marking glottal stop. No minimal pair can be found to distinguish a glottal initial from a vowel initial, and it can just as easily be said that *an*² "CLF" and *pan*² "to give" are distinguished by the absence of a segment (as *Ann* and *pan* are in English) than that they are distinguished by the presence of an initial glottal in one, and an initial bilabial in the other.

6.1.5 Tones

6.1.5.1 Definition of Tone

In their discussion about Cantonese, Bauer and Benedict categorised tone as follows:

"By systematically manipulating the pitch of the voice, speakers of a tone language have at their disposal a second phonetic dimension – in addition to the consonants and vowels – for contrasting words. By convention, such distinctive and indispensable pitch differences are called *tones* (or more precisely, *lexical tones*)." (1997:107)

The importance of tone to languages such as Tai (and Cantonese) cannot be overemphasised. Tone is a crucial and obligatory feature of every Tai word. As Bauer and Benedict explained it:

"...the High level tone of *tām* ... 'to carry on a shoulder pole' is an essential component to the complete form of the word as are the initial unaspirated alveolar stop consonant *t-*, the long nuclear vowel *-a-*, and the final bilabial nasal consonant *-m*. A speaker's arbitrary change of the High Level tone ... to some other tone ... would prove quite puzzling to his/her addressee...; in a comparable situation an English speaker might pronounce a word with a different vowel, eg. *bed* [be:d] as *bead* [bi:d]. However in the context of a sentence, eg. *What time are you going to [bi:d] tonight ...*, addressees might be able to figure out what words the speakers had intended to say." (1997:107)

Tones take many forms in the languages of the world. In the Tai languages most of the tones are contour tones. Contour is the relationship between pitch height and pitch movement in time. A high level tone has a high pitch height, and little or no pitch movement. A high falling tone may have high pitch height and then lowering movement over time. It must be noted, however, that none of the pitches will be absolute, because of the different levels of voices, the most obvious of which is the different pitch levels of the voices of men and women.

There are other types of tones in Tai, which are sometimes categorised as register tones. In Tai the presence or absence of glottalisation or creakiness is a register characteristic. In both Phake and Khamyang, glottalisation is a distinctive feature between tones. In Khamyang, for example, the 2nd and 4th tones are distinguished only by the glottalisation of the 4th tone and its absence in the 2nd tone. The contours of these two tones are identical (see below 6.4.3).

6.1.5.2 Notation of tone

Several different systems have been devised for the notation of tone. These can be categorised as follows:

- i) The use of pitch numbers, where 1 is low, 2 mid-low, 3 mid, 4 mid-high and 5 high. In such a system a high level tone on *pi* would be notated *pi*⁵⁵ or *pi*⁵⁵. This system is known as alphanumeric.
- ii) The use of symbols, in which a high tone on *pi* would be written as *pi*[]]. In this system, the vertical line is the axis and the horizontal line categorises the shape of the tone.
- iii) The use of IPA diacritics, where a high tone on the syllable *pi* might be written as *pi*[˥].
- iv) The use of arbitrary numbers to categorise the tone, but which refer to a particular type of tone. In Tai Phake, for example, the tone which is numbered (1) is categorised as being mid to high level with a possible final fall (see 6.2.4.4 below). By marking such a tone on the syllable *pi* as *pi*¹, no particular part of the tonal description is present in the notation, but all words with a similar tone are easily grouped together.

An arbitrary system is also used by the only Tai language to have developed an orthography based on the Roman alphabet, namely Zhuang, which uses syllable-final letters to mark the tones. For example the letter *z* marks the tone in *naz* /na³¹/ 'ricefield' (Edmondson and Solnit 1997a:16).

6.1.5.3 Tone in Tai Languages - Theoretical & historical background

Gedney (1972) recognised that the native vocabulary of the languages and dialects of the Tai family falls into 20 groups of words. At an earlier stage of the Tai language (described by Gedney as "at the time of tonal splits"), there were three tones on what Gedney calls 'smooth syllables', that is live or open syllables, and two more on what he calls 'checked syllables', that is dead or closed syllables⁴³. The three live tones have

⁴³ The terms 'live' and 'dead' syllable are used by some scholars in the Tai field. Since words with final stops (called 'dead' or 'checked') have different tonal outcomes from words with final vowels or nasals (called 'live' or 'smooth'), it is this distinction that is crucial in the study of Tai tones.

become known as A, B and C; whilst the dead syllables, that is final /-k/, /-t/, /-p/ are known as D-long and D-short, depending on the length of the vowel, as in Table (10):

		Proto-Tai Tones				
		A	B	C	D-short	D-long
Initials, at the time of tonal splits	1 Voiceless friction sounds, *s, hm, ph, etc.	1	5	9	13	17
	2 Voiceless unaspirated, *p, etc.	2	6	10	14	18
	3 Glottal, *ʔ, ʔb, etc.	3	7	11	15	19
	4 Voiced, *b, m, l, z, etc.	4	8	12	16	20
					Smooth Syllables	Checked Syllables

Table 10: The Tai Tone Boxes (after Gedney 1972)

In this system, the tone of a word depends on one of five proto tones (A, B, C, D-short and D-long) in combination with one of four types of proto initials, namely voiceless aspirated, voiceless unaspirated, glottal and voiced. Following the orthography developed for Standard Thai, the voiceless aspirated is sometimes known as the "high series", the voiceless unaspirated and glottal the "mid series" and the voiced the "low series".

In many Tai varieties, particularly those spoken in China, only a bipartite division between the originally voiceless (the first 3 lines in Gedney) and originally voiced (the 4th line) is necessary. In some publications on Tai, therefore, the term A2 will refer to words which are reflexes of originally voiced initials with the A tone. In this thesis, following Gedney, these will be referred to as A4.

The original A, B and C tones may have been tones with registral qualities such as breathiness or creakiness, rather than of pitch and contour as they are today. Since words with initial voiced stops tend to have lower pitch than those with initial voiceless stops, it is theorised that this process, combined with a change of the originally voiced series (numbered 4 in Table 10) into voiceless stops, lead to the tonogenesis of the contour and pitch tones which are found in Tai languages today.

A change in the initial consonant from voiced to voiceless would mean that some words in line 4 would become homophonous with those in line 1. For example, the word which was **bii* (A4) 'fat' would become **phii* (A4) and thus identical to **phii* (A1) 'ghost'. A tone split would then become necessary to maintain the contrast between these words.

Gedney gives example words for each of his 20 boxes. These words, with some additions, can be used to discover and test the tonal system of any Tai language or dialect. Generally Tai dialects have between 4 and 7 separate "tonemes".

I have found that Gedney's tone chart works extremely well with Phake informants, but less so with both Aiton and Khamyang informants. In part this appears to be because both Aiton and Khamyang are languages undergoing or coming to the end of a process of change - Khamyang because it is a language in its last phase and Aiton because it may have undergone toneme merge in recent times.

For Aiton and Khamyang, therefore, it was necessary to find minimal pairs in order to be able to work out the tonal system. The syllable *maa* was examined first, because in most varieties it is possible to find most of the tones with this syllable. The possible tones on other syllables such as *kaa* and *taa* were then explored.

When a list of words had been collected and the contours of the contrasting tones made clear, a Gedney style tone chart could be produced for each variety.

6.1.5.4 Changed Tone

Bauer and Benedict (1997:175), describe a process in Cantonese, known as *pinjam* 'changed tone'. There are a number of different processes subsumed under this title, but in one of them "in certain lexical contexts the tone of a syllable assimilates to the high endpoint of the following syllable to become High Rising and then the second syllable is lost."

One example of the process is the loss of the Cantonese perfective bound suffix *-tsɔː*, which can be lost as in 3b)

- 3a) *khéy tso'w-tsoː laː*
 3b) *khéy tso'w* laː*
 'He has done it'

The word *tso'w* 'done' has a mid low level tone in citation⁴⁴, whereas *tsɔː* has high rising tone. In example (3b), the *pinjam* (changed tone) is marked with an asterisk. When example (3b) was subject to analysis, Bauer and Benedict concluded that the dip and peak values of the high rising *pinjam* contour on *tso'w* 'done' were very similar to those for the regular High Rising tone contour on *-tsɔː* in sentence 3a). In effect, the tone of the perfective suffix *tsɔː* has been transferred onto the verb, and *tsɔː* is then dropped.

As will be discussed below in 6.2.4.5, some analogous processes have been observed in Phake. As far as I know, no other Tai languages of the Southwestern group within the Tai family exhibit such characteristics.

6.2 Phake

The following discussion of the phonology of the Phake language is largely based on the *Phake-English-Thai Dictionary* (Banchob 1987), together with some observations from the author's own experience. Banchob's notations have been retained for all consonants and tones, both in the *Tai Phake Dictionary* (see below 10.2), and in all transcriptions and in all analyses. Some minor alterations to her transcriptions of vowels are discussed below in 6.2.2.

6.2.1 Consonants

Table (11) lists the consonants observed by Banchob (1987:12). The symbols used for the consonants are those chosen by Banchob. This decision was made because of the

⁴⁴ This is marked in Bauer and Benedict with a vertical stroke above the vowel. Here, owing to the lack of a standard font which can do this, it has been marked with an apostrophe following the vowel.

availability of the *Phake-English-Thai Dictionary* in India, and the feeling that it would only add to confusion to alter them.

	Bilabial	Dental / Alveolar	Palatal	Velar	Glottal
Voiceless unaspirated stops	p	t	c	k	(ʔ)
Voiceless aspirated stops	ph	th		kh	
Nasals	m	n	ɲ	ŋ	
Voiceless fricative		s			h
Semi vowel	w		y		
Rhotic Approximant	r				
Lateral Approximant	l				

Table 11: Consonant Phonemes in Phake

Since the status of the consonant phonemes in Phake is uncontroversial, and since they have been discussed by Banchob (1987), no fuller description of the consonants will be presented here. Readers who wish to see minimal pairs may wish to consult the *Tai Phake Dictionary*⁴⁵ where all the words recorded by Banchob can be found.

There is one allophonic process of Phake consonants which needs to be pointed out. Certain very common function words with initial /k/ show initial lenition when they are unstressed. This is particularly common with reciprocal marker *kan*² and with *kā*¹ 'go', when it is not a main verb (see below 8.5.7.5.1). This is demonstrated in example (4):

- 4)

ne ⁴	cau ³	ma ¹ ho ¹	ne ⁴	hū ⁴	kā ¹	hū ⁴	thom ¹	kā ¹
DEF	RESP	Mahosatha	PRT	know	GO	know	listen	GO

EXCL	father	3Sg	DEF	go	plough	field
------	--------	-----	-----	----	--------	-------

'Chaw Mahosatha knew that her father had gone to plough the fields.'

(Phake Story, *Chaw Mahosatha meets Nang Pingya*, No. (33), told by Ee Nyan Khet)

⁴⁵ See \lexicon\phake\phakdic.doc in the electronic version of this thesis.

As a full verb in the second line of (4), *kā*¹ is realised as [kā¹]. In the first line, however, as a function morpheme it is first realised as [yā¹] after the vowel /u/ and then with nasalisation after /m/. These processes appear only to apply to common function morphemes with initial /k/.

6.2.2 Vowels

Based on Banchob (1987) and observations by the writer, it is believed that there are 10 contrastive vowel phonemes in Phake, as in Table (12): (The symbols are following Banchob).

i	ü	u
e	ə	o
ɛ		ɔ
	a, aa	

Table 12: Vowel phonemes in Phake

Table (13) lists minimal pairs distinguishing respectively the two back unrounded vowels, the three front vowels and the three back vowels.

Assamese	Gloss	Tai Phake
হাত hat	'hand'	mü ²
ঘোঁরা dzowa	'return home'	mə ²
কিমান kiman	'how many'	kī ¹
অলপ olop	'a little'	ke ¹
বুঢ়া bura	'old'	kɛ ¹
সাপ hap	'snake'	ŋü ²
গৰু goru	'cow'	ŋo ²
-	'crooked'	ŋɔ ²

Audio Link to the Pronunciation of Phake Vowels (\wave files\phake\sounds\vowel2.mp3)

Table 13: Comparison of the Phake vowels.

6.2.2.1 Vowel length in Phake

Banchob (1987:12) actually lists 17 vowels, as in Table (14):

i,ī	ü,ū	u,ū
e,ē	a,ā	o
ε,ē		ɔ,ɔ̄
	a,ā	

Table 14: Vowels listed by Banchob (1987)

Banchob does not give any examples of contrasts between short and long vowels in her phonological discussion. In the bulk of the text it is clear that there are many examples of length contrasts for the vowels /a/~/aa/, for example *kaŋ³* "to screen" and *kāŋ³* "fishbone".

In general, for vowels other than /a/, Banchob notates the vowels of open syllables as long and those in closed syllables as short. In part this follows the practice of the Phake script, where, for example, /u/ in an open syllable is marked with a symbol which is historically a long vowel <—> and in a closed syllable with a symbol which is historically a short vowel <—>. There is clearly a length difference between the open and closed syllables, and for that reason, I have followed Banchob in marking at least syllables which end in final /i/ and final /u/ with long vowels. This is not intended to suggest that there is a phonemic distinction.

Banchob (1987) generally only marks vowels in open syllables as being long (except for /a/ where length distinction clearly still applies). There are some exceptions, however. The vowel /e/ is sometimes marked by Banchob as long in closed syllables⁴⁶, as in (5.1), and sometimes not, as in (5.2)

- 5.1) ལྷོང cēŋ¹ a corner, an angle
 ལྷོང cēŋ² the first month of the lunar year
 ལྷོང cēŋ⁵ to learn how to stand, as the infants
 ལྷོང cēŋ⁵ to take morning ... with, esp. for animals

⁴⁶ Note that 'closed' syllable here is used to mean that the syllable ends in a final consonant. This is not the same as 'dead' or 'checked' syllable as used above in 6.1.2 to refer to syllables with final stops. The term 'closed' syllable includes both final stops and final vowels.

- 5.2) ལྷོང keŋ² a kind of grass, softer than the others
 ལྷོང keŋ² an iron tripod to support cooking pots or pans over a fire
 ལྷོང keŋ² a period, time
 ལྷོང keŋ³ decent or nice to look at (mostly the fruits)

The investigation for the present thesis has also revealed that at least in closed syllables, the mid vowels tend to be somewhat longer than the high vowels, as demonstrated for the back vowels in Table (15):

English	Tai Phake	Approximate length of vowel
dig	ལྷོང khut ¹	0.13"
curvy	ལྷོང khot ¹	0.2"
to tie	ལྷོང khot ¹	0.2"

Audio Link to the pronunciation of these words (\\wave files\\phake\\sounds\\khut.mp3).

Table 15: Vowels listed by Banchob (1987)

There are however no minimal pairs to indicate that this length is ever contrastive. I have therefore adopted the practise of marking all non /a/ vowels as being short in closed syllables, even when Banchob marks them as long in her Dictionary.

6.2.2.2 Diphthongisation

Banchob reports a regular process of diphthongisation of the mid-low vowels before velar consonants.

- 6) /ε/ → [ai] / __ velar
 /ɔ/ → [au] / __ velar

This process has already been discussed and exemplified above in 6.1.2. It is frequently observed in Phake villages today, but is not observed in other Tai varieties in which neither the diphthong /ai/ nor /au/ can be followed by a final consonant.

It does appear that a mid-low simple vowel allophone is still present in the speech of some Phake informants. In example (7), the word /laɪŋ⁶/ is clearly pronounced [lɛŋ⁶], as can be seen from the spectrogram analysis of the word *laɪŋ⁶* 'vehicle' (see \wave files\phake\wave images\laing6.bmp).

- 7) 𑜋𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜋𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫
 khɪ¹ lɔ³ khɪ¹ laɪŋ⁶ ma¹ caɪi⁶
 ride cart ride vehicleNEG be

𑜇𑜨𑜃𑜫𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫
 luŋ⁴ phā¹ tɛŋ² hɔ² ye⁴ kɔ¹ kɔ⁴
 because of this way boat PRT go trade
 'They did not ride their vehicles, and because of this they went to trade by boat.'

(Phake Story, *The Dolphin, the Crow and the Mosquito* 1-1-1-4, No (21)), told by Aithown Che Chakap)

It is therefore possible that it would be better to notate the diphthongised /ai/ and /au/ in words such as *laɪŋ⁶* 'vehicle' as simply mid-open vowels. However the diphthongisation is very prevalent in the Phake speech and the advantages of maintaining Banchob's notation are felt to outweigh the disadvantages.

Other diphthongisations may be occurring among Phake speakers. Diller (1992:17) notes that "the vowel -ɔ- in other non-final environments shows the same [-uɔ-] or [-wɔ-] variant noted by Harris for Khamti (1976:120); there is most frequently a contiguous labial *p* or *m*: *kɔp⁶* [kwɔp] 'scoop'; *pɔt¹* [pwɔt] 'to scrub'..."

In February 2002, Yehom Buragohain was recorded speaking the second of Diller's examples, and her pronunciation of it can be heard at \wave files\phake\sounds\pot.mp3. The word (*pɔt¹* in Banchob's system), seems to clearly show diphthongisation, with a shift to the vowel shape at the end of the vowel, not at the beginning, as can be seen in the spectrogram at \wave files\phake\wave images\pot4.bmp. This word might better be phonetically expressed as [pɔɪ].

6.2.2.3 Vowel reduction

Although Banchob (1987) and some other writers on the Tai languages write the symbol <ə>, this sound is not a schwa. Rather it is a back unrounded mid-close vowel, which is marked as <ɤ> in this thesis, except in Phake texts which follow Banchob's orthography. The fact that it is not a schwa can be clearly heard in Yehom Buragohain's pronunciation of the word *mɛŋ²* 'country' (𑜇𑜨𑜃𑜫 dɛh in Assamese) (for the sound file, go to \wave files\phake\sounds\meung.mp3).

In Phake, there are several common words which were originally spoken on other vowels but which are now pronounced with vowels very similar to the back unrounded vowels. These include 𑜇𑜨𑜃𑜫 '3Sg', originally pronounced *man²*, but written by Banchob as *mün²*. In modern Phake orthography, the word is often written 𑜇𑜨𑜃𑜫. This is probably a vowel reduction process, which has been reinterpreted as a change to the vowel /ü/ or /u/. The reason why Banchob chose to notate it as <ə> rather than <ɤ> is probably that in closed syllables /ə/ is usually realised as a long vowel, as in the example of *mɛŋ²* in the previous paragraph.

Several other very common words have undergone vowel reduction in Phake speech. These are listed in Table (16).

Spelling in Manuscripts	Modern Phake Spelling	Putative original pronunciation	in Banchob (1987)	usual pronunciation	gloss
𑜇𑜨𑜃𑜫	𑜇𑜨𑜃𑜫	kɔ ⁴	kɔ ⁴ , kə ⁴	[kə ⁴]	'LINK'
𑜇𑜨𑜃𑜫	𑜇𑜨𑜃𑜫	sɛŋ ⁶	süŋ ⁶	[səŋ ⁶]	'if'
𑜇𑜨𑜃𑜫	𑜇𑜨𑜃𑜫	naŋ ¹	nüŋ ¹	[nəŋ ¹]	'like'
𑜇𑜨𑜃𑜫	𑜇𑜨𑜃𑜫	haŋ ²	haŋ ²	[həŋ ²]	'to'

Table 16: Vowel reduction in Phake

In connected speech, these words are usually unstressed. The main difference between the vowels of *kə⁴* and *süŋ⁶*, as they are realised in examples (8) and (9), is one of

length, rather than one of height, with the vowel of the open syllable being realised as a longer vowel.

- 8) နာင် ပိဗျာ် လိဗ် မာ် တာ် ဇေ။
 nān² piŋ¹ nā¹ ka⁴ ma¹ tān³ se⁶
 lady Pingya LINK NEG speak PRT
 'Miss Pingya did not speak.'

(Phake Story, *Chaw Mahosatha meets Nang Pingya*, 1-1-1-3, No. (12), told by Ee Nyan Khet)

- 9) မာ် ရွာ် ယာ် လူ လုာ် ယာ် လွံ မွာ် ပွာ်
 mūn² wā⁵ sūŋ⁶ phā⁴ phon⁶ ye⁴ kau² mau¹ pauk⁴
 3Sg say if weather rain PRT 1Sg NEG return

တၢ် ယာ် နာ်။
 ta¹ son⁴ nā²
 will take shelter field

'She said, "If it rains, I won't return, I'll take shelter in the fields."'

(Phake Story, *Chaw Mahosatha meets Nang Pingya*, 1-1-1-3, No. (47), told by Ee Nyan Khet)

6.2.3 Phonotactics

6.2.3.1 Initial consonant clusters

Banchob (1987) does not list possible initial consonant clusters in her brief introduction, but the forms listed in Table (17) are all found in her dictionary:

	ky	kl		kw
	khy	khl		
		tl		
	py	pl		
	phy		phr	phw
	my			

Table 17: Initial consonant clusters found in Banchob (1987)

Kingcom (1992:36) lists the possible clusters in Phake in Table (18)

	ky	kl
		khl
		tl
	py	pl
	phy	phl
	my	

Table 18: Initial consonant clusters in Phake (after Kingcom 1992)

Kingcom specifically excludes the possibility of clusters with /w/ as the second member, whereas Banchob records several such words.

In both cases, virtually every example is a borrowed word from Burmese or Pali.

Consider the words which Banchob recorded as having the initial /ky/ cluster in Phake are listed in (10):

- 10) ကျံ ၂၂ kyām¹ to attempt
 ကျံ ၂၂ kyām² rough, fierce
 ကျိုက် ၂၂ kyok¹ the sound of a big cock crowing
 ကျိုက်ပိတ် ၂၂ kyok⁴ pen³ a grindstone
 ကျိုက် ၂၂ kyau² a Buddhist monastery

Three of these words are also found in the Shan dictionary (Sao Tern Moeng 1995), where each of them is marked as Burmese loan. Of the other two, ကျိုက် *kyok¹* "the sound of a big cock crowing" is an onomatopoeic word, and ကျိုက်ပိတ် *kyok⁴ pen³* "a grindstone" is probably related to Shan ကျိုက်ပိတ်, *kyok; taan*, "slate pencil", which is also a Burmese loan.

In Phake, those words which are reflexes of words that had initial consonant clusters are rarely realised with such initial clusters, unlike in Aiton (see 6.3.3.1). One which occasionally appears is ကျံ *kwā¹*, "go", the spelling of which reflects the proto Tai initial */kw/ and which is occasionally pronounced as if there was an initial cluster. This suggests that /w/ final clusters may have been the last to be lost in Phake.

Apart from this exception, the clusters which are permitted today occur only in loan words, or onomatopoeic words, or terms such as names of birds or fish. This is quite unlike the situation in Aiton, where some proto-Tai clusters are preserved.

6.2.3.2 Syllable structure

Kingcom (1992:26f) lists and exemplifies all of the possible arrangements of consonants and vowels in syllables in both Tai Phake and Standard Tai. So, for example, he comes up with the following four possible structures for a monosyllable in Tai Phake:

- 11) CV
CVC
CCV
CCVC

Whilst (11) is essentially a restatement of the possible syllable structure discussed in 6.1.3, Kingcom goes on to state that only certain combinations of these are found in disyllabic words. The permitted structures for disyllabic words, according to Kingcom, are presented in (12):

- 12) CV.CV
CVC.CV
CV.CVC
CVC.CVC
CVC.CCV

If all four patterns found in (11) were available in all possible combinations, there would be 12 possible disyllables. One example, recorded by Banchob (1987), which does not accord with Kingcom's findings is *kyok⁴ pen³* 'a grindstone', which has the structure CCVC.CVC. Further investigation will be necessary to establish whether all the possible combinations of syllables listed in (11) are permissible in disyllabic words.

6.2.4 Tone

As discussed above in 6.1.5.3, the tonal system of Tai languages can be derived from a relatively modest number of words, by using the principles laid down by Gedney (1972). The following section will present previous examinations of the Phake tonal system and compare those observations with the present investigation.

6.2.4.1 Tone in Phake as notated by Banchob (1987)

Banchob, like the writer, did most of her research on Phake in the village of *မာနုလောဝ်* *mān³ phā⁴ kē⁵ taü³* (Lower Phake village) or Namphakey, near Naharkatia, Dibrugarh District.

Based on Banchob (1987), the following tone chart (Table 19) can be derived:

	A	B	C	DL	DS
1	6	1	3	1	
2	2				
3					
4		5	4	4	

1	even tone with a slight rising at the end	mā ¹	"shoulder"
2	high tone	mā ²	"to come"
3	grave tone, with a glottal stop	mā ³	"mad"
4	falling tone	mit ⁴	"seeds, knife"
5	grave tone	mā ⁵	"not"
6	high rising tone	mā ⁶	"dog"

Table 19: Tones in Phake (after Banchob 1987)

6.2.4.2 Tone in Phake reported by Diller (1992)

Banchob's system differs slightly from that reported by Diller (1992:18). Diller's informant, Yehom Buragohain, was from the same village that Banchob worked in, but had lived away from the village for many years away. The following tone box (Table 20) retains Banchob's numbering for ease of comparison, but gives Diller's

descriptions of the tones. The distribution of the tones is the same, but the realisation of them is slightly different:

	A	B	C	DL	DS
1	6	1	3	1	
2	2				
3					
4		5	4	4	

1	mid rising
2	high falling
3	mid falling glottalised
4	mid falling
5	low
6	low rising tone

Table 20: Tones in Phake (after Diller 1992)

6.2.4.3 Tone in Phake as reported by Wilaiwan (1983)

A quite different tonal system is reported by Wilaiwan (1983). There are around one hundred Phake words exemplified in her article and all but two or three are marked for tone. By examining all of these, it has been possible to derive the following tone chart for her data:

	A	B	C	DL	DS
1	1	4 (?)	3	4	
2	2				
3					
4	5	6	5 or 3?	6	

	Description of tones (Wilaiwan 1983:231)
1	low level then rising
2	mid level
3	mid falling glottalised
4	high
5	high level then falling
6	mid

Table 21: Phake tone box, derived from data in Wilaiwan 1983

Unfortunately, the data from Wilaiwan is not comprehensive enough for us to be fully confident that Table (21) is correct. There are enough tokens of words with the A tone to be clear that for this variety A1 is the low level rising tone (Tone 1 in Wilaiwan's system), A2&3 the mid level tone (Tone 2), and A4 the high tone (Tone 5). There are also a reasonable number of tokens for B4, suggesting that this is the mid tone (Tone 6).

There are fewer tokens of the C tones, but all of those for C1-3 are the mid falling glottalised tone (Tone 3). There are quite a few tokens for C4, but some are marked tone 3 and some tone 5 - perhaps suggesting that this is a high falling glottalised tone, such as is found in Khamyang for C4 (see below 6.4.3). It is equally possible that C4 is merged with either C1-3 or with A4.

Finally, there are very few tokens for B1-3. Some of them are marked tone 4 by Wilaiwan, as *juu*⁴ "to offer" and *ʔuu*⁴ "to be at", and it was this that lead me to suggest tone 4 for that box. However a number of words which are B1-3 are not marked for tone 4, as *yai*⁶ "big" and *maa*⁵ "shoulder". I do not know of any Tai language in which the tone of 'shoulder' and 'to be at' differ from each other, nor have I met any Tai Phake speakers who use a variety in which the tones are distributed as in Table (21).

Certainly the tonal system of Table (21) is quite different from that of the Phakes in Namphakey village whom I have interviewed. It does however some show similarity to Khamti as described by Harris (see above 3.2.13) and to Khamyang as described below (see 6.4.3).

6.2.4.4 Present investigation

The present investigation found that the distribution of tones was the same as described by Banchob and Diller, with some slight alterations regarding the exact quality of each tone. As with both Banchob and Diller, the principal informants for this thesis are from Namphakey village.

Namphakey is much the largest Phake village, and it may be that tonal systems are less stable in other villages which are smaller and closer to the larger Khamti population of Arunachal Pradesh. This may explain the tone chart reported by Wilaiwan in Table (21). Further investigation of this is needed.

Based on data collected in 1996 - 2000, the following tone chart (Table 22) is presented for Phake:

	A	B	C	DL	DS
1	6	1	3	1	
2	2				
3					
4		5	4		

1	high level tone	mā ¹	"shoulder"
2	high falling tone	mā ²	"to come"
3	low, falling tone, glottalised	mā ³	"mad"
4	low falling tone	mā ⁴	"horse"
5	low tone	cā ⁵	"for, to"
6	rising tone	mā ⁶	"dog"

Table 22: Tones in Phake (present investigation)

Table (23) gives contours for the tones as spoken by Ee Nyan Khet on the syllable *maa*, except the fifth tone which is spoken on the word *me⁵* 'mother'. It is possible that because it was spoken on a different syllable, which has a higher vowel, the pitch might have been slightly higher than if all six tones had been spoken on exactly the same syllable. Unfortunately no such series of six tones can be found.

Tone no.	contour (Hz)	key feature	description
1	200-190	level	mid to high level tone with slight final fall
2	225-250-150	high level then falling	mid to high onset, with rise then sharp fall
3	160-120	glottal constriction	mid falling or level tone with strong glottal constriction

4	175-125	falling	mid to high onset with immediate sharp fall
5	180-160	low	mid to low onset with slight gradual fall
6	220-200-275	rising	low to mid onset with slight fall before sharp rise

Table 23: Tones in Phake

Table (24) lists the Assamese words and gloss for each of the five tones pronounced by Ee Nyan Khet on the syllable *maa*:

Assamese	Gloss	Tai Phake
কুকুৰ	kukur	'dog'
ঘোঁড়া	ghura	'horse'
বলিয়া	boliya	'crazy'
কাঁধ	kandho	'shoulder'
অহা	aha	'come'

Audio Link to Pronunciation of these words. (wave files\phake\sounds\ma.mp3)

Link to Image of pitch contour chart for these words. (wave files\phake\wave images\ma-tones.mp3)

Table 24: Tones 1-4 and 6 pronounced on the syllable *maa* in Phake

In March 2002, Yehom Buragohain was recorded pronouncing words on the syllable *kaa*. This included the two words in Table (25), for the comparison of the first and fifth tones:

Assamese	Gloss	Tai Phake
যোৱা	dzuwa	'go'
সমান	homan	'equal'

Audio Link to Pronunciation of these words (wave files\phake\sounds\ka-tones.mp3)

Table 25: Tones 1 and 5 pronounced on the syllable *kaa* in Phake

Table (26) presents the contours for these two tones:

Tone no.	contour (Hz)	key feature	description
1	205-200-220	level	mid to high level tone with slight fall and then small final rise
5	190-160-180	low	mid onset then gradual fall with slight final rise.

Link to Image of pitch contour chart for these tones. (wave files\phake\wave images\ka1ka5.bmp)

Table 26: Tone contours for tones 1 and 5 pronounced on the syllable *kaa* in Phake

Tone number 1 is clearly a level tone in citation. When informants are required to repeat it, the pitch of the tone may get higher. The key feature of this tone appears to be its level nature.

Frequency analysis of tone number 2 has shown that it rises before reaching a peak and then falls sharply. The key features of this tone seem to be that it is high, and falling, and that this fall does not commence at the beginning of the tone. In the production of the tone, by thinking of it as high level then falling, and repeating it back to the native speakers, the writer has been able to manage to say it correctly.

The most important distinctive feature of tone number 3 is clearly the glottal constriction which is very noticeable in citation form. It is sometimes pronounced without a clear fall, but never without glottalisation, as shown in (13)

- 13)

နံပုတ်	ယံ	ကျ	ဟုံ	ကျ	လူက	ကျ	
nam ¹	pot ¹	sām ⁶	kā ³	k'hau ³	kā ³	kon ²	kā ³
number	three	kā ³	rice seedlings	rice	person	dance	

ကျ မိလိမ့် ကျ ။
 kā³ main² mī³ kā³
 dance Butterfly kā³

'Number three is *kā³*, as in 'rice seedlings', 'a dancer', 'the Butterfly dance', that is *kā³*.'

(Phake text, *The Phake Tones*, 1-10-2-3, No. (3), spoken by Ai Che Let.)

An examination of the pitch contour for the last token of the word *kā³* in (13)⁴⁷ shows that beginning at about 115Hz, it falls and then begins to slowly rise, reaching about 125 Hz at the end of the word. From this, we may conclude that glottalisation is the only key feature of this tone, and that contour is not crucial.

Tone number 4 has a clear and direct fall. The most important difference between tone 4 and tone 2 seems not to be the level of the tone, but the fact that tone 2 rises or is level before falling, whereas tone number 4 falls directly. It is interesting to note that Phake speakers hear Khamyang tone number 4 (see 6.4.3) as being the same as Phake tone 2, showing that rise then sharp fall of tone 2 is its crucial feature.

The key feature of tone number 6 is that it rises. It may fall slightly or be level before commencing the rise.

6.2.4.5 Changed Tone in Phake

6.2.4.5.1 The questioning tone in Phake

In addition to the six citation tones discussed above in 6.2.4.4 there is a further tone, with a quite different contour, which has been observed in questions, as can be seen in example (14):

- 14)

မောက်	နီဇ်	ကျ	ယူ	ဟန်	။
mauk ¹	ka ²	kau ²	st ⁶	han ⁶⁽²⁾	
pipe	salt	1Sg	2Pl	see.QN	
"Did you find my pipe of salt?"					

(Phake Story, *The Dolphin, the Crow and the Mosquito* 1-1-1-4, No (41)), told by Aithown Che Chakap)

The tone on the last word is not the citation tone for the word *ဟန်* *han⁶* 'see', but a rising-falling tone, here notated as *-6(2)*. I have decided not to use an arbitrary notation (such as *-7*) for this tone in order to show its special characteristics, as it has a quite a different function from the six citation tones.

⁴⁷ See \wave files\phake\wave images\kaa3.bmp in the electronic version of this thesis.

Aithown Che explained that "generally when there is a question, this type of tone is found." The image of the tone contour for the word *han*⁶⁽²⁾ shows that the pitch rises from 200Hz to a peak of about 250Hz then falls back to about 240Hz.⁴⁸

This tone is even clearer in certain elicited sentences, such as (15), where the questioning tone is found on the word *kin*², which has the second tone in citation.

15) က် စာ ကိတ် ။

khau³ ta¹ kin⁶⁽²⁾

rice will eat.QN

'Will you eat rice?'

(Phake sentence elicited from Ai Chanta)

Audio Link for this sentence example (\wave files\phake\sounds\kin62.mp3)

Link to image of wave file for kin⁶⁽²⁾ (\wave files\phake\wave images\kin62.bmp)

The frequency of the tone of kin⁶⁽²⁾ commences at 140Hz, rises to 180Hz and falls to 115Hz.

When a question is asked on a word which in citation form has the first tone, such as *kā*¹ "GO", the question tone is simply a rising tone, as in (16)

16) မာ် နီ နီ က် မာ် ။

maü² nōn² nī² kā⁶ ma¹ nai³

2Sg sleep good GO.QN today

"Did you sleep well today."

(Phake sentence elicited from Ai Chanta)

Audio Link for this sentence example (\wave files\phake\sounds\ka-qn.mp3)

Link to image of wave file for kā⁶ (\wave files\phake\wave images\ka-qn.bmp)

It appears that some tone combination is occurring in some words. There may be a question morpheme ⁻⁶, where the rising intonation indicates a question. It may be that this rising tone is transferred from the question particle *no*⁶ (see below 8.6.2.1). If this is so, the process might be characterised as in Table (27):

⁴⁸ See \wave files\phake\wave images\han62.bmp in the electronic version of this thesis.

Word in Citation form	Question morpheme	Word in question form	Tone change process
kin ²	⁻⁶	kin ⁶⁽²⁾	⁻² + ⁻⁶ = ⁻⁶⁽²⁾
nī ²	⁻⁶	nī ⁶⁽²⁾	⁻² + ⁻⁶ = ⁻⁶⁽²⁾
kā ¹	⁻⁶	kā ⁶	⁻¹ + ⁻⁶ = ⁻⁶

Table 27: The Questioning Tone in Phake

If the suggestions in Table (27) are correct, it still does not explain how a question morpheme ⁻⁶ when combined with a word whose citation form has the sixth tone, is pronounced [han⁶⁽²⁾] as in example (14) above. Perhaps the question form which was originally found only with words that had the 2nd tone in citation is now being generalised to words of all citation tones.

This question tone seems to be an innovation in Phake. It is hoped that further study of this phenomenon will be possible. The syntax of questions is discussed below in 8.6.1.

6.2.4.5.2 The Tai Phake negative tone

In addition to a questioning tone, there appears to be a negative tone which is always expressed with the 6th tone. Banchob (1987) first reported this, as in (17).

17) မ် က် လာ်

mā⁵ kā⁶ lā² lā²

NEG go.NEG PRY

"Not to go at all."

(Banchob 1987: 359)

Diller (1992:19) also reported this as "a morphophonemic tone sandhi rule, involving a rather spectacular contour reversal, regularly operates after the proclitic negative marker [m-] (but not with the full form *maw*³) in the case of tone 3 verbs." Diller's tone 3 is tone 2 in Banchob's system, but as we can see from (17), this use of the 6th tone for negation applies not only to verbs whose citation form is in tone 2, but to other tones as well. The citation form of 'go', which is negated in (17), is *kā*¹.

Example (18) clearly differentiates the positive *yan*² with the negative *yan*⁶.

- 18) ကုန် ယင် နှိုက် မ ယင် ။
 kon² yan² hən² ma¹ yan⁶
 person be house NEG have.NEG
 'There are people but no houses.'
 (Phake Story, *Chaw Mahosatha meets Nang Pingya*, 1-1-1-3, No. (26), told by Ee Nyan Khet)

Not all negative words have the negative tone. In example (19), a compound is negated but the tone of the compound is not affected:

- 19) မိုန် မ ချော့ကုန်
 mün² ma¹ tɔ² huk¹
 3Sg NEG weave
 'She didn't weave.'
 Audio Link for this sentence (\wave files\phake\extracts\Aithown Che\2.mp3)

When this compound was split, however, as in (20), the negative tone was observed:

- 20) မိုန် ကုန် မ ချော့
 mün² huk¹ ma¹ tɔ⁶
 3Sg loom NEG weave.NEG
 'She didn't/doesn't weave.'
 Audio Link for this sentence (\wave files\phake\extracts\Aithown Che\1.mp3)

Further investigation remains to be done on changed tone in Phake, to identify in which circumstances it is possible and in which it is not. Several reasons were advanced by Phake informants themselves as to why this changed tone was used. These are discussed below in the section of the syntax of negation in 8.6.3.

6.2.4.6 Tone assignment in loan words

Tai Phake words which are reflexes of proto Southwestern Tai words usually have a tone which is the reflex of the proto tone of that word. However, words borrowed into

a tonal language have to acquire tone, and the process by which this is done in the Tai languages of Assam is not yet fully understood.

The late Aimya Khang Gohain very carefully notated the tones in that part of *Grandfather teaches Grandchildren* which he assisted me to analyse. Several loan words from Phake occur in that work, and some of these are listed in Table (28)

Tai Phake word	Phonemic transcription	English Gloss
ယက္ကဏ္ဍိယ	sak ¹ ka ¹ rit ¹	"era"
တာဝိယ	tā ² ti ¹ yā ⁴	"third"
ကုန်က	a ¹ ni ¹ cā ⁴	"changing"

Table 28: Tone assignment in loan words from Pali

In each case, the unstressed middle syllable is first tone, raising the question of whether there are toneless syllables in Tai Phake, and whether the first tone is in some sense a default tone. In this regard it is interesting to note that in Chau Khouk Manpoong's system of tonal marking for Khamti, there is a special mark for such unstressed syllables. This mark is not one of the five citation tones (see 7.3).

Discussion with other informants suggests that the normal pattern of tone assignment for polysyllabic loans, often from Pali, is that the first few syllables will be 1st tone, and the last syllable will either be 1st tone, 2nd tone or 4th tone. The 3rd, 5th and 6th tone seem rarely to be used in such borrowed.

Example (21) includes a number of these (indicated by bold type):

- 21) ဇွေကံ ဟိလိကုန်တြာ ယမာတေ ယမာက ယမာမင်
 woi¹ cem² si¹ li¹ kuk¹ trā⁴ ya¹ ma¹ tɔ² ya¹ ma¹ kā² ya¹ ma¹ mān⁴
 bless Silikuktra Yamato Yamaka Yamama

Assamese	Gloss	Tai Aiton
সুঁজা <i>huna</i>	to smell	<i>dum², num²</i>
চোৱা <i>suwa</i>	to see	<i>duw², nuw²</i>

Audio Link to Pronunciation of these words, (\wave files\aiton\sounds\d-.mp3)

Table 30: Initial /d/ in Aiton

In careful speech, both of these voiced stops are realised as slightly prevoiced [^mb] and [ⁿd], as they often are in Tai languages where these phonemes are still found. This is clearly audible in Bidya Thoumoung's pronunciation of *dum²*.

In present day Aiton, almost all of the words with initial /b/ or /d/ have variants in initial /m/ and /n/ respectively. This may be due to the influence of the writing system, in which there is no separate character for /b/ or /d/ (see below 7.2.1). Alternatively it may be due to the influence of the other Tai varieties in which these sounds do not occur contrastively.

This variation with the homorganic nasal stops, means that there is a reduced functional load for both voiced stops, at least in regard to words of Tai origin. The extent to which these two phonemes are perhaps increasing in use for words borrowed from Assamese, such as names, is not clear. One example of the persistence of /b/ with borrowed words is the name of the principal informant, Bidya Thoumoung, where *bidya* is an Assamese name based on the Sanskrit word *vidyā* 'knowledge', (Sanskrit *v > *b in eastern Indo-Aryan).

Banchob Bandhumedha, in her *Aiton-English-Thai Dictionary* (ms) also includes six words with initial /g/. All of these words have initial /gr-/ clusters and are all onomatopoeic. They include *grɪŋ² grɛŋ²* 'the sound of a thunder bolt' and *grɔ² grɔ²* 'the sound of children sleeping'. Since all Aitons are bilingual in Assamese and Assamese has a distinctive phoneme /g/, it is clear that they can pronounce this sound. In this study, however, no evidence has been found of /g/ as a phoneme.

6.3.1.1.2 Voiceless unaspirated stops.

The bilabial, dental/alveolar and velar stops, /p/, /t/ and /k/ respectively are found both in initial position and in syllable final position. In initial position each of them is articulated with slight force. For Khamti, Harris (1976) said of this, referring to /t/ that it is "probably always accompanied by a simultaneous weak glottal stop [t̚]."

Assamese	Gloss	Tai Aiton
উজল <i>udʒol</i>	bright	<i>toŋ¹</i>
পাত <i>pat</i>	big leaf, banana leaf	<i>toŋ²</i>
পেত <i>pet</i>	stomach	<i>toŋ³</i>

Audio Link to Pronunciation of these words (\wave files\aiton\sounds\t-.mp3)

Table 31: Initial /t/ in Aiton

In final position, each of these phonemes is generally unreleased as [p̚], [t̚] and [k̚].

Table (32) gives some examples of final /-k/:

Assamese	Gloss	Tai Aiton
বেৰা মৰিওৱা <i>bera moriowa</i>	to hammer	<i>tok¹</i>
জোখা <i>dʒok^ha</i>	to weight	<i>tok³</i>

Audio Link to Pronunciation of these words (\wave files\aiton\sounds\k-final.mp3)

Table 32: Final /k/ in Aiton

The palatal stop /c/ is usually released as an affricate [tɕ]. Harris (1976:117) reported that the cognate sound in Khamti "represents a voiceless laminal-prepalatal (or alveolo-palatal) affricate [tɕ]. The narrow grooved fricative release is very short in duration." The initial realisation of the palatal stop is exemplified in Table (33). It does not occur in syllable final position.

Assamese	Gloss	Tai Aiton
মুচা <i>musa</i>	to wipe	<i>ciɪ³</i>
আত <i>at</i>	seven	<i>ciɪ¹</i>

Audio Link to Pronunciation of these words (\wave files\aiton\sounds\c-.mp3)

Table 33: Initial /c/ in Aiton

6.3.1.1.3 Voiceless aspirated stops.

Voiceless aspirated stops are often realised as voiceless fricatives at the same place of articulation, as shown in (22). The exception to this is /th/, which is unable to have a alveolar fricative allophone because there is a separate phoneme /s/.

- 22) /ph/ → [ɸ], [pʰ]
 /kh/ → [x], [kʰ]

For the corresponding phonemes in Khamti, Harris stated that /ph/ "represents a voiceless aspirated bilabial affricate [pɸ]" (1976:116) and /kh/ "represents a voiceless aspirated dorso-velar affricate [kx]" (1976:118). In Aiton, at least, whilst there may be some affrication of the fricative realisation of these phonemes, it does not appear to be as strong as Harris' statements suggest. Furthermore, this phoneme certainly also has a voiceless stop allophone.

Table (34) presents examples of the phonemes /ph/ and /kh/.

Assamese	Gloss	Tai Aiton
বিচৰা bisora	to seek	khaa ¹
চাকৰ sakor	servant	khaa ³
টিকা tika	thigh	khaa ¹
কাপোৰ kapur	cloth	phaa ³
বেৰ ber	wall (of the house)	phaa ¹
আকাশ ak ^h ah	sky	phaa ³

Audio Link to Pronunciation of the words with initial /kh-/ (\wave files\aiton\sounds\kh-.mp3)

Audio Link to Pronunciation of the words with initial /ph-/ (\wave files\aiton\sounds\ph-.mp3)

Table 34: initial /kh/ and /ph/ in Aiton

When praying or intoning Pali language, Tai Aiton speakers follow the Burmese practice of dentalising the reflex of Pali *s. In Burmese this reflex is pronounced as [θ], which is not a phoneme in any of the Tai languages. Among the Tai Aitons, this

reflex of Pali *s is pronounced as a dental stop which is slightly fricativised. It would probably be regarded as /t/ by the Aitons.

Banchob Bandhumedha, in the *Aiton-English-Thai Dictionary*, distinguishes two phonemes /f/ and /ph/. Many of the words in the /ph/ section of her dictionary are transcribed with both initial phonemes as variants, as *phā⁴/fā⁴* 'the sky'. Those words listed under /f/, on the other hand, do not have a /ph/ initial as a variant, such as *fē²* 'to be born, as cattle' and *fār⁸* 'to be astringent', the latter word being a reflex of a word with Proto Southwestern Tai initial *f-. No evidence has been found in the present investigation for suggesting that /ph/ and /f/ are different phonemes.

6.3.1.1.4 Nasals

Of the four nasal phonemes, /ñ/ is the least common, and the most restricted in its distribution, in that it only occurs in word initial position. It is frequently found in final position in writing, following the vowel /i/ or /u/. After /i/ it is pronounced /n/ and causes the vowel to be pronounced /ɛ/. When it follows /u/ it is pronounced /i/ as discussed below in 7.2.2. Final ~ŋ/-ñ/ in writing is never pronounced as /ñ/.

Words with initial /ñ/ are more frequent in Aiton than in Phake. Several of the Aiton words with initial /ñ/ have variants, beginning with other letters, as shown in Table (35).

Assamese	Aiton	Alternate	
দৰব dɔrɔb	ᱳᱤᱨᱤᱰᱤ	ñaa ³	ᱵᱤᱨᱤᱰᱤ 3aa ³ medicine
শুনা ᱵᱤᱨᱤᱰᱤ	ᱵᱤᱨᱤᱰᱤ	ñin ²	ᱵᱤᱨᱤᱰᱤ ᱵᱤᱨᱤᱰᱤ ᱵᱤᱨᱤᱰᱤ ᱵᱤᱨᱤᱰᱤ hear
ডাঙৰ daŋɔr	ᱳᱤᱨᱤᱰᱤ	ñau ¹	ᱵᱤᱨᱤᱰᱤ 3au ¹ big

Audio Link to Pronunciation of the first two words in Table (35) (\wave files\aiton\sounds\ny-.mp3)

Table 35: some variations with initial /ñ/

When comparing initial /ñ-/ and initial /ŋ-/ with reference to the words for 'wisdom', and 'draw a line', Bidya Thoumoung spoke sentence example (23):

- 23) *an² ne³ ŋān¹ ŋān¹ an² ne³ ŋān¹ an² ne³ ŋān¹* ॥
 CLF DEF draw draw CLF DEF wisdom CLF DEF draw
 'This one is 'to draw a line', *ŋān¹*, and this one is 'wisdom', this one is 'to draw a line.'
 (Aiton sentence spoken by Bidya Thoumoung)
 Audio Link for this sentence example (\wave files\aiton\sounds\ny-ng.mp3)

The other three nasal consonants are very widely distributed in both initial and final positions. In final position they are sometimes pronounced somewhat longer than in initial position, such as the various words pronounced on the syllable *təŋ* in Table (31) above. A spectrogram analysis of *təŋ* 'bright' shows that the vowel lasts for about 0.3 seconds and the final nasal coda for another 0.3 seconds⁵⁰.

6.3.1.1.5 Fricatives.

Of the many fricatives posited in proto Southwestern Tai (see above 6.1.1.1) two have been maintained unaltered in Aiton. These are the voiceless alveolar fricative /s/ and the voiceless glottal fricative /h/. However, as will be argued in 6.3.1.2 below, some of the other consonants are becoming fricativised.

For example, as mentioned above, in 6.3.1.1.3, the voiceless aspirated stops are often released as fricatives in the same place of articulation. Furthermore there is strong fricativisation of both semivowels, which is so strong in the case of the palatal semivowel /j/ that I have chosen to notate it as <ɟ> rather than <y>.

There is sometimes palatalisation of the fricative /s/ when it precedes a front vowel. In example (24.1), the /s/ in *sii¹* 'four' is palatalised, whilst that in *saa¹* 'PRT' in (24.2) is not.

⁵⁰ The spectrogram can be viewed at \wave files\aiton\wave images\tong1.bmp. The sound file is at \wave files\aiton\sounds\tong1.mp3.

- 24.1) *an² nai³ pha¹ ta¹ wii¹ hau² nai³ sii¹ cun²*
 CLF this earth 1PI this four part
 ॥
sii¹ cun² nai³ u¹ taŋ¹ ku³ ru³ nai³
 four part this Utangkuru this
 'This earth of ours has four parts, and one of those parts is Utangkuru.'

- 24.2) *tun³ mai³ ko³ luŋ¹ saa¹ laŋ¹ diŋ² ko³ luŋ¹ saa¹* ॥
 tree LINK yellow PRT ground LINK yellow PRT
 '(There) the trees were yellow and the ground was also yellow.'
 (Aiton text, *Why Buddha was born in this world*, 2-4-3-1, Nos. (2)-(3), told by Sa Cham Thoumoung.)

However in Bidya's list, there is little appreciable difference in initial /s/ when followed by /a/ or by front vowels, as indicated in Table (36):

Assamese	Gloss	Tai Aiton
ভাৰা ruwa	to stay	sau ²
গাভৰু gab ^h oru	female	saau ¹
বিংশ biŋ	twenty	saau ²
খোটা khota	post	sau ¹
নীল hil	morality	sin ¹
মেখেলা mekhela	skirt	sin ³
চিঞৰা sinora	to roar	sen ¹

Audio Link to Pronunciation of the words on the syllables *sau* and *saau* (\wave files\aiton\sounds\sau.mp3)

Audio Link to Pronunciation of the words on the syllables *sin* and *sen* (\wave files\aiton\sounds\sin.mp3)

Table 36: Initial /s/ in Aiton

6.3.1.1.6 Semivowels.

As discussed above, both semi vowels are often realised as homorganic voiced fricatives, with /j/ being realised as [ɟ] and /w/ as [β]. This tendency is so strong in the

case of the palatal semi-vowel that the symbol <ɹ> has been chosen for use in the Aiton dictionary and in the Aiton transcriptions in this thesis.

Assamese	Gloss	Tai Aiton
আচোৰা asura	to scratch	wak ³
ধুনা dhuna	wax, gum	waak ¹
নাও বোৱা nau buwa	to row	wak ³
আছে ase	Be	ɹaŋ ²
দাঙি ধৰা daŋgi d'ora	to shave	ɹaŋ ¹
এঠা e'ta	Gum	ɹaŋ ²

Audio Link to Pronunciation of the words with initial /w-/ (\wave files\aiton\sounds\w-.mp3)

Audio Link to Pronunciation of the words with initial /ɹ-/ (\wave files\aiton\sounds\y-ang.mp3)

Table 37: Initial /w/ and /ɹ/ in Aiton

In the other Tai languages, variations in the phonetics of these two semivowels have been noticed. For example, Harris stated that in Khamti:

"/w/ represents a voiced labio velar approximant [w] or a voiced bilabial approximant [u]" (1976:116) and

"/y/ represents a voiced front-palatal approximant [j] or a voiced front-palatal fricative [ɹ]. In syllable final position this symbol represents a close front unrounded vowel [i]" (1976:117).

The symbol /v/ (voiced labio-dental fricative) is frequently used for the reflex of proto *w in some Tai varieties. Jerry Edmondson (pers. comm.) has assured me that the realisation of this phoneme in some Shan languages is indeed the labio-dental /v/, not the bilabial /β/ which is observed for Aiton.

A good example of the variation in the use of the palatal semivowel (/j/) phoneme is found in the following example, where the text has been transcribed narrowly:

25) 𑜋𑜧 𑜏𑜤 𑜇𑜤 𑜇𑜤 𑜋𑜧
 ɹaŋ² uu¹ tii² thai³ yaŋ²
 have STAY place here have
 'Yes there is one here.'

(Aiton text, *Explanation of the Spirit house*, 2-8-1-6, No. (69), spoken by Sui Khong Thoumoung)

The phoneme /ɹ/ is clearly a reflex of the proto Southwestern Tai /*j/ (see 6.1.1.1) and as such it might be argued that it should be written as <y>. In the various Aiton texts which form the basis of this thesis, it is pronounced [ɹ] in most spoken texts although Aitons will pronounce [y] when reading from manuscripts. The decision to write this phoneme as <ɹ> was taken in order to reflect current spoken practise. However the symbol <y> is used for this phoneme when it appears in initial consonant clusters (see below 6.3.3.1). All of the words in which /y/ appears as the second member of an initial consonant cluster are borrowed words, mostly from Burmese.

6.3.1.1.7 Approximants

Two approximants are found in Aiton, the lateral approximant /l/ and the rhotic approximant /r/. The rhotic approximant is often not found as a full phoneme in languages of the Tai family, although it is present in the writing of almost all the Tai varieties and some type of rhotic is often pronounced in careful speech. In Standard Thai, for example, trill [r] is realised in careful speech where in everyday speech the /r/-/l/ contrast has been largely neutralised.

In Aiton, however, /r/ is definitely present as a full phoneme both for initial position and as the second member of an initial consonant cluster (see below 6.3.3.1). Unlike in Standard Thai, the rhotic in Aiton is not a trill but is definitely an approximant with fairly strong retroflexion. It would be best phonetically described as either [ɽ] or [ɽ̌]. In this thesis, however, it will be notated phonemically as /r/.

Assamese	Gloss	Tai Aiton
ভগা b ^h oga	broken	raak ¹
খিগৰা g ^h in kora	to hate	raŋ ³
খিকোনোবাহ dʒikunubah	nest	raŋ ²

Audio Link to Pronunciation of these words (\wave files\aiton\sounds\r-.mp3)

Table 38: Initial /r/ in Aiton

When reading the words in Table (38), Bidya Thoumoung stated that there is a variant in initial /h/ for several of these words, as shown in Table (39):

Aiton word	Alternate	Phake	Standard Thai	Gloss
ভগা raak ¹ , rak ¹	হগা hak ¹	hak ¹	rak	'broken'
খিকোনোবাহ raŋ ²	হগা haŋ ²	haŋ ²	raŋ	'nest'

Table 39: Variations of initial /r/ and /h/ in Aiton

It is not, however, the case that all words which are /r/ initial in Standard Thai have /r/ initial in Aiton, or that all words which have initial /r/ in Aiton are found in Standard Thai. Furthermore, there appear to be a number of words which are /r/ initial in Aiton for which no variant with initial /h/ is tolerated by the community.

The preservation of initial /r/ in Aiton as a full phoneme is most significant, especially in light of the preservation of /b/ and /d/, discussed above in 6.3.1.1.1. As was the case with those voiced stops, /r/ is also a phoneme in Ahom. On the basis of the evidence presented thus far, it appears that Aiton is indeed very close to the ancient Ahom language. In every other language of the Shan group, the reflexes of proto /*r/ have merged with /h/ or some other phoneme. It is only Ahom and Aiton in which this does not occur.

6.3.1.1.8 Glottal Stop

As discussed above in 6.1.4, I do not propose to mark a glottal stop in front of initial vowel segments. Aiton does not require the positing of a glottal stop phoneme in

order to be able to explain its phonemic system or its system of tones, and I believe that it would not be notated by scholars except that they have taken into account the theories of syllable structure 6.1.3 and the proto Tai tonal system as described by Gedney (1972) see 6.1.5.3.

The marking of a glottal stop in Aiton would not appear to add anything to our knowledge of the language. In vowel initial words, a slight glottal onset is present for phonetic reasons, but no more than in many languages in which no initial glottal stop phoneme is posited. This glottal onset is apparent in the words given in Table (40):

Assamese	Gloss	Tai Aiton
দ্বিতীয় জী dvitiya zi	second daughter	ii ¹
মৰম marom	pity	ii ¹ duu ² , ii nuu ²
পঞ্চম জী pajcom zi	fifth daughter	uk ¹
খাল k ^h al	centre of the palm of the hand	uŋ ³

Audio Link to Pronunciation of these words (\wave files\aiton\sounds\v-init.mp3)

Table 40: Vowel initial words in Aiton

In connected speech any glottal onset is hardly audible, as demonstrated in example (26):

- 26) ৩০০০ হৈছে নী নী নী ... হৈছে নী নী নী
 taŋ¹ luŋ¹ hau³ nai³ uu¹ khyem ... hau³ nai³ uu¹ nii² khyem² saa¹
 all GIVE get live good ... GIVE get live good good
 'May all be happy.'

(Aiton manuscript, *Calendar for the year 2001/2002*, No. (2.1), told by Sui Khong Thoumoung.)

6.3.1.2 Possible reassignment of phoneme symmetry

It is possible that the weakening of voiceless aspirates to voiceless fricatives and the strengthening of semi vowels to voiced fricatives has so changed the phoneme inventory that it would be better to express it as in Table (41):

	Bilabial	Dental / Alveolar	Palatal	Velar	Glottal
Voiced Stops	b	d			
Voiceless unaspirated stops	p	t	c	k	
Voiceless aspirated stops		th			
Nasals	m	n	ɲ	ŋ	
Voiceless fricative	ɸ		ʃ	x	h
Voiced fricative	β		ʒ		
Rhotic Approximant		r			
Lateral Approximant		l			

Table 41: Realigned Aiton Phoneme Chart

Note that to make this table more symmetrical, one might think that /th/ should fricativise to [θ], but there is no evidence of this at this stage. As noted above in 6.3.1.1.3, in intoning prayers the reflex of Pali *s is pronounced as a dental stop which is slightly fricativised. Whether this fricativisation and dentalisation might ever extend by analogy to /th/ remains to be seen.

Table (42) presents some minimal pairs of initial /ph/ - reanalysed as /ɸ/ and /w/, reanalysed as /β/ to show the way in which, synchronically at least, it might be possible to regard these two phonemes as a voiceless~voiced pair.

Assamese	Gloss	Tai Aiton	Reanalysed
ভাতি	dʒati	caste	phan ²
দুখীয়া	dukhiya	poor	phaan ¹
হুগুৰী পহু	hukori pohn	type of deer	phaan ²
মিঠা	mit'a	sweet	waan ¹
দিন	din	day	wan ²

Audio Link to Pronunciation of the words with initial /ph/~/ɸ/ (\wave files\aiton\sounds\phan.mp3)

Audio Link to Pronunciation of the words with initial /w/~/β/ (\wave files\aiton\sounds\wan.mp3)

Table 42: A new voicing pair in Aiton, /ɸ/ and /β/

6.3.2 Vowels

It is the claim of this thesis that the Aiton vowel system contains seven contrastive vowels, as in Table (43):

i	u
ɛ	o
a	aa

Table 43: Aiton vowels

It is interesting to observe that this is the same distribution of vowels as that posited for the vowels of Proto Southwestern Tai by Jonsson (see above 6.1.1.3). Before turning to the evidence from the present study, we will briefly examine previous work on Aiton phonology by Banchob Bandhumedha and Diller (1992).

6.3.2.1 Previous descriptions

6.3.2.1.1 Banchob

Based on her fieldwork in the Aiton villages in the early 1970s, Banchob Bandhumedha wrote a manuscript *Aiton-English-Thai Dictionary*. Unfortunately her work was never completed and has never been published. Part of this dictionary has been analysed.

Table (44) lists the vowel symbols which have been found in the *Aiton-English-Thai Dictionary*.

i, ī	ü, ǖ	u, ū
e, ē		o
ɛ		ō
	a, ā	

Table 44: Aiton vowels found in the *Aiton-English-Thai Dictionary* (Banchob ms)

Length is only contrastive with the vowels <a> and <ā>. In the case of all the other vowels, Banchob has used a long vowel symbol only in vowel final or open syllables. However it does not appear that the contrast between <e> and <ē> is phonemic. At

least for words beginning with /k/, the symbol <e> is used, with only one exception, for open syllables, and the symbol <ɛ> in closed syllables.

Furthermore, all of the words which have the vowel /e/ in Phake are notated in Banchob's *Aiton-English-Thai Dictionary* with <i>, and the words which are notated with /e/ all have /ɛ/ (or /ai/ before a velar, see above 6.2.2.2) in Phake. Some of these are listed in Table (45):

<i>Aiton-English-Thai Dictionary</i>	Phake Banchob (1987)	Gloss
kin ²	keŋ ²	iron tripod
keŋ ⁹	kaiŋ ³	to use sticks to clean the bowels
ke ⁶	kɛ ¹	old, mature
ke ⁹	kɛ ³	to loosen
khin ⁸	a ¹ khin ⁱ	time
khin ⁸	kheŋ ¹	to compete with
khin ¹	kheŋ ¹	shelf
kheŋ ⁷	khain ⁶	hard
kheŋ ⁹	khain ⁵	shin

Table 45: Comparison of Aiton front vowels in Banchob's *Aiton-English-Thai Dictionary* with the *Phake-English-Thai Dictionary* (Banchob 1987).

There are some contrasts observed between the back vowels <o> and <ɔ>, such as *ko*⁹ 'a measure word for persons' and *ko*⁹ 'too, a word for emphasising'. A similar contrast was found in the word list elicited from Bidya Thoumoung (see below 6.3.2.2.3).

However in both Banchob's *Aiton-English-Thai Dictionary* and in Bidya

Thoumoung's list, the only words which appear with [o] are those which in Phake are notated with <ɔ>, and all of the words which in Phake are notated with <o> are notated <u> by Banchob. It appears therefore that the Aiton recorded by Banchob showed a merge of */u/ and */o/, suggesting a seven vowel system, as given above in Table (43).

Fortunately, some recordings made by Dr. Banchob have survived⁵¹. Her principal informant, the late Ai Ne Phalung, or Mohendra Shyam, told the *Story of the ghosts and the precious opium pipes* which includes the words *sig*³ 'end' (*sig*³ in Phake) and *tig*³ 'hit' (*teg*³ in Phake). The spectrogram analysis (see \wave files\aiton\wave images\ting3.mp3) shows that these two words are clearly spoken on the same vowel, namely [i].

On the other hand, Banchob's observation that the words for 'human classifier' and 'LINK' were not the same, seems to be confirmed by examining *Story of the ghosts and the precious opium pipes*. Example (27) contains a token of *ko*³ 'human classifier' and example (28) *ko*³ 'LINK'.

- 27) လေ ထွဲ မေ ထွဲ ယွင် ကေ လှော် လှော် ။
 pa² thau³ me² thau³ saŋ¹ ko³ kun² phaŋ¹
 father old mother old two CLF person poor
 '(Once upon a time) there was an old man and an old woman.'

(Aiton Story, *Story of the forest ghosts and the opium pipes*, No. (1), told by Mohendra Shyam).

- 28) လှော် ထွဲ ကော် ဘော် လှော်လှော်
 kun² thau³ an² nan³ khun¹ kon¹
 person old CLF that again

မှဲ ကေ ယင် ဒါ ကော် လှော် ဘီ ယီ ။
 hau² ko³ saŋ¹ daa¹ kan² phaŋ² nai³ sii¹
 IPI LINK if quarrel RECIP like this PRT

မှဲ ကေ တါ မက် ကို ဝိ ယီ ။
 hau² ko³ ta¹ maak¹ kai³ kai³ wai³ sii¹
 IPI LINK will rich quickly KEEP PRT

'That neighbour said, "If we quarrel like this we too will become rich very soon.'

(Aiton Story, *Story of the forest ghosts and the opium pipes*, No. (25), told by Mohendra Shyam).

⁵¹ I am deeply grateful to Dr. Navavan Bandhuneitua for making these available to me.

An audio file with the words [ko³] 'CLF' and [kɔ³] 'LINK' has been made using the tokens of these words from examples (27) and (28). It can be found at \wave files\aiton\sounds\ko-mohen.mp3, and shows that, phonetically at least, the vowels are not the same. This is confirmed by the spectrogram analysis (\wave files\aiton\wave images\ko-mohen.bmp). So far this is the only minimal pair that can be found suggesting a contrast between the mid-close and mid-open back vowels.

6.3.2.1.2 Diller (1992)

Diller (1992:17) presents a ten vowel system, stating that "Ten vowel contrasts common in many Tai varieties apply to Khamti and also to Phake and Aiton:

i u ɯ ɛ ɔ a aa ɔ".

This is a different finding from that in this thesis. Diller presents a story, *Ghosts of the jungle* (1992:26-38), which is very similar to *The story of the forest spirit and the first daughter*. Table (46) lists all the words notated by Diller with [u] or [o] in the *Ghosts of the jungle*:

Tai word	Phake form	Form in Diller (1992)	Proto form	Gloss
ကုန်	kon ²	kon	*konA2	person
လှိုင်	lon ⁶	lon ³	*hluangA1	big
ကုတ်	khut ¹	khut ³	*khutDS1	to dig
လုက်လွ်	luk ³ sāu ⁶	luk-saaw ³	*lukDL4	daughter
တူ	tū ¹	ʔu ⁶	*ʔuuB3	to stay
လှိုင်	lon ²	luŋ	*lonA4	descend
လုက်	luk ³	luk		from
တူ	to ²	tu	*tuaA2	CLF
ဟုတ်	ho ⁶	ho ³	*huaA1	head
ကုပ်	um ³	ʔom ²	*umC3	to hold
ဟုတ်	huŋ ⁶	hon ³	*huŋA1	to cook
ကုပ်	nū ⁶	nu ³	*hnuA1	rat

Table 46: Words notated by Diller with [u] or [o] in the *Ghosts of the jungle* (Diller 1992:26-38)

There are number of irregular correspondences here. Of particular interest is the fact that some words which have /*u/ as the nucleus being notated by Diller with [o] as *hon³* 'to cook', while some words which have /*o/ as the nucleus are notated with [u], as *luŋ* 'descend'. This suggests that the distinction between [u] and [o] might not be phonemic.

6.3.2.2 Present Investigation

As discussed above in 6.3.2, the finding of this thesis is that there are seven contrastive vowels in Aiton, with one of the contrasts being one of length between /a/ and /aa/. This is restated in Table (47):

i	ɯ	u
ɛ		ɔ
	a, aa	

Table 47: Vowel phonemes in Aiton

The relation between Aiton and Phake vowels (see 6.2.2) is shown in (29)

29)	Phake [i,e]	>	Aiton [i]
	Phake [ɛ]	>	Aiton [ɛ]
	Phake [ɯ,ə]	>	Aiton [ɯ]
	Phake [a,aa]	>	Aiton [a,aa]
	Phake [u,o]	>	Aiton [u]
	Phake [ɔ]	>	Aiton [ɔ], [o]

The symbols <ɛ> and <ɔ> have been chosen for the Aiton mid vowels rather than <e> and <o>, because <ɛ> and <ɔ> in Aiton correspond with <ɛ> and <ɔ> in Phake.

6.3.2.2.1 Front vowels

Given the divergence between the various reconstructions of proto Southwestern Tai vowels, the following discussion assumes Fang Kuei Li's reconstruction (see 6.1.1.3) of a nine vowel system. In Aiton, proto */e/ has been raised to /i/, thus neutralising a

contrast which is still very clear in Phake. Table (48) illustrates this merger for closed syllables.

Assamese	Aiton	Phake	Gloss
আদা ada	𑜀𑜢𑜤𑜰𑜫 khin ¹	𑜀𑜢𑜤𑜰𑜫 khin ⁶	'ginger'
ঢাল saṅ	𑜀𑜢𑜤𑜰𑜫 khin ¹	𑜀𑜢𑜤𑜰𑜫 khen ¹	'shelf'

Audio Link to pronunciation of these words in Aiton (wave files\aiton\sounds\khing.mp3)

Audio Link to pronunciation of these words in Phake (wave files\phake\sounds\khing.mp3)

Table 48: Merger of /i/ and /e/ in Aiton

The raising of /e/ > /i/ may have been happening for a long period of time. Table (49), based on Grierson (1904:214-233), gives transcriptions of some words with front vowels from his comparative word list. It appears to show the raising of /e/ to /i/.

Grierson's Aiton	Phake	Aiton (this thesis)	Gloss
Lik	lek ¹	lik ¹	iron
Lin	lin ⁴	lin ³	tongue
Kin	kin ²	kin ²	eat
Lif (len)	len ⁵	len ²	run
Chich (chet)	cet ¹	cit ¹	seven
Pit (pet)	pet ¹	pet ¹	eight
Pin	pen ²	pin ²	be

Table 49: Proto */i/, */e/ and */ε/as recorded by Grierson (1904)

We must be wary of Grierson's transcriptions, which seems to be based only on the written forms, as shown by the form for 'run', which would be written 𑜀𑜢𑜤𑜰𑜫, which Grierson notates as <lif>. This does not suggest that the vowel /i/ is present, rather that the symbol 𑜀, which can indicate [i], [e] or [ε] in closed syllables, was employed (for the notation of front vowels in Tai script, see below 7.2.3).

Banchob, on the other hand, was a very careful observer. As discussed above in 6.3.2.1.1, where she writes /e/ in Phake, Banchob usually writes /i/ for Aiton. Table

(50), based on Banchob (1977), which was originally written in Thai orthography, illustrates this:

Aiton (Banchob 1977)	Phake (Banchob 1987)	Putative proto form (after Li 1977)	Gloss
cit ¹	cēt ¹		'seven'
cip ¹	cēp ¹	*cepD2	'to be ill'
pik ¹	pik ¹	*pi(i)kD2	'wing'
pit ¹	pēt ¹	*petD2	'duck'
bit ¹	mit ¹	*bitD3	'to twist'
bit ¹	met ¹	*betD3	'fishhook'
cit ⁴	cēt ⁴	*chetD4	'to wipe'

Table 50: Proto */i/ and */e/ as recorded by Banchob (20/3/1977)

In general, *e in open syllables is realised as [i] in the data from Bidya Thaumoung, such as 𑜀𑜢𑜤𑜰𑜫 'granary' and 𑜀𑜢𑜤𑜰𑜫 'eldest daughter'. These two words are recorded by Banchob in the *Aiton-English-Thai Dictionary* as 𑜀𑜢𑜤𑜰𑜫 and 𑜀𑜢𑜤𑜰𑜫 respectively, showing that in open syllables the /e/ > /i/ merge had occurred by the time that Banchob researched the Aiton language in the late 1960s and early 1970s.

Bidya Thaumoung also provided some examples in open syllables of the merge of /e/ to /ε/, listed here in Table (51). However these words do not merge with /ε/ in Banchob's *Aiton-English-Thai Dictionary*.

Assamese	Aiton - recorded by Bidya Thaumoung	Aiton - Banchob	Phake	Gloss
নগৰ nagor	𑜀𑜢𑜤𑜰𑜫 cē ²	-	𑜀𑜢𑜤𑜰𑜫 cē ⁵	'city'
কাগছ kagos	𑜀𑜢𑜤𑜰𑜫 cē ³	𑜀𑜢𑜤𑜰𑜫 cē ⁹	𑜀𑜢𑜤𑜰𑜫 cē ³	'paper'
তিয়াই থোৱা diyai t'owa	𑜀𑜢𑜤𑜰𑜫 cē ²	𑜀𑜢𑜤𑜰𑜫 cē ⁹	𑜀𑜢𑜤𑜰𑜫 cē ⁵	'to soak in water'

Audio Link to Pronunciation of these words (wave files\aiton\sounds\ce.mp3)

Table 51: Merger of */e/ and */ε/ in Bidya Thaumoung's list and comparison with Banchob's *Aiton-English-Thai Dictionary*.

One early example of the merge of /e/ > /i/ in open syllables is provided in the *Treaty between the Aitons and the Turungs*, No. (44), where the word 𑜋𑜨𑜧𑜨𑜫 '1st daughter' is found. The manuscript was written about 1950 and although it is now somewhat damaged and the consonant can no longer be fully made out, the vowel -^o [ii] can still clearly be seen on the top line of the second half of the page, to the right (see photograph of manuscript, \photos\books\Lik Kheu Meung\, p2). It is fortunate that Nabin Shyam Phalung made a transcription of this manuscript before it was damaged by worms. The Phake equivalent is 𑜋𑜨𑜧𑜨𑜫 yɛ³, showing clearly that this is an example of the merge of /e/ to /i/ in Aiton.

6.3.2.2.2 Central Vowels

As with the front vowels, it appears that in Aiton there is complete merger of the central vowels. The close back spread vowel symbol [u] has been chosen to represent this, just as the close front [i] and close back [u] are being used.

Table (52) shows this merger:

Assamese		Aiton		Phake		Gloss
𑜋𑜨𑜧𑜨𑜫	sola	𑜋𑜨𑜧𑜨𑜫	su ³	𑜋𑜨𑜧𑜨𑜫	sɔ ³	'shirt'
𑜋𑜨𑜧𑜨𑜫	pon	𑜋𑜨𑜧𑜨𑜫	su ²	𑜋𑜨𑜧𑜨𑜫	sɔ̃ ⁵	'straight'
𑜋𑜨𑜧𑜨𑜫	bagh	𑜋𑜨𑜧𑜨𑜫	su ¹	𑜋𑜨𑜧𑜨𑜫	sɔ̃ ⁶	'tiger'
𑜋𑜨𑜧𑜨𑜫	kina	𑜋𑜨𑜧𑜨𑜫	su ³	𑜋𑜨𑜧𑜨𑜫	sɔ̃ ⁴	'to buy'

Audio Link to pronunciation of these words in Aiton (\wave files\aiton\sounds\seu.mp3)

Audio Link to pronunciation of these words in Phake (\wave files\phake\sounds\seu.mp3)

Table 52: Merger of */u/ and */v/ in Aiton

The Aitons and the Phakes are well aware of the difference between their languages in this regard. In Phake, the words mɔ̃² 'hand' and mɔ̃² 'return home' are clearly different (see Table (13) in 6.2.2). On the other hand, Nabin Shyam Phalung clearly that in Aiton these two words are clearly the same, here notated as mur².

6.3.2.2.3 Back Vowels

Whereas in the case of the front vowels, there has clearly been a merger of three phonemes into two, and in the case of the central vowels a clear merger of two phonemes into one, there remains some evidence for three back vowels in Aiton.

In general, as with the front and central vowels, the mid-high back vowel has merged to the high vowel, especially in closed syllables. This is exemplified in Table (53):

Assamese		Aiton		Phake		Gloss
𑜋𑜨𑜧𑜨𑜫	pɔ̃k ³ or	𑜋𑜨𑜧𑜨𑜫	kun ³	𑜋𑜨𑜧𑜨𑜫	kon ³	'bottom'
𑜋𑜨𑜧𑜨𑜫	misa mas	𑜋𑜨𑜧𑜨𑜫	kun ³	𑜋𑜨𑜧𑜨𑜫	kun ³	'shrimp'

Audio Link to pronunciation of these words in Aiton (\wave files\aiton\sounds\kun-kung.mp3)

Table 53: Merger of */o/ and */u/ in Aiton

It appears that this merger of /o/ > /u/ in closed syllables has been occurring for many years. Grierson (1904) records the Aitons as pronouncing the word for 'person' as <Kun>, although, as discussed above (see 6.3.2.2.1) we cannot be sure that this is not simply following the written form.

In addition, Banchob (1977) recorded the following (Table 54)

Aiton (Banchob)	Phake (Banchob)	Gloss
kɔ̃ ²	ko ²	to fear
kun ²	kon ²	person
hɔ̃ ²	hɔ̃ ²	hole
suɔ̃ ³	soɔ̃ ¹	to send
suɔ̃ ⁴	so ⁴	to sip
phuɔ̃ ⁶	phon ⁶	rain
tuk ¹	tok ¹	to fall

Table 54: Merger of */u/ and */o/ in Aiton, after Banchob (20/3/1977)

An examination of all the words beginning with the letter /k/ in Banchob's *Aiton-English-Thai Dictionary* shows that in closed syllables, some Aiton words with reflexes of proto *o are written with <u>, and some with <o>. This is presented in Table (55):

Aiton (Banchob Dictionary MS) ⁵²	Phake (Banchob 1987)	Gloss	Merge
kun ²	kon ²	'bow'	*o > u
kun ⁹	kun ³	'prawn'	*u > u
kun ⁸ , kon ⁸	kun ¹	'hunchbacked'	*u > u, o
kun ² , kon ²	kon ²	'hollow'	*o > u, o

Table 55: Merge of *u and *o in the *Aiton-English-Thai Dictionary* (Banchob ms).

Banchob only records six words beginning with /k/ that have <o> in a closed syllable. Two of these are onomatopoeics and the others are also all found with <u>, as in the examples for 'hunchbacked' and 'hollow' in Table (52). The fact that Banchob wrote <o> as the vowel of the word 'hunchbacked', when the vowel is in fact a reflex of *u, suggests that her Aiton informant freely mixed [o] and [u], but that they had been merged into a single phoneme.

As mentioned earlier, (see 6.3.2.1.1), it appears that in open syllables there may still be a distinction between /o/ and /ɔ/ in a small number of cases. Table (56) exemplifies this contrast.

Assamese	Aiton	Phake	Gloss
বন্ধু bondhu	ကေ [kɔ ³]	ကေ kɔ ³	'friend'
উঠোৱা ut ^h owa	ကေ [ko ¹]	ကေ kɔ ¹	'heap'
জন dzon	ကေ [kɔ ³], [ko ³]	ကေ kɔ ⁴	'CLF'

Audio Link to Pronunciation of these words in Aiton (wave files\aiton\sounds\ko2.mp3)

Link to spectrogram image of repeated pronunciation of 'friend' and 'classifier' (wave files\aiton\wave images\ko2.bmp)

Table 56: [ɔ] and [o] in open syllables

⁵² Banchob notates ten different tones in the *Aiton-English-Thai Dictionary*. Her numbering is maintained in this table. Her findings on tone are discussed at 6.3.4.1.1.

In the audio for Table (56), Bidya Thaumoung repeats the words for 'friend' and 'classifier'. The repetition makes it clear that the pronunciation of the word kɔ³ 'Classifier for humans' varies between [kɔ³] and [kɔ̃³].

In the texts, there is evidence of the vowel in kɔ³'CLF' being pronounced with a higher vowel than /ɔ/ in a word like kɔ³'LINK', just as was observed by Banchob (see 6.3.2.1.1). This can be seen by comparing the vowels of kɔ³ in (30.1) and (30.2), both of which are from the same text and the same speaker.

30.1) လေ ကျ ကေ ကို ခြံ ခံ
le¹ khaa¹ kɔ³ uŋ¹ dai³
roam search LINK NEG get
'She went to look for it but could not get it.'

(Aiton Story, *The story of the forest spirit and the first daughter*, No (7), told by Sa Cham Thaumoung)

30.2) မြို့ မှာ လူက ကေ လို ခြံ ခံ
hur¹ maa² luk³ kɔ³ luŋ² pin² maa²
long time come child CLF one be come
'After a long time a child was born.'

(Aiton Story, *The story of the forest spirit and the first daughter*, No (29), told by Sa Cham Thaumoung)

Link to Spectrogram comparing kɔ³'LINK' and kɔ³'CLF' (wave files\aiton\wave images\ko3.bmp)

Laura Tollfree (pers. comm.) pointed out that to her ear the words for 'friend' and 'CLF for humans' have a different vowel, and she felt that rounding was a key difference between the two. This may suggest that /kɔ³/ 'human classifier' is weakening to /kɔ̃³/ in Aiton.

The use of [o] as an allophone of phoneme /ɔ/ is also found in manuscripts, where the orthography for /o/ is used for words with /ɔ/, as shown in example (31):

31) ကာန်တုင်
kan¹ tɔ̃²
pardon
(Aiton Manuscript, *Calendar for the year 2001-2002*, No. (35))

This use of ᄒᆞ for ᄒᆞ seems to show that /o/ is no longer a separate phoneme. This spelling would never be countenanced by the Phakes. Further evidence from the same manuscript has the word head, *huu'* in Aiton, spelled as ᄒᆞ , which would be the spelling in Phake (*bo⁴*) and in older manuscripts. The use of the same orthography for both /u/ and /o/ strengthens the case that the old phoneme /o/ is no longer contrastive.

All of the words which have [o] in Aiton are reflexes of words whose vowel is *ɔ, such as *kɔ³* 'CLF' or *ɔ²* 'to weave'. The possibility of a separate phoneme /ō/ does not change the fact that proto *o and *u appear to have merged as /u/ in Aiton.

We can summarise this as (32):

- 32) Phake Aiton
 /u/ > /u/
 /o/ > /u/
 /ɔ/ > /ɔ/ and perhaps /o/

6.3.2.2.4 Vowel length distinction.

In general vowels in open syllables are long, and those in closed syllables are shorter. Vowel length is not distinctive, except in the case of /a/~/aa/ where length contrast is present in closed syllables.

It may be that the vowel length distinction /a/ versus /aa/ is being lost in dead (stop final) syllables. Table (57) gives the measurements in seconds for the vowels of several words with /a/ or /aa/ as the nucleus. It is interesting that the short vowels followed by stops are longer than the short vowels followed by nasals in these examples.

Aiton			Length of Vowel in Aiton	Phake		
ᄒᆞ	taan ¹	'like'	0.355"	ᄒᆞ	tān ¹	'as valuable as'
ᄒᆞ	taan ³	'to say'	0.275"	ᄒᆞ	tān ³	'to speak'
ᄒᆞ	taan ²	'cancer'	0.46"	-		
ᄒᆞ	tan ²	'to bar'	0.11"	ᄒᆞ	tan ²	'to close, to bar'
ᄒᆞ	tap ¹	'liver'	0.28"	ᄒᆞ	tap ¹	'the liver'
ᄒᆞ	tap ³	'need'	0.225"	ᄒᆞ	tap ⁴	'need not, must not'
ᄒᆞ	tap ³	'a town'	0.28"	ᄒᆞ	tap ⁴ sük ¹	'military camps in the battlefield'

Audio Link to Pronunciation of these words in Aiton (wave files\aiton\sounds\tan-tap.mp3)

Table 57: Contrastive vowel length in Aiton

As can be seen, there is a very clear difference in the length of the vowels which have nasal finals. The word *tan²* 'to bar' is phonemically short and is clearly much shorter than the phonemically long words. In the case of *taan³* 'to say', the vowel length is shorter than for *taan²* 'cancer'. This may reflect the fact that the 3rd tone was originally glottalised and therefore shorter than the other tones.

In Table (57), all three words with final stops have nuclei which consist of phonemically short vowels, yet they are all somewhat longer the vowel in *tan²* 'to bar'. It may be that the length contrast is being reduced for dead syllables, and that if no contrast is present (no word *taap* was recording in Aiton), length is no longer important. Where there is a phonemic contrast, contrastive length remains, as in Table (58):

Aiton			Length of Vowel	Phake		
ᄒᆞ	kaap ³	'to bite'	0.17'	ᄒᆞ	kāp ⁴	'to bite'
ᄒᆞ	kap ³	'tight'	0.09'	ᄒᆞ	kap ⁴	'tight'

Audio Link to Pronunciation of these words in Aiton (wave files\aiton\sounds\kap.mp3)

Table 58: Contrastive vowel length in dead syllables in Aiton

It appears that in words with final stops, the length of /aa/ is shorter in words with the 3rd tone (low falling), than those with the 1st tone (high level). A neutralisation of length contrast might then be expected to show up first in words with the 3rd tone.

6.3.2.2.5 Diphthongs

Table (59) lists the diphthongs identified in Aiton:

Initials	Finals	i	u	u
i	–	–	–	iu
ɛ	–	–	–	eu
u	ui	–	–	–
ɔ	ɔi	–	–	–
a	ai, aai	au	au	au, aau

Table 59: Diphthongs in Aiton

The decision to categorise these as diphthongs has already been discussed in 6.1.2 above, and the length distinction between /ai/ and /aai/ exemplified in Table (7) above. The diphthong /ɔi/ is exemplified in Table (60):

Assamese	Gloss	Tai Aiton
শামুক hamuk	shellfish	hoi ¹
চিন sin	symbol	hoi ²
মালা গুঠা mala guṭṭa	to hang	hoi ³

Audio Link to Pronunciation of these words (\wave files\aiton\sounds\hoi.mp3)

Table 60: The diphthong /ɔi/ in Aiton

The final /-i/ is longer in both hoi¹ 'shellfish' and hoi² 'symbol', than it is in hoi³ 'to hang'. This is due to the fact that the third tone is shorter than the others, because it was originally a glottal tone.

Table (61) exemplifies the diphthong /au/.

Assamese	Gloss	Tai Aiton
চক্ৰ sapṭa	clear	sau ¹
ভৰোৱা bḥorowa	put in	sau ¹

Audio Link to Pronunciation of these words (\wave files\aiton\sounds\sau.mp3)

Table 61: The diphthong /au/ in Aiton

6.3.2.2.6 Vowel reduction

Apart from the vowels listed in Table (43) above, there is vowel reduction, to something approaching schwa, in some unstressed syllables. As discussed above in 6.2.2.3, this is interpreted in Phake as being either the vowel /ü/ [ɯ] or /ə/ [ɐ]. In Aiton, however, these two phonemes are merged as single phoneme (see above 6.3.2.2.2).

The reduced vowel of haŋ² 'to', as pronounced by Sa Cham Thaumoung and the back unrounded vowel of huŋ² 'glare', as pronounced by Bidya Thaumoung are not the same. The spectrogram for huŋ² 'glare' shows a second formant of around 1500Hz, suggesting a high back vowel, whereas the spectrogram for haŋ² 'to', although rather weak, shows a second formant around 1000Hz. The sound files for these two words can be found at \wave files\aiton\sounds\ as hang.mp3 and heung.mp3, and the spectrograms at \wave files\aiton\wave images.

6.3.3 Phonotactics

6.3.3.1 Initial consonant clusters

Banchob's *Aiton-English-Thai Dictionary* records the following initial clusters for Aiton, mainly in onomatopoeic words:

33) br pr phr dr cr sr kr khr gr
pl kl

Several of these clusters appear to be two syllable words where the first syllable is unstressed and probably pronounced with a unstressed schwa. For example Banchob

records *sa⁸* 'Buddhist monk', which is written sa^8 and transcribed as *sa' rā'* in this thesis.

Diller (1992:15) mentions only four clusters, namely:

34) pr phr kr khr

From the word list of Bidya Thoumoung, the clusters listed in (35) were recorded.

35) pr phr tr khr
pl
py ky

Of these, several such as /tr/, /py/ and /ky/ are only found with words that are obviously borrowed from Pali or Burmese.

Initial clusters are exemplified by the word *khraa*³ 'die' (see \wave files\aiton\sounds\khra.mp3).

6.3.3.2 Epenthetic syllables:

In the names of the days Sunday and Monday, a syllable *ka'* is inserted in pronunciation, as in example (36).

36) ဘ. စာ့ၤသံကျဲၤကွဲၤ ကံၤကျဲၤ ။
 (luŋ²) ta¹ nan¹ ka¹ nui¹ kam³ ka¹
 one Sunday *Mesua pedunculata**
 'First: on Sunday, *Mesua pedunculata* flowers.'
 (Aiton Manuscript, *Calendar for the year 2001-2002*, No. (41), read by Sui
 Khong Thoumoung)

The Shan spellings of the word for Sunday are တာနင်္ဂနွေ, (*ta naan̩: noi*), and Banchob wrote *ta⁴ nãŋ¹ noi¹* for Phake, both of which lack the epenthetic *ka¹*. This *ka¹* probably arises as a result of a velar nasal being followed by /n/ in the next syllable.

6.3.4 Tone

6.3.4.1 Previous Investigations of Aiton

6.3.4.1.1 Banchob

6.3.4.1.1.1 Banchob (24/4/1977)

Banchob (1977) lists seven tones which she identified for Aiton. Using the methodology of Gedney (see 6.1.5.3), Table (62) has been derived from the words listed by Banchob (24/4/1977):

	A	B	C	DL	DS
1	6	3 (also 1,5)	7	1	
2	2				
3					
4					

Description of Tones:

1. high tone
2. high tone with final falling
3. low tone with final rising
4. falling tone
5. low tone
6. rising tone
7. falling tone with a high tone at the beginning

Table 62: Tone Box for Aiton, derived from Banchob (24/4/1977)

It is clear from the above description that

- A1 is a rising tone, and not merged with B1-3
- A2-4 is a high falling tone, as it is today
- D1-3 is a high level tone, as it is today
- C4 & D4 is a falling tone, probably lower than A2-4, as it is today.

Unfortunately Dr. Banchob's data does not give a clear enough description of the B tone or C1-4 for a clear picture to emerge.

6.3.4.1.1.2 Banchob (Aiton-English-Thai Dictionary)

In the *Aiton-English-Thai Dictionary*, Banchob notates ten tones, but most of them are very infrequently used. Only tones 1,2,8,9 & 10 are used widely throughout the manuscript. It appears that Tones 1 and 8 refer to the same toneme, because words like *kai*⁸ 'chicken' and *kɪ*¹ 'how many' belong to the same tone box (B1) and have the same tone in other Tai varieties. Tone number 10 is usually reserved for dead or checked syllables. Table (63) compares Banchob's tones 1, 8 and 10 with Phake:

Aiton - Banchob (<i>Aiton-English-Thai Dictionary</i>)	Phake - Banchob (1987)	Gloss
kɪ ¹	kɪ ¹	how many
ko ⁸	kɔ ¹	heap
kāŋ ¹	kāŋ ¹	crossbow
kūk ¹⁰	kək ¹	to try
kɔk ¹⁰	kauk ¹	a glass
kɔŋ ⁸	kaun ¹	curve
kɔt ⁸	kɔt ¹	to embrace

Table 63: Comparison of Banchob's tones 1,8 & 10 from the *Aiton-English-Thai Dictionary*.

If we assume that Banchob's tones 1, 8 & 10 correspond with the 1st tone as notated in this thesis, that Banchob's tone 2 corresponds with the 2nd tone as notated in this thesis, and Banchob's 9th tone corresponds with the 3rd tone in this thesis, then with very few exceptions, a three tone system can be posited from Banchob's data.

6.3.4.1.1.3 Banchob (Tape recordings)

Old reel-to-reel tape recordings made by Dr. Banchob in Bargaon in about 1970 have come to light. Her methodology for finding out the tones was to read a series of words from each of the Standard Thai tones and get equivalents in Aiton. She pronounced the word in Thai first and then Ai Ne Phalung pronounced the Aiton equivalent. Because the B4 box is merged with C1-3 in Standard Thai, there are no examples of B4 in her list.

Table (64) lists ten words collected by Banchob:

Aiton script	Aiton phonemicisation (after <i>Aiton-English-Tai Dictionary</i>)	Aiton phonemicisation (following this thesis)	Tone Box	Gloss
ᦺᦑᦟᦹᦺ	pa ³ cāi ²	pa ¹ caai ²	A2	'male'
ᦺᦑᦺᦑ	kin ²	kin ²	A2	'eat'
ᦺᦑᦺᦑ	cit ⁸	cit ¹	D(S)2	'seven'
ᦺᦑᦺ	kai ⁸	kai ¹	B2	'chicken'
ᦺᦑᦺᦑ	bān ⁹	baan ³	C3	'village'
ᦺᦑᦺᦑ	khai ⁹	khai ³	C1	'fever'
ᦺᦑᦺᦑ	ma ⁴	maa ³	C4	'horse'
ᦺᦑᦺᦑ	hai ⁹	hai ³	C4	'dry ricefield'
ᦺᦑᦺᦑ	pa ³ sāu ⁷	pa ¹ saau ¹	A1	'female'
ᦺᦑᦺᦑ	seŋ ⁷	seŋ ¹	A1	'diamond'

Audio Link to Pronunciation of these words (\wave files\aiton\sounds\ban-tone.mp3)

Table 64: Aiton Tones from the Tapes of Dr Banchob Bandhumedha.

Although Banchob notates the two A1 tones as 7th tone, and the two B2 tones as 8th tone, both are rising tones and it is hard to see why they are differently notated. Banchob uses the 9th tone to notate both the word for 'dry ricefield' and for 'fever', suggesting a C1-3 and C4 merge.

There is considerable variation in the way that individual Aiton informants pronounce the tones in citation, and this may explain the large number of tones notated by Banchob.

6.3.4.1.2 Diller (1992)

Diller (1992:18) reported two different systems, in Tables (65) and (66):

Aiton₂:

	A	B	C	DL	DS
1	4	6	5	6	
2	3				
3					
4		1	2	1	

Description of Tones:

1. low 2. mid falling 3. high falling
4. low rising 5. mid falling glottalised 6. mid rising

Table 65: Aiton₂ Tone Box after Diller (1992)

This was described by Diller (1992:19) as "undoubtedly more conservative."

Considerable effort during the research for this thesis failed to find any speaker who produces a tone box of this type.

A second tone box, for which Nabin Shyam Phalung was the informant, is given in Table (66). It closely relates to the current situation in the Aiton villages:

Aiton₁:

	A	B	C	DL	DS
1	1		2	1	
2	3				
3					
4				3	

Description of Tones:

1. rising 2. mid falling 3. high falling

Table 66: Aiton Tone Box after Diller (1992)

6.3.4.1.3 Current situation

In preliminary discussions with Bidya Thoumoung and other Aiton informants in November 1999, five tones were recognised. These were described and named as indicated in Table (67):

				examples:		
Tone 1	မိင်မိုဝ်	sig ¹ su ²	straightforward tone	မၢ (ဗၢ)	baa ¹	'shoulder'
Tone 2	မိင်လုင်	sig ¹ lu ²	falling tone	မၢ	maa ²	'to come'
Tone 3	မိင်လွံ	sig ¹ sau ²	resting tone	မၢ	maa ³	'horse'
Tone 4	မိင်ဟိုဝ်	sig ¹ khum ³	rising tone	မၢ	maa ⁴	'dog'
Tone 5	မိင်စိုက်	sig ¹ tuik ³	stopped tone	မၢ (ဗၢ)	baa ⁵	'mad'

Table 67: Tones in Aiton

Despite the fact that it appeared that there were 5 tonemes, on the basis of the above list, while working through the word list, it became clear that Bidya Thoumoung assigned tone 5 to dead or checked syllables, and tone 3 to syllables with final nasals or final vowels, and that therefore Tones 3 & 5 were probably allotones of the same toneme.

Tone 4 was rarely assigned, and in particular was not assigned to the word for 'dog' when that word was encountered in the word list. It therefore appeared that tone 4 was a sporadic allotone of Tone 1.

The following tone box, then, is proposed for contemporary Aiton (Table 68)

	A	B	C	DL	DS
1	1 (&4)		3 (&5)	1	
2	2				
3					
4					

Description of Tones:

1. mid/high level 2. high level then falling 3. mid falling
4. mid rising 5. mid falling glottalised

Table 68: Aiton Tone Box

Examples of the three tones are given in table (69):

Assamese		Aiton		Gloss
মানুহ	manuh	কুন্	kun ²	'person'
পকঁৰ	pakōr	কুন্	kun ³	'bottom'
উভলা	ubhola	কুন্	kun ¹	'to uproot'

Audio Link to Pronunciation of these words (\wave files\aiton\sounds\kun-tone.mp3)

Table 69: The Aiton tones

A very clear example of the tone merger of A1 and B1-4 is indicated in Table (70):

Assamese		Aiton		Phake		Gloss
আদা	ada	কিং	khin ¹	khin ⁶		'ginger'
চাং	saŋ	কিং	khin ¹	kheŋ ¹		'shelf'

Audio Link to pronunciation of these words in Aiton (\wave files\aiton\sounds\khing.mp3)

Audio Link to pronunciation of these words in Phake (\wave files\phake\sounds\khing.mp3)

Table 70: Merger of tones in Aiton

The relationship between the tones of Aiton and those of Phake is shown in (37):

- 37) Phake tones Aiton tones
 Tones 1 & 6 Tone 1
 Tones 2 & 5 Tone 2
 Tones 3 & 4 Tone 3

6.3.4.2 Some examples of tone variations:

6.3.4.2.1 Variation between tones 1 & 4

In mid 2000, Bidya Thaumoung was asked by letter to assign tones to a series of words, after being sent a copy of Table (67) above. He sometimes assigned the rising tone, Tone 4, to words which have the level tone in Phake, and the level tone, Tone 1, to words which have the rising tone in Phake. This suggests that the rising tone (4) is an allotone of the level tone (1), or vice-versa.

Some of Bidya Thaumoung's tone assignments are listed in Table (71), in which, for ease of comparison, Shan forms are phonemicised as if they were Phake, where tone 6 refers to the rising tone. In the Aiton columns, tone 4 refers to the rising tone. Tone 1 refers to the level tone throughout.

Aiton (tones as assigned by Bidya Thaumoung)	English	Phake	Shan	What the Aiton tone would be following Phake & Shan
lung ¹	'big'	lon ⁶	lon ⁶	luŋ ⁴
tang ⁴	'all'	tūŋ ¹		taŋ ¹
kaa ¹ thaa ¹	'Buddhist prayer'		kaa ¹ thaa ¹	kaa ¹ thaa ¹
kho ⁴	'separately'	kho ⁶	kho ⁶	kho ⁴
kheun ² kon ⁴	'also'		khūn ² kōn ¹	kōn ¹

Table 71: Variation between the Aiton level tone and rising tone.

6.3.4.2.2 Possible survival of separate B4 tone

The only evidence of a possible separate B4 tone was in កুন্ មূ ⁶ [kuu² mu⁶] 'every day' (see \wave files\aiton\sounds\tone6.mp3) where the number 6 has been arbitrarily given to refer to a low tone. The word *mu²* 'time' is usually pronounced with the high level falling tone.

6.3.4.3 Short tones in Aiton

There were a small number of words which Bidya Thaumoung pronounced with very short high tones. These words were always open syllables (vowel final). One of these is given in Table (72), and is compared with a vowel final word with a normal long tone.

Aiton		Aiton		Phonetic form	Gloss
তিয়াই খোৱা	diyai t'ɔwa	চেত	ce ²	[tɕeɛ]	'to soak in water'
খিন কৰা	g'in kora	চেত	ce ¹	[tɕeɛ']	'to hate, to dislike'

Audio Link to Pronunciation of these words (\wave files\aiton\sounds\ce-short.mp3)

Table 72: Short tones in Aiton

Another example pronounced by Bidya Thoumoung with a short tone was *taa*³ 'wipe' (hona in Assamese) (\wave files\aiton\sounds\ta.mp3). This word is not always pronounced with a short tone, as can be which can be heard in example (38), spoken by Nabin Shyam.

- 38) တၢ် တၢ် နှံ ဟွံ ကံၤ လိၤ ဘၢ်
 ta¹ taa³ hau³ khon¹ an² lum² nan³
 will wipe GIVE khon CLF one that
 'Will wipe (it) for that one khon.'

(Aiton text, *Explanation of Hong Khon ceremony*, No. (57), told by Nabin Shyam.)

Words with short tones do not occur frequently in the texts, and when they do occur it seems to be younger speakers who use them. One example is (39), where there is a short tone on *paa*³ 'basket':

- 39) ဟ် မၢ် ဟွံ ဝဲ က် ဃာ် ။
 pa³ man² khot¹ pai² kaa¹ yau³
 basket her leave behind go GO FINISHED
 'She left her basket behind.'

(Aiton Story, *Story of the monkey and the fox*, No. (5), told by Bidya Thoumoung).

Further research needs to be done into short tones in Aiton.

6.3.4.4 Tone assignment in loan words

Most loan words in the texts presented in this thesis are polysyllabic Pali loan words. In general, the 1st tone is used for these words, although the third tone can be found on the last syllable. An example of Pali loan words is (40):

- 40) ကုတၢ်ဇူ ... ပုပ္ပဗိသေက ကံၤ ဝၢ်တုက် ကံၤ ဘိ
 u¹ tan¹ ku¹ ru¹ ... pu³ pa³ wi¹ te¹ haa¹ kan² wan² tuk¹ an² nai³
 Utangkuru ... Pabbavideha direction west CLF* this

- ဟ် ဟ် ဝၢ်တုက် ။
 khau¹ paa³ wan² pun²
 they side east**
 'And there is Pabbavideha in the west whilst they (= Utangkuru) are in the east.'

(Aiton Text, *Why Buddha was born in this world*, 2-4-3-1, No. (13), told by Sa Cham Thoumoung)

The assignment of tones to Pali loan words appears to be largely arbitrary.

There are another group of loan words, this time from Burmese, which have been used by the Tais for many generations. The rules of tone assignment for these words has not been investigated as part of this study.

6.3.5 The place of Aiton in Southwestern Tai

Throughout the discussion on the Aiton tonal system, there has been an underlying assumption that the Aiton tones are a merged system. With only three tonemes, Aiton has fewer contrastive tones than almost any other language in the Tai family. It is certainly plausible that a tonal system like that of the Phake could have merged into what we find in Aiton now.

Yet that is not necessarily so. In the light of the Aiton tonal system, it is worthwhile to observe that the reconstruction of proto Southwestern Tai tones posits three tones, as discussed above in 6.1.5.3. A similar observation can be made with the vowels, where the number and distribution of vowels in Aiton agrees with the reconstruction for proto Southwestern Tai in Jonsson (see above 6.1.1.3).

Indeed it is only the consonant inventory of Aiton that is markedly different from the posited proto Language. Since no Tai language has a consonant inventory anywhere near as large as that proposed in 6.1.1.1, it may well be that Aiton, with its preservation of certain consonant distinctions, its preservation of certain clusters, its seven vowel system and three tones, is closer to proto Southwestern Tai than the other daughter languages in Assam.

6.4 Some preliminary observations on Khamyang phonology

As discussed above in 2.3.4.2, Khamyang is now only spoken in the single village of Pawaimukh, in Margherita subdivision of Tinsukia district. Over several visits to Pawaimukh, I have made a number of recordings in Pawaimukh, mostly of Chaw Sa Myat Chowlik.

However, according to Deben Chowlik, Chaw Sa Myat Chowlik mixes Khamyang speech with Phake. There are several reasons for this. The first is that the Khamyangs are used to converging to other dialect norms because they are few in number. Secondly, since I am much more familiar with Phake than Khamyang, the Khamyang speakers are more likely to converge to Phake forms when recordings are being made. Furthermore, at least some of the Khamyang speakers feel that their own variety is more difficult to understand than the other varieties. In order to assist speakers from other varieties, they tend to converge to them.

For example, Chaw Sa Myat spoke line (41.1) with Phake phonology and then repeated it as (41.2) with Khamyang phonology:

41.1) $\text{cau}^3 \text{ khau}^6 \text{ ye}^4 \text{ thau}^6 \text{ ta}^1 \text{ kaa}^1 \text{ nai}^3$
 RESP 3PI PRT where WILL go get
 'His father said: 'Where will you get (them)''

41.2) $\text{thau}^6 \text{ ti}^1 \text{ kaa}^1 \text{ iai}^3$
 where WILL go get
 'Where will you get it?'

(Khamyang Story, *Of children and kings*, 3-1-1-3, Nos. (8) & (9), told by Sa Myat Chowlik.)

The differences between the two relate to the tones and the use of initial /l/ in (41.2), for the reflex of proto Southwestern Tai *d, which is always /n/ in Phake. Furthermore, in the word *thau*⁶ 'where', there is a full realisation of [w], the second element of the

diphthong, in Phake, whereas [w] is hardly audible in the Khamyang pronunciation. This is further discussed below in 6.4.2.1.

Chaw Deben Chowlik, otherwise known as Chaw Kyun, was born around 1940 and never learned to read Tai, although he is fully proficient in reading Assamese and also knows English. His spoken forms are quite distinct from Phake forms, and he believes that they represent a more 'authentic' Khamyang. Most of the phonological information, therefore, is based on the speech of Chaw Deben Chowlik, rather than Chaw Sa Myat Chowlik.

6.4.1 Consonants

Based on several interviews with Chaw Deben Chowlik, Table (73) has been drawn up showing the initial consonants of Tai Khamyang:

	Bilabial	Dental / Alveolar	Palatal	Velar	Glottal
Voiceless unaspirated stops	p	t	c	k	(?)
Voiceless aspirated stops	ph	th		kh	
Voiced stop	b				
Nasals	m	n	ɲ	ŋ	
Voiceless fricative		s			h
Semi vowel			y		
Lateral Approximant	l				

Table 73: Consonant Phonemes in Khamyang

The distribution of /b/ and /l/ differs from that in other Tai varieties, as shown in Table (74):

English	Khamyang	Phake Banchob 1987	Aiton	Khamti Harris 1976
good	lii ⁵	nī ²	dii ²	ni ¹
star	laau ⁵	nāu ²	daau ²	naaw ¹
finger	liu ⁴	nīu ⁴	niu ³	liw ²
cold	laau ⁶	nāu ⁶	naau ¹	naaw ⁴
speak	baa ⁵	wā ⁵	waa ²	

comb	bii ⁶	wi ⁶	wii ¹	wi ⁴
fan	bii ²	wi ²	wii ²	wi ³
shoulder	maa ¹	mā ¹	baa ¹	
village	maan ³	mān ³	baan ³	maan ⁵

Table 74: Comparison of Khamyang initial /b/, /l/ and /m/ with other Tai varieties

This use of initial [b], rather than [w] is apparently found for all words with reflexes of initial *w and *hw in proto Southwestern Tai. It is interesting to note that Grierson (see 3.2.6.6) observed that in Nora, "the letter *o* is sometimes *ba*, but more usually *wa*." (1904:179). Chaw Deben Chowlik always pronounces this phoneme as [b], such as in example (42), but other Khamyangs do not.

- 42) ကွံ ဟဲ ကိုင် ဟဲ ဝိ ဟဲ က ဝိ
kau⁵ baa⁵ uŋ¹ cau⁵ bii⁶ ho⁶ ε¹ bii⁶
1Sg say NEG be comb head PRT comb
'Didn't I say *bii⁶ ho⁶*, a comb?'

(Khamyang Sentence spoken by Deben Chowlik.)

Audio link for this sentence example (wave files\khamyang\extracts\3-10-2-6\44.mp3)

Sometimes this realisation of /b/ is somewhat fricativised, as in (43):

- 43) ကွံ ဟဲ ကြဲ တူ တူ ကွံ ဟဲ
khaam² boi¹ phraa² tuu¹ tuu¹ khaam² nuŋ⁵
word bless Buddha same word one

လွတ် ကာ် ဟဲ ယံ ဟဲ ဟဲ ကြဲ ॥
lot¹ an² khau⁶ yaau² khaam² boi¹ phraa²
short CLF 3Pl long word bless Buddha

'The words of blessing the Buddha are the same, although ours is short and theirs is long.'

(Khamyang Sentence spoken by Deben Chowlik.)

Audio link for this sentence example (wave files\khamyang\extracts\3-10-2-6\56.mp3)

The pronunciation of words which are the reflexes of those that had initial *d in proto Southwestern Tai is a much more widespread Khamyang feature. Most of the Khamyang speakers pronounce such words with initial /l/ at least some of the time.

For example, Chaw Sa Myat pronounces the word for little finger as *liu⁴ ko¹* (from proto *niuC4) (see wave files\khamyang\sounds\liu.mp3).

Some of these words which are initial /l/ are not found with initial /l/ in any other Tai varieties in India. Examples of this include *laau⁶* 'cold', which has initial /n/ in Aiton, Phake, Khamti and in the reconstruction of proto Southwestern Tai. Moreover, I have not been able to identify any words with initial /n/ in Phake that are never pronounced with initial /l/ in Khamyang.

It is not clear why the Khamyangs pronounce so many of these words with initial /l/. It is a distinguishing feature of Khamyang to use of initial /l/ for words which are reflexes of those with proto initial *d, and which are pronounced with initial /n/ in Phake. It may be that in the terminal stages of the Khamyang language, hypercorrection to /l/ is occurring.

Both the pronunciation of initial /b/ and the pronunciation of initial /l/, as discussed in the previous paragraph, alternate with the Phake norms of /w/ and /n/ respectively. Chaw Sa Myat Chowlik almost always uses /w/ and /n/, following the Phake pattern, even when he is using Khamyang tones.

In example (4) in section 6.2.1 above, it was pointed out that certain function morphemes in Phake with initial /k/ show consonant initial lenition. A similar process is observed in Khamyang. The initial consonant of the morpheme *kaa¹* 'GO', marking past tense, is lenited to [ʔ] or zero. This can be seen in example (44), which also shows lenition to [ɣ] on the initial /k/ of *nan⁴ ko¹* 'moreover'.

- 44) ကာ်ကော့ လိမ် ကာ်ကော့ လုက် ကာ် ကော့
nan⁴ ko¹ lum² can¹ nai³ luk⁴ ne⁴ khau⁶
moreover forget now child DEF 3Sg

လုက်ကွက် ဟုံ ကိုန် တာန် နှိ ကျ ။

luk⁴ on¹ khau⁶ uŋ¹ taan³ haai⁶ aa⁴
child 3PI NEG speak disappear PRT.GO

'Moreover, they've forgotten, those children now, those children can't speak, its all gone.'

(Khamyang text, *The future of the Khamyang language*, No. (20), spoken by Deben Chowlik.

6.4.1.1 Consonant clusters.

Although it has not been possible to make a comprehensive study of initial consonant clusters in Khamyang, no words of proto Southwestern Tai origin have been recorded with initial consonant clusters. There are some words with clusters having /r/ as the second member, such as *phrā²* 'Buddha' in example (43) above.

6.4.2 Vowels

The ten vowel contrast reported by Diller (1992:17) for the Tai varieties appears to be maintained in Khamyang. The vowels are listed in Table (71):

i	u
e	o
ɛ	ɔ
a, aa	

Table 75: Vowel phonemes in Khamyang

There is a clear contrast in height between the close vowels /i,u,u/ and the corresponding mid close vowels /e,y,o/, as demonstrated for the Central Vowels in Table (76):

Assamese		Khamyang example	English gloss
ঢোলা	sola	sv ³	'shirt'
বাঘ	bag ^h	sv ⁶	'tiger'
কিনা	kina	su ⁴	'buy'
বিসনা পরা	bisna para	sv ¹	'cover, spread'
পুনে পুনে	pune pune	su ⁵	'straight'

Audio link to Pronunciation of these words (wave files\khamyang\sounds\seu.mp3)

Table 76: Central vowels in Khamyang, pronounced by Deben Chowlik

Sa Myat Chowlik clearly distinguishes between /u/, in *huu⁶* 'ear' and /o/ in *ho⁶* 'head', as can be heard in the audio file \wave files\khamyang\sounds\hu-ho.mp3. However, this distinction is also present in Phake, and Sa Myat's speech might be influenced by Phake.

In the speech of Deben Chowlik, these distinctions also seem to be clear. In examples (45) and (46), the mid-close vowels /o/ and /e/ are heard in both in open syllables (*to⁵* 'body' and *me²* 'wife') and in closed syllables (*tan¹* *lon⁶* 'all' and *cep¹* 'hurt'). The realisation of /e/ is clearly mid-close, but the /o/ is less clear, especially *to⁵* in example (46). A clearer pronunciation of /o/ is found in example (42) above, on the word *ho⁶* 'head'.

45) လိုဏ်ပိုဏ်း ဟိ တုဏ် တင်လုဏ် ဟိဏ် ကျိုဏ်
ly⁴ py⁵ nai⁴ sii⁶ to⁵ tan¹ lon⁶ cep¹ na¹ hu⁶
therefore PRT body all hurt how

ကဏ် ကျ တျ ကွ ပွဏ် ။
k.ən⁵ naa⁶ ta¹ au⁵ pək⁴
direction PRT.QN WILL take return

'So we are distressed, and do not know how we will get (our traditions) back.'

(Khamyang text, *The future of the Khamyang language*, No. (40), spoken by Deben Chowlik.

46) နှင် ဇာ နှင် လုဏ် တင်လုဏ် နှ် တာန် ဟုံ တိ
han² me² han² luk⁴ tan¹ lon⁶ hau³ taan³ khaan² tai²
to wife to child all GIVE speak word Tai

ဟုံ ဟုံ တိ ။
son⁶ khaam² tai²
teach word Tai

'We should get out wives and children to learn and speak the Tai language.'

(Khamyang text, *The future of the Khamyang language*, No. (42)>, spoken by Deben Chowlik

6.4.2.1 Vowel reduction:

A number of vowel reduction processes with function words are apparent in the speech of Chaw Deben Chowlik. For example, the word (/luk⁴/ in Phake) 'from' is pronounced by him as [luk⁴], and is distinguished from the word for 'child', (also /luk⁴/ in Phake), as can be heard in examples (47) and (48):

- 47) လုက့် မွဲလုင် မု ဆုင် ကက် လိ ...
 luk⁴ maa² loŋ⁶ maa² co⁵ an⁵ lai⁴ ...
 from Mau Lung come generation CLF so ...
 'From Mau Lung, generations ago ...
 (Khamyang text, *The future of the Khamyang language*, No. (26), spoken by Deben Chowlik

- 48) ကက်လု လိမ် ဆင်ဂိ လုက့် လာ ဟုံ
 nan⁴ ko¹ lum² can¹ nai³ luk⁴ ne⁴ khau⁶
 there forget now child DEF 3Sg
 လုက့်ကွက် ဟုံ ကိုင် တက် နိ ကျ ူ
 luk⁴ on¹ khau⁶ uŋ¹ taan³ haai⁶ aa⁴
 child 3Pl NEG speak disappear PRT.GO
 'Moreover, they've forgotten, those children now, those children can't speak, its all gone.'
 (Khamyang text, *The future of the Khamyang language*, No. (20), spoken by Deben Chowlik.

When /luk⁴/ 'from' in example (47) and the two tokens of /luk⁴/ 'child' in example (48) are compared closely, it is found that there are three different vowels, as can be heard on the audio file (\wave files\khamyang\sounds\luk.mp3). The vowel in the second token of /luk⁴/ 'child' is clearly lower. This might be attributed to assimilation to the following mid-open vowel. Phonetically the second /luk⁴/ is [lu⁴on¹]. The spectrogram (\wave files\khamyang\wave images\luk.bmp) of these three vowels clearly shows the presence of second formant at around 1000Hz for the words for 'child', which is not present in the word for 'from'.

There is also a process of reduction of the second member of the diphthong /au/. The latter was already commented above in the speech of Chaw Sa Myat (see above: example (41)), and is one of the more noticeable differences between Phake and Khamyang.

The words *thau⁶* 'where' and *hau³* 'give' occur quite frequently but in each case the final /u/ is barely audible (see for example *hau³* in example (46) above). This could be notated phonetically as [aa⁻]. This is so striking that a casual observer might initially hear the vowels as simply [aa], and an [aa] pronunciation is accepted by the Khamyangs.

There are a number of other vowel reduction processes that have been observed, such as *lai⁴ 'so' being realised as [ly⁴] in example (45) above.

6.4.3 Tones

The Khamyang tonal system has six tones with a different distribution from the six tones of the Phake (see 6.2.4.4). The tone box in Table (77) is based on an interview and some words recorded in April 2001. The informant was Chaw Deben Chowlik⁵³. This tone box differs from the Phake, where A2-3 is merged with A4, and B4 is a separate tone. It also differs from Khamti where A1 is merged with B1-3.

	A	B	C	DL	DS
1	6	1	3	1	
2	5				
3					
4	2	5	4	4	

⁵³ I am very grateful to Jerrold Edmondson for discussing these tones with me.

Tone 1	mid level with slight fall
2	high level then falling
3	low, falling and glottalised
4	high level then falling with glottal ending (weaker glottalisation than tone 3)
5	low falling
6	level, with possible rise at the end

Table 77: Tones in Khamyang

The numbering of the Khamyang tones in this thesis follows the Phake numbering as closely as possible. Because of the different distribution of the tones, A2-3, which are tone 2 in Phake, are numbered as tone 5 in Khamyang. All other boxes have the same tone number as Phake, although the contour and quality of the tones differs.

Table (78) exemplifies five of these tones on the syllable *maa*, as spoken by Deben Chowlik.

	Assamese	Gloss	Tai Khamyang
কাঁধ	kandho	'shoulder'	maa ¹
কুকুৰ	kukur	'dog'	maa ⁶
বলিয়া	boliya	'crazy'	maa ³
অহা	sha	'come'	maa ²
ঘোঁড়া	ghura	'horse'	maa ⁴

Audio Link to pronunciation of these words (\wave files\khamyang\sounds\ma-tones.mp3)
Link to Image of pitch contour chart for these words (\wave files\khamyang\wave images\ma-comp.bmp)

Table 78: Tones 1-4 and 6 pronounced on the syllable *maa* in Khamyang

The contour of the 2nd tone and 4th tone are almost exactly the same. Both are high level and falling, but the 4th tone has an abrupt glottal ending. The contour of these two tones differs significantly from the 5th tone, which is low falling. Table (79) compares the 1st, 4th and 5th tones on the syllable *kaa*.

	Assamese	Gloss	Tai Khamyang
যাব	dʒabo	'go'	kaa ¹
কাউৰী	kauri	'crow'	kaa ⁵
বেপাৰ	bepar	'business'	kaa ⁴

Audio Link to Pronunciation of these words (\wave files\khamyang\sounds\ka-tones.mp3)
Link to Image of pitch contour chart for these words (\wave files\khamyang\wave images\ka-comp.bmp)

Table 79: Tones 1, 4 and 5 on the syllable *kaa* in Khamyang

Unlike in the other varieties of Tai spoken in Assam, the C4 tone (tone 4) is a high falling tone, with only an abrupt glottal closure clearly distinguishing it from the A4 tone (tone 2). The contours of tones 2 and 4, however, are almost identical.

The difference between the C4 tone and the cognate tone in Phake is exemplified in (49), where Sa Myat Chowlik pronounces a sentence first in Phake, then rather more quietly in Khamyang phonology:

- 49) ខ្មែរ កិន មី ខ្មែរ កិន មី
 khaur³ kin² ny⁴ khaur³ kin⁵ ly⁴
 want eat meat want eat meat
 'Want to eat meat.'

(Khamyang Story, *Of children and kings*, 3-1-1-3, No (65) told by Sa Myat Chowlik.)

The A1 tone (tone 6) and the B1-3 tone (tone 1) are similar. They are both level, and both at about the same pitch, but the key difference is the possible final rise in tone 6, which is not found in tone (1). This final rise is much less pronounced than in Tai Phake, and was another difference between examples (41.1) and (41.2) above. Clear examples of the rising tone in connected speech can be heard with the words *sii*⁶ and *log*⁶ in example (36) above.

Where there is no contrast required, the 6th tone can even fall, as in example (42) above, where the word *bi*⁶ at the end of the sentence clear falls. This can be seen in the pitch contour diagram (see \wave files\khamyang\wave images\bi.bmp).

The first tone can be either level, as with *ti*¹ in (50.1) or falling, as with *ti*¹ in (50.2):

- 50.1) *kii*¹ *ban*² *ta*¹ *uu*¹ same
 how many day will stay same
 'How many days will you stay, it's the same (as in Phake).'

- 50.2) *kii*¹ *ban*² *ta*¹ *uu*¹
 how many day will stay
 'How many days will you stay.'
 (Khamyang sentences spoken by Deben Chowlik.
 Audio link for these sentence examples (\wave files\khamyang\extracts
 \3-10-2-6\79-80.mp3)

This has been only been a brief sketch of Khamyang phonology. Moreover it is largely based on the speech of a single informant, and it is not clear whether this sketch represents the Khamyang speech community adequately. Readers who wish to hear the Khamyang, as spoken by Deben Chowlik, are enjoined to examine the story of the *Hunter's Parrot*. The text contains some other features of Deben Chowlik's speech, such as word fusion, where two words are fused as one. This is demonstrated in (51):

- 51) *my*⁴ ... *cau*³ *phaa*⁴ *ko*⁴ *ñan*⁵ *bai*⁴
 at that time ... king CLF.one have KEEP
 (Khamyang story, *The Hunter's Parrot*, No. (1), spoken by Deben Chowlik.

The first morpheme in (51) is a combination of *mu*⁵ 'time' and *nan*⁴ 'that', with the whole word being in the fourth tone. A second fused morpheme is [*ko*⁴] which is a combination of *ko*⁴ 'CLF' and *ny*⁵ 'one'. It also takes the fourth tone. In both cases the fourth tone (high falling glottal) seems to override the fifth tone (low falling).

Perhaps the contour and other features of the fourth tone are stronger than those of the fifth tone, and this is why both of these fused forms bear the fourth tone.

6.5 Some preliminary observations on Ahom phonology

6.5.1 Consonants

Based on the Ahom alphabet, and assuming that there is a one to one correspondence between the glyphs for the initial consonants and their phonemes, the following phoneme table can be presented:

	Bilabial	Dental / Alveolar	Palatal	Velar	Glottal
Voiceless unaspirated stops	p	t	c	k	(ʔ)
Voiceless aspirated stops	ph	th		kh	
Voiced stop	b	d			
Nasals	m	n	ɲ	ŋ	
Voiceless fricative		s			h
Semi vowel			y / ɜ		
Rhotic Approximant	r				
Lateral Approximant	l				

Table 80: Possible Consonant Phonemes in Ahom


Because Ahom is no longer spoken as a mother tongue (see above 2.2), any discussion of the Ahom consonants must be based on written sources. We are fortunate to have access to an eighteenth century Ahom-Assamese word list, the *Bar Amra* (manuscript No. 31 in the Department of Historical and Antiquarian Studies, Guwahati.). This manuscript, written entirely in Ahom script, gives definitions for several thousand Ahom words in Assamese. By analysing this manuscript, we may be able to establish the status of at least some of the Ahom phonemes.

For example, in Assamese the reflex of proto Indic *j, the palatal semi vowel, is realised as [ɟ], [dʒ] or [z] and phonemicised as /z/⁵⁴. In the *Bar Amra*, this consonant is usually written with Ahom <w> which is the reflex of proto Tai *j, also a palatal

⁵⁴ I am very grateful to Dr. Jyotiprakash Tamuli of Guwahati University for his assistance on Assamese phonology.

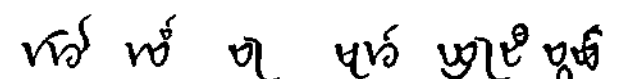
semi vowel. Given that Aiton shows fricativisation of the palatal semi vowel (see 6.3.1.1.6 above), it might be that, at least in the late 18th century, the reflex of the the palatal semi vowel in Ahom was [ʒ] or [dʒ].

Example (52) from the *Bar Amra* exemplifies its use

- 52)  ॥
 kaa cam baa yaa bōr / zaa bōr
 go PRT say go (Assamese zabō)
 'Kaa means 'go'.'

The reflex of proto Southwestern Tai *c is still pronounced as [c] in both Aiton and Phake. However in Assamese, the reflex of proto Indic *c is always [s]. Present day Ahoms generally pronounce Tai words with initial /c/ as if they had initial /s/. If this were so in the late 18th century, we might expect to find Assamese words with /s/ being written with both Ahom <w> /c/ and <w> /s/. However, in that portion of the *Bar Amra* so far examined, there is no examples of Ahom <w> being used for /s/ in any Assamese word. This suggests that Ahom /c/ was maintained as a phoneme towards the end of the Ahom era.

There is one interesting orthographical usage in the *Bar Amra*, and that is the use of subscript /r/ to mark the voiced aspirate series. For example, in (53), the Assamese word is pronounced [mukʰ bʰaŋibor]. The voiced aspirate /bh/ is written with Ahom <w> /ph/ and the subscript /r/. In Ahom this cluster would have been pronounced /phr/.

- 53)  ॥
 khe cam baa mukh phraji bōr
 khe PRT say remove the evil eye (Assamese mukʰ bʰaŋibo)
 'Khe means 'to remove the evil eye'.'

As Diller (1992:14) observed, Ahom maintains at least one of the proto preglottalised stops, namely /d/, which is a reflex of proto *d. The Ahom symbol for /d/, <ɣ> is very



similar to that for /n/, and it is not clear which letter is intended. This may suggest that in Ahom, as in Aiton, words with initials that are reflexes of proto *d varied between /n/ and /d/ in initial position.

In written Ahom it can sometimes be difficult to distinguish <w> /m/ from <w> /b/. Ahom /b/ is itself a merge of proto *b and proto *w, and since in many other Tai varieties the reflexes of proto *b merge with proto *m, the orthographical similarity of these two symbols is a source of some problems.

Finally, the printed edition of the *Bar Amra* (B.K. Barua and Phukan 1964), together with the earlier *Ahom-Assamese-English Dictionary* (G.C. Barua 1920) contained a number of words with initial consonant clusters. Some of these do appear in Ahom manuscripts, but it seems that many do not. The extent to which B.K. Barua and Phukan (1964) can be relied upon has not yet been fully determined. Historical reconstructions which rely on G.C. Barua (1920) or B.K. Barua and Phukan (1964) should be re-examined in the light of these findings.

6.5.2 Vowels

Only six vowels are written in Ahom, discussed below in 7.1. Therefore it will be difficult to reconstruct the Ahom vowel system from the script alone. One clue, however, will come from the marking of front vowels in open syllables. In Phake, where there are three contrastive front vowels, words like *ye*² 'granary' and *me*² 'wife' are written with initial *soi*¹ *tho*² (c-), just as words with final /-e/ would be. In Aiton, on the other hand, where /e/ and /i/ have merged, these words are written with *luŋ*¹ *kɪ*² *teŋ*¹ (-²) [ii].

The Aiton pattern is also found in Ahom, at least in the *Bar Amra*, where the word for wife is written as  (mii) and granary as  (yii), as in examples (54):

- 54.1) ॥
 mii cam baa tii ro tak
 mii PRT say female (Assamese *tirata*)
 'Mii means 'a female'.'

(*Bar Amra*, p 8 top line)

Link to a photograph of this page of the Bar Amra ([photos\Bar Amra\p 8.jpg](#))

- 54.2) ॥
 yii cam baa phoralok
 yii PRT say granary (Assamese *b'oral*)
 'Yii means 'a granary'.'

(*Bar Amra*, p 13 third line)

Link to a photograph of this page of the Bar Amra ([photos\Bar Amra\p 13.jpg](#))

It is therefore probable that the Ahom vowel system, at least in the final stages of the language, was the same as Aiton (see above 6.3.2.2). This is given in Table (81):

i	u
ε	o
a, aa	

Table 81: Possible vowel phonemes in Ahom

6.5.3 Tones

Almost nothing is known of the original tonal system of the Ahoms. Grierson, having indicated that there should be tones in Ahom, and that tradition is silent on the matter, states:

"Moreover, in the one word, the tones of which I have been able to ascertain, they differ from those of the Khāmṭī and Shān. This is the word *mā*, which, when it means 'a horse', has in Āhom a long tone, and in Khāmṭī an abrupt tone, while *mā*, 'a dog', has in Āhom an abrupt tone, but in Khāmṭī and Shān a rising inflection." (1904:90)

It would be most curious if this statement reflected the situation. The abrupt tone referred to is presumably a glottalised or creaky tone, a feature of C tones and occasionally B tones, but rarely if ever of A tones (see the discussion of tones in

6.1.5.3 above). Grierson gives no information about his source for this claim, and it must be treated with some caution.

The only attempt to reconstruct Ahom tones has been Weidert (1979), who compared the Shan, Standard Thai and Khamti tonal systems in order to make a reconstruction of the Ahom tonal system (see above 3.2.14.2). I do not believe that this methodology was valid. First of all it fails to take into account the tonal systems of the other Tai languages remaining in Assam, the Aiton, Khamyang and Phake. Secondly, Weidert's methodology gave equal weight to each of the three languages, even although Standard Thai is part of the Shan group and on linguistic grounds appears to have been separated from Ahom for a long time. Thirdly, not enough languages are examined.

Future attempts to reconstruct the original Ahom tone system might involve:

- Exploring foreign sources, particularly from China, which have data about the Ahom. It is likely that Chinese missions visited Assam over the centuries, and if they did, they may have collected some linguistic information about Ahom. Any recording of the Ahom language in Chinese would encode information about the Ahom tones.
- Comparing the tonal systems of the existing Tai languages of Assam and trying to explain how they came about. Existing Tai tone systems are quite different from those in, for example, Shan state of Burma. This may be due to the influence of the Ahom.

6.5.4 Ahom and Aiton

Interestingly, the putative reconstructions for the inventories of Ahom consonants (see above Table (80)) and Ahom vowels (see above Table (81)) are very similar to those found for Aiton, (see above 6.3.1 and 6.3.2 respectively). In addition, Aiton and Ahom both preserve some initial consonant clusters.

Given this, and the Aiton traditions about contact with King Suhungmung as far back as 1500 and Grierson's statements on the Aitons being interpreters for the Ahoms (see above 2.3.1), it might be well to rethink the relationship between Aiton and Ahom.

It may be that some aspects of contemporary spoken Aiton, particularly those that differ from the other Tai languages, are features that might have been present in the Ahom language.

It is to be hoped that further research on the relationship between Aiton and Ahom will be possible in future years.

7. Writing

All the various Tai communities in India are literate. The scripts that they use are all related to the other scripts of mainland Southeast Asia, and like them are descended from Indic scripts. There are two distinct systems in use amongst the Tai of Assam: the Ahom script, and the scripts of the other Tai groups. The living Tai scripts are known in Phake as $\text{to}^2 \text{lik}^4 \text{tai}^2$ 'Tai writing', or simply Lik Tai.

Only a brief survey of the Ahom script will be presented here, so that it can be referred to and compared with the script in use amongst the other Tai groups. The Ahom script was discussed in very great detail by Ranoo (1986).

7.1 Ahom Script

Scholars differ as to the origins and date of the Ahom script. The leading scholars among the Ahom community, for example J.N. Phukan, believe that the Ahom script was brought with King Sukapha (su kaa phaa), suggesting a date for the genesis of the script in the 12th or 13th century. This, however, would appear to predate the time at which the merger of proto voiced and voiceless consonants (see 6.1.1.2 occurred⁵⁵, and had the script been devised at that time, it would have been expected that it would have marked this distinction, as the Thai, Lao and Lanna scripts all do. This issue will be discussed in detail below in 7.6.

Table (1) lists the initial consonants of Ahom in two fonts, the first of which is based on the printed Ahom font developed for the publication of Ahom texts in the first half of the 20th century, and the second of which is based on an Ahom manuscript. The transcription into Roman script is that of B.K. Barua and Phukan (1964). The order of the consonants in Table (1) is given by B.K. Barua and Phukan (1964:198), itself based on the order in which the consonants first appear in the *Bar Amra* (see 3.2.9)

⁵⁵ The script used in Thailand dates from around the 12th or 13th century. The fact that there are 44 consonant glyphs in the Thai script is thought to suggest that the distinction of voiced and voiceless consonants was still in place at that time in Thailand.

Ahom letter	Ahom letter (manuscript font)	Transcription into Roman, B.K. Barua and Phukan (1964)	Reconstructed phoneme	Cognate phoneme in Phake	Cognate phoneme in Aiton
ᩁ	ᩁ	k	/k/	/k/	/k/
ᩃ	ᩃ	kh	/kʰ/	/kʰ/	/kʰ/
ᩅ	ᩅ	ng	/ŋ/	/ŋ/	/ŋ/
ᩇ	ᩇ	n	/n/	/n/	/n/
ᩉ	ᩉ	t	/t/	/t/	/t/
ᩊ	ᩊ		/p/	/p/	/p/
ᩌ	ᩌ	ph	/pʰ/	/pʰ/	/pʰ/
ᩎ	ᩎ	b	/b/	/m/, /w/	/b/, /w/
ᩏ	ᩏ	m	/m/	/m/	/m/
ᩑ	ᩑ	j	/j/~/dʒ/	/j/	/ʒ/
ᩓ	ᩓ	s	/s/	/tʃ/	/tʃ/
ᩕ	ᩕ	th	/tʰ/	/tʰ/	/tʰ/
ᩗ	ᩗ	r	/r/	/h/	/h/, /r/
ᩙ	ᩙ	l	/l/	/l/	/l/
ᩛ	ᩛ	ch	/s/	/s/	/s/
ᩝ	ᩝ	ñ	/ɲ/	/ɲ/	/ɲ/
᩟	᩟	h	/h/	/h/	/h/
᩠	᩠	—	—	—	—
ᩡ	ᩡ	d	/d/	/n/	/d/
ᩣ	ᩣ	dh	/dʰ/	—	—

Table 1: Initial Consonants in Ahom

The column headed 'reconstructed phoneme' posits the pronunciation of these consonants at the end of the period of the Ahom Kingdom. This is discussed at 6.5.1 above.

The last two consonants may have been added later. The glyph ᩣ /d/ almost certainly represents an Ahom phoneme, but the symbol is very similar to ᩡ /n/. As discussed above in 6.5.1, the phonemes /n/ and /d/ may have been in variation in Ahom, as they are in Aiton today. The glyph ᩣ /dh/ is listed in B.K. Barua and Phukan (1964), but it is not accepted as an Ahom phoneme. The glyph was developed from ᩡ /th/ and was probably mostly only for borrowed words.

In addition to these consonants, all of which occur regularly in the manuscripts, there are a number of consonants used for borrowed words, most of which are derived from existing Ahom consonants. These are shown in Table (2)

Ahom letter	Ahom letter (manuscript font)	Transcription into Roman, Barua and Phukan (1964)
ᩥ	ᩥ	g
	ᩦ	g
ᩧ		g
ᩨ		gh
ᩩ		jh
ᩪ		bh

Table 2: Ahom Consonants used for borrowed words

Table (3) shows the vowels of Ahom. As in most of the alphabets based on old Indic alphabets, vowels cannot stand alone, but have to be attached to a consonant. In Table (3) they are all shown below with initial /k/ (ᩁ), and with a final /-k/ when showing the method of writing medial vowels.

Ahom Symbol	Recon-structed Phoneme	Cognate sound in Phake	Cognate sound in Aiton	Ahom Symbol	Recon-structed Phoneme	Cognate sound in Phake	Cognate sound in Aiton
Initials				Medials			
ᱠ	/a/, /a:/	a, ā	a, aa	ᱡ	/a/, /a:/	a, ā	a, aa
ᱢ	/i/	i (i)	i (ii)	ᱣ	/i/, /e/	i, e, ε	i, ε
ᱤ	/e/	e, ε	ε				
ᱦ	/u/	u (u), o	u (uu)	ᱧ	/u/	u, o	u,
ᱨ	/ɔ/	ɔ	ɔ	ᱩ	/ɔ/	ɔ	ɔ
ᱪ	/w/	ü, ə	w	ᱫ	/w/	ü, ə	w,

Table 3: Ahom Vowels

The phonemic values given for the vowels in Table (3) are discussed above in 6.5.2.

In addition to the consonants and simple vowels there are three symbols which are used for diphthongs and vowels with final consonant combinations. These are listed in Table (4):

ᱬ	/aw/
ᱭ	/am/, /ām/
ᱮ	/ai/, /āi/

Table 4: Combined vowel and consonant signs or diphthong signs in Ahom

7.2 Aiton & Phake

7.2.1 Initial Consonants

The Tai alphabet, (တုၤလိၣ်တုၤ *tuu² lik³ tai²* in Aiton and တုၤလိၣ်တုၤ *to² lik⁴ tai²* in Phake), contains 18 initial consonants. The symbols used vary from manuscript to manuscript and from scribe to scribe, with those presented in Table (5) being those in the Aiton and Phake fonts (see below 7.8). The Phake transcription in this table is that of Banchob (1987), with the Aiton transcription being that proposed in this thesis.


Aiton Symbol	Phake Symbol	Transcription in Aiton	Aiton Phoneme	Phonetic realisation in Aiton	Transcription in Phake	Phake Phoneme	Phonetic realisation in Phake
က	က	k	/k/	[k]	k	/k/	[k]
ခ	ခ	kh	/k ^h /	[k ^h], [x]	kh	/k ^h /	[k ^h], [x]
ဂ	ဂ	ŋ	/ŋ/	[ŋ]	ŋ	/ŋ/	[ŋ]
င	င	c	/c/	[tɕ]	c	/tɕ/	[tɕ]
စ	စ	s	/s/	[s], [ʃ]	s	/s/	[s]
ည	ည	ñ	/ɲ/	[ɲ]	ñ	/ɲ/	[ɲ]
တ	တ	t	/t/	[t]	t	/t/	[t]
ထ	ထ	th	/t ^h /	[t ^h]	th	/t ^h /	[t ^h]
ဒ	ဒ	n & d	/n/, /d/	[n], [d]	n	/n/, /d/	[n]
ပ	ပ	p	/p/	[p]	p	/p/	[p]
ဖ	ဖ	ph	/p ^h /	[p ^h], [ɸ]	ph	/p ^h /	[p ^h], [ɸ]
မ	မ	m & b	/m/, /b/	[m], [b]	m	/m/, /b/	[m]
ယ	ယ	3	/j/	[ɜ], [j]	y	/j/	[j]
ရ	ရ	r	/r/	[r]	-		
လ	လ	l	/l/	[l]	l	/l/	[l]
ဝ	ဝ	w	/w/	[w], [β]	w	/w/	[w]
ဟ	ဟ	h	/h/	[h]	h	/h/	[h]
ဏ	ဏ	-	(ʎ)	-	-	(ʎ)	-

Table 5: Initial Consonants in Aiton & Phake.



As can be seen in Table (5), there are two Aiton phonemes not expressed in the script, namely /d/ and /b/. The Aiton sometimes use the Burmese symbols ဒ for /d/ and ဝ for /b/, and these have been used from time to time in the transcription of Aiton texts.

In Phake, /r/ is not a phoneme, although it may be pronounced in careful speech. The symbol is used in manuscripts for Pali words.

7.2.1.1 Shapes of the Consonants

Many of the consonants are based on circular elements. The symbol for /w/, which is a plain circle in Burmese and Shan, was in the opinion of villagers of both communities preferable if there was a small black dot on the inside of the left hand side of the circle, as in . This black dot is a feature of the writing of the Tais of Northeast India.

In some manuscripts the black dot is much larger than in others. The large black dots are exemplified in the Aiton *Creation of the World* which may be several hundred years old (see \photos\books\Lik Kheu Meung\Creation of the World 2.jpg). The smaller type is exemplified in the *Book of history from the time of the ancestor Chaw Tai Lung up until Sukapha* (see \photos\books\Lik Kheu Meung\History of Chaw Tai Lung to Sukapha 1.jpg)

Many of the consonants are based on one or two circles, some of which may be open. The glyph  /th/, for example, is formed from two adjacent circles, whereas  /t/ is formed from a circle on the left and a circle open at the bottom on the right.

The small black dots were added to most of the consonants, although in the case of /c/ and /ph/ – both consonants based on a full circle and an incomplete circle – the Aiton consultants asked for a dot on the inside of the circle on the right of the character and the Phakes preferred it not to be there. It appears that the Phakes preferred to have a dot only when it was on the left hand circle, whereas the Aitons would allow it for any one circle, but not in both, as would be the case in /th/.

The only major difference between the two scripts was with the character for /n/, which has a different form for Aiton and Phake, although both are reflexes of symbols which were historically the same.

In the case of /ph/ there is an alternate version where the open circle of the first half of the character is flipped. This was the form preferred by the late Aimya Khang Gohain, as in, as in Table (6):




Aiton	Phake	Form proposed by Aimya Khang Gohain	Phoneme
			ph

Table 6: Forms of the consonant /ph/

7.2.2 Final consonants

Table (7) lists those consonants which are found in final position, where they are generally marked with the sign ^{-s}, called *sat*¹. This sign is now required, but is often absent in old manuscripts, (see for example *Book of calling back the khon*, \photos\khamyang\books\Lik Hong Khon\Hong Khon p3.jpg)p3, showing Nos. (40) ff. in the analysis.)



















Aiton Symbol	Phake Symbol	Transcription	Notes
		-k	
		-ŋ	
		-t	used only in certain words after ⁻² /i/, /e/, /ɛ/
		-n, -i	/n/ after ⁻² , causing the vowel to be realised as /e/, /ɛ/. /i/ after ⁻² , realised as /ui/ or /oi/
		-d	
		-n	
		-p	
		-m	
		-u, -Ø	zero after ⁻² which is realised as /u/ (/ü/) or /v/ (/ə/).

Table 7: Final consonants in Aiton and Phake

7.2.2.1 Subscripted consonants

Several consonants are also found in subscripted form. There are two types. Firstly, the consonants that can be the second member of an initial consonant cluster, that is the approximants /r/, /w/ and /y/. These have a different form in clusters from their initial form, listed in Table (8), in combination with the letter /k/.

Tai symbol	Name in Phake	Transcription	Notes
ᩉ᩠ᩅ	yā' let'	kr	
ᩉ᩠ᩈ	hā' tho'	kw	
ᩉ᩠ᩃ	ya' pen'	ky	This sign is sometimes used to indicate the vowels /e/ or /ɛ/.

Table 8: Subscripted consonants in Aiton and Phake

A second series of subscripted consonants is used for multisyllabic borrowed words, in which there may be doubled consonants in the language from which the words were borrowed. The subscripted consonants that have been recorded in Aiton manuscripts are listed in Table (9):

Tai Character	Transcription	Examples
ᩉ᩠ᩅ	k	ᩉ᩠ᩅᩉ᩠ᩅ sak' ka' rit' 'era'
ᩉ᩠ᩈ	c	
ᩉ᩠ᩃ	t	ᩉ᩠ᩃᩉ᩠ᩃ aa' tii' pa' tii' 'king, lord'
ᩉ᩠ᩈ	th	ᩉ᩠ᩈᩉ᩠ᩈ puk' ta' huu' 'Wednesday'
ᩉ᩠ᩃ	p	ᩉ᩠ᩃᩉ᩠ᩃ pu' pa' wi' te' ha' 'Pubbavideha'
ᩉ᩠ᩈ	y	
ᩉ᩠ᩅ	l	ᩉ᩠ᩅᩉ᩠ᩅ we' pu' la' 'Vepula'

Table 9: Subscripted final consonants in Aiton and Phake

7.2.3 Vowels

Whilst the consonant system is fully capable of expressing the range of phonemes, the vowel system is underspecified, as is shown in Table (10) where the various vowel symbols are combined in open syllables with the dummy consonant ᩉ᩠ᩅ.

Aiton Symbol	Phake Symbol	Transcription in Aiton	Transcription in Phake	Phonemic in IPA script (Aiton)	Phonemic in IPA script (Phake)
ᩉ᩠ᩅ	ᩉ᩠ᩅ	a, aa	a, ā	[a] [a:]	[a] [a:]
ᩉ᩠ᩈ	ᩉ᩠ᩈ	a, aa	a, ā	[a] [a:]	[a] [a:]
ᩉ᩠ᩃ	ᩉ᩠ᩃ	ii	i	[i:]	[i:]
ᩉ᩠ᩈ	ᩉ᩠ᩈ	uu	u	[u:]	[u:]
ᩉ᩠ᩅ	ᩉ᩠ᩅ	ε	e, ε	[ɛ]	[e] & [ɛ]
ᩉ᩠ᩈ	ᩉ᩠ᩈ	ɔ	ɔ	[ɔ]	[ɔ]
ᩉ᩠ᩃ	ᩉ᩠ᩃ	o	o	[ɔ]	[o]
ᩉ᩠ᩈ	ᩉ᩠ᩈ	u	ü, ə	[u]	[u] & [v]/[ə]

Table 10: Vowel symbols in open syllables

By far the most common symbol for /a/ is ᩉ᩠ᩅ, but the alternate symbol ᩉ᩠ᩈ is used in several circumstances. In manuscripts it is often used for the unstressed syllables in Pali words such as ᩉ᩠ᩈᩉ᩠ᩈ tuk' kha' "suffering" from Pali *dukkha* (*Grandfather teaches Grandchildren* Proverb No. 15).

However, the symbol is also found for Tai words. Table (11) lists all of the uses of this symbol in the Aiton manuscript, *Treaty between the Aitons and the Turungs*:

Aiton script	phonemic transcription	English	Reference in text
ᩉ᩠ᩈ	khaa ²	straw	(4)
ᩉ᩠ᩈᩉ᩠ᩈ	pa ¹ cau ³	'messenger' - literally 'person-employ'	(25)
ᩉ᩠ᩈᩉ᩠ᩈ	pa ¹ ke ¹	old men	(31)

ကော့ဃာ	cau ³ phaa ³	king	(32)
လားကံ	la ¹ aam ²	Proper name	(35)
ကော့ဃာလဲ	kan ² naa ³ taa ² paai ²	in future, literally 'side-face-eye-side'	(51), (67)
တား	ta ³	PRT - hortative	(56)
ကုံမိလားဗျ	kum ¹ mai ³ la ¹ wa ¹	Proper name - probably Singpho	(102)
ကာမာ	aa ¹ ma ¹ khaam ¹	accept on behalf of	(103)
မား	ma ¹	NEG	(111)

Table 11: Use of the symbol -း in *The Treaty between the Aiton and the Turung*

Referring to the symbol -း, Diller (1992:19) observed that "occasionally a visarga-like symbol" was used in words which have or once had the C tone (see 6.1.5.3). There are some examples in Table (11) which are the original C tone, such as *cau³ phaa³* 'king' (> *faaC4 'sky'), but most of the examples of the use of -း are for short /a/. In *The Treaty between the Aiton and the Turung*, No. (25), we also find the word လားကံ *pa¹ cau³* 'messenger', which is arguably a case of marking the C tone with the symbol -း.

Another use for the symbol -း is in the special combination ဝ်း, in words like ဝ်း *nui³* 'with', also a word with a reflex of the C tone. (see below, Table (15)),

The symbol -း is still used by in writing as shown in example (1):

- 1) ကော့ ဘား ယင် မျ ကော့ဃာ ဝ်း လဲမိ ဝ်း
 kau² khaa¹ zan² maa² no¹ bin¹ tem³ laai² maai¹ sii¹
 ISg be be COME Nabin write letter PRT

လော့ ဟော့ မား ဝ်း ဟူ ဟော့ ဟူ
 phaak¹ hot³ maa² tii² suu¹ cau³ zau³
 send arrive COME at 2Pl RESP FINISHED
 'It is Nabin who has written this letter to you.'

(Phake letter, No (2), written by Nabin Shyam Phalung, 1997)

When translating example (1), Nabin Shyam stated that the word *khaa¹* meant 'be'. However there is reason to think that this might actually be the word *khaa³* 'slave', which would be yet another example of this symbol being used in a word the tone of which is a C tone.

There is a further symbol for /a/, -> which is only found in older manuscripts. This is the usual symbol in Burmese script for /a/. In Tai orthography, it is used both for Pali loan words, as in *tā² ti¹ yā⁴* in example (2), and in native Tai words, as in *cā⁴* in example (3):

- 2) ကော့ ဝ်း ဟော့ ဟော့ ဝ်း ဟော့
 kau² sī⁶ (sē⁶) pan¹ khi⁵ tā² ti¹ yā⁴ tāu⁵ khop⁴
 I PRT whirl meet again third turn complete whole circle

ဟော့ ဟော့ ဟော့ ဟော့
 ho⁶ hau¹ khi² yom²
 head grey hair body be decreased
 'I have completed the third stage of my life, my hair is grey and my body is decreasing.'

(Tai Phake Text, *Grandfather teaches Grandchildren*, Intro. No. 5, read by Yehom Buragohain)

- 3) ဟော့ ဟော့ ဟော့ ဟော့
 pān⁵ cā⁴ hau² yā¹ phün⁴
 other bad we don't return

ဟော့ ဟော့ ဟော့ ဟော့
 hun² cau² kan³ khan⁶ pe⁴
 restrain mind control quick can
 'Others may be angry with you but you are to endure it and not return it. Restrain your mind as quickly as possible.'

(Tai Phake Text, *Grandfather teaches Grandchildren*, Proverb No. 36, read by Yehom Buragohain)

Whilst the system of open vowels described in Table (10) covers the existing phoneme inventory of Aiton reasonably well, it clearly underspecifies for Phake. In Phake, the contrasts between [e] and [ɛ] and that between [u] (written <ü>) and [ʏ] (<ə>) are not specified.

For closed syllables, the writing system is even less specific. Table (12) shows the marking of vowels in closed syllable with initial dummy consonant က and final /ŋ/, written as င်.

Tai Symbol	Transcription in Aiton	Transcription in Phake	Phonemic in IPA script (Aiton)	Phonemic in IPA script (Phake)
ကင်	a, aa	a, ā	[a] [a:]	[a] [a:]
ကိင်	i, ε	i, e, ε	[i], [ɛ]	[i], [e], [ɛ]/[ai]
ကုင်	u	u, o	[u]	[u], [o]
ကွင်	ɔ	ɔ	[ɔ]	[ɔ]/[au]
ကိုင်	ɯ	ü, ə	[ɯ]	[ɯ] & [v]

Table 12: Vowel symbols in closed syllables

The lack of contrast in the front vowels in closed syllables means that in Phake there are potentially 18 different pronunciations of the glyph written as ကိုင် <kiŋ>. Table (13) lists the various words written as ကိုင် <kiŋ> found in Banchob's 1987 *Phake-English-Thai Dictionary*:

ကိုင် _၁	kiŋ ¹	a small branch of the tree
ကိုင် _၂	kiŋ ²	to roll, to tumble down
ကိုင် _၃	kiŋ ³	green (mostly for dye or paint)
ကိုင် _၄	keŋ ²	a kind of grass, softer than the others
ကိုင် _၅	keŋ ²	an iron tripod to support cooking pots or pans over a fire
ကိုင် _၆	keŋ ²	a period, time
ကိုင် _၇	keŋ ³	decent or nice to look at (mostly the fruits)
ကိုင် _၈	kaiŋ ²	dwarfed, stunted
ကိုင် _၉	kaiŋ ³	to use sticks to clean one's self after evacuating the bowels
ကိုင်လှ	kaiŋ ⁴ khe ⁶	a shuttle used for weaving a net

Table 13: Forms of the glyph ကိုင် <kiŋ> in Phake (after Banchob 1987)

Had all 6 tones been realised for each of *kiŋ*, *keŋ* and *kaiŋ* in Phake, there would have been eighteen different pronunciations of the same glyph. As it is, there are eight.

7.2.3.1 Special symbols for vowel-consonant or diphthong combinations.

There are a number of special symbols for combinations of vowel and consonant, or for diphthongs. These are listed in Table (14):

Tai Symbol	Transcription in Aiton	Transcription in Phake	Alternative
ကံ	am, aam	am, ām	ကမ်
ကိမ်	im, em	im, em, em	ကိမ်
ကိ	ai, aai	ai, āi	
ကိ	oi	oi	
ကွ		oi	ကွတ်
ကိ	ui	ui, oi	ကွတ်
ကွ	au, aau	au, āu	ကတ်, ကွတ်, ကွတ်
ကွ	au	au	

Table 14: Special signs for vowel-consonant combinations and diphthongs.

7.2.3.2 Names of the vowel symbols

Apart from the consonants, the names of which are pronounced as the consonant followed by a short /a/, as in က [ka²], all of the symbols in the orthography of the Tai Phake have names based on Burmese. These names, elicited from Ai Che Let Hailung of the Tai Phake, are listed in Table (15):

Symbol	Sound	Name	Transcription of name in Phake
က	-a, ā	ကျ	khyā ²
ိ	-i	လုင်ကိတိင်	luŋ ¹ ki ² teŋ ¹
ု	-u	လုင်ကိတိင်လိင်ကတ်	luŋ ¹ ki ² teŋ ¹ saiŋ ¹ khāt ⁴

—	-u, o	တၢ်ဟွၢ်	ta ¹ khyau ¹ en ⁴
—	-ü	တၢ်ဟွၢ်	nā ¹ khyau ¹ en ⁴
—	-e, ε	ဆွဲထုတ်	soi ¹ tho ²
—	-ai, āi	ဟွံဝိတ်	nāu ¹ per ⁴
—	c	ဆွဲထုတ် ဟွံ	soi ¹ tho ² khyā ²
—	-am, ām	ယံတင်	yam ¹ tǎŋ ¹
—	-um	တၢ်ဟွၢ် ယံတင်	ta ¹ khyau ¹ en ⁴ yam ¹ tǎŋ ¹
—	-om	တၢ်ဟွၢ် ယံတင်	wa ¹ soi ¹ yam ¹ tǎŋ ¹
—	-u, ʏ	လုင်လိဝ်တိုင် တၢ်ဟွၢ် တၢ်ဟွၢ်	luŋ ¹ kɔ̃ ¹ tǎŋ ¹ ta ¹ khyau ¹ en ⁴ wa ¹ sāt ⁴
—	-au	တၢ်ဟွၢ်	wa ¹ sāt ⁴
—	subscripted /w/	ဟွံထုတ်	hā ¹ tho ¹
—	-o	တၢ်ဟွၢ်	wa ¹ soi ¹
—	-oi	တၢ်ဟွၢ် ဟွံဝိတ်	wa ¹ soi ¹ nāu ¹ per ⁴

Audio Link for the pronunciation of the names of the vowels (wave files\phake\texts\11-10-2-7.mp3)

Table 15: Names of vowel symbols in Tai Phake

When spelling out words, these names are used, as in example (4), which is the spelling of the word ကိတ် *kin²* 'eat'.

- 4) ကၢ် လုင်လိဝ်တိုင် ကၢ် ယတ် ကိတ် ။
 ka¹ luŋ¹ kɔ̃¹ tǎŋ¹ na¹ sāt⁴ kin² ။
 /k/ letter /i/ /n/ final consonant 'eat'
 'Eat is spelled ka i final na.'

7.2.4 Ligatures

In addition to the system described above, there are two types of ligature in Tai script. One is the use of a special symbol to write a word, often a very common word. This will be called the *ai²* type because the most common example of it is the word *ai²* 'VOC'. These are listed in Table (16) and appear to be a closed class.

Form in Phake	phonemic	Form in Aiton	phonemic	gloss
ၤ	ai ²	ၤ	ai ²	"VOC", "EXCL"
ၤ	niŋ ⁵	ၤ	luŋ ²	"one"
ၤ	saŋ ⁶	ၤ	soŋ ¹	"two"

Table 16: *ai²* type ligatures in Tai

The symbol ၤ or ၤ is only found with the meanings given above, but the ligature for "two" can also be used for homophonous words which do not mean 'two', as in (5):

- 5) ကၢ် ၤ ဟွံ ဟွံ ဟွံ ဟွံ ဟွံ ။
 khaaŋ² soŋ¹ coi³ saai¹ huŋ¹ mau¹ phaak³
 near bright Queen glorious NEG separated
 'He sat close together with his queen, (they were) never separated.'
 (Aiton History, *Book of history (from) the time of the ancestor Chau Tai Lung up until Sukapha*, No. (4)).

The second type of ligature will be termed the *ho⁶ kham²* type, after one very common word in this class. In this class, compound words are expressed with the initial consonants of the second member of the compound elided, as is shown in Table (17):

Full form	transcription	Ligature form	transcription	phonemic	gloss
ၤ	e h a kh am	ၤ	e h a am	ho ⁶ kham ²	palace
ၤ	kh y am s a	ၤ	kh y em a	khyaa ² saa ¹	good, well

Table 17: *ho⁶ kham²* type ligatures in Tai

In Table (17), the columns headed 'transcription' present a romanised transcription of each of the symbols. Some of the transcriptions use digraphs, such as <kh>, to refer to a single symbol. Because of this, a space is used between the transcription of each symbol. The ligature is formed by the omission of the initial consonant of the second syllable.

7.2.5 The writing of borrowed words in Tai script

Since the Tai languages are using an increasing number of words borrowed from Assamese and other languages, including English, some new traditions are arising for the writing of borrowed words. As indicated above in 7.2.3, the use of alternate symbols for /a/ is common in borrowed words.

Since both Assamese and English allow for final consonants which are not permitted in Tai, some interesting traditions have arisen. Example (6), from a poem composed in 2000 and written down in a manuscript, shows the use of writing of borrowed proper names:

- 6) လိက် ဆုံ ယုတ်လိက် မုခ်လေ ။ ကွယ်ပြေးလိယု
 lik⁴ com² sa¹ tɪ¹ fen⁴ mo¹ le⁴ ɔs¹ tre¹ lɪ¹ yā¹
 book glad Stephen Morey Australia
 "The book of thanks to Stephen Morey, Australia."
 (Tai Phake Song, *Song in honour of Stephen Morey*, (1), read by Ai Che Let Hailung)

The word ɔs¹ tre¹ lɪ¹ yā¹ has final /s/ in the first syllable, which is contrary to Tai phonology. The use of sār⁴ to mark a consonant like /s/ that is not a permitted in Tai, is not accepted by all Phakes. For example, Ai Chanta insisted that the Assamese word *mul* ya "value" would have to be written with ta¹ sār⁴, as in (7):

- 7) ကိုလိင် လင် ကပ် က ကို လှ ဖွ စွ က
 khəŋ⁵ laiŋ¹ lāŋ⁶ nan⁴ ne⁴ nūŋ¹ pə¹ khau¹ wā⁵ ne⁴
 important CLF this PRT as others 3Pl say PRT

 မုခ်လေ မိုက် တာ မ ယင် ကိုက် ကျ ။
 mul¹ ya⁴ mūn² ta¹ ma¹ yaŋ² kin² kǎ¹
 value 3Sg will not have eat GO
 "The value, as the Assamese call it, of these important things will be lost."
 (Tai Phake *Speech*, No (11), given by Ai Chanta)

Ai Chanta explained that as /a¹ sār⁴ was not possible in Phake orthography, ta¹ sār⁴ would have to be written, as in ကိယုလုတ် i¹ sa¹ kul⁴ "school", which is also pronounced as i¹ sa¹ kul⁴ or simply is¹ kul⁴.

In Aiton, final /l/ is more likely to be written with a final nasal, as in တာမုခ် ta¹ mun¹, betel nut (tamul in Assamese), which occurs in the *Manuscript of the Tai Calendar for the Year 2001/2002 (Sakkarit)*, No (89).

7.3 A note on the revised Khamti Script.

In the early 1990s, Chaw Khok Manpoong, together with a committee of other Khamti people in Arunchal Pradesh, produced the revised Khamti script. The script is based on the historical forms of the Khamti alphabet, which is virtually identical to the Aiton and Phake. In addition, voiced and voiced aspirate consonants have been devised in order to write words borrowed from English and Assamese. Some of these consonant shapes, such as ၵ /ga/ and ၶ /gha/ are based on Burmese forms, but others, such as ၷ /ja/ are of unknown origin. Furthermore, the script fully marks all the vowel distinctions in modern spoken Khamti, including long and short /a/ in closed syllables.

A two volume Primer teaching the revised Khamti script (Chaw Khok Manpoong 1993) has been published. This is the first comprehensive attempt to mark tones in a Tai script in India, as well as the first comprehensive attempt to standardise the writing of borrowed words, such as ပုလ် ball³ 'ball'.

The revised Khamti script has nine tone marks. Of these, five are for marking the citation tones (see the discussion of Khamti tones in 3.2.13), and the other four are found in various prosodic situations. Tone mark No. 1 is used to mark the pronunciation of the consonant names, tone mark No. 2 for unstressed syllables in polysyllabic loan words, and No. 9 is used only in utterance final position.

Table (18) lists the consonants in the revised Khamti script, and table (19) the vowels:

Velars:	ᳵ	ᳶ	᳷	᳸	᳹
	k	kh	g	gh	ŋ
Palatals	ᳺ	᳻	᳼	᳽	᳾
	c	s	j	jh	ñ

Dentals:	᳠	᳡	᳢	᳣	᳤
	t	th	d	dh	n
Labials	᳦	᳧	᳨	ᳩ	ᳪ
	p	ph	b	bh	m
Semivowels	ᳬ	᳭	ᳮ	ᳯ	
	y	r	l	w	
Others	ᳱ	ᳲ			
	h	-			

Table 18. Consonants in the revised Khamti script

	᳠	᳡	᳢	᳣	᳤	᳥	᳦
	a	i	ii	u	᳧	᳨	e
	ᳩ	ᳪ	ᳫ	ᳬ	᳭	ᳮ	
	o	ᳯ	ai	au	ᳱ	ᳲ	

Table 19: Vowel symbols in the revised Khamti script

It appears that these reforms have not been accepted by most Khamti. The objections to the revised script include aesthetic objections, that the symbols are not sufficiently beautiful, and practical objections, that use of the new script would necessarily involve a loss of knowledge of the traditional scripts.

7.4 Comparison of the Tai Scripts

Table (20) is a comparison of the consonants of the Aiton and Phake scripts with the revised Khamti, a Phake font derived from the manuscript Lama Mang in the library of Namphakey temple, the Ahom printing font, an Ahom font based on an early

manuscript and Shan. Table (20) uses the fonis produced in this project, which are discussed below in 7.8.

Phake	Aiton	Revised Khamti	Phake Script in Lama Mang	Ahom	Ahom Chicken bone MS	Shan	Sound in Phake
ᳵ	ᳵ	ᳵ	ᳵ	ᳶ	ᳶ	ᳶ	k
ᳶ	ᳶ	ᳶ	ᳶ	᳷	᳷	᳷	kh
᳷	᳷	᳷	᳷	᳸	᳸	᳸	ŋ
᳸	᳸	᳸	᳸	᳹	᳹	᳹	c
᳹	᳹	᳹	᳹	ᳺ	ᳺ	ᳺ	s
ᳺ	ᳺ	ᳺ	ᳺ	᳻	᳻	᳻	ñ
᳻	᳻	᳻	᳻	᳼	᳼	᳼	t
᳼	᳼	᳼	᳼	᳽	᳽	᳽	th
᳽	᳽	᳽	᳽	᳾	᳾	᳾	n
᳾	᳾	᳾	᳾	᳿	᳿	᳿	p
᳿	᳿	᳿	᳿	᳠	᳠	᳠	ph
᳠	᳠	᳠	᳠	᳡	᳡	᳡	m
᳡	᳡	᳡	᳡	᳢	᳢	᳢	y
᳢	᳢	᳢	᳢	᳣	᳣	᳣	(r)
᳣	᳣	᳣	᳣	᳤	᳤	᳤	l
᳤	᳤	᳤	᳤	᳥	᳥	᳥	w
᳥	᳥	᳥	᳥	᳦	᳦	᳦	h
᳦	᳦	᳦	᳦	᳧	᳧	᳧	-

Table 20: Comparison of consonants in several Tai scripts

7.5 Some notes on tone marking

Tone is not marked in any of the Tai scripts in Assam, although, as discussed above in 7.2.3, the symbol း sometimes appears to mark words that are reflexes of those that had the C tone.

Historically, tone was not marked in any of the Shan languages. However in the last 50 years the other Shan languages, Tai Mau (Young 1985) or Dehong (Luo 1997) and Shan (Sao Tern Moeng 1995) have adopted tone marking. Some Tai speakers in India, such as Chau Khok Manpoong (see above 7.3) and the late Aimya Khang, have been working toward the marking of tone in the Tai scripts of India.

7.5.1 Proposals for marking tones in Tai:

Unfortunately, because the distribution and quality of the tones differ from variety to variety, marking tone quality would lead to different marking for different varieties. As indicated above (see 7.3.), a tone marking system has been developed for Khamti, but it has been decisively rejected by the Phakes and the Aitons.

Several people in the Phake community have developed proposals for marking tone, although none of these are in use. One possible system for marking tone in Phake would be to follow the lead of the Shan script reform which was established in Shan state in 1958 (Sao Tern Moeng 1995:i). The tone marks of Shan are given in Table (21).

Shan tone number	Tone quality	Shan tone mark	Example	Gloss
1	no tone mark	rising tone	မၢ်	dog
2	,	low level tone	မၢ်,	shoulder
3	;	mid level tone	မၢ်;	mad
4	:	high level tone	မၢ်:	come
5	°	falling tone with glottal stop	မၢ်°	horse
6	း	emphatic tone		

Table 21: Tone marking in Shan (after Sao Tern Moeng 1995)

Table (22) presents a proposal for marking tone in Phake, using the symbols from the Shan system and applying them to the 6 tones of Phake. For example, in Shan, the symbol <:> marks a high level tone. In Phake this would be used to mark *mā'* 'shoulder', but in Shan the high level tone is spoken on the word for 'come', as shown in Table (22):

Tone number in Banchob (1987)	Tone quality	Phake Example	phonemic	Gloss	Shan
1	high level tone	မၢ်:	mā ¹	shoulder	မၢ်,
2	high falling tone	မၢ်°	mā ²	come	မၢ်:
3	grave tone with glottal stop	မၢ်°	mā ³	mad	မၢ်;
4	falling tone	မၢ်°	mā ⁴	horse	မၢ်.
5	grave tone	မၢ်°	kā ⁵	value	မၢ်;
6	high rising tone	မၢ်	mā ⁶	dog	မၢ်

Table 22: Comparison of possible tone marking in Tai Phake with Shan cognates

Unfortunately this type of marking inevitably leads to the Shan forms being marked differently from the Phake forms, because the quality of Shan tones are different. Furthermore, if this system, or one like it, were to be adopted, it would mean that the Aiton would either develop a different system of marking, or would have to learn by rote that certain words were marked in a particular, and arbitrary, way. Table (23), developed from Table (22), adds possible marking of Aiton words:

Mark	Tone No. Banchob (1987)	Possible Phake marking	Gloss	Tone quality in Aiton	Possible Aiton marking	Gloss
:	1	မၢ်:	shoulder	high level tone	မၢ်:	shoulder
;	2	မၢ်°	come, to	high falling tone	မၢ်°	come, to
°	3	မၢ်°	mad	falling tone	မၢ်°	mad
:	4	မၢ်°	horse	falling tone	မၢ်°	horse
,	5	မၢ်°	value	high falling tone	မၢ်°	value
-	6	မၢ်	dog	high level tone	မၢ်:	dog

Table 23: Comparison of possible tone marking in Phake and Aiton

As can be seen in Table (23), the Phakes mark the words "dog" and "shoulder" differently, whereas for the Aiton, these two tones have merged. Presumably the Aitons would want to write these two words using the same graph. If such a tone marking system were to be adopted, Aitons could perhaps come to learn to read Phake, and apply the tone merger rules in (8):

- 8) tones 1 & 6 > 1
 tones 2 & 5 > 2
 tones 3 & 4 > 4

However, the distribution of tones for Phake and Khamti is very different. Khamti splits A2&3 from A4 and merges them with B4. This would create significant ambiguity. Table (24) lists the comparison across the three varieties:

Boxes	Tone in Aiton	Tone in Phake	Tone in Khamti	Box group designation
A1	high level	rising	high level	a
A2-3	high falling	high falling	mid falling	b
A4	high falling	high falling	high falling	c
B1-3	high level	high level	high level	d
B4	high falling	low level	mid falling	e
C1-3	low falling	low falling glottalised	mid rising, glottalised	f
C4	low falling	low falling	low falling, glottalised	g
D1-3	high level	high level	high level	h
D4	low falling	low falling	mid falling	i

Table 24: Comparison of tones in Aiton, Phake and Khamti

In Table (24), lower case letters have been assigned to show all of the possible distinctions of tone. For example, in Aiton tone boxes designated by the letters b, c and e have the same tone, the high falling tone. However in Phake there is one tone for the boxes b and c and another for the box designated e. In Khamti, there is one tone for boxes b and e and another for the box designated c.

Another alternative might be to mark every box of the Gedney tone chart (see 6.1.5.3), and simply learn the appropriate tone for that box. This however is too fussy. It would require 20 different tone marks, for languages in which no more than six tones are distinguished.

Professor E. Annamalai (pers. comm.) suggested that it might be possible to mark just the nine groups of boxes in Table (24), with each variety then assigning tone according to its rules. By this system, The Aitons would know that the boxes designated as b, c and e would be realised as having a single tone, the high falling tone, whereas the Phakes would know to distinguish the tone of boxes b and c from that of box e.

It may be that tone marking is necessary if the Tai scripts are to survive in usage; however many Tai people remain of the view that it is possible to continue using the traditional scripts. Any process leading to the marking of tones would have to be undertaken with wide consultation.

7.6 Development/ History of the Tai scripts

It is generally believed that each of the Tai groups brought their writing systems into India with them. The fact that both the Ahom and the other Tai scripts are clearly more closely related to the Mon/Burmese based scripts of Southeast Asia than to any of the north Indian scripts would certainly suggest that is so.

However, there are considerable problems with accepting that the Ahom script was brought into India with Sukapha in 1228. As Diller pointed out:

"... the fact that no Ahom texts examined yet show any trace of distinguishing etymological correlates of "low series" from "mid" or "high series" consonants appears to indicate that the script was adopted *after* pan-Tai consonant mergers of the sort in which sounds of the "low series" consonants presumably fell together with certain of the others." (1992:11) (see above 6.1.5.3)

He goes on to speculate whether it might have been possible that there was previously a script which did differentiate low and high series, and was "subsequently modified to accord with the sound changes".

The date of the development of the first Thai scripts is around the time of the Sukhothai kingdom in the late 13th century.⁵⁶ Since that writing system apparently preserves the voicing contrasts in proto-Tai, between the voiced (line 4) and voiceless (lines 1 or 2) series, it is presumed that the contrast still existed when the writing system was developed (see above 6.1.5.3). Yet, if the Ahom script was brought to India with Sukhapha in 1228, that contrast would have to have been lost already in the Ahom language.

As to the Aiton/Phake/Khamti script, this is a clear development from Shan, itself developed from Burmese. It borrows Burmese vowel combinations, such as the combination of /i/ and /u/, ဝိ , which in Burmese [ou] and in Phake is [u] or [v]. Similarly, in Burmese က is [aw] (as the cognate sign is in Standard Thai), but in Phake it is [ɔ]

There are no manuscripts that can be dated to earlier than the 18th century in any of the Tai Aiton, Tai Phake or Tai Khamyang villages that I have visited. A deeper discussion of the origin of these scripts is beyond the scope of this thesis.

7.7 Alphabetical order of the Tai scripts

The alphabetical order of the consonants as listed in Table (5) above, follows the traditional Indic alphabetical order (velar, palatal, dental, labial). This order is fixed and accepted by all literate members of the Tai community.

⁵⁶ The traditional date for the invention of the Thai script is 1283. Whether or not one accepts the authenticity of the 'First Inscription', the pillar of King Ramkhamhaeng, the large number of other inscriptions from around this time indicate that the 13th-14th century saw the genesis of the Thai script.

The order of the vowels on the other hand, is not so clear. In a letter written in 1997, Nabin Shyam Phalung gave the order as in Table (25). He later informed me that this order was taught by a visiting monk who came from Burma in the 1970s or 1980s.

က	က	ကိ	ကိ	က	က	က	က	က	က
ka	kaa	ki	kii	ku	kuu	ke	kai	ka	koo
က	ကိုင်	ကိုင်	ကိုင်	ကိုင်	ကိုင်	ကိုင်	ကိုင်	ကိုင်	ကိုင်
kam	kui	kiu	kau	kau	kau	kau	koi		

Table 25: Alphabetical order according to Nabin Shyam Phalung

The late Ainya Khang Gohain suggested that the vowel ordering should be slightly amended as in Table (26):

က	က	ကိ	ကိ	က	က	က	က	က	က
ka	kaa	ki	kii	ku	kuu	ke	kai	ka	koo
က	ကိုင်	ကိုင်	ကိုင်	ကိုင်	ကိုင်	ကိုင်	ကိုင်	ကိုင်	ကိုင်
kam	kum	kam	ka/kii	kau	ka	kau	koi		

Table 26: Alphabetical order according to Ainya Khang Gohain

In Ainya Khang's system, ကိ *kiu* will be immediately after ကိ *kim* rather than after ကိုင် *kui* as suggested by Nabin Shyam Phalung. The principle is that consonant symbols always precede vowel symbols, and that final consonant symbols are in the same order as initial consonants. Therefore the first word in the Tai alphabet will be ကက *kak*.

Alphabetical ordering is not standardised amongst the Tai in Northeast India. Since there are not yet dictionaries available and since a large proportion of the community are not able to read the Tai script, there has been little discussion of the alphabetical order. The order adopted for the Phake and Aiton lexicons presented in this thesis is that of Table (25).

7.8 Development of the Tai Fonts

The Tai fonts used here were developed in 1997-2000 as part of this PhD project. As noted above in 1.1, the developments of the Tai fonts was the first part of this project to be undertaken.

The fonts were created using Macromedia Fontographer 3.5, and three different processes were used to produce them. The Phake and Aiton fonts were built from existing Burmese fonts, taking those Burmese letters which are similar to the Tai characters and altering them to include the small black circles that are a distinguishing feature of these fonts. Of the 18 letters of the Tai alphabet, 11 could be built in this way. The others, which are quite different from Burmese, were built by combining sections of one letter with sections of another.

When I visited India for the second time, in January 1998, I took with me a laptop computer and demonstrated the shape of the fonts to gatherings of villagers. In Namphakey village, a meeting was held of the older men who are the guardians of the manuscripts in the village. Every letter was discussed and in some cases alterations were made. A similar process was undertaken with Nabin Shyam Phalung for the Aiton script.

When this process was complete, several villagers tried out the computer. The first to do so was Yenow Than Gohain, who was the first person of the Tai community to type her own name in her own language. This was recorded in a photograph (see \biographies\Yenow.doc).

The font called *Phake Ramayana*, in which the shape of the glyphs is based on an old Phake manuscript, was later developed from the Phake Script font.

In the case of the Ahom font, the printed characters from the *Ahom Primer* (G.K.Barua 1936) were scanned and the scanned bitmaps imported into the Fontographer programme. The characters were then adjusted.

The Ahom manuscript font is based on the shape of characters in a manuscript of Ahom augury, a copy of which was made available to me by Sri Atul Borgohain, of Diburgarh. This font was drawn using fontographer, without borrowing from any of the other fonts or importing bitmaps. Characters were often built from others of similar shape, so that, for example, the character for /ph/ ʋ was built from the character for /y/ ʋ.

Table (27) lists the Tai fonts which have been produced as part of this project:

Font name	Font description	Font file name & Location	Explanatory document
Ahom font	Font based on the printed form of Ahom scripts (after Barua 1987)	ahomfont.ttf	\fonts\Ahomfont.doc
Ahom Manuscript	Font based on Ahom Chicken Bone manuscript	ahom-ms.ttf	\fonts\Ahom-ms.doc
Aiton	Font based on usage in the Aiton community	aiton.ttf	\fonts\Aiton.doc
Khamti	Font based on the revised Khamti script (Chaw Khok Manpoong 1993)	khamti_.ttf	\fonts\Khamti.doc
Old Shan	Font based on the Shan script in use before the reforms since 1950	oldshan.ttf	\fonts\Oldshan.doc
Phake Modern	Font based on the ideas of the late Aimya Khang Gohain for Phake	phakmod.ttf	\fonts\Phakmod.doc
Phake Ramayana	Font based on the Lama Mang, the Phake Ramayana.	phakeram.ttf	\fonts\Phakeram.doc
Phake Script	Font based on usage in the Phake community	phake.ttf	\fonts\Phake.doc
Shan	Font based on the reformed Shan script	shan.ttf	\fonts\Shan.doc

Table 27: Fonts produced for the Tai scripts

In addition to these nine fonts, this thesis also employs several other non-standard fonts which were developed or altered for the purpose of this thesis. The most important of these is the Banchob font, used for romanisations of the Tai scripts. This font is an adaptation of a phonetic font developed by Richard Horsey.

7.9 On writing the Tai language in Assamese script

Almost all native speakers of the Tai languages can read and write Assamese, but many cannot read their own script. There are a number of manuscripts of songs, stories and other texts which individual villagers have written down in Assamese

script. There is no standard method of realising the Tai language in Assamese script, but after studying several manuscripts, the correspondences listed in Table (28) were found to be most common:

Aiton Symbol	Phake Symbol	Transcription in Aiton	Transcription in Phake	Assamese character	Phonetic realisation in Assamese	Phonemic realisation in Aiton	Phonemic realisation in Phake
က	က	k	k	ক	/k/	/k/	/k/
খ	খ	kh	kh	খ	/kʰ/	/kʰ/	/kʰ/
গ	গ	ŋ	ŋ	ঙ	/ŋ/	/ŋ/	/ŋ/
চ	চ	c	c	চ	/s/	/tɕ/	/tɕ/
স	স	s	s	ছ	/s/	/s/	/s/
ন	ন	n̄	n̄	ঞ	/ɲ/	/ɲ/	/ɲ/
ত	ত	t	t	ড	/ʈ/	/ʈ/	/ʈ/
থ	থ	th	th	থ	/tʰ/	/tʰ/	/tʰ/
দ	দ	n	n	ন	/n/	/n/	/n/
ড, ড		d		দ	/d/	/d/	
প	প	p	p	প	/p/	/p/	/p/
ফ	ফ	ph	ph	ফ	/pʰ/	/pʰ/	/pʰ/
ম	ম	m	m	ম	/m/	/m/	/m/
ব, ব		b		ব	/b/	/b/	
য	য	y	y	য়	/j/	/j/	/j/
র		ʒ		য	/dʒ/, /ʒ/, /ɹ/	/ʒ/	
ৱ		r		ব	/r/	/r/	/r/
ল	ল	l	l	ল	/l/	/l/	/l/
৳	৳	w	w	ৱ	/w/	/w/	/w/
হ	হ	h	h	হ	/h/	/h/	/h/
অ	অ	-	-	অ	(ʔ)	(ʔ)	(ʔ)

Table 28: Assamese letters used for writing Tai consonants

Initial vowels are listed in Table (29):

Aiton Symbol	Phake Symbol	Transcription in Aiton	Transcription in Phake	Assamese character	Phonetic realisation in Assamese	Phonemic realisation in Aiton	Phonemic realisation in Phake
আ	আ	a, aa	a, ā	আ	/a/	/a/, /aa/	/a/, /aa/
ই	ই	ii	ī	ই	/i/	/ii/	/i/
উ	উ	uu	ū	উ	/u/	/uu/	/u/
ও	ও		o	ও	/ou/		/o/
এ	এ	ε	e, ε	এ	/e/	/ε/	/e/, /ε/
অ	অ	ɔ	ɔ	অ	/ɔ/	/ɔ/	/ɔ/
ঔ	ঔ	uu	ə, ü	ঔ	/au/	/u/	/ə/, /u/

Table 29: Assamese letters used for writing initial Tai vowels

Non initial vowels, written in both and Assamese using both initial and final /k/ are listed in Table (30):

Aiton Symbol	Phake Symbol	Transcription in Aiton	Transcription in Phake	Assamese character
কাক	কাক	kak, kaak	kak, kāk	কাক
কিক	কিক	kik	kik	কিক
কেক	কেক	kek	kek, kek	কেক
কুক	কুক	kuk	kuk,	কুক
কোক	কোক		kok	কোক
কক	কক	kək	kək	কক
কৌক	কৌক	kuik	kək, kük	কৌক

Table 30: Assamese letters used for writing non initial Tai vowels

Table (31) Lists the Assamese symbols used for writing combined characters in Tai:

Aiton Symbol	Phake Symbol	Transcription in Aiton	Transcription in Phake	Assamese character
ကိ	ကိ	kai, kaai	kai, k̄ai	কাই
ကံ	ကံ	kam	kam	কাম
ကူ	ကူ	kau	kaü	কাউ

Table 31: Assamese letters used for writing combined characters in Tai

This system for writing Tai language in Assamese script was used in the publication of the *Tai Aiton History* (Morey 1999b), the *Book of calling the khon* (Morey 2001a) and *Grandfather teaches grandchildren* (Morey 2001b). A similar system was adopted by Ngi Kheng Chakap and Ai Che Let Hailung when they published *The Good Way of Teaching* in 2001.

8. Syntax

8.1 Typological Profile of the Tai Languages of Assam

Chapter 8 presents an analysis of the syntax of the two closely related varieties, Tai Aiton and Tai Phake. Reference will also be made to Tai Khamyang and Tai Khamti. Together these four will be referred to as the Tai languages of Assam, or sometimes simply the Tai languages, or even just Tai.

Before proceeding to present a detailed syntactic analysis of these various Tai languages, a short overview of the morphological structure (see 8.1.1) and the syntactic structure (see 8.1.2) will be presented.

8.1.1 Morphological Structure

In common with many languages of Southeast Asia, the Tai languages are isolating in structure. Furthermore, the languages of the Tai family share a common propensity for monosyllabic morphemes (Edmondson and Solnit 1997a:7), and both 'content words' and 'function words' are generally expressed by such monosyllabic morphemes. This is demonstrated in example (1):

- (1) ၂၇ ဂ လုက်ကွက် ဂ လ ကိုက် ကျ တြာ ဂ ။
 hā¹ nē⁴ luk⁴ ɔn¹ nē⁴ pē⁴ kün² k̄ā¹ trā² nē⁴
 time DEF child DEF win RECIP GO case DEF
 'So the boy won the case.'

(Phake Story, *Story of the kum⁴ bird*, No. (104), told by Ee Nyan Khet)

As can be seen in (1), almost all of the words are monosyllabic and there are no affixes or clitics marking functions such as person and number on verbs or case on nouns. Grammatical functions such as Tense, Aspect and Modality (see below 8.5.7) are expressed by independent words, such as *kā¹* 'go' in (1). There are, however, some cases in which the realisation of some of these TAM morphemes approaches that of postclitics (Diller 1992:24).

In fact, the Tai varieties in Assam are not exclusively isolating nor is the vocabulary exclusively monosyllabic. Compounds are commonly formed, such as *luk⁴ ɔn¹* in (1), which is formed from two elements, *luk⁴* 'child' and *ɔn¹* 'small'. Moreover there are polysyllabic morphemes which cannot be analysed as a compound of two monosyllables. This is demonstrated by the word *pe⁴ ya³* 'goat' in example (2) below:

- 2) *pe⁴ ya³ saun⁶ to² luk⁴ se⁶ kan⁵ pa¹ nan⁴ ka⁴ mā¹ mā²*
goat two CLF from direction there LINK come COME

luk⁴ se⁶ kan⁵ pa¹ nai⁴ ka⁴
from direction this LINK
'Two goats came, from this direction and that.'

(Phake Story, *The story of the two goats*, No. (3), told by Yehom Buragohain)

Example (2) also includes two polysyllabic forms, *pa¹ nan⁴* 'there' and *pa¹ nai⁴* 'here, this', both of which include the morpheme *pa¹* that could be analysed as a proclitic. The form *pa¹* appears to be an unstressed form of the word *paa³/pā³* 'side', but in this unstressed form it never stands alone.

Even clearer examples of non-isolating morphology are seen with the use of changed tone in Phake to express negation, imperative and questions. This was already discussed above in 6.2.4.5, and will be discussed further in 8.6.

Despite these exceptions, the Tai varieties in Assam are usually isolating and thus most morphemes are also words, at least in the pretheoretical sense of 'word'. Since these are written languages, all of these elements can be written as words. For example, when speech is transcribed using the Tai script, hesitation phenomena and other particles are regarded as words, such as *aa¹ saŋ¹* and *ai³* in (3):

- 3.1) *khau¹ aa¹ a¹ saŋ¹ ai³ pin² kaa¹ ... luk³ nii² ...*
3PI PRT HESIT PRT be GO ... child good ...
'They, um, became ...'

- 3.2) *aa¹ saŋ¹ khau¹ nai³ pin² kaa¹ luk³ kan² pa¹ ɔŋ²*
EXCL HESIT 3PI get be GO child RECIP girl
'Umm, they got the (local) girls.'

(Aiton text, *History of the Tai*, Nos. (51-52) told by Sa Cham Thaumoung)

When the *History of the Tai* was first transcribed by Bidya Thaumoung, he wrote both the forms *aa¹ saŋ¹* and *ai³*, although we might not expect either of these words would be listed in an Aiton dictionary.

Even when a form might be argued to be approaching clitic status, as with *kaa¹* in (3.2), it is still regarded as a separate word by the Tais, both in writing and when discussing the analysis of the texts. In this thesis, none of the morphemes encountered will be treated as clitics or affixes.

8.1.2 Syntactic Structure

The Tai languages of Assam exhibit a basic constituent order of Subject Verb Object (SVO) as exemplified in (4):

- 4) *kon² maŋ² khau⁶ kā¹ pam³ mai⁴ nan⁴ se⁶*
person city 3PI go fell tree that PRT
'These city people went and cut that tree down.'

(Phake Story, *Story of the foolish king*, No. (78), told by Ee Nyan Khet)

As will be discussed below in detail in section 8.4, sentences with a different constituent order very frequently occur in Tai and this is reflected in the corpus of texts which form the basis of this thesis.

The expression of noun phrases is not obligatory in any of these languages. If a participant has already been introduced, it is not necessary to express it either by a full noun phrase or by a pronoun. Therefore a sentence such as (5) would be as

grammatical as (4), provided that the agent had already been introduced into the discourse.

- 5) ကျ ဝံ မိ ကာ လေ။
 kā¹ pam³ mai⁴ nan⁴ se⁶
 go fell tree that PRT
 '(They) cut that tree down.'
 (Phake sentence)

Where a sentence is intransitive, the single noun phrase participant is usually expressed before the verb, as in (6):

- 6) ကုန် ကာ ကွန် ယူ
 kun² nan³ non² suu¹
 person that sleep TOWARDS
 'That person is sleeping.'
 (Aiton sentence)

Adjectives can also appear as the intransitive predicate, as in (7):

- 7) ပုလွန် က ယွတ် လိပ်။
 pa¹ sāu⁶ ne⁴ sap¹ pheu⁶
 girl DEF beautiful excessive
 'That girl was very beautiful.'
 (Phake Story, *Story of the foolish king*, No. (19), told by Ee Nyan Khet)

The core arguments of a predication are expressed either as noun phrases or as prepositional phrases. Noun phrases are headed by nouns or pronouns, the former of which can be postmodified in a variety of ways, such as in example (8) which shows a noun modified by an adjective and example (9) which shows a noun modified by a possessor:

- 8) ကုန် ယုင်
 kon² suŋ⁶
 person tall
 'a tall person'
 (Phake sentence)

- 9) ပပ်လိက် လုက်ကွက် ကာ
 pāp⁴ lik⁴ luk⁴ ɔn¹ nan⁴
 book child that
 'the child's book'
 (Phake sentence)

Noun phrases are discussed in detail below in see 8.3.2.

As mentioned above, some arguments are marked in prepositional phrases. Animate core participants which are not agents are frequently found in prepositional phrases marked by the preposition *haŋ²* 'to', as in (10).

- 10) ဟာ လာ ယိပ် လာ ကပ် စာင် ဝိုတ် ဟင် မူ လာ။
 hā¹ ne⁴ sã⁶ ne⁴ kãp⁴ tün¹ wət⁴ haŋ² mū⁶ ne⁴
 time DEF tiger DEF bite all PRT to pig DEF
 'The tiger ate the pig up.'
 (Phake Story, *Story of Deception*, No. (39), told by Ee Nyan Khet)

The use of the prepositional phrase in (10) might be a type of non-obligatory case marking, and is discussed further in 8.3.3 below. This is a type of dependent marking in the terms presented by Nichols (1986). In most syntactic constructions in the Tai languages, however, neither head nor dependent is marked.

The remainder of this chapter on syntax is divided as follows:

- 8.2 Words
- 8.3 Constituents
- 8.4 Constituent order
- 8.5 Predications
- 8.6 Interrogative, negative and imperative
- 8.7 Complex Sentences

8.2 Words

This section has been titled 'words', using the term in a pre-theoretical and perhaps intuitive sense. As discussed above in 8.1, for Tai speakers in India, morphemes are words and most of these words are monosyllabic. Of the words that are not monosyllables, many are compounds of two or more monosyllabic Tai elements. There are a smaller, but not insignificant, number of borrowed words, particularly from Pali, Assamese and Burmese, and these are often polysyllabic. These will be discussed in 8.2.8 below.

8.2.1 Word classes in Tai languages

In his study of Standard Thai, Noss (1964:79) divided words into two classes, 'free lexemes' and 'bound lexemes'. Free lexemes are those which occur 'in isolation' and can occur as 'minor sentences', a category similar to the non-initiating sentences as defined by Vichin Panupong (1970). Bound lexemes are those which cannot so occur and thus include a large number of words which would not normally be regarded as bound morphemes, such as prepositions. For example, the word *thây* 'reach, arrive' is included by Noss as a preposition, one of the subclasses of bound lexeme, with the meaning 'to, all the way to, reaching' in (11).

- 11) กลับมา ถึงบ้าน เวลา สอง ทุ่ม
 kláp-maa thây-baan welaa sǎwng t'ám
 return-come reaching-home time two evening o'clock
 'Got back to the house at eight p.m.' (Noss 1964:149)⁵⁷

In addition, Noss also categorises *thây* both as a conjunction (1964:173), another form of bound lexeme in his analysis, and as a completive verb (1964:127), which is a free lexeme.

Noss's analysis seems to suggest that native speakers of Standard Thai can compartmentalise the different senses of a word like *thây*. It appears that he regards

⁵⁷ Only the phonetic script and translation is from Noss. The morpheme gloss and Thai script were supplied by me.

words like this as having polysemous senses as both a free and a bound lexeme, or perhaps even as more than one separate homophonous words. This, however, fails to take into account either the diachronic factors, by which it can be shown that *thây* 'to, reaching' is related to and derived from *thây* 'to reach', or the native speaker's intuition, which would normally suggest that this is a single word.

Noss' free lexemes are themselves divided into three discrete categories: isolatives, substantives and predicatives. He defined substantives as "any free lexeme which occurs as co-constituent of a predication of which it is not the predicator." (1964:88). This group includes nouns, complementives, pronouns, numerals, classifiers and demonstratives. Predicatives, including modal verbs, adjectives, transitive verbs and completive verbs are defined as "any free lexeme which occurs as a predicator" (1964:114).

There are difficulties with Noss's approach. For example, his characterisation of adjectives as a subclass of predicatives (verbals) is not uncontroversial. It is followed by Vichin Panupong (1970:125) who describes adjectives in Thai as 'intransitive adjectival verbs'.

On the other hand, Dixon (2001b) maintains that three word classes, nouns, verbs and adjectives are "implicit in the structure of each human language", and that each has "(a) a prototypical conceptual basis and (b) prototypical grammatical function(s)."

Let us examine for a moment how we might attempt to establish that a particular word was a verb in the Tai languages. Semantic, syntactic and morphological criteria might be used. Being isolating languages, there are no formal criteria to distinguish verbs from other word classes and no bound morphology peculiar to verbs. There are some features which mark verbs out from the prototypical nouns. Whereas verbs can be accompanied by TAM markers, nouns cannot. This TAM morphology is exemplified in (12), where the verb *káp* 'bite' is followed by the TAM marker *kaa* 'GO', indicating past tense.

- 12) မာန် ကျ ပက် ခွဲ မာန် ပဲ ကပ် ကျ ကွန် ခိုက်
 mən² aa³ paak¹ noi¹ mən² pai² kap³ kaa¹ kən³ nu³
 3Sg open mouth PRT 3Sg go bite GO piece meat
 "He opened his mouth and ate that piece of meat."

(Aiton Story, *Story of the Crow and the Fox*, No. (56), told by Ong Cham).

No noun can be followed by a TAM morpheme in this way, but adjectives in adjectival predicates can, as shown in example (13):

- 13) ခက် နှစ် ဟိရတု ဟိကျိ ကံဟလာ မိုက် ခိုက် ကျ ။
 wan² lun² cau³ phaa³ sik¹ kyaa² kam¹ pa¹ laa¹ mən² durt¹ kaa¹
 day one king Sikkyā throne 3Sg hot GO
 "One day, the throne of Lord Sikkyā was very hot."

(Aiton text, *History of the Aiton*, No. (5) told by Nang Wimala Thaumoung)

Syntactically, a verb is the head of a predication (for the syntax of predications, see below 8.5). In (14) where the structure is Subject - Verb, the subject nominal is *naaŋ*² *de*¹ *wii*¹ *nai*³, and the predication is made up of a verb (*tun*¹) and its modifier, a TAM morpheme:

- 14) ဘင် ဒေဝီ ဒဲ ဝိုက် မှ ။
 naaŋ² de¹ wii¹ nai³ tun¹ maa²
 lady Devi this wake COME
 'Queen Devi woke up.'

(Aiton Story, *The twelve questions*, No (7), told by Sa Cham Thaumoung)

In the case of both the morphological and syntactic arguments advanced in favour of a word being a verb, it could be argued that these are properties of the phrase in which the word appears, rather than of the word itself. So, for example, it could be argued that *kaa*¹ in examples (12) and (13) is a marker of the whole predication, rather than of the verb.

This is akin to the key idea of construction grammar, in which the full specification of a word is not apparent until it is in a construction. Goldberg (1996:32) sums up the program of construction grammar:

"In the theory of Construction Grammar, constructions play a central role in that grammar itself is claimed to consist of a structured inventory of constructions."

Following this argumentation, a word like *durt*¹ 'hot' in (13) only achieves its syntactic status as a predication when it is in a construction like (13). If it were in a different construction, it would have a different status.

Let us consider the word *kaaŋ*³/*kāŋ*³ 'wide'. This is often found as a modifier of a noun, as in example (15):

- 15) တုင် ဘျ ကင်
 torŋ⁵ nā² kāŋ³
 area ricefield wide
 'a wide paddy-field'

(Phake sentence, Banchob 1987:9)

Example (15) demonstrates *kaaŋ*³/*kāŋ*³ in one of the prototypical functions of an adjective, that of an attribute of a noun. In example (16), on the other hand, *kaaŋ*³/*kāŋ*³ appears as a noun:

- 16) ကင် မာန် ယူဟူဘျ လိုင် ယွ မာန် ယူဟူဘျ လိုင်
 kaaŋ³ man² yuu¹ caa¹ naa¹ lun² 3aa² man² yuu¹ caa¹ naa¹ lun²
 wide 3Sg league one long 3Sg league one
 "Its width was one league/yojana, its length was one league."

(Aiton Story, *The twelve questions*, No (118), told by Sa Cham Thaumoung)

Statistically, by a very large margin, the commonest use of ကင် *kaaŋ*³ is as an adjective. Nevertheless, sentences of the form of (16) do occur, where it is the head of a noun phrase, as shown by the fact that it is modified by another nominal, မာန် *man*² 'third person singular pronoun' (see 8.3.2.1).

Had he been writing this grammar, Noss might have argued that there are two separate morphemes, *kaŋ²* 'an adjective (verbal) meaning wide, to be wide' and *kaŋ³* a noun meaning 'width'.

On the other hand, the native speakers of these languages certainly regard *kaŋ³* as a single word and for this reason it will be treated in this thesis as such.

The problem of how to categorise word classes in the Tai languages is not a new one. Grierson was well aware of the problem, stating that he could not "divide the vocabulary into parts of speech" (1904:73), and adding that:

"As to what function each word performs, that is determined partly by custom. Although, theoretically, every word may perform the function of any part of speech; in practice, such is not the case."

Grierson's statement that the function is "determined partly by custom" might be a forerunner of the approach of construction grammar, taking the view that a word does not acquire its full meaning until it is in a syntactic construction.

In this section of the thesis, words will be divided into classes on the basis of loosely semantic categories. We will discuss nominals (see 8.2.2), verbals (see 8.2.3), adjectives (see 8.2.4), prepositions (see 8.2.5), numbers and quantifiers (see 8.2.6), demonstratives (see 8.2.7), conjunctions (see 8.2.8) and isolatives (see 8.2.9). This is then followed by some remarks on morphemes which cannot stand by themselves (see 8.2.10). This section is then concluded by a discussion of borrowed words (see 8.2.11).

8.2.2 Nominals

The prototypical nominal is a noun and nouns are typically animate creatures or material objects. The class of nominals treated here includes nouns (see 8.2.2.1), and three groups of words that can stand in the place of nouns, namely pronouns (see

8.2.2.2), interrogative words (see 8.2.2.3), classifiers (see 8.2.2.4) and noun class markers (see 8.2.2.5).

8.2.2.1 Nouns

The class of nouns is probably the largest class of words in Tai. It is certainly an open class, with new words, usually either compounds or borrowed words, being added constantly.

Nouns could be divided into several classes, of which the most numerous are the common nouns (see 8.2.2.1.1) but there are also proper nouns (see 8.2.2.1.2).

8.2.2.1.1 Common Nouns

8.2.2.1.1.1 Simple monosyllabic nouns

Most nouns in Tai are monosyllabic words, many of which can be traced back to Proto-Southwestern Tai. Some examples are given in Table (1):

Tai	Phake (Banchob)	Aiton	Ahom	Standard Thai	Proto South- western tai	Meaning
ကျ	kā ²	kaa ²	ကံ	กฏ	kaa A2	crow
ကိင်	kiŋ ¹	kiŋ ¹		กิ่ง	kiŋ B2	branch
ကိပ်	kip ¹			กีบ	kiip DL2	hoof
ကုန်	kon ³	kun ³		ก้น	kon C2	bottom
ကုပ်	kop ¹	kup ¹		กบ	kop DS2	frog
ကွင်	kaŋ ²	kaŋ ²	ကွံ	กลอง	kaŋ A2	drum
ကွင်	kaŋ ³			กลอง	kaŋ C2	pipe

Table 1: Some common nouns in Tai

8.2.2.1.1.2 Compound nouns

Compound nouns are made up of two or more elements, the most typical being a two morpheme compound, consisting of two monosyllabic words, often of proto Tai origin.

Aimya Khang Gohain (1995:53) listed a number of types of compounds. An example of each type is reproduced in Table (2):

Elements	1st word	gloss	2nd word	gloss	compound gloss
N + N	လိင် ⁶ laing ⁶	vehicle	လုံ ² lom ²	wind	motor-vehicle
N + Adj	နီၼ် ² nin ²	earth	နီၼ် ² nam ²	black	coal
N + V	မၤ ³ cē ³	paper	မိၼ် ² men ²	fly	kite
V + N	ပိ ¹ pāi ¹	strike	လိ ² phai ²	fire	safety match
V + V	မၤ ³ cāṅ ³	know	လၢၼ် ⁴ phan ⁴	massage	masseur

Table 2: Compounding processes after Aimya Khang⁵⁸ (1995)

Unlike in standard Thai, where the word-class of the head usually decides the word class of the whole compound, in the examples given by Aimya Khang, there are compounds headed by verbs which are nevertheless nouns, although, as discussed below in 8.2.3.1, there are also compounds headed by verbs which are verbs.

Compounding is one of the two most productive ways of forming new nouns, the other being borrowing (see 8.2.11). Compounding may also occur between a compound word and a simple monosyllabic word, as in Phake *khun⁶ hɔ⁶ kham²*, 'king' which is a compound of *khun⁶* 'prince' and *hɔ⁶ kham²*, 'golden palace', itself a compound.

⁵⁸ The transcriptions in this table follow Banchob (1987), rather than Aimya Khang's system.

8.2.2.1.2 Proper Nouns

Proper names, mostly the names of people and places, are usually polysyllabic, as the examples listed in Table (3), from the *Treaty between the Aitons and the Turungs*, indicates:

ကိၼ် ³	aai ³ khəŋ ¹	name of a person
ဂီၼ် ² တၢ် ³	gii ² khaaŋ ³ təŋ ¹	name of a person
မံၼ် ¹ ဟံၼ် ³	saam ¹ ruu ¹ khaaŋ ³	name of a person
မိၼ် ¹ ဟံၼ် ³	laai ¹ ruu ¹ huk ¹	name of a person
ဗွၢ် ¹ ဟံၼ် ³	bər ¹ pə ¹ thaat ¹	name of a town
ကၢၤ ¹ ဟံၼ် ³	kaa ¹ sə ¹	name of a river
တၢ် ¹ ကုၼ် ¹	ta ¹ kum ¹	name of a tribe
တၢ် ¹ ရိၼ် ¹	ta ¹ rai ¹	name of a tribe
ပိၼ် ¹ ဟံၼ် ³	pyk ¹ san ³	name of a year
ဟံၼ် ³ မုတၢ် ¹	hun ³ mut ¹	name of a year

Table 3: Proper names from the *Treaty between the Aitons and the Turungs*.

The first three examples in Table (3) are native Tai names, instantly recognisable by the presence of the prefixes *aai³* 'first son', *gii²* 'second son' and *saam¹* 'third son'.

These are arguably compounds. The fourth personal name may be that of a non-Tai person, and as with many borrowed words, the first tone is generally preferred (see 6.3.4.4).

Table (3) also includes some place names, names of other tribes and the names of the years. The names of the years are native Tai words, although the correct pronunciation of them is no longer known to most Aiton speakers. The tones given here for the names of the years were established by comparison with the List of Phake years elicited from Sam Thun Wingkyen.

The names of the various Tai groups in Assam are all polysyllabic, as *phā⁴ ke⁵*, the Phake term for themselves.

8.2.2.2 Pronouns

The Tai languages of Northeast India have a basic six pronoun system, as shown in Table (4):

Word	Phake	Aiton	Gloss (mostly from Banchob 1987)	ref in Banchob
ကွံ	kau ²	kau ²	I	(1987: 18)
မ္ဍဲ	maü ²	mau ²	you	(1987: 311)
မၢ်	mün ²	man ²	he, she, it	(1987: 325)
ဟံ	hau ²	hau ²	we	(1987: 410)
လူ	sü ⁶	suu ¹	you all	(1987: 130)
ဟံ	khau ⁶	khau ¹	they	(1987: 60)

Table 4: Tai pronouns

Two other first person non-singular pronouns are occasionally used. The word *tuu²/to²* is most often found as a classifier for animals, but it can be used as an exclusive first person pronoun, as in example (17):

- 17) လိုင်ပိုင်ဂါ လီ တုတ် တင်လုင် ဘိပ် ဟုဂိုင်
 ly⁴ py⁵ nai⁴ sii⁶ to⁵ tan¹ lon⁶ cep¹ na¹ hur⁶
 therefore PRT body all hurt how
- ဟံ ဟု တုတ် ကွံ ပွန် ။
 khan⁵ naa⁶ ta¹ au⁵ pok⁴
 direction PRT.QN WILL take return
 'So we are distressed, and do not know how we will get (our traditions) back.
 (Khamyang text, *The future of the Khamyang language*, No. (40), spoken by Deben Chowlik.

The origin of the use of the word *tuu²/to²* to refer to the first person exclusive is not known. It may arise from a desire to be deferential to the addressee and wish to

emphasise the low status of the speaker. It probably can be used as a singular pronoun as well.

The pronoun *hā²*, the meaning of which in Shan is 'we two', also survives in some texts, as in example (18):

- 18) ကုလံ ဟံ ဟု လုင် လေ ကု ။
 ā¹ sam⁶ hau² hā² yun³ se⁶ ṽ¹
 Assam 1Pl 1Dl disordered PRT PRT
 'This Assam is very disturbed at present.'
 (Tai Phake Song, *Words of gladness - in honour of Stephen Morey*, No. (8)),
 sung by Am Saeu Khyo)

Banchob (1987:44) also points out that in Phake, the word *ka⁶* 'both' can be added to the singular pronouns to form dual pronouns, as in *ဟံကု hau² ka⁶* 'both of us'. The marking of duality is not obligatory and is only used if the speaker or writer has a very specific reason for wishing to indicate it.

Aimya Khang (1995:52) discusses the use of the respectful particle *cau¹*, glossed as 'RESP' to mark a respectful pronoun in Phake. This is common to all the Tai varieties, and is exemplified in (19):

- 19) ကု ဟံ ဟု ဘိပ် တ် လိမ် လီ
 kau² khaa¹ na¹ bin³ tem³ laai² maai¹ sii¹
 1Sg am Nabin write letter PRT
- လုင် ဟံတ် ဟု တီ မ္ဍဲ ဟံ လု ။
 sun¹ hot³ maa² tii² mau² cau³ zau³
 send arrive come at 2Sg RESP FINISHED
 'I, Nabin, am sending a letter to you.'
 (Aiton letter, No (2), written by Nabin Shyam Phalung, 1999)

The third person pronoun *khau¹/khau⁶* can also be used, generally in final position in a noun phrase, to indicate the plurality of a noun or noun phrase, as in (20):

- 20) ⁵khai⁵ ²khām² ⁴wün⁴ ¹tüŋ¹ ²kon² ¹pā¹ wāi⁶ ⁶khau⁶
 tell word PRT with people Pawaimukh 3PI
 'Spoke with the people of Pawaimukh.'

(Tai Phake Song, *Poem in the khe² khyāŋ² style: How Stephen Morey came to the Tai Phakes*, No (75.1), read by Ai Che Let Hailung)

8.2.2.2.1 Reflexive pronouns

In both Phake and Aiton, a reflexive *pa¹ cau³* is formed by the combination of the respectful particle *cau³* with *pa¹*, the noun class marker for humans, exemplified in (21):

- 21) ¹kap ⁴tan ⁴ne⁴ ²han² ¹pa¹ cau³ ²yū² ¹kā¹
 captain ex (to) himself shoot past
 'The captain shot himself.'
 (Phake sentence, from Kingcom 1992:310, No. 489)⁵⁹

8.2.2.2.2 Reciprocals

In addition to the reflexive *pa¹ cau³*, a reciprocal marker *kan²* (or *kün³*) is a very common morpheme in all Tai varieties. It is glossed 'RECIP' and exemplified in (22):

- 22) ²wan² ²luŋ² ¹tan¹ ²pa² ³thau³ ²me² ³thau³ ¹daa¹ ²kan²
 day one with father old mother old quarrel RECIP
 'One day the old couple quarrelled.'

(Aiton Story, *Story of the forest ghosts and the opium pipes*, No. (4), told by Mohendra Shyam).

In example (22) we can see the reciprocal marker in what would be the Object position in a transitive sentence. The literal meaning of *daa¹* is 'curse, abuse with words' and in the reciprocal form is 'curse each other', here translated as 'quarrel'.

Occasionally the reflexive and reciprocal forms are used together, as in (23):

⁵⁹ The translation and glosses are from Kingcom (1992). For consistency, the phonemicisation follows Banchob (1987) rather than the system adopted by Kingcom. The Tai script has been added by me.

- 23) ²mvŋ² ²hau² ²hau² ¹pa¹ cau³ ¹ta¹ ¹so¹ lai¹ ⁵kin⁵
 country 1PI 1PI self WILL rule RECIP
 'We will rule our country ourselves.'

(Khamyang Text, *The second world war and its aftermath*, No. (79), told by Sa Myat Chowlik.)

The Khamyang pronunciation of the reciprocal particle differs from that of the Aiton and Phake, but Aiton and Phake informants agreed that the syntax in (23) would be acceptable to them. The implication of (23) is that we ourselves will rule each other in our own country. Once again, as with (22), the English translation does not require a overt reciprocal.

Sometimes *kan²* is not a reciprocal, as in (24):

- 24) ⁴ne⁴ ²mün² ¹khaj¹ ⁵ti⁵ ⁴than⁴ ¹taik¹ ¹kā¹ ¹taik¹ ²kan²
 DEF 3Sg lay egg to there break GO break RECIP
 'She laid her eggs there and they shattered and broke.'

(Phake Story, *Story of the kum⁴ bird*, No. (5), told by Ee Nyan Khet)

Example (24) refers to young chicks breaking out of their shells. No reciprocity is implied; it is not being suggested that the young chicks help break each other's eggs. This pluralising use of *kan²* is also found in Standard Thai, where the cognate *kan* was defined by Haas (1964:25) as a reciprocal pronoun with the meaning "1. each other, one another, mutually, together. 2. severally (imparting a distributive sense to the verb). Often not rendered explicitly in English."

8.2.2.3 Interrogative Words

Interrogative words in Tai behave syntactically like noun phrases, and they are therefore included in the class of nominals. This is shown in examples (25.1) and (25.2) where the object of the verb *həm⁶* 'gather' is *ka³ saŋ⁶* or *saŋ⁶* 'what' in the question (25.1) and a noun phrase *ka²* 'salt' in the answer (25.2).

- 25.1) ယုင် ယေ ကျဃင် မွဲ တျ ဖုံ
 yuŋ² ye⁴ ka³ saŋ⁶ maü² ta¹ hɔm⁶
 mosquito PRT what 2Sg will gather

မွဲ တျ ကျ ကျ ယင် ။
 maü² ta¹ kã¹ kã⁴ saŋ⁶
 2Sg will go trade what
 "Mosquito, what will you gather, what will you trade?"

- 25.2) ကော့ ကွဲ တျ ဖုံ ဝဲ ကိုတ် ။
 ɔ² kau² ta¹ hɔm⁶ wai⁴ kə²
 YES 1Sg will gather KEEP salt
 "Oh, I will gather salt."

(Phake Story, *The Dolphin, the Crow and the Mosquito*, Nos (15 & 16), told by Aithown Che Chakap)

Table (5) lists interrogative words and the kind of phrases that can be used to answer them:

Word	Phake	Aiton	Gloss	Answering phrase
ကျဃင်	ka ³ saŋ ⁶	ka ¹ saŋ ¹	what?	Noun phrase or sentence
ကိ	kɪ ¹	kii ¹	how many?	Numeral or quantifier
ကျဃ်	kha ¹ laü ¹	kha ¹ dau ¹	how much?	Quantifier Phrase
ထွဲ	thaü ⁶	thau ¹	where?	Locational Phrase or Place name
လှဲ	naü ⁶	dau ¹ , nau ¹	which?	Demonstrative or sentence
ကိုတ်	nüŋ ¹ hū ⁶	naŋ ¹ hu ¹	how?	Sentence
လှဲ	phaü ⁶	phau ¹	who?	Proper Name
မိုတ်	ma ⁵ naü ⁶	mu ² dau ¹ , mu ² nau ¹	when?	Temporal Phrase

Table 5: Interrogative words in Aiton and Phake

8.2.2.4 Classifiers

In the languages of the Tai family, as in many of the languages of mainland Southeast Asia, many nouns cannot be directly counted. The term 'classifier' has long been used (for example Haas 1964) to refer to the morpheme which is counted. In example (26), the noun is *muu*¹ 'pig', but the word *tuu*² 'animal classifier' is required for pigs to be counted:

- 26) မူ ဖာ တူ
 muu¹ haa³ tuu²
 pig five CLF
 'Five Pigs'
 (Aiton sentence)

Aikhenvald (2000:1) discusses the various grammatical means for the categorisation of nouns and nominals, and uses the term 'classifier' as "an umbrella label for a wide range of noun categorization devices." The particular device exemplified in (26) is a numeral classifier in Aikhenvald's typology, so called to distinguish them from other classifiers. For the purpose of this study, the term classifier will continue to be used to refer to these numeral classifiers, and they will be glossed as 'CLF'. The syntax of these classifiers and the phrases in which they occur is discussed at 8.3.2.3.

Not all nouns require classifiers to be counted. Some abstract concepts, as we would expect, are never counted at all, but in addition there are some nouns which refer to material objects that do not need a classifier in order to be counted. For example, body parts are usually directly counted, as in (27), where the word *niu*⁴ 'fingers' is counted without a classifier.

- 27) မိုတ် ဖာ နိတ်
 mū² hā³ niu⁴
 hand five fingers
 'A hand with five fingers'
 (Tai Phake Riddles, No. (5), read by Ee Nyan Khet)

Large objects, such as countries, are also directly counted, as *muŋ*² 'country' in (28):

- 28) မိုက် ကာ် ကွဲ် နာ် ကောယုယု ထေမိတ်
 mur² nan³ naŋ³ hak³ ko¹ saa¹ pa¹ the¹ myat¹
 time that brother love Kassapa holy

မုက် နှုဲ် ထုဲ် ကော့ ကွတ် လဲ မိုက်
 mun² hun¹ phun² ko³ khyat³ laai¹ mtun²
 holy famous power LINK preach many country
 'Then the beloved brother Kassapa, the holy and powerful, preaching in many countries.'

(Tai Khamyang text, *The Parinibbana*, No. 25, read by Chaw Cha Seng)

Where classifiers are to be used, there is a choice between specific classifiers and general classifiers. The general classifier ကာ် *an²* is used for most objects, but never for persons, for whom the classifier is generally ကော³/*ko⁴*, and only rarely for animals, for which the classifier is ထူ²/*to²*.

There are a large number of specific classifiers, the use of most of which is quite rare. The full list of classifiers for Phake identified by Banchob (1987), together with some additional classifiers found in the texts, are listed in Table (6). These are compared with a list of Aiton classifiers elicited from Bidya Thoumoung. Where there is an empty square in either the Aiton or Phake columns of Table (6), it indicates that the particular classifier has not yet been identified in the language concerned. It does not indicate that it does not occur.

Word	Phake	Aiton	Gloss
ကုာ်	kon ²		a classifier for human beings
ကုတ်	kup ¹		a classifier for a piece of wood which is cracked open
ကော	ko ⁴	ko ³	a classifier for persons
ကံ	kam ²		a classifier for a spoken word
ကွတ်	kot ¹		a classifier for the circumference of the trunk of a tree
ကွပ်	kop ¹		a handful, used as a classifier

ကာ်		khan ¹	a classifier for valuable and expensive things
ကိပ်	khep ¹		a classifier for thin and flat things, mostly round
ခဲ	ŋāi ²	ŋaai ²	a classifier for meals
ကွဲ		coŋ	a classifier for questions, points of dispute
ယိက်	sik ⁴		a classifier for a torn thing, as a banana leave or a banana stem
ယိ	si ³		a classifier for a tooth or the similar things
တင်	tāŋ ⁴		a classifier for shelves
တပ်	tāp ¹		a classifier for a flat and thin thing
တော	to ²	tuu ²	a classifier for animals
တိုင်	tap ¹		a classifier for a flat and round thing
တွဲ	toi ²		a volume of book
ထု		thaa ¹	a classifier of time
ကင်	nāŋ ²		a classifier for a prince (now obsolete)
ကိပ်	nep ¹		a classifier for packets
ကုတ်	nut ¹		a classifier for cigarettes
ကုာ်	non ³		a classifier for pieces or sticks of cut firewood
ကော	no ⁶	no ¹	a classifier for persons (poetic)
ပပ်	pāp ⁴	paap ³	a classifier for 'lik ⁴ ' (a scroll of book)
ပု	pā ²	paa ²	a classifier for respectable persons, as monks.
ပိုင်		pun ²	a classifier for holy things
လိက်	phen ¹		a classifier for thin things
လူ, လူ		phuu ¹ , phaa ¹	a classifier for persons
လှိုတ်	phat ¹		a classifier for skeins of thread, wool, or the like
လှိုက်	phün ⁶	phun ¹	a classifier for clothes and cloths
မင်	māŋ ²		a classifier for thin things, as paper, leaves of a tree, or the like
မုင်	mun ⁴		a classifier for a brood

မုင်	mun ⁵		a classifier for a distance within eye reach
မုင်	mau ²	mau ² / bau ²	a classifier for knives
လင်	lan ⁶	lan ¹	a classifier for houses
လင်		lan ¹	a classifier for drums
လင်	lan ⁶		a classifier for many kinds of things, also for human beings
လင်	lan ¹	lan ¹	a classifier for leaves of books
လင်	lan ³		a classifier for small and long things, as boats, pencils, etc.
လင်		luk ³	a classifier for worlds and heavens
လင်	lu ¹		a classifier for flowers
လင်	le ²		a classifier for some amount of gunpowder used at one shot
လင်	lam ²	lam ²	a classifier for long things, as bamboo
လင်	lau ²		a classifier for guns
လင်	wān ¹	waan ¹	a classifier for an amount a bowl contains
လင်	wī		a classifier for the above hands (of bananas);
လင်	hāp ¹		a classifier for 2 bundles of baskets of things carried by a long stick on the shoulder
လင်	hā ¹	haa ¹	a classifier for a fall of rain
လင်	hoi ¹	hui ¹	a classifier for round or spherical things
လင်	ha ²		a classifier for bunches of bananas or betel nuts
လင်	hoi ⁴		a classifier for strings of things
လင်	an ²	an ²	a classifier for many sorts of things, both big and small

Table 6: Classifiers in Phake and Aiton

These classifiers appear to fall into the following groups:

- The general classifier *an*²,
- Specific classifiers for classes of nouns according to animacy, such as *ko*³/*ko*⁴ 'classifier for humans' and *tuu*²/*to*² 'classifier for animals'.
- Special classifiers for important or respected humans and religious objects, such as *paa*²/*pā*²,
- Specific classifiers for classes of objects according to size and shape, such as *lam*²,
- Specific classifiers for specific objects, such as *bau*²/*mau*² 'classifier for knives'.

Table 7: Types of classifiers in Tai

The grouping of classifiers in Table (7) is related to the frequency of their use. The general classifier *an*², which appears at the top of Table (7), is much more frequent in the texts than any of the other groups of classifiers. The next most frequent classifiers are *ko*³/*ko*⁴ 'classifier for humans' and *ko*³/*ko*⁴ 'classifier for animals'.

Furthermore, as will be seen in examples (30) and (31) below, it is probable that among younger speakers classifiers from the groupings listed at the bottom of Table (7) are being replaced by the more general classifiers from groupings at the top of Table (7).

Semantically, most of the classifiers are classifiers of things on the basis of their shape, such as *lam*² 'classifier for long things'. Some of the classifiers have developed from nouns, like *tuu*²/*to*², the original meaning of which was 'body', and which still has that meaning in some contexts. Others of the classifiers are of unknown origin.

One special classifier, recorded for Aiton, is *phuu*³ 'classifier for persons' is worthy of further comment. It is found in example (29), where it is modified by the number *luu*² 'one', the only numeral which follows the classifier:

- 29) ကုတ် လက် ဝိ နှု နှု နှု နှု
 khot¹ luk³ wai³ phuu³ luu² caai² niu²/diu²
 leave son keep CLF one male only one
 'He left one son.'

(Aiton manuscript, *History from the time of the ancestor Chaw Tai Lung up until Sukapha*, No. (58))

This classifier is cognate with Standard Thai *phûu*. In Standard Thai it cannot be counted by numbers, as it can in Aiton, but can be followed by a demonstrative, as in Standard Thai *phûu nán* with the meaning 'that person'. It may be that the noun prefix *pa*¹ (see 8.2.2.1.3 above) is a reduced form of this classifier.

Given the large number of specific classifiers, it would not be surprising to find speakers getting confused as to their use. In (30) below, Sa Cham Thoumoung makes a false start after the noun *mit*³, starting to say *an*² 'general classifier' and then correcting himself with the specific classifier *baw*².

- 30) ပူ ဘိ ကူ ရှိ မှ မိတ် က.. ညံ မ် ။
 puu¹ naai² a¹ hau³ maa² mi³ a.. bau² lun²
 grandfather maternal HESIT give come knife * CLF one
 'His maternal grandfather gave him a knife.'
 (Aiton text, *History of the Tai*, No. (94) told by Sa Cham Thoumoung)

When Bidya Thoumoung, a much younger speaker, made a transcription of this story, he wrote *an²*. Among younger speakers, this use of the general classifier in place of the specific classifier seems to be quite widespread, as example (31) shows. In (31) Chaw En Lai Phalung uses the general classifier *an²* in place of the animal classifier *tuu²*

- 31) wan² lun² phan² aai³ tən² khau¹ luk³ aai³ tən² khau¹
 day one tribe Aiton 3PI young/child Aiton 3PI
- ယိုတ် မာ မိဟ် ကာ် လိုင်
 zu² maa² men³ an² lun²
 shoot come porcupine CLF one
- 'One day one of the young men of the Aiton shot a porcupine.'
- (Aiton Story, *How the Aitons and the Khamtis quarrelled*, No. (3), told by Chaw En Lai).

8.2.2.5 Noun class markers

In addition to the numeral classifiers discussed in the previous section, there is a series of noun class markers listed in Table (8). These noun class markers precede the noun, unlike the numeral classifiers which occur postnominally.

Word	Phake	Aiton	Gloss (mostly from Banchob 1987)	ref in Banchob
ᵛᵛ	ca ¹		a word which prefixes the names of insects and small animals	(1987: 94)
ᵛᵛ	pa ¹	pa ¹	a particle prefixed to a noun denoting a person	(1987: 244)
ᵛᵛ	pa ¹		a particle prefixed to the names of fish	(1987: 245)
ᵛᵛ		pa ¹	a particle prefixed to the names of vegetables	
ᵛᵛ	ma ¹	ma ¹	a prefix denoting fruits	(1987: 302)
ᵛᵛ	māk ¹	māk ¹	used as a prefix to the names of some kinds of fruit or something similar to that	(1987: 312)
ᵛᵛ	maiŋ ²	mɛŋ ²	some kinds of flying insects with wings	(1987: 327)
ᵛᵛ	la ⁴	la ³	a particle prefixed to some names of animals	(1987: 358)

Table 8: Noun class markers.

The syntax of these noun class markers differs. The prefix *la³/la⁴*, for example, is only found in combination with the names of animals and never stands alone as a separate morpheme. The word *men²/mai²*, on the other hand, is a full noun which can stand by itself, or be combined with a noun referring to the specific insect, as in Aiton *men² sap¹* 'cockroach', or combined with a word that does not refer to a specific insect and may not even be a noun, as in Aiton *men² den²* 'a tick, literally insect-red'.

The noun class marker *pa'* combines with adjectives and verbs to form a noun referring to a person. Example (32) demonstrates a number of these.

- 32) လဲကျဲလိက် နီ ကွက်ရိုင်း တွင်ပွင် ရှိ
 lai⁴ ko⁴ pa⁵ nai⁴ kau² con² nū⁵ tau² paung² hau³
 therefore 1Sg a little remember GIVE

ကိတ် ကွက် ပါ ရှိ ပါ ယှိ ပါ ဆဲ ကွ
 khi² kon² pa¹ hū⁴ pa¹ yaü¹ pa¹ cā⁵ khau⁶
 please person CL-human know CL-human big CL-human know 3Pl
 'Therefore I remember the old men and the knowledgeable men.'

(Tai Phake Speech, No (35), given by Ai Chanta)

When discussing the translation of (32), Ai Chan Ta indicated that *pa¹ yaü¹* meant 'an old man', and *pa¹ cā⁵* 'a clever man'.

8.2.2.6 Nominalisation

Nominalisation occurs in several ways. Sometimes verbs or adjectives occur in a construction where they are the head of a noun phrase, as with *kaan³* 'wide' in example (16) above.

Another method of nominalisation is exemplified in (33), where the word *tān²* 'way' is prefixed to the word for 'to die', to create the abstract nominal *tān² khai³* 'death':

- 33) ရှိ ယဲ ဝေ တင်ကွ ဝိုင် ရှိ
 phai⁶ yan⁶ we⁵ tān² khai³ pū² hū⁶
 who have.NEG avoid death way how
 'There is no way for anybody to avoid death.'

(Phake Manuscript, *Chaw Mahosatha meets Nang Pingya*, No. (22), read by Ee Nyan Khet)

The general classifier *an²* can also be used in this way to nominalise a verbal, as in (34).

- 34) ကျဲ တွင်မိ ကက် တု ကိတ် မ ယဲ ယဲ
 hā¹ nai⁴ tau⁴ mai³ an² ta¹ kin² ma¹ yan⁶ ye⁴
 at that time hungry CLF will eat NEG have.NEG PRT
 'At that time they were hungry, but there was nothing to eat.'

(Phake Story, *The two brothers*, No. (4), told by Ee Nyan Khet)

The phrase *an² ta¹ kin²* is a noun phrase because in sentences of the form *X ma¹ yan⁶* the element *X* is a noun phrase.

8.2.3 Verbals

Verbals will be discussed under the headings of verbs (see 8.2.3.1), auxiliary verbs (see 8.2.3.2) and completive verbs (see 8.2.3.3).

8.2.3.1 Verbs

8.2.3.1.1 Monosyllabic verbs

Most verbs in the Tai languages are monosyllabic, as those exemplified in Table (9):

Tai	Phake (Banchob)	Aiton	Ahom	Standard Thai	Proto South- western tai	Meaning
ထတ်	phat ¹	phat ¹	တတ်			to read
ထတ်	phat ¹			ผัด	fat DS1	to winnow
ထတ်	phat ⁴	phat ³	တတ်	พัด	fat DS4	to whip
ထတ်	phan ²	phan ²	တတ်	ฟัน	fan A4	to cut
ထတ်	phan ⁴					to massage
ထတ်		phaan ¹	တတ်	ผ่าน	faan A1	to peel, slice

Table 9: Some simple verbs in Tai

The semantics of verbs in Tai would be the subject for a thesis in its own right. One example will be presented to raise this issue. Nabin Shyam Phalung explained that in Aiton different words for 'cut' would be used, according to the implement being used. This is detailed in Table (10):

Aiton Verb 'cut'		Cutting implement		
ခိုတ်	gut ³	ကတ်	daap ¹	'sword'
ပတ်	pat ¹	ကျဲ	phaa ³	'cleaver'
တိတ်	tep ¹	မိတ်	mit ³	'knife'
ယွတ်	sai ²	စက်	phaan ¹	'slicer'

Table 10: Terms for cutting in Aiton

8.2.3.1.2 Compound verbs

Verbs compounds are generally formed by a process that appears to be noun incorporation, as in Phake $\text{t}^2 \text{huk}^1$ 'to weave', which is literally 'weave-loom'. English speaking Phakes consistently translated $\text{t}^2 \text{huk}^1$ as the single English verb 'to weave'.

When Aithown Che was asked how to negate this verb, however, he offered example (35), which suggests that $\text{t}^2 \text{huk}^1$ is not a true compound and not a case of noun incorporation.

- 35) $\text{mün}^2 \text{huk}^1 \text{ma}^1 \text{t}^6$
 3Sg loom NEG weave.NEG
 'She didn't/doesn't weave.'

Audio Link for this sentence (\wave files\phake\extracts\Aithown Che\1.mp3)

However, Aithown Che did accept that (36) was a possible, although not preferred, way of speaking:

- 36) $\text{mün}^2 \text{ma}^1 \text{t}^2 \text{huk}^1$
 3Sg NEG weave
 'She didn't weave!'

Audio Link for this sentence (\wave files\phake\extracts\Aithown Che\2.mp3)

In example (36) $\text{t}^2 \text{huk}^1$ is arguably a compound, because the tone of t^2 does not change after the negative particle ma^1 , as it did in (35) (see above 6.2.4.5.2 for a discussion of the Phake negative tone). In (35), on the other hand, the noun is clearly not fully incorporated.

Several of these verb-noun combinations in Aiton are exemplified in Table (11):

Elements	1st word	gloss	2nd word	gloss	compound gloss
V + N	kip^1	take	laai^2	pattern	to make a design on cloth
V + N	kəp^3	cling	zaa^3	medicine	to bind a medicinal poltice
V + N	kin^2	eat	kha^3	rice	to dine

Table 11: Some V+N combinations in Aiton

Another process akin to compounding is the use of multi-verb sequences or verb serialisation (see below 8.5.8). Sometimes these serial verbs are translated in English by a single verb, but they are not single words in the Tai languages, as in (37), where khup^3 'kneel' and paai^3 'pray' can be translated as a single word 'pray', but syntactically this is a case of serialisation.

- 37) $\text{mūŋ}^2 \text{kāŋ}^2 \text{ai}^3 \text{lai}^3 \text{cau}^3 \text{phaa}^3 \text{lun}^1 \text{khup}^3 \text{paai}^3 \text{ai}^3$
 the earth PRT thus king big kneel pray PRT
 'So the great king (and his people) prayed.'

(Aiton text, *History of the Aiton*, No. (4) told by Nang Wimala Thoumoung)

8.2.3.2 Auxiliary Verbs

True auxiliary verbs are those verbals that cannot stand alone as a predication but always have to be followed by another verb. In example (38), we see the auxiliary khaü^3 'want', a verb which does not occur unless followed by another verb.

- 38) $\text{baa}^3 \text{maa}^2 \text{khaü}^3 \text{təŋ}^2 \text{khaü}^3 \text{dai}^3 \text{ŋin}^2$
 crazy come want know want listen
 'She was crazy and wanted to know ...'

(Aiton Story, *The twelve questions*, No (8), told by Sa Cham Thoumoung)

The syntactic frame for an auxiliary verb is expressed in (39).

- 39) NP Auxiliary Verb (TAM) (NP) (TAM)

In the data collected so far on the Tai languages, the TAM morpheme is never attached to the auxiliary verb, but only to the main verb of the predication.

Auxiliary verbs differ from verbs in a multi-verb or serial construction (see 8.5.8), in that the first verb in a multi-verb construction can be a main verb in other circumstances, whereas the auxiliary verb is never found as a main verb.

Banchob (1987) listed a number of Phake verbs which we can consider as auxiliaries:

Word	Phake	Gloss
နိုင့်	kün ¹	should
ဟံ	khaü ³	to want
ဟန်	khān ⁴	to be unwilling or not care to do, to dislike, to be lazy
မင်	cān ⁵	may
လိင်	sain ¹	should

Table 12: Auxiliary Verbs in Tai Phake (after Banchob 1987)

Banchob (1987) exemplified all of these, as in (40):

- 40) မှီ နိုင့် ဟန်
maü² kün¹ tñn³
2Sg should speak
'You should speak' (Banchob 1987: 24)

These auxiliaries are also found in the text, as in (41):

- 41) ဟန် လိင် မင် ပိန် ခိုင်
phān⁶ pheu⁶ cān⁵ pen² nū⁵
poor too much may become foolish
'Poverty may cause you to become foolish.'
(Tai Phake Text, *Grandfather teaches Grandchildren*, Proverb No. (5), read by Yehom Buragohain)

The Aiton auxiliary *sau³* 'should, let', which is not found in Phake, is exemplified in (42):

- 42) လိင် နိင် ဝိ ယှ် လိင် နိင် မှ တှာ ခှာ
lin³ nin³ wai³ sau³ lin³ nin³ maa² ta¹ waa²
feed tea keep let feed tea COME will say
'Feeding people tea, giving them tea, we say.'
(Aiton text, *Conversation with Nabin Shyam*, No. (80), told by Nabin Shyam.)

Another auxiliary like morpheme is *laa²/lā²* 'should', which is exemplified in (43):

- 43) ဟန် ဟံ ဝိ ဟံ လှာ မင် ဟန်
an² khām² tai² hai³ po² cān⁵ e¹
CLF word Tai GIVE PRT know PRT

လှာ လာ မိုင် ဟံ ။
lā² lē¹ mān² nam⁶ nam⁶
should roam country many
'In order to know the Tai language, (you) should go to many places.'
(Tai Phake Song, *Poem in the khe² khyān² style: How Stephen Morey came to the Tai Phakes*, No (16.1), read by Ai Che Let Hailung)

The auxiliary *laa²/lā²* can sometimes stand on its own. Tai informants will often say *ma¹ laa²* 'no need to' in response to a suggestion that something be done. None of the other auxiliaries discussed in this section have been recorded without a following main verb.

8.2.3.3 Completive Verbs

Since the Tai languages permit verb serialisations (see 8.5.8), the situation can arise in which one verb completes the action of another, as in (44) where the verb *mai³* 'burn' syntactically completes the action of main verbs *sot¹* and *phau¹* 'to put to the fire'.

- 44) လိ လိ လိ ဟန် ယှာ် လှာ ဟိင် မှ မှ
phai² phii¹ phai² kun² sot¹ phau¹ khiñ² mau¹ mai³
fire spirit fire person burn burn body NEG burn
'The fire (lit by) the Gods and men would not burn his body.'

(Tai Khamyang text, *The Parinibbana*, No. (19), read by Chaw Cha Seng)

Since *mai*³ 'burn' in (44) is negated, the action of the main is not in fact completed. Had the negation not been expressed in (44), the meaning would have been 'The fire (lit by) the Gods and men burned his body up.'

The syntactic pattern of sentences like (44) is expressed in (45):

45) NP V (TAM) (NP) (NEG) COMPLETIVE (TAM)

Some verbs are only able to occupy the completive position, unlike *mai*³ 'burn', which can also be a main verb. A very common general completive is *pe*³/*pe*⁴ 'can,able', found in sentences like (46):

46) *sün*⁶ *maü*² *ān*¹ *ma*¹ *pe*⁴ *kau*² *ia*¹ *kin*² *cā*⁵ *maü*² ||
if 2Sg count NEG can 1Sg will eat to 2Sg
"If you cannot count them, I will eat you."
(Phake Story, *Story of Deception*, No. (16), told by Ec Nyan Khet)

In Aiton, this completive verb *pe*³ usually occurs before the main verb, as in (47)

47) *kau*² *uū*¹ *pe*³ *hau*³ *saam*¹ *pī*² ||
1Sg NEG can give three years
'I cannot give them three years.'

(Aiton Story, *The twelve questions*, No (20), told by Sa Cham Thoumoung)

This divergence in constituent order between Aiton and Phake is one of the syntactic distinguishing features between the two varieties. It will be discussed further in 8.3.3.1.

Further research is needed to identify all the verbs which can act as completives and in what circumstances.

8.2.4 Adjectives

The primary function of adjectives is to describe or mark an attribute of a noun, as in example (48), where the noun has the attribute *sap*¹ 'beautiful':

48) *pa*¹ *saau*¹ *sap*¹
girl beautiful
'(a) beautiful girl' or '(a) girl is beautiful', 'girls are beautiful'
(Aiton Sentence)

In the Tai languages, adjectives can be both modifiers within a noun phrase (see below 8.3.2) or the heads of intransitive predications; both analyses are available for example (48). In actual speech, however, such ambiguity is rare. If (48) were part of sentence like (49), the noun phrase translation of '(a) beautiful girl' would be the only one available:

49) *pa*¹ *saau*¹ *sap*¹ *nan*³ *uu*¹ *tii*² *dau*¹
girl beautiful that live at where
'Where does that beautiful girl live?'
(Aiton Sentence)

If, on the other hand, there was no other possible predication in the sentence, then the second reading of (48) becomes possible, as in (50):

50) *pa*¹ *saau*¹ *nan*³ *sap*¹ *luu*¹
girl that beautiful big
'That girl is very beautiful'
(Aiton Sentence)

In the texts, if the adjective is the head of an intransitive predicate, the noun phrase is usually marked with some kind of discourse particle, as in (51):

- 51) တူ မှီ ကော လိက် ဘင် ဟံ
 tu² mau² ε¹ sen³ naŋ¹ kham²
 body 2Sg PRT beautiful as gold
 'Your body is as beautiful as gold.'

(Aiton Story, *Story of the Crow and the Fox*, No. (33), told by Ong Cham).

At first glance, it may appear that adjectives are indistinguishable from verbs, and they are categorised as verbs or verbals by both Noss (1964) and Vichin Panupong (1970) (see above 8.2.1). However in this study adjectives are recognised as a separate class because they can be both intransitive predicates (which nouns cannot) and can modify nouns (which verbs cannot). Furthermore, adjectives can be part of comparative constructions (see below 8.3.2.2.1).

One of the chief arguments in favour of including adjectives with verbals would be that they can be modified by TAM markers. However, adjectives are only modified by TAM markers when they are an intransitive predicate, and not when they are modifying a noun. In example (52), the adjective *kaaŋ*³ 'wide' is followed by the TAM marker *kaa*¹ 'GO', which is ingressive in combination with adjectives:

- 52) ကာလုက် ဟုဘ် ဟံ ကင် ကု လိ ကု ။
 a¹ luk³ pa¹ nan³ khau¹ kaaŋ³ kaa¹ laai¹ kaa¹
 from there 3Pl wide GO many GO
 'From this point they increased in number.'

(Aiton text, *History of the Aiton*, No. (13) told by Nang Wimala Thoumoung)

In translating (52), Nang Wimala stated that ကင်ကုလိကု *kaaŋ*³ *kaa*¹ *laai*¹ *kaa*¹ meant 'increase in numbers', that is literally 'get wider and get bigger'. The ingressive meaning conveyed by *kaa*¹ is quite different from its meaning in combination in verbs, where it suggests past tense, as discussed below in 8.5.7.5.

Some monosyllabic adjectives are listed in Table (13):

Tai	Phake (Banchob)	Aiton	Ahom	Proto South- western tai	Meaning
ကင်	kāŋ ³	kaaŋ ³	ကိ	kwaŋ C2	'wide'
ယုံ	yāu ²	yaau ²	ယဝ်	yaau A4	'long'
ဒီ	naŋ ²	deŋ ²	ဒီ	deŋ A3	'red'
လုံ	lāŋ ⁶	luŋ ¹	လုံ	luŋ A1	'yellow'
မှီ	mau ¹	mau ¹	မှီ	mau B1	'new'
ထွ	thau ³	thau ³	ထွ	thau C1	'old'
ဒီ	nī ²	dii ²	ဒီ	dii A3	'good'

Table 13: Some monosyllabic adjectives in Tai

The syntax of adjectives is further discussed below in 8.3.2.2.

8.2.4.1 Adjectival modifiers

Another point of divergence between adjectives and verbs are the adjectival post-modifiers. Adjectives can be followed by a modifier which intensifies the meaning, as in (53):

- 53) ဒီ လုံ ဒီ လုံ
 dii² luŋ¹ dii² luŋ¹
 good big good big
 'Very good, very good'
 (Aiton sentence)

The word *luŋ*¹ is itself an adjective, but in (53) acts as an intensifier/modifier. It can be termed a general modifier, since it seems to be able to be used with many adjectives.

Many adjectives have specific modifiers, which also intensify the meaning and follow the adjective, in the same position as *luŋ*¹ in (53). Example (54), from Banchob, shows the use of such a modifier. Specific modifiers are very often either fully or partially reduplicated:

- 54) ယံ ယိုက်ယက်
 yam² yūk⁴ yāk⁴
 wet modifier
 'very wet'
 (Phake sentence, Banchob 1987:350)

These specific modifiers are also found in the texts, as in (55):

- 55) ကိုက်ကွာ် စွဲ (ကာယင်) ဒပ်ယိုင် ကုက္ကိ် ။
 khum² kōn¹ waa² aa¹ san¹ dap¹ sin¹ kui² kui²
 again say HESIT dark very dark
 'Again I say, it is very dark'
 (Aiton Story, *The twelve questions*, No (85), told by Sa Cham Thoumoung)

The full list of such adjectival modifiers can be found in the English-Phake word finder based on Banchob (1987), under the entry 'modifier'.

8.2.5 Prepositions

Prepositions in the Tai languages share a number of characteristics with nominals. Many are historically nouns, such as *ti²/ti⁵* 'at', which was originally and sometimes still behaves like a noun meaning 'place'. Prepositions can head phrases which are core constituents of a predication, and behave like noun phrases (for the syntax of these core prepositional phrases, see below 8.3.3). Noss (1964:146) regards prepositions as bound lexemes, and therefore neither verbal nor nominal.

Banchob (1987) identified a number of prepositions in Phake, and these are presented in Table (14)

Phake preposition	Ref. in Banchob		
ကု	cā ⁵	for, to, with	(1987: 95)
ကူ	cū ²	to be near, to go near (i.e. towards)	(1987: 96)
တိ	ti ⁵	at	(1987: 165)
တူ	taü ³	under, beneath	(1987: 170)

တိ	tai ³	near	(1987: 179)
ကူ	naü ²	in, inside	(1987: 220)
ယာ်	yān ⁵	about, around (time)	(1987: 345)
ကက်လင်	kan ³ lan ⁶	behind	(1987: 364)
လုက်	luk ⁴	from	(1987: 374)
လုင်လင်	lop ¹ lan ⁶	behind	
လွဲ	loi ³	with	(1987: 384)
ဝင်	wān ⁵	between, during, within	(1987: 390)
ဝုင်	won ⁵	during	(1987: 397)
ဟိမ်	him ²	near	(1987: 411)
ကုလွင်	ā ¹ tān ¹ (twān ¹)	during	(1987: 425)

Table 14: Phake prepositions found in Banchob (1987)

8.2.6 Numbers and quantifiers

Numbers, and certain quantifiers like *ku⁵* 'all, every', do not behave syntactically like adjectives, and are therefore treated separately in this analysis. Numerals cannot appear as the head of a predication as adjectives can (see 8.2.4 above). Furthermore, whereas adjectives post-modifier nouns, numbers and quantifiers premodify the classifier, or, if it is a noun that can be counted, the noun.

Therefore (56) is grammatical in Tai and (57) is not:

- 56) ယွင် ဝာ်
 saŋ² wan²
 two day
 'Two days.'

- 57) * ဝာ် ယွင်
 wan² saŋ²
 day two

The only exception to this is *luŋ²/nūŋ⁵* 'one', which together with the demonstratives postmodifies the classifier or noun.

8.2.6.1 Cardinal Numbers:

Table (15) lists the numbers used by the Tai

Number	Phake	Aiton		Number	Phake	Aiton
၁, 𑜀	nūŋ ⁵	luŋ ²	one	၁၀	sip ¹	sip ¹ ten
၂	saun ²	son ¹	two	၁၁	sip ¹ et ¹	sip ¹ it ¹ eleven
၃	sām ⁶	saam ¹	three	၂၀	sāu ²	saau ² twenty
၄	sī ¹	sii ¹	four	၁၀၀	pāk ¹	paak ¹ hundred
၅	hā ³	haa ³	five	၁၀၀၀	heŋ ⁶	hiŋ ¹ a thousand
၆	hok ¹	huk ¹	six	၁၀၀၀၀	mūn ¹	mun ¹ ten thousand
၇	cēt ¹	cit ¹	seven			
၈	pet ¹	pet ¹	eight			
၉	kau ³	kau ³	nine			

Table 15: Tai numbers

For the higher numbers, borrowed words are used in a combination with words of Tai origin. Both Aiton and Phake informants use *sen¹/sen⁶* '100,000' to mean 'many', but the Indian English term *lakh* is also used for '100,000', along with *laan³/laan⁴*, the latter of which is also used to mean 'million' as in Shan and Standard Thai.

For the higher numbers, *kuk⁴ te¹* '10 million' and *sag⁴ khe¹* '100 million' are borrowed from Burmese, and *crore* '10 million' from Indian English.

8.2.6.2 Ordinal Numbers

There are no ordinal numbers in Tai. Ainya Khang Gohain, in the *Elementary Tai Primer*, written for students of Ahom, but using Phake grammar, stated that: 'To mean

the order of place, the word 𑜀 is placed before the numerals. Nowadays a Pali word is also used:' (1997:63).

This is exemplified in Table (16):

Tai	Pali	Assamese	English
𑜀𑜂𑜂𑜃𑜂	𑜀𑜂𑜂𑜃𑜂 pa tha maa	𑜀𑜂𑜂𑜃𑜂	first
𑜀𑜂𑜂𑜃𑜂	𑜀𑜂𑜂𑜃𑜂 tu tii yaa	𑜀𑜂𑜂𑜃𑜂	second

Table 16: Ordinal numbers in Tai (after Ainya Khang 1997)

Ainya Khang's 'Tai method' of expressing ordinal numbers in Table (16) is that used in Standard Thai, and is not accepted by most by speakers of the Tai languages in Northeast India. The Pali system, however, is seen in many manuscripts, as in example (58):

- 58) 𑜀𑜂𑜂𑜃𑜂 𑜀𑜂𑜂𑜃𑜂 𑜀𑜂𑜂𑜃𑜂 𑜀𑜂𑜂𑜃𑜂 𑜀𑜂𑜂𑜃𑜂 𑜀𑜂𑜂𑜃𑜂 𑜀𑜂𑜂𑜃𑜂
 kau² sē⁶ pan¹ khi⁵ tã² ti¹ yã⁴ tãu⁵ khop⁴
 1Sg PRT whirl meet again third turn complete a circle

𑜀𑜂𑜂𑜃𑜂 𑜀𑜂𑜂𑜃𑜂 𑜀𑜂𑜂𑜃𑜂 𑜀𑜂𑜂𑜃𑜂
 ho⁶ hau¹ khiŋ² yom²
 head grey hair body be decreased
 'I have completed the third stage of my life, my hair is grey and my body is decreasing.'

(Tai Phake Text, *Grandfather teaches Grandchildren*, Intro No. (5), read by Yehom Buragohain)

8.2.6.3 Other quantifiers

A number of other quantifiers are found whose syntax is similar to the numbers. One of these is *kuu²/kū⁵* 'every', as in example (59).

- 59) 𑜀𑜂𑜂𑜃𑜂 𑜀𑜂𑜂𑜃𑜂 𑜀𑜂𑜂𑜃𑜂 𑜀𑜂𑜂𑜃𑜂 𑜀𑜂𑜂𑜃𑜂 𑜀𑜂𑜂𑜃𑜂 𑜀𑜂𑜂𑜃𑜂
 kū⁵ wan² kū⁵ wan² kã⁴ khun⁶ ho⁶ kham² ne⁴
 every day every day LINK king DEF

khau³ kin² na⁴ nok⁴ wat⁴ ||
want eat flesh dove PRT

"Every day the king wanted to eat the meat of dove."

(Phake Story, *Story of the foolish king*, No. (3), told by Ee Nyan Khet)

Like the numerals, these quantifiers pre-modify the noun rather than postmodifying it like adjectives (see 8.2.4). A fuller study of non-numeral quantifiers remains to be done.

8.2.7 Demonstratives

Demonstratives in Tai have certain similarities to both nominals and verbals. They behave like verbals in postmodifying nouns, as in example (60):

60) pun³ luk³ en¹ nan³ aa¹ luk³ kun² pha¹ nan¹
yonder child that HESIT child person poor that
'Yonder, that child, that poor child.'

(Aiton Story, *The twelve questions*, No (56), told by Sa Cham Thaumoung)

There are some features of demonstratives which are closer to nominals. In (61) the demonstrative follows the word *nūŋ* 'like, as', a position which is normally occupied by a nominal:

61) phi⁶ nam³ ka¹ het¹ pha¹ nūŋ¹ nan⁴
spirit ancestor go do like that

wa⁵ nūŋ¹ nai⁴
say like this

"The spirit ancestors will do it in that way", She spoke like that'

(Phake Story, *Chaw Mahosatha meets Nang Pingya*, No. (30), told by Ee Nyan Khet)

There are three positions of deixis in Tai demonstratives: 'proximate', 'distant' and 'far distant'. The first two are arranged in pairs of /-ai^{3/4}/ 'proximate' and /-an^{3/4}/ 'distant'. These are listed in Table (17):

Tai word	Phake	Aiton	gloss
တၢ်	than ³	than ³	there
ထီ	thai ³	thai ³	here
တၢ်	nan ⁴	nan ³	that
ဒါ	nai ⁴	nai ³	this
တၢ်	lan ⁴		that
လိ	lai ⁴		this

Table 17: Pairs of demonstrative words in Tai Aiton and Tai Phake

In addition there is a word with far distant deixis, namely *pun³/pon⁴* 'over there'. Its syntax is somewhat limited in comparison to *nai^{3/4}* and *nan^{3/4}*, in that it does not appear in combination with *nag¹/nūŋ¹* 'like'.

The definite particle, *ne³* in Aiton and *ne⁴* in Phake, is among the commonest morphemes in the language and appears to be derived from the demonstratives. Its use is exemplified in (62):

62) mo⁵ nan⁴ a¹ mā¹ ne⁴ wa⁵ ||
time that minister DEF say
"Then the minister said."

(Phake Story, *Story of the foolish king*, No. (26), told by Ee Nyan Khet)

When translating this, I first wrote ဒါ *nai⁴* 'this', but Ee Nyan Khet insisted on တၢ် *ne⁴*, stating that "တၢ်မၤတၢ်တၢ်တၢ် *a¹ mā¹ ne⁴ ta¹ pen²*" It should be *a¹ mā¹ ne⁴*."

The late Aimya Khang explained that whereas *nai⁴* and *nan⁴* differed because they were respectively proximate and distant, *ne⁴* was neither of these and rather placed

stress on this being a particular person or object. He said that *luk⁴ ne⁴* meant 'this particular child.' I have therefore glossed *ne⁴* as 'DEF', indicating that it marks definiteness. Foley and Van Valin (1985:287) characterised definiteness as "Speaker assumes the hearer can identify referent." In the case of example (63), *ne⁴* marks the word *məŋ²*, which the speakers knows the hearer can identify.

- 63) *kyun² ye⁴ haŋ² məŋ² ne⁴ wā⁵ kyun²*
 CLF PRT to country DEF say CLF
 'The word *kyun²* is used for countries.'

(Tai Phake Manuscript *Explaining the meaning of words to children*, No. (4.24), read by Ee Nyan Khet)

The word *ne⁴* is also used to mark a noun phrase which has been preposed, as in (64), where the object *na⁴ mün²* has been preposed and it, along with the subject, are both marked with *ne⁴*.

- 64) *mə⁴ nan⁴ pū¹ ne⁴ nə⁴ mün² ne⁴*
 time that old man PRT meat his PRT

maiŋ¹ haü³ kã¹ haŋ² khau⁶ pĩ⁵ naun⁴ se⁶
 dist. GIVE GO to 3Sg elder younger PRT
 'Then the old man gave his meat to those two brothers.'

(Phake Story, *The two brothers*, No. (14), told by Ee Nyan Khet)

8.2.8 Conjunctions

Conjunctions in Tai are of two types, those which link constituents within a noun phrase, and those which link two predications.

8.2.8.1 Linking two nouns in a noun phrase

Two nouns of equal status can be linked with *khaa¹/khã⁶* or *ta¹ khã⁶*, as in example (65):

- 65) *wan² säu² saun⁶ me⁵ ta¹ khã⁶ tĩ³ ta¹ khã⁶ le¹ ko yã*
 day 22 February ther and father and travel Gaya
 "My mother and father will go to Budhagaya on the 22nd of February."
 (Phake letter, Nc (2), written by Peim Thi Gohain, 1997)

8.2.8.2 Linking two predications

When linking two predications, *khaa¹/khã⁶* is never used. Several morphemes such as *sii¹/sĩ⁶/se⁶*, *ye³/ye⁴* or *noi³/noi⁴* mark the end of the first predication in a complex sentence, when the second predication refers to events that occur later, and perhaps as a result of the first. This is exemplified in (66):

- 66) *mün² khaü³ caü² se⁶*
 3Sg think PRT

mün² yã³ an² nün⁵ au² mã² se⁶
 3Sg medicine CLF one take COME PRT

mã² haü³ haŋ² cau³ ma¹ ho¹ sa¹ thã¹
 come give to RESP Mahosatha

mə² tĩ¹ tĩ⁵ naü² taun⁴ ne⁴
 time stay at in stomach DEF

'When he had thought of this, he took a sprig of medicine and came and gave it to Chaw Mahosatha, who was at that time in his mother's womb.'

(Phake Story, *The birth and early life of Chaw Mahosatha*, No. (6), told by Ee Nyan Khet)

Grierson (1904) and following him, Gogoi (1994) have stated that *sii¹/se⁶* "suffixed to the verb" forms a participle, for which Gogoi gave the example in (67)⁶⁰:

⁶⁰ The script and translation are after Gogoi; the phonemicisation and interlinear gloss have been added.

- 67) မာ် ကျ ယေ ပွက် မျ
 mün² kã¹ se⁶ pauk⁴ mā²
 3Sg go PRT return come
 'Having gone, he came back.'
 (Phake sentences from Gogoi 1994:36)

The analysis in Grierson and Gogoi is akin to treating *sii/se⁶* as a clause final marker, regarding the clause that it marks as an anterior dependent or subordinate clause. If this were the case, we might expect that there would be restricted use of TAM markers in clauses that are marked by *sii/se⁶*. In example (68.1), however, *se⁶* co-occurs with the TAM marker *kã¹*.

- 68.1) ကျ တဲ ကျ ယေ ကျ ။
 kã¹ tai¹ kho⁶ se⁶ kã¹
 go walk bridge PRT GO
 'Went to walk on the bridge.'

- 68.2) ကျ ထိုင် မိုင် ကင် ကျ ယေ ယေဟ် ယွင် တုင်
 kã¹ thün⁶ khün⁵ kã² kho⁶ ye⁴ pe⁴ yã³ sau⁶ to²
 go reach middle middle bridge PRT goat two CLF
 ကျ ကိုက် ။
 nã¹ kün²
 quarrel RECIP
 'When they reached the middle of the bridge, those two goats quarrelled.'
 (Phake Story, *The story of the two goats*, Nos. (4) and (5), told by Yehom Buragohain)

When asked the meaning of *sii/se⁶*, Tai informants sometimes answered that it is a *khām² haü³ sɔp¹* 'a word to make the language sound beautiful'. This is one of ways in which sentence final particles (see below 8.2.10.2) are characterised by the Tai informants. In this thesis, *sii/se⁶* is treated as a kind of conjunction and glossed as 'PRT', a term used for function morphemes whose precise function is not always clear. Further examination of the texts would be needed to adequately categorise this morpheme. The richness of the data presented here will allow this in the future.

Another very common linking word in the Tai languages is *kɔ³/kɔ⁴* which is glossed

throughout this thesis as 'LINK'. This word has cognates in all the languages of the Southwestern Tai group and has a number of functions. It can be translated as 'also', as in (69), where the father *kum⁴* bird joins all the other birds in fleeing a fire.

- 69) ကျ ကုက် ကို ကေ ကိုက် ဝဲ ယေဟ် ။
 ɸ³ nok⁴ kum⁴ ne⁴ kɔ⁴ pai² sa¹ ne⁴
 father bird kum⁴ DEF LINK go PRT
 'The father *kum⁴* bird also flew away.'

(Phake Story, *Story of the kum⁴ bird*, No. (8), told by Ee Nyar Khet)

In Phake this particle is often realised as [kɔ⁴], as in (69) and is now often spelled ကိုက် by the Phakes as a result. An alternative analysis of (69) is that *kɔ³/kɔ⁴* marks a topicalised phrase, signalling that the core sentence is following. This analysis would explain why in many cases it simply cannot be translated at all, as in example (59) above.

In many cases *kɔ³/kɔ⁴* marks both of the clauses which it is linking, as in example (70):

- 70) ကျန် ထွ် ကျန် ဘာ် ကျန် ကျန်
 kun² thau³ an² nan³ khuun¹ kon¹
 person old CLF that again
 ကျ ကေ ယင် ကျ ကေ ကေ ခိ ယိ ။
 hau² kɔ³ san¹ daa¹ kan² phan² nai³ sii¹
 1PI LINK if quarrel RECIP like this PRT
 ကျ ကေ ကျ မက် ကျ ဝဲ ယိ ။
 hau² kɔ³ ta¹ maak¹ kai³ kai³ wai³ sii¹
 1PI LINK will rich quickly KEEP PRT

'That neighbour said, "If we quarrel like this we too will become rich very soon.'

(Aiton Story, *Story of the forest ghosts and the opium pipes*, No. (25), told by Mohendra Shyam).

In combination with interrogative words (see above 8.2.2.3), *ka³/ka⁴* has indefinite meaning, such as Phake *phaii⁶ ka⁴* 'whoever' or *ka³ saŋ⁶ ka⁴* 'whatever'. When the verb is negated, the English translation will be 'nobody' or 'nothing', as in (56):

- 56) ငါ့ ကော့ မာ တွေ.. ကိုင် တွင် ။
 phau¹ ka³ ma¹ ta.. uŋ¹ taŋ²
 who LINK NEG kn.. NEG know
 'Nobody could understand.'
 (Aiton Story, *The twelve questions*, No (15), told by Sa Cham Thoumoung)

8.2.9 Isolatives

A further class of words are those which Noss called "isolatives" in Standard Thai. This is a grouping of miscellaneous forms found throughout the languages of the world. Noss (1964:81) defined them as follows:

"Isolatives typically occur as sole lexemic constituents of entire phonemic phrases which precede, follow or interrupt the large syntactic constructions that are their co-constituents."

In the Tai languages of Assam these are often found in phrases, for which the term isolative phrase is used. The syntax of isolative phrases is discussed below in 8.3.5.

The following sub-classes of isolatives are discussed below: Interjections and Exclamations (see 8.2.9.1), Responses (see 8.2.9.2), Vocatives (see 8.2.9.3) and Imitatives (see 8.2.9.4).

8.2.9.1 Interjections and Exclamations

Banchob (1987) reported a number of interjections and exclamations, which are listed in Table (18):

မာ	cē ²	Fie! an exclamation expressing disapprobation and disgust (used by both men and women)
မာ	cē ⁶	an exclamation expressing displeased feeling (used by women only)
ဇာ	se ¹	exclamation expressing disgust
နိက်ကျ	nik ⁴ ca ⁴	exclamation expressing pity or sorrow (from Pali <i>anicca</i>)
ဇာ	me ¹	exclamation expressing surprise (used by women)
ဟိုတ်	hū ¹	exclamation expressing disgust
ကျကျလိုက်	a ¹ kã ¹ lai ¹	exclamation expressing pain
ကျလိုက်	a ¹ lai ¹	exclamation expressing tiredness
ကျ	ã ⁶	exclamation for ease and comfort before starting speaking
ကျ	ʔ ⁶	Oh!
ကိင်	eu ²	exclamation expressing insult

Table 18: Phake interjections and exclamations found in Banchob (1987)

In the *Phake-English-Thai Dictionary* (1987), Banchob exemplified many of these, such as (57):

- 57) မာ နိ ပိ ဟိတ်
 cē² nai⁴ pi¹ het¹
 Fie! PRT don't do
 'Don't do that'
 (Phake sentence, Banchob:1987: 98)

Most of the interjections and exclamations reported by Banchob have not been encountered in the present study, nor have the shades of meaning found by her been exemplified in the texts. Exclamations are found frequently in spoken texts, as in (58):

- 58) ကော့ ဟိ မာ ဟွက်ကျ ကွံ ။
 ʔ² cii³ maa² cək¹ khaa¹ kau²
 EXCL point come basket 1PI
 "'Point out my basket!'"
 (Aiton Story, *The story of the forest spirit and the first daughter*, No (9), told by Sa Cham Thoumoung)

8.2.9.2 Responses

Another subgroup of isolatives are called "responses" by Noss. In the Tai languages, the most frequent of these is that which is translated into English as 'yes', as in (59):

59) ဟွံ ကာယင် လုက်ဟွက် နှံ ဇီ ထိုက် လွံ ။

cau² aa¹ saŋ¹ luk³ khui¹ mau² phi¹ thum¹ lau²
YES HESIT son in law 2Sg spirit forest tell

'Yes, your son-in-law is a forest spirit', she said.'

(Aiton Story, *The story of the forest spirit and the first daughter*, No (39), told by Sa Cham Thaumoung)

Three such responses are listed in Banchob (1987) and are presented here as Table 19:

ဟွံ	cau ⁵	yes
ဟိုက်	ɕ ²	yes
ဟေ	ɕ ²	yes, sir, used to express affirmation in answer to the monks and the chief's questions

Table 19: Responses found in Banchob (1987)

8.2.9.3 Vocatives

In addition to interjections, which tend to precede the utterance with which they are connected, there are vocatives, which can both precede or follow the utterance, as in the following examples. The vocative word *ui²/ai²* follows the name of the person being called.

60) မေ ထွံ ဟို နှံ ယာ ဝိင် နံ တိ ။

me² thau³ ui² mau² yaa¹ win¹ nam³ tai²
mother old VOC you don't jump water to die
'Old mother, don't jump into the water and die.'

(Aiton Story, *Story of the old woman*, No. (17), told by Nabin Shyam).

Table (20) lists the vocatives found in Phake by Banchob (1987):

ဟို	hɔi ²	a vocative particle, used for calling the inferior persons or animals, such as dogs, bulls or buffaloes
ဟိုဟို	ai ²	a vocative particle, following the name or the personal pronoun

Table 20: Phake vocatives found in Banchob 1987

ဟိုဟို *ui²/ai²* which is also written as a special symbol, variously *ဟို* or *ဟို*, has a very special use in manuscripts. At the end of important sections of manuscripts, a three or four word phrase often commencing with *cau³* 'RESP' and concluding with *ui²/ai²*. One of its functions is to draw back the attention of the listener, remembering that in former times these manuscripts would have been intoned. It is exemplified in (61):

61) နိုက် ဟုက် ဝါ ဟို မာ ဟွတ် တုက် ဟို ဟေ
num² huk¹ wan² khau¹ maa² khau³ tun² hau² ho¹
month six day they come enter caste, race our PRT

ဟွတ် ဟို ဟို ။

cau³ khau¹ ui²

RESP they PRT

'In the sixth month, (was) the day that they came and entered our caste, Oh everyone!'

(Aiton manuscript, *The treaty between the Aitons and the Turungs*, No. (18))

The phrase *cau khau ui* has developed an almost sacred meaning in revived Ahom.

8.2.9.4 Expressives or Imitatives

Noss (1964:88) defined a fourth sub-class of isolatives which are morphologically reduplications. He exemplified them as in (62):

62) k^hau̯ dāy- yin s̯aŋ , iát-iát
he hear sound creak
'He heard something go creak-creak'

Noss's argument that *is̄t-is̄t* is an isolative is based on the fact that in this example there is a pause (marked by a comma), which separates the imitative from the rest of the sentence.

Noss's imitatives are more commonly called 'expressives' in the languages of the world. Banchob has collected many of these for Aiton, and exemplified some of them, such as (63), in which Banchob's version of the Aiton text is given in her original Thai. The Aiton script and phonemic versions are supplied by me.

- 63) *krak¹ krak¹ mai³ rak¹ maa²*
 ONOM. tree break come
 'Krak krak, the wood / tree breaks'
 (Aiton sentence after Banchob 1977)

Table (21) lists a number of these from among the large number recorded in the *Aiton-English-Thai Dictionary* (Banchob ms):

krük ¹⁰ krük ¹⁰	the sound of cows walking
krak ³ krak ³	the cackling of hen
krəŋ ⁸ krəŋ ⁸	the sound of striking a pot
krət ¹ krət ¹	the sound of pulling timber
krəp ¹ krəp ¹	the sound of beating the wooden clappers

Table 21: Aiton imitatives in Banchob ms.

8.2.10 Bound Lexemes

Noss argues that there are two types of lexemes in Thai, free and bound. For Noss (1964:133), bound lexemes include any lexeme that cannot stand alone, such as prepositions or conjunctions. In this thesis, two types of words will be treated as bound, TAM words (see 8.2.10.1), and sentence particles (see 8.2.10.2). Neither of these are bound in the sense of bound affixes in agglutinating languages.

8.2.10.1 Tense / Aspect Words

Tense/Aspect/Modality (TAM) words are of two types, those which precede the verb, and those which follow it.

The three types of TAM words are those which immediately precede the verb, as with *ta'* and *ti'* 'WILL'; those which follow the verb and can be immediately attached to it, as *kaa'* 'GO'; and those which follow the verb but always appears in utterance final position, as *yau'*. The TAM particles are discussed in detail at 8.5.7.

8.2.10.2 Sentence Particles

Sentence particles occur at the end of sentences and usually cannot be translated. For Phake, Banchob has recorded a number of these and has categorised them as in Table (22):

Phake		Gloss	Ref in Banchob
ก	ka ¹ sa ¹	a final particle denoting a familiar request	(1987: 1)
กข	ka ¹ sa ¹	a final particle denoting uncertainty	(1987: 1)
กค	kā ²	a final particle denoting certainty	(1987: 5)
ก	ke ⁴	a particle for emphasizing (mostly come along with se ⁶)	(1987: 6)
กข	kin ²	a final particle for emphasizing	(1987: 20)
กข	kün ²	a final particle denoting an indefinite sense (= it seems)	(1987: 25)
ก	koi ⁴	a final particle for emphasizing	(1987: 38)
ก	ta ⁴	a final particle denoting a familiar request, used among equals in rank, interchangeable with ka ¹	(1987: 163)
ก	ta ⁶	a final particle denoting familiarity in request	(1987: 167)
ก	nā ²	a final particle denoting asking for permission or consent	(1987: 218)
ก	no ⁶	a final interrogative particle sometimes used in a persuasive sense	(1987: 221)
ก	ya ²	a final particle denoting the present continuous tense	(1987: 336)

ယ	yā ³	a final particle denoting certainty	(1987: 338)
ဟိုဟို	ai ²	a terminal particle, used at the close of poetry	(1987: 436)

Table 22: Phake Sentence particles in Banchob (1987)

Of the particles listed in Table (22), only *ta⁴*, the hortative particle (see below 8.6.4), *na⁶*, the questioning particle (see below 8.6.2.1) and *ai²* are found in the texts collected for this thesis.

Several other particles do occur in the texts, such as *kon¹* in (64):

- 64) ဟိုနီ မှီဟွံ ယံ ဟွံ ကွံ ကွံနီ ။
 u² mau² cau³ sau³ sau² au² kon¹
 EXCL you let rest take PRT
 'You should take rest.'

(Aiton Story, *The twelve questions*, No (75), told by Sa Cham Thoumoung)

This particle is also found in Shan, where it was defined as 'verbal sign of the future often with the idea that an action or condition has still to take place, or that a condition is more intensive than what one has thought to be.'

In Phake narratives, the particle *war⁴* is frequently found. It is thought to be a discourse particle which was originally *wa⁵ nūŋ¹ nai⁴* 'say like this'. It is exemplified in (65):

- 65) ဟိုနီ လုလုလု ယ ပီ ဟိ မာ် ဝူ ဝိုတ် ။
 hā¹ nai⁴ lop¹ lan⁶ ye⁴ pī⁵ cāi² mūn² wā⁵ wāt⁴
 at that time afterwards PRT elder male 3Sg say PRT
 'After that, the elder brother said,'

(Phake Story, *The two brothers*, No. (11), told by Ee Nyan Khet)

Ee Nyan Khet explained the meaning of *war⁴* in (66):

- 66) ယလ် ဟိ ဟင် ဝိုတ် ဝိုတ် လာ လာ ယလ် ။
 sūŋ¹ khai⁵ haŋ² pən⁵ wāt⁴ ne⁴ lā² yaŋ²
 if tell to other wāt⁴ DEF should have
 If telling the story to others, the word *war⁴* should be there.
 (Phake sentence, spoken by Ee Nyan Khet)

Table (23) lists some of the other particles which have been recorded. A full examination of these is beyond the scope of this thesis.

Particle	Phake	Aiton
ယ		saa ¹
ယလ်	sa ¹ ne ⁴	sa ¹ ne ³
ယ	ye ⁴	ye ³
လာ	le ⁴	le ³
ဟိ		ai ³ possibly a reduced form of <i>wai³</i> 'keep'

Table 23: Sentence particles in Tai

8.2.11 Borrowed words

The Tai languages also have a great number of borrowed words. The principal sources for borrowing are Burmese (see 8.2.11.1), Pali & Sanskrit (see 8.2.11.2), Assamese (see 8.2.11.3) and English (see 8.2.11.4). In this thesis only a very cursory examination of borrowings has been possible.

A full discussion of the assignment of tone in borrowed words, discussed briefly above in 6.2.4.6 (for Phake) and 6.3.4.4 (for Aiton), is also beyond the scope of this thesis.

8.2.11.1 Burmese loans

The Burmese loan words are often monosyllabic, and cannot be immediately identified as non-Tai words. Table (24) lists all the words which Banchob (1987) identified as Burmese loan words beginning with /s/. David Bradley (pers. comm.) provided the Burmese transliterations. He indicated that there seemed to be a very

inconsistent representation of the Burmese vowels, and tones. This may suggest that the words were borrowed at different stages of the history of contact between Tai and Burmese.

Tai	Phake (Banchob)	Burmese	Burmese transliteration	Meaning
ယက်	sāk ⁴	ဆက်	hsa ⁷ 55	to pay tribute to the king
ယက်	sān ²	သန်	than ²²	to be healthy
ယိင်	sin ²			to attach
ယိုင်	sun ²	သုန်း	thoun ⁴²	to use, spend
ယူ	sū ²			reward, present
ယုံ	som ²	ဆွမ်	hsun ⁴²	food offered to Buddhist monks
ယိုတ်	süt ⁴	ဆွဲ	hswe ⁴⁴	to move backward, forward or sideways
ယက်	son ²	သွမ်	thun ⁴²	to pour upon
ယို	soi ²			to rub on a slab
ယို	soi ¹	သေး	the ⁴²	narrower, more slender

Table 24: Burmese loan words in Phake

Compounds can also be formed between Burmese borrowings, such as *maan¹/mān¹* 'glass' and Tai words, such as *taa²/tā²* 'eye', as in *maan¹ taa²/mān¹ tā²* 'spectacles'.

8.2.11.2 Pali Loans

Table (25) lists some Pali loans which have come through Burmese:

Tai	Phake (Banchob)	Burmese	Pali	Meaning
ယတ်တုတ်	sal ¹ ta ¹ wā ²	သတ္တဝါ	satvā	creature
ယုထေ	sa ¹ the ²	သဌေး	setthi	millionaire
ယိကျူ	si ¹ kyā ²	သိကြား	sakka	Indra
ယိုက်	sūn ⁵	သီလ	sīla	precept, morality

Table 25: Pali loans through Burmese

It is probable that many Pali words were introduced into Tai when the Tais were converted to Buddhism, and that this influence has come through Burmese.

8.2.11.3 Assamese Loans

There are fewer Assamese loans than Pali/Sanskrit or Burmese loans listed in Banchob (1987). This does not indicate that the number of Assamese loan words is small. Rather it may indicate that Banchob's Tai informants did not wish these loan words to be included in the Dictionary, or that they regarded the use of Assamese words as cases of code-switching rather than borrowing.

Some of the Assamese loan words listed in Banchob are in Table (26):

Tai	Phake (Banchob)	Assamese	Assamese Transcription	Meaning
တို့	toi ¹	দৈ	doi	curd, sour milk
ယိနီ	si ¹ nī ²	চনি	se ni	sugar
ယေယူ	se ⁵ sā ¹	চিচা	si sa	bottle
ပို့ယူ	poi ¹ sā ¹	পইচা	poi sa	money in general

Table 26: Assamese loan words in Tai

Assamese loan words appear to be even more common in Aiton than amongst the Phakes. The Assamese words for the days of the week, for example, are now generally in use amongst the Aiton, whereas the Phake use the Burmese names for the seven days of the week. The original Tai system of numbering the 60 days in a cycle seems to be completely lost from everyday usage.

8.2.11.4 English Loans

Loan words from English are also gradually entering the language. They can even be used in poetry, as example (67) indicates:

- 67) ကွပ်ပိတ်စာတ် ကွပ် တွက် ပိတ် တွတ် ၊
 kəm¹ piu¹ tāt¹ cak¹ kyā¹ tauk¹ pen² to²
 computer machine print be letter
 'The computer printed the books.'

(Tai Phake Song, *Poem in the khe² khyā² style: How Stephen Morey came to the Tai Phakes*, No (92), read by Ai Che Let Hailung)

8.3 Constituents

8.3.1 Preliminary theoretical considerations

A sentence in the Tai languages of Assam consists of several constituents, and those constituents may themselves be made up of other constituents. The sentence constituents are:

- Noun phrases, which may include noun modifiers such as:
 - Possessor phrases,
 - Adjective phrases,
 - Classifier phrases,
 - Demonstratives
 - Relative clauses,
 - and Prepositional phrases;
- Core Prepositional phrases;
- Non core elements such as:
 - Locational phrases and
 - Temporal phrases;
- Isolative phrases;
- Predications, which may include
 - TAM marking - which may be discontinuous from the verb
 - Auxiliary verbs
 - Completive verbs - which may be discontinuous from the verb
 - Negation
- Sentence final particles

It is assumed by many scholars that in every language verbs and their objects form a single constituent, called a verb phrase. There are arguments both for and against a verb phrase being present in the Tai languages of Assam. This is discussed below in 8.4.1.2.

In the following section, noun phrases will be discussed first (8.3.2), then Core Prepositional Phrases (8.3.3), Non core elements (8.3.4) and Isolative Phrases (8.3.5). Sentence final particles were discussed above in 8.2.7.2. Predications will be discussed in a later section in 8.5.

8.3.2 The Noun Phrase:

The noun phrase in the Tai languages is a strongly head initial structure. The head of a noun phrase, which is either a noun, a pronoun or an interrogative word, is always the first element in the phrase, as in (68), where the Subject noun phrase is headed by the pronoun *kau²*, and the direct object noun phrase, *pāp⁴ lik⁴ nai⁴* 'this book', is headed by the noun *pāp⁴ lik⁴* 'book'.

- 68) ကွ နှ ပပိလိက် နိ နှ ပလှ နိ ။
 kau² hau³ pāp⁴ lik⁴ nai⁴ han² pa⁴ sāu⁶ nai⁴
 ISg give book this to young woman this
 'I gave this book to the girl.'
 (Phake sentence elicited from the late Aimya Khang Gohain)

The basic structure of the Tai Noun Phrase is given in (69):

69) Noun Modifier

The modifier can be:

- An adjective phrase, consisting either of a single adjective or an adjective and its modifier,
- A quantifier phrase, consisting of a classifier, preceded by a number or quantifier, or followed by a demonstrative
- A possessor phrase

- A relative clause
- A demonstrative

If more than one of these modifiers is present, the most unmarked order appears to be that given in (70):

70) Noun Adjective Possessor Classifier Relative Clause Demonstrative

In cases of special emphasis, the order of the elements Possessor, Adjective Phrase, Classifier Phrase and Demonstratives can be altered. However any such reordering is usually marked by prosodic features such as slight pauses.

Examples (71) - (74) below demonstrate some of the possible noun phrases:

71) Head Noun Adjective(s) Possessor

မုံ	မုံ	ကွက်	လှေလှေ	ဟုံ
māu ¹	khāu ⁶	on ¹	sa ² pa ²	hau ²
young man	white	little	beautiful	1PI

'Our beautiful little white young man.'

(Phake Lullaby *The little rooster*, No. (6), sung by Ee Nyan Khet)

72) Head Noun Classifier Phrase

ပီ	ကွင်	ယွင်	ကျ
pī ⁵	naun ⁴	saun ⁶	ka ⁴
elder	younger	two	CLF

'Two brothers'

(Phake Story, *The two brothers*, No. (1), told by Ee Nyan Khet)

73) Head noun Classifier Phrase Demonstrative

ဟဟဟဟ	မိတ်	ယွင်	ကွင်	ဒိ	။
pa ¹ sa ¹ naa ¹	sip ¹	saun ¹	caun ³	nai ³	
question	ten	two	CLF	this	

'The 12 questions.'

(Aiton Story, *The twelve questions*, No (1), told by Sa Cham Thoumoung)

74) Head Noun Possessor Relative Clause

ကွ	ယူ	ကွင်	ယူ	ကျ	ကော	ကုန်	ကွင်	ကိန်	ကျ
khau ³	suu ¹	khon ¹	suu ¹	kaa ²	an ²	kun ²	hau ²	kin ²	kaa ¹
rice	you	things	you	equal	CLF	people	we	eat	GO

'Your rice and goods, which we have eaten, ...'

(Aiton manuscript, *The treaty between the Aitons and the Turungs*, No. (72))

In example (74), the head noun and possessor are combined into a reduplicated four syllable expression, or elaborate expression (see below 8.3.6). This type of expression is preferred by the Tai for aesthetic reasons. The head noun of the phrase is a compound *khau³ khon¹* 'goods', literally 'rice and things', and the possessor is *suu¹*.

As will be seen below in 8.3.2.3, sometimes the quantifier is separated from the rest of the noun phrase by other constituents. This is often called 'Quantifier Float', although as will be discussed below it may be the case that it is the head of the noun phrase that has moved and not the quantifier. Verbs can also be separated from their TAM markers by other constituents. Writing of Standard Thai, Vichin Panupong (1970) used the term discontinuous constituents for this phenomenon (see below 8.5.7.5).

The different types of modifiers within a noun phrase are discussed below, namely the possessor phrase (see 8.3.2.1), the adjective phrase (see 8.3.2.2), the classifier phrase (see 8.3.2.3), demonstratives (see 8.3.2.4) and the relative clause (see 8.3.2.5).

8.3.2.1 Possessor Phrase

In Tai, the placing of two noun phrases in apposition usually means that the second noun phrase is the possessor of the first. This does not apply when two noun phrases follow a ditransitive verb, in which case the first noun phrase will be interpreted as the theme and the second as the beneficiary (see 8.5.6).

In example (75), the noun phrase *daap¹ cau³* consists of two nouns, the first of which is the possessed, *daap¹*, 'the sword', and the second the possessor, *cau³* 'RESP', which here refers to the King.

- 75) ဟေ့ မွဲ ကေ ခိ ဒိ ဒပ် ဟွံ ဃာ ။
 pɔ² mau¹ kɛ³ nai³ dai³ daap¹ cau³ saa¹
 if NEG answer this get sword RESP PRT
 'If you cannot answer, you will die by the King's sword.'

(Aiton Story, *The twelve questions*, No (12), told by Sa Cham Thoumoung)

Possessive phrases are full noun phrases and can have all the constituents of a noun phrase, and can be headed by pronouns as well as nouns.

As with the other modifiers of a head noun, the possessive phrase can be separated from the head noun as in (76).

- 76) တိပ် ဂူ ဟတ် ကျ ဟေ့ ဂူယိုက် ကျွံ ဝိဇ္ဇာဗိဇ္ဇာ ။
 tɛp¹ huu¹ khaat¹ kaa¹ caa² huu¹ suuk¹ aa¹ hɔm¹ ɲii² raa² ɲii² ru³ ru³
 cut head separate GO to commander Ahom Ngi Ra Ngi Reu Reu
 'He cut off the head of Ahom Army commander, Ngi Ra Ngi Reu Reu.'

(Aiton text, *History of the Aiton*, No. (59.1) told by Nang Wimala Thoumoung)

In example (76) the possessor phrase *huu¹ suuk¹ aa¹ hɔm¹ ɲii² raa² ɲii² ru³ ru³* 'the Ahom commander Ngi Ra Ngi Reu Reu', has been postposed. The head of this possessor phrase is the compound noun *huu¹ suuk¹* 'commander', itself literally 'the head of the army'. The head of the whole noun phrase is *huu¹* 'head'. It may have been to avoid having the head of the noun phrase and the head of its modifying possessor phrase (both of which are the word *huu¹* 'head') being directly adjacent, that the modifying possessor phrase is separated from its head noun⁶¹.

It appears to be the head noun *huu¹*, rather than its possessive modifier that has moved. Example (76) is from a text for which more than one version exists, and the alternate version is given in (77).

⁶¹ In view of this discussion, and the fact that the commander has been separated from his head, one is tempted to ask whether (76) a case of iconicity in syntax.

- 77) တိပ် ဟတ် ကျ ဂူ ဝိဇ္ဇာဗိဇ္ဇာ ။
 tɛp¹ khaat¹ kaa¹ huu¹ ɲii² raa² ɲii² ru³ ru³
 cut separate GO head Ngi Ra Ngi Reu Reu
 'He cut off the head of Ngi Ra Ngi Reu Reu.'

(Aiton text, *History of the Aiton*, No. (59) told by Nang Wimala Thoumoung)

The noun phrase in (77) is *huu¹ ɲii² raa² ɲii² ru³ ru³* 'the head of Ngi Ra Ngi Reu Reu' and it is found after the second verb *khaat¹* 'separate' in the position where the possessor phrase was found in (76). Since the noun phrase in (77) is much shorter than that in (76) and since it does not contain a potentially problematic repetition of the word *huu¹* 'head', the noun phrase can comfortably remain as a single unit.

There is one major exception to the rule that where two nouns are in apposition, the second is the possessor of the first. This relates to nouns referring to minerals and other objects such as *kham²* 'gold'. When this is placed in apposition to a head noun, it is interpreted as an adjective with the meaning 'golden', as in (78):

- 78) လှော် ခိုက် လှော် ဟံ ကွံ တိုက် မာ
 phun¹ ɲum² phun¹ kham² au² tu³ maa²
 rain silver rain gold take fall down come
 'Made silver and golden rain fall.'

(Aiton Story, *The twelve questions*, No (150), told by Sa Cham Thoumoung)

Example (79) demonstrates a possessor phrase within a possessor phrase.

- 79) လုက် ဇေ ဟတ် ကွက် ခိုက် ကျ လိပ် ဝိ ။
 luk⁴ me² pān² ɔn² mün² ko⁴ sip¹ pī²
 child wife time lead 3Sg LINK ten year
 'The child of his previous wife was also ten.'

(Phake Story, *The widow*, No (24), told by Aithown Che Chakap)

The noun phrase in (79) can be schematised as (80)

- 80) (child ((previous wife) of him))

8.3.2.2 Adjective Phrases

The simplest Adjective phrase is just a single adjective, as in (81), where *thau*³ 'old' is an attribute of *me*² 'mother', and *phaan*¹ 'poor' is an attribute of *kun*² 'person':

- 81) မေ ထွံး ဘဉ် လုာ် လဉ် ...
 me² thau³ nan³ kun² phaan¹ ...
 mother old that person poor ...
 'That old woman was a poor person...'

(Aiton Story, *Story of the old woman*, No. (2), told by Nabin Shyam).

Sometimes adjectives are themselves modified by either a general or a specific modifier. These were discussed above in 8.2.4.1.

As shown in example (71) above, there can be more than one adjective phrase modifying the head noun of a noun phrase.

Most attributive adjectives are capable of being the heads of intransitive predicates, as discussed in 8.2.4 above. There are a small set of adjectives, many of them related to states of health, which can follow the copula verb *pin*²/*pen*², as in Aiton *pin*² *bat*¹ 'to have a cold', and *pin*² *khai*³ 'to have a fever'.

8.3.2.2.1 Comparison and similarity

Comparison is expressed by an adjective phrase which is headed by a comparison word, such as *khen*² in Phake, which precedes its adjective, or *me*¹ in Aiton which often follows its adjective. Example (82) demonstrates the use of *khen*².

- 82) ပုလွံး ဘိ နှဉ် လုာ်ကွာ် ဘိ ဝိဟ် ယုင်
 pa¹ sau⁶ nai⁴ han² luk⁴ on¹ nai⁴ khen² sun⁶
 girl this to child this COMP tall
 'The girl is taller than the boy.'
 (Phake sentence elicited from Ainya Khang Gohain)

In (82), the adjective *sun*⁶ is analysed as the head of an intransitive predicate and the entire predicate is *han*² *luk*⁴ *on*¹ *nai*⁴ *khen*² *sun*⁶ 'taller than the boy'.

This type of adjective phrase is also found with increase, as in (83):

- 83) လုာ် လဉ် ဝိ နှဉ် တၢ် ဝိဟ် ယုင် တိုဝ် မၤ
 lop¹ lan⁶ pi² nin⁵ mau² ta¹ khen² sun⁶ ta² ma²
 come after back year one 2Sg will COMP tall increase come
 'After one year, you will grow taller.'
 (Phake sentence elicited from Ainya Khang Gohain)

In Aiton, the comparative word *me*¹ follows the adjective, as in *nam*¹ *me*¹ 'much-COMP', as in example (84):

- 84) တိ နှဉ် လုာ်ကွာ် ကာ် လိုင် ကာ် တိ လေ တိ လေ
 taai² nau² phaa¹ taa¹ kap¹ an² lun² an² taai² po¹ taai² po¹
 die in world CLF one CLF die born die born

ဘိတၢ် မၤ ဘိ ယုင် နှဉ် ဝိ နှဉ် ဘိ
 nam³ taa² man² nai³ san¹ hom² wai³ han² nam³
 tears 3Sg this if together keep to water

ယုမုာ်တြၢ် ကေ တၢ် မေ တၢ် ဘိ မေ လွံ
 saa¹ muk¹ traa¹ ko³ ta¹ me¹ ta¹ nam¹ me¹ lau²
 ocean LINK will more will much COMP say
 'In this world, if all the tears of one person through all the cycles of birth and death were gathered together, they would be more than the all the waters of the oceans, it is said.'

(Aiton Story, *The twelve questions*, No (178), told by Sa Cham Thoumoung)

The comparative word is not necessary for the comparison to be grammatical, as in (85):

- 85) ဝၢ်ဘိ ဝိဟ်ယုာ် ဟေ မိတ်
 wan² nai³ khyam² saa¹ caa² mu² naa²
 today good to yesterday
 'Today I am better than yesterday.'
 (Aiton sentence, spoken by Nabin Shyam Phalung)

Another adjective phrase construction with syntax analogous to that of the comparatives is the expression of similarity, as in (86):

- 86) ကုန် ပါးစာန် ဘျ နှိင် ဘင် လျလိင် နှစ်ကိုး။
 kun² paai² can² naa³ heŋ³ nan¹ la³ liŋ² hat¹ hat¹ kai² kai²
 person south face dry like monkey very angry
 'The faces of the people of the south were dry like angry monkeys.'
 (Aiton text, *Why Buddha was born in this world*, No. (8), told by Sa Cham Thaumoung.)

The frame for (86) is given in (87):

- 87) Head noun Adjective Phrase modifying adjective
 naa³ heŋ³ nan¹ la³ liŋ² hat¹ hat¹ kai² kai²
 face dry like very angry monkeys

8.3.2.3 Quantifier Phrases:

The prototypical quantifier phrase consists of a numeral followed by a classifier that is specifically associated with the head noun, as in (88):

- 88) လုက်ကံ ဖံ ကျ။
 luk³ caai² saam¹ ka³
 child male three CLF
 '(his) three sons,'
 (Phake sentence)

The numeral *luŋ²/nūŋ⁵* 'one' has a special syntax and can only follow the classifier, as in (89):

- 89) ပူ လုင် ဟွံ မာန် ကျ နှ။
 puu¹ luŋ¹ cau³ baan³ ka³ luŋ²
 grandfather big respected village CLF one
 'A respected village leader,'
 (Aiton manuscript, *The treaty between the Aitons and the Turungs*, No. (33))

Quantifier phrases can stand in the place of noun phrases in the syntax of Tai languages as is shown in (90):

- 90) မိုဝ်လံာန် ဖီ ကာန် ဘာန် နှ ထွတ် ကျ
 muw² zaam² nan³ sii¹ an² nan³ mau² tho¹ kaa¹
 then four CLF these 2Sg take out GO
 'Then, four of these have been explained.'
 (Aiton Story, *The twelve questions*, No (145), told by Sa Cham Thaumoung)

In example (90), the quantifier phrase *sii¹ an²* is the object of the verb *tho¹* 'take out'. The sentence literally means 'At that time, you have taken out these four (arrows)', where the understood *lem³* 'arrow' is a metaphor for the questions that are being answered. This dropping of the head noun is only possible because it is understood from the context.⁶²

Example (90) is also interesting because it contains a quantifier phrase with what appears to be the maximum number of modifiers. The full phrase is *sii¹ an² nan³* 'these four' and is of the form shown in (91), with both modifiers in place.

- 91) Classifier Phrase → (Numeral) Classifier (Demonstrative)

Literary manuscripts sometimes use multiple classifiers, as in (92):

- 92) လိာ်ခို ကွံ စာ ဟွံ စိုဝ်
 lai⁴ cūŋ¹ au² tūŋ¹ khaun⁶ tū²
 so take all thing use

- ဟွံ လိ ကာန် ဟွံ နှ။
 cau⁵ lai⁶ an² na⁴ hau³
 CLF many CLF offer give
 'Bring all the many utensils which were given'
 (Tai Khamyang Manuscript, *The book of calling back the Khon*, No. (107), read by Sa Myat Chowlik)

⁶² It is not known whether *mau² tho¹ kaa¹ sii¹ an²*, literally '2Sg-take out-GO-four-CLF' would be grammatical. This is a question which only occurred to me long after the last field trip for this project.

In example (92), the quantifier phrase is *cauŋ⁵ lai⁶ an²*, which is translated as 'the many'. The head of this phrase appears to be the classifier *cauŋ⁵*, but the general classifier *an²* is also present. Interestingly the head noun of the whole NP, *khaung⁶ tū²* 'utensils' is premodified by *tūŋ¹* 'all'.

As mentioned above in 8.3.2, the quantifier phrase is sometimes separated from the head noun, as in (93):

- 93) *mē² mün² wā⁵ luk⁴ nai⁴ kau² ta¹ au² sauŋ⁶ to²* ॥
 wife 3sg say child that 1Sg will take two CLF
 'The wife said: "I'll take two of the children".'
 (Phake Story, *Story of dogs dividing up their children*, No. (7), told by Sam Thun Wingkyen)

The separated quantifier is sometimes called 'quantifier float', but this may not be a case of floating quantifier at all. In (93) the noun phrase with the quantifier is *luk⁴ nai⁴ sauŋ⁶ to²* 'these two children (puppies)' is discontinuous. The head of the object noun phrase (*luk⁴* 'child;') and its determiner (*nai⁴* 'that') occur before the subject of the sentence. Assuming the unmarked word order is SVO, we could analyse this as a case of the single movement (topicalisation) of the head of the object noun phrase to clause initial position, the quantifier remaining in the unmarked postverbal position.

If we were to argue that the quantifier in examples like (93) was 'floated' or postposed, this would assume that the unmarked constituent order is SOV.

8.3.2.4 The syntax of demonstratives

Demonstratives are one of the constituents of a noun phrase identified above in 8.3.1. The demonstrative may post modify a noun phrase, or, as in (94), a classifier:

- 94) *kon³ hin¹ an² nai³*
 lump stone CLF this
 'The lump of stone'

(Aiton sentence spoken by Sui Khong Thoumoung)

Audio Link for this sentence example (\wave files\aiton\extracts\2-1-1-3\suikhong.mp3)

In (94) both the noun (*kon³ hin¹* 'stone') and the classifier (*an²*) are postmodified by a demonstrative. This is quite rare in the texts. More often, the noun may be dropped because it is understood from context, as in (95):

- 95) *an² nai³ pai² kaa¹ sii¹ an² sut¹ kaa¹*
 CLF this go GO four CLF finish GO
 'These four questions are finished.'

(Aiton Story, *The twelve questions*, No (142), told by Sa Cham Thoumoung)

Example (95) is literally 'These are gone, these four (questions) are finished.' The head noun, *pa¹ sa¹ naa¹* 'questions' is understood.

8.3.2.5 Relative Clauses

Another of the constituents that can modify a noun phrase is a sentence. Sentences of this type will here be described as relative clauses. There does not appear to be any difference in the syntax between restrictive and non-restrictive relative clauses (see Comrie 1989:138), although this is an area that requires further research. Most of the examples presented here are non-restrictive relative clauses.

Relative clauses are often introduced by the general classifier *an²*, here acting as a relative pronoun. In (96), the head noun is *luk¹ tī⁵* 'the realm under ground', and the relative clause is at least *an² yū¹ yāk⁴*, if not the whole of the rest of the sentence.

- 96) ဂွေ နှစ် ပက်ပက်ပတ် လက် လီ ကာ ယူ
 woi¹ hau³ pāk¹ sāu² pet¹ luk¹ tī⁵ an² yū¹
 bless give 128 realm under ground place CLF stay

ယက် ကွဲ ကံ ခံ နိုင်မိ ပိတ် ကွဲ ဂုဏ် ။
 yāk⁴ khau⁶ khām¹ wai⁴ nōt¹ mai³ peu² au² ṇa² lāi²
 difficult they suffer keep hot burn flame take hell

'Blessings to the 128 realms under the earth, where those who live in there in difficulty suffering the heat and burning flames of hell.'

(Phake Prayer, *Prayer of Blessings*, No. (25), told by Sam Thun Wingkyen)

It is not necessary for relative clauses to be headed by a relative pronoun, as shown in (97). Here the head noun *phī⁶* 'spirit' and the adjective *hoṅ⁶ haṅ²* 'famous' have been combined into a semi-reduplicated four syllable phrase *phī⁶ hoṅ⁶ phī⁶ hāṅ²*. The remainder of the sentence can be read as a relative clause modifying this head noun and adjective.

- 97) ဂွေ နှစ် လီ နှစ် လီ နှစ် နှစ် နှစ် နှစ်
 woi¹ cēm² phī⁶ hoṅ⁶ phī⁶ hāṅ² paū⁴ tūṅ¹ cōi¹
 bless give ghost famousghost EUPH take care all eye tooth

ယိုင် လုံ ကံ ထောင် ဖြူ လိမ္မော်
 saiṅ⁶ phum⁶ kham² thāt³ phrā² ken¹ cau³
 diamond hair gold element, relic Buddha lord

'Blessings to the great and fine ghosts who take care of the diamond tooth and golden hair (of the Buddha), the relics of the lord Buddha.'

(Phake Prayer, *Prayer of Blessings*, No. (13), told by Sam Thun Wingkyen)

Spoken language rarely provides us with relative clause structures of the complexity of (96) or (97). In speech, sentences such as (98) are common:

- 98) လက်ကွက် ကာ နှစ် မှာ ကံ နှစ်
 luk⁴ ṇa¹ an⁵ khun³ maa² nai⁴ khau⁶
 child CLF increase COME this 3Pl
 'These children who are growing up.'

(Khamyang Story, *Advice to children*, No. (31), told by Sa Myat Chowlik.)

The structure in example (98) is laid out in (99):

- 99) Head noun Relative clause Demonstrative
 luk⁴ ṇa¹ an⁵ khun³ maa² nai⁴ khau⁶
 child who are growing these

It might be argued that the pluraliser *khau⁶* is a floated quantifier in (99). The analysis followed here is that it is modifying the demonstrative as *nai⁴ khau⁶* 'these'. This combination is found very frequently, as does *nan⁴ khau⁶* 'those'.

If the noun phrase is the object of a sentence, and is also the object of the embedded sentence which is the relative clause, it is still possible to relativise it. In (100), the relative clause is *tī⁵ khun⁶ hō⁶ kham² khau⁶ kā¹ khai⁵* '(to) which the king and others had told' and it is postposed and separated from its head noun *mai⁴* 'tree':

- 100) ကျ ကာ မိ လှိုင် ကာ ကာ ကုန် နှစ် ခံ ယ
 kā¹ han⁶ mai⁴ loṅ⁶ nan⁴ ne⁴ koṅ² hau³ wai⁴ ye⁴
 go see tree big that PRT hollow give keep PRT

တီ ကုန်ကျ ကံ ကာ ကံ
 tī⁵ khun⁶ hō⁶ kham² khau⁶ kā¹ khai⁵
 place king 3Pl go tell

'They went to see the big tree with the hollow in it, to which the king (and minister) had told the story.'

(Phake Story, *Story of the foolish king*, No. (75), told by Ee Nyan Khet)

In example (100), the relative clause is headed by the noun *tī⁵*, a word which is most frequently found as a preposition meaning 'to' or 'at'. It is used here because the item being relativised (*mai⁴ loṅ⁶* 'the big tree') is regarded as a place. Example (100) is presented as Ee Nyan Khet spoke it. Interestingly, when transcribing and translating this text with her, in place of the particle *ye⁴* at the end of the first line, she wrote *nai² mün²* (in-3Sg), where *mün²* refers back to the item being relativised.

Another interesting feature of (100) is the presence of the inclusory construction *khun⁶ hō⁶ kham² khau⁶*, literally 'king-3Pl', which means the king and others.

8.3.3 Core Prepositional Phrases

One very interesting aspect of the Tai languages is the use of prepositional phrases for core arguments of the verb, either for the patient in transitive sentences, which will be investigated in 8.3.3.1, or for the beneficiary in three participant events 8.3.3.2 or for the experiencer in certain circumstances 8.3.3.3

8.3.3.1 Object as prepositional phrase

Examples (101) elicited from a Phake speaker, shows the object, the semantic patient, marked in a prepositional phrase, something which is not found in most other languages of the Tai family:

- 101) မၢ် နာ နာ် ကျ နှ် မိတ် နာ
 mā⁶ ne⁴ kap⁴ kã¹ haŋ² miu¹ ne⁴
 dog this bite GO to cat DEF
 'The dog bit the cat.'
 (Phake sentence elicited from Aithown Che Chakap)

This marking of the object by *haŋ*² also occur in texts:

- 102) ယင် မွဲ ကာ် မၢ် လေ ကွဲ တျ ကိတ် ကျ မွဲ ။
 süŋ⁶ maü² ān¹ ma¹ pe⁴ kau² ta¹ kin² cã⁵ maü²
 if 2Sg count NEG can 1Sg will eat to 2Sg
 "If you cannot count them, I will eat you."
 (Phake Story, *Story of Deception*, No. (16), told by Ee Nyan Khet)

In both Tai Phake and Tai Aiton, *haŋ*² is one of two prepositions used in this way. The other, *caa*²/*cã*⁵ is also found marking the object, as in (103):

- 103) ပိ ကာ် လာ် ကျ မေ ဟေကျာ်
 pai² au² lap¹ caa² me² po¹ haa¹ rii¹
 go TAKE afraid to woman businessman
 မေ ဟေကျာ် လာ် ယိ ။
 me² po¹ haa¹ rii¹ lap¹ sii¹
 woman businessman afraid PRT
 'They frightened the woman businessman. She was afraid'
 (Aiton Story, *Story of the monkey and the fox*, No. (4), told by Bidya Thaumoung).

In this example, the verb *au*² acts as a causative, raising the number of participants from one to two for *lap*¹ 'afraid'. Diller (1992:24) pointed out that this construction was often "case marked" by the preposition *haŋ*². It may be that in this type of construction the prepositional phrase is always necessary. Further research would be needed to establish this claim.

Verbs which allow the patient to be expressed in a prepositional phrase are not confined to verbs such as 'bite' or 'frighten', but also include verbs such as 'leave', in example (104)

- 104) မိတ် ကိတ် မာ် လေ ကျ ယိ ကွတ် ပိ ကျ လာ်လိင် ။
 muu² cip¹ mau¹ pe³ haa³ sii¹ khot¹ pai² caa² la³ liŋ²
 hand hurt NEG can tolerate PRT leave go to monkey
 'His hand hurt and he could not tolerate it so he left the monkey.'
 (Aiton Story, *Story of the monkey and the fox*, No. (14), told by Bidya Thaumoung).

The marking of the object in a such a prepositional phrase is not obligatory. In *History of the Aiton*, the verb *seu*³ 'catch' is found five times, but the object of the verb is only marked in a prepositional phrase once, in (105):

- 105) မၢ် ယိတ် ကျ ကိတ်ကွတ် ကွဲ ။
 maa² seu³ caa² aai³ ton² khau¹
 come catch to Aiton 3PI
 'Came and caught the Aitons.'
 (Aiton text, *History of the Aiton*, No. (78) told by Nang Wimala Thaumoung)

There is one set of verbs for which the object is always marked in a prepositional phrase, namely verbs of speaking and asking, as in (106):

- 106) တျ ထံ ကျ ကင် ပိင်ကျာ်
 ta¹ thām⁶ haŋ² nāŋ² pin¹ ñā¹
 WILL ask to lady Pingya
 '(He) wanted to ask Miss Pingya ...'
 (Phake Story, *Chaw Mahosatha meets Nang Pingya*, No. (9), told by Ee Nyan Khet)

Furthermore, there are verbs, such as *han*⁶ 'to see' for which neither participant is generally marked in a prepositional phrase, as in (107) below:

- 107) *cau³ ma¹ ho¹ han⁶ nān² piŋ¹ ŋā¹ ye⁴*
 RESP Mahosatha see lady Ping Ya PRT
 'Chaw Mahosatha saw Miss Pingya.'
 (Phake Story, *Chaw Mahosatha meets Nang Pingya*, No. (8), told by Ee Nyan Khet)

It appears that the marking of core arguments of the sentence in prepositional phrases headed by *han*² or *caa*²/*cā*⁵ is determined by two factors, namely the animacy of the phrase, and the agency. The agent of a sentence is prototypically animate; it is never marked in such a prepositional phrase. Moreover, the marking is only used for the patient when, as in the preceding examples, the patient is also animate. In example (108), the inanimate patient (*cauk*¹ 'basket'), is not in a prepositional phrase.

- 108) *nān² piŋ¹ ŋā¹ ye⁴ pā² cauk¹ khau³ se⁶*
 lady Pingya PRT carry/bring basket rice PRT
 'Miss Pingya was carrying a basket of rice.'
 (Phake Story, *Chaw Mahosatha meets Nang Pingya*, No. (4), told by Ee Nyan Khet)

The fact that animacy is a key factor is confirmed by example (109):

- 109) *tɛp¹ huu¹ khaat¹ kaa¹ caa² huu¹ suuk¹ aa¹ hōm¹ ŋii² raa² ŋii² ru³ ru³*
 cut head separate GO to commander Ahom Ngi Ra Ngi Reu Reu
 'He cut off the head of Ahom Army commander, Ngi Ra Ngi Reu Reu.'
 (Aiton text, *History of the Aiton*, No. (59.1) told by Nang Wimala Thaumoung)

In (109), although it is the head of Ngi Raa Ngi Reu Reu that is cut off, it is the person, rather than his body part, which is marked in the prepositional phrase. In this sentence (also discussed above in (76)), the possessor of the noun *huu*¹ 'head' is the phrase *huu*¹ *suuk*¹ *aa*¹ *hōm*¹ *ŋii*² *raa*² *ŋii*² *ru*³ *ru*³ 'the Ahom commander Ngi Ra Ngi Reu Reu'.

There are, however, sentences in which there are two non-animate noun phrases, one of which is marked in a prepositional phrase. These are definitional sentences (see 8.5.3), of which (110) is an example.

- 110) *han² pək¹ khau³ ne⁴ wā⁵ kyep⁴*
 to skin rice PRT say chaff
 'The skin of rice is called 'Chaff'.
 (Phake sentence, uttered by Ee Nyan Khet)

A final example of one participant in a two participant event being marked in a prepositional phrase is (111), in which Sui Khong is explaining the meaning of a line in the manuscript relating to the new year festival of Sangkyen⁶³.

- 111) *han² saan² kyen¹ ε¹ mum² pau³ mum² nuu² mum² lem²*
 to Sangkyen PRT 3Sg watch 3Sg look 3Sg look at
 'She (will) watch over and take care over Sangkyen.'
 (Aiton manuscript, *Calendar for the year 2001/2002*, No. (24.2), told by Sui Khong Thaumoung.)

This example is interesting in that the other participant in this event, here expressed by the pronoun *mum*² '3Sg' is animate, whereas the participant marked in the prepositional phrase is inanimate. In the manuscript of which example (111) is an explanation, the prepositional phrase is not found, as in (112):

- 112) *kin¹ ŋaa¹ tɛ¹ wii¹ naan² phii¹ cam³ nuŋ² khun² an² khaau¹*
 Kinnya Devi lady spirit PRT wear thing CLF white

an² kin³ an² lun¹ an² som³ an² muu²
 CLF green CLF yellow CLF orange CLF purple

⁶³ Held on 14th April each year.

ဟာ ဝတ် မီ ဌ် ဖင်ဂိတ် ယ် ။

haa³ phan² sii¹ pau³ saan² kyan¹ yau³
five type PRT watch Sangkyen FINISHED

'As for Kingya Devi, who wears the five colours - white, green, yellow, orange and purple, she will watch over Sangkyen.'

(Aiton manuscript, *Calendar for the year 2001/2002*, No. (24), told by Sui Khong Thoumoung.)

The fact that the prepositional phrase is found only in the spoken explanation of the manuscript and not in the written form, may suggest that this widespread usage of prepositions is more frequent in spoken language than in written language. If so, given that manuscripts are generally written in very archaic style, it would suggest that this use of prepositional phrases is an innovation.

Perhaps this prepositional marking has developed because of the relatively free constituent order, and is used to distinguish one participant (usually that which is more like the patient) from the other. Where one of the participants is inanimate, it will not be the agent, and consequently no such marking is necessary - except in those special cases where both participants are inanimate - such as (110) above.

The form of this prepositional marking is possibly borrowed from the typical three participant construction (see below 8.3.3.2), where the animate beneficiary, that is to say the animate non-agent, is usually marked in this type of prepositional phrase. The early recorders of the Tai languages, using Latinate terms for describing a case system, indicated that the dative case⁶⁴ was marked by *han²*, and perhaps this indicates that the prototypical usage of this preposition is to mark the beneficiary. If so, then the sentences examined above may be an extension of the syntax used for three participant events to two participant events.

8.3.3.2 Prepositions in three participant events

The adjunct strategy for three participant events (see 8.5.6), is the most common and therefore probably unmarked strategy for such sentences. Example (113) is an elicited

⁶⁴ The word *han²* is never used for the allative.

sentence showing the marking of the beneficiary in the prepositional phrase headed by *han²*.

113) ကုၤ ဂွၢ် ပပိလိၣ်း ဝဲၣ် ဂုၤ ဟံၣ်း ဝဲၣ် ။

kau² hau³ pāp⁴ lik⁴ nai⁴ han² pa⁴ sāu⁶ nai⁴
1Sg give book this to young woman this

'I gave this book to the girl.'

(Phake sentence elicited from the late Aimya Khang Gohain)

The typical three participants of such events and their animacy status and status of marking in prepositional clauses is indicated below in Table (27):

Participant	Animacy status	Status of marking in prepositional phrase
Agent	animate	unmarked
Theme	inanimate	unmarked
Beneficiary	animate	marked

Table 27: Relationship between animacy, agency and the marking of core arguments in prepositional phrases.

In actual discourse all three participants are rarely expressed, although they are in (114).

114) မိၣ် ကံၣ် ပူၣ် က ဝိုၣ် မၢ် က
mā⁴ nan⁴ pū¹ ne⁴ nā⁴ mūn² ne⁴
time that old man PRT meat his PRT

မိၣ် ဂွၢ် ကုၤ ဂုၤ ဝဲၣ် ပိၣ် ဝဲၣ် ဝဲၣ်
mai¹ hau³ kē¹ han² khau⁶ pī⁵ nau⁴ se⁶
dist. GIVE GO to 3Sg elder younger PRT

'Then the old man gave his meat to those two brothers.'

(Phake Story, *The two brothers*, No. (14), told by Ee Nyan Khet)

Usually, at least one of the human or animate participants is dropped because it is understood. Example (115) is a set of three sentences where the agent/subject is 'the old man', the beneficiary/indirect object is 'us', or 'me' and the theme/direct object is 'food'.

- 115.1) ခိုက်နာ ပူ နို့စ ခွံ ခို နှံ မူ ဘူ ကွံ
 ɔi² pū¹ nɔ⁴ mai² nai⁴ hau³ mɔ² cā⁵ kau²
 VOC grandfather meat 2Sg this give come for/to 1Sg
 "Oh! granddad, give me your meat!"

- 115.2) မိင် မူ ဘူ နှံ ခွံ ခို ခို
 main¹ mɔ² cā⁵ hau² wā⁵ nū¹ nai⁴
 distribute come to us say like this
 "'Distribute it to us!'", he spoke like this'

- 115.3) ပူ ခို မူ နှံ
 pū¹ nai⁴ mɔ⁵ hau³
 grandfather that NEG give
 '(But), the old man didn't give (them) (the food).'
 (Phake Story, *The two brothers*, Nos. (7) & (8), told by Ee Nyan Khet)

In this example, none of the three sentences fully spells out all the arguments as NPs of the main verb, because in (115.1), the agent is a vocative. The syntax of these three sentences is:

- 116) 115.1 Voc (Agent) O (Theme) V cā⁵ I (Beneficiary)
 115.2 V cā⁵ I (Beneficiary)
 115.3 S (Agent) V

In Tai, an expression can be regarded as having three participants even if not all of them are expressed. Example (115.2) is quite grammatical by itself and it forms part of a three place expression. The other two places are understood, having been introduced in (115.1).

Sometimes, there are three animate participants in a situation. Example (117) comes from a story of a forest spirit and a mother. The spirit had stolen the mother's spade, with which she dug for root vegetables. To get this back, she offers the spirit her daughter, saying:

- 117) ကွံ တူ နှံ လုက် ယွံ လွံ
 kau² ta¹ hau³ luk³ saau¹ lau²
 1Sg WILL give child female say
 "'I will give you my daughter", she said.'

(Aiton Story, *The story of the forest spirit and the first daughter*, No (20), told by Sa Cham Thoumoung)

Here there are three animate participants, the mother (agent), the daughter (theme) and the forest spirit (recipient), the last of which is unexpressed. When discussing this example with Bidya Thoumoung, I asked him whether (118) could have been said here and he replied that it could.

- 118) ကွံ တူ နှံ နှံ လုက် ယွံ
 kau² ta¹ hau³ han² luk³ saau¹
 1Sg WILL GIVE to child female
 'I will give you my daughter.'

It therefore appears that *han*² can be used to mark an animate participant, even when it is not a recipient/beneficiary. Example (118) could mean 'I gave it to my daughter', in other contexts, where the theme was unexpressed, but here the context would make it clear that the 'daughter' is the theme and it is the beneficiary that is unexpressed.

We can then perhaps categorise this use of *han*² as being available to mark a non-agent animate participant, regardless of the semantic role of that participant.

When both the theme and recipient/beneficiary are animate and both are expressed, it is possible for both to be marked in adpositional phrases, as in (119), where the King of Varanasi is deciding a custody dispute between the parents of young *kum*⁴ chick.

- 119) နှံ နေ ယေ နှံ လုက် နုက် ကုံ နေ နှံ ဝိဟ်
 hā¹ ne⁴ ye⁴ han² luk⁴ nok⁴ kum⁴ ne⁴ hau³ wen⁵
 time DEF PRT to child bird kum⁴ DEF GIVE discard

- နှံ ကုံ မိုက် နေ
 han² tɕ³ mün² ne⁴
 to father 3Sg DEF

"'The *kum*⁴ chick will be given to the father".'

(Phake Story, *Story of the kum⁴ bird*, No. (64), told by Ee Nyan Khet)

When discussing the translation of this story with Ee Nyan Khet, she told me that all three participants could have been expressed as in (120):

- 120)
 ၁၀၀၀၀၀၀
 khun⁶ h⁶ kham²
 king

 ၁၀၀၀၀၀၀
 ne⁴ ha² luk⁴
 DEF to child

 ၁၀၀၀၀၀၀
 nan⁴ ha³ ha² u³ m²
 that give to father 3Sg
- 'The king gave the chick to the father.'

The syntactic frame of (120) is given in (121):

- | | | | | |
|------|--|--|--|-----------------------------|
| 121) | Subject | Direct Object/Theme | Verb | Indirect Object/Beneficiary |
| | khun ⁶ h ⁶ kham ² | ne ⁴ ha ² luk ⁴ | nan ⁴ ha ³ ha ² u ³ m ² | |
| | the king | (to) that chick | give | to its father |

There appear to be several ways in which the theme and recipient are disambiguated in (120). The first is that it could never be the case that a chick could be given its father. The second is contextual, it is clear from what has gone before that it the chick is the theme. The third is syntactic; it does appear that in verbs such as 'give', the beneficiary is a more salient argument of the verb and so it is the one most likely to appear in the canonical post-verbal position. The constituent order of Tai sentences is discussed in more detail in 8.4 below.

It is not only with verbs of giving that this structure is found. The word *caa*² is used in (122), where the verb means 'to beg' and the second animate participant is not a beneficiary but a source:

- 122)
 ၁၀၀၀၀၀၀
 mu² zaam² an² nan³

 ၁၀၀၀၀၀၀
 pai² 3on² kaa¹ mit³ daap¹ man²
 go beg GO knife sword 3Sg

၁၀၀၀၀၀၀ ၁၀၀၀၀၀၀ ၁၀၀၀၀၀၀

caa² cau³ ph¹ ၁၀၀၀၀၀၀

to king ၁၀၀၀၀၀၀

'And then she went and begged for her knife from the king of Ko Lang.'

(Aiton text: *History of the Akha*, No. (62.1) told by Nang Wimala Thaumoung)

8.3.3.3 Experiencer as Adjunct:

There is another class of animate participants which are sometimes marked with *haq*² or *caa*²/*ca*⁵. Example (123) was reported by Kingcom (1992).

- 123)
 ၁၀၀၀၀၀၀
 cu¹ nai³

 ၁၀၀၀၀၀၀
 ha² ma² na¹ h⁶ an² pen² ne³
 to you how feel PRT
- 'How do you feel now?'
(Kingcom 1992:56)⁶⁵

A better translation might be 'How does it feel for you now?' In February 2002 a number of sentences of this type were elicited from Yehom Buragohain, including example (124), although she added that this type of sentence is rarely found in everyday speech.

- 124)
 ၁၀၀၀၀၀၀
 ha² kau² kat¹ lo⁶
 to 1Sg cold big
- 'I feel very cold.'
(Phake sentence, elicited from Yehom Buragohain)

It was argued above that marking of animate participants in prepositional phrases was necessary to mark the non-agent animate participant, and this analysis is not disturbed by this example, even though there is no agent in this sentence.

A further example of a non-agent animate participant being marked in prepositional phrases is presented as (125). This is a sentence from the Khamyang speaker, Sa Myat Chowlik, whose speech often mixes Phake and Khamyang forms. This sentence has been phonemicised using Khamyang phonology:

- 125)
 ၁၀၀၀၀၀၀
 aa¹ muu² an⁵ ma¹ ni⁵ ne⁴ ma¹ hau³ hel¹
 work CLF NEG good this not GIVE do

⁶⁵ The script and interlinear gloss have been added by me, and the phonemic transcription altered to accord with other Phake transcriptions. The original example and English translation are Kingcom's.

၂၃၆ လူငယ်တွေက ကံက ပိုက် မှာ ခို ကျွန်
 haŋ² luk⁴ ɔn¹ an⁵ khum³ maa² nai⁴ khau⁶
 to children CLF increase COME these 3PI
 'The growing generation of children should not be allowed to do these wrong deeds.'

(Khamyang Story, *Advice to children*, No. (31), told by Sa Myat Chowlik.)

In (125), there is an unstated agent, perhaps 'parents' or 'the community' which may explain the use of the prepositional phrase.

In the light of these examples, it will be worthwhile to review Pongsri Lekawatana's (1970) case grammar explanation. In a study of Standard Thai using Fillmore's Case Grammar as its theoretic base, she (1970:114) defines what she calls the dative case in standard Thai as the argument which answers these three questions:

- 126) a) Who experiences something?
 b) To whom does something happen?
 c) Who is affected by something?

These three were exemplified by Lekawatana (1970:114), restated as (127):

- 127) a) sùda (D) chōp nāŋsǎi lēm nīi (O)
 Suda like book cl this
 Suda likes this book.
- b) dææŋ (A) hây nāŋsǎi (O) kǎæ sùda (D)
 Dang give book to Suda
 Dang gave a book to Suda
- c) dææŋ (A) khâa sùda (D)
 Dang kill Suda
 Dang killed Suda

In the Tai varieties of Northeast India, we have seen the marking in prepositional phrases of the participants which Lekawatana identifies as Dative, (D) in example (127), although this marking is not obligatory.

The agent is never marked in a prepositional phrase in the Tai languages. In sentences like (123) above, the animate participant is not considered an agent and is analysed by Lekawatana as Dative, and so can carry the prepositional phrase marking.

However, although Lekawatana's explanation in (127) very neatly corresponds with the three situations in which the marking with prepositional phrases has been observed, it does not explain why such marking appears to be used more often with some verbs than with others.

If we consider again example (107), we can see a sentence in which there are two animate participants, neither of which is marked in a prepositional phrase. The first participant, *cau³ ma¹ ho¹* 'Chaw Mahosatha', is the experiencer, and as we have seen in (123), experiencers can be marked in a prepositional phrase; however this would certainly lead to some confusion in that the second participant, which is arguably the least agent-like, might also be able to marked in a prepositional phrase.

We are lead to the conclusion that where there are two animate participants, neither of which is an agent, the marking with a prepositional phrase does not occur.

8.3.4 Non core elements

The two non-core elements discussed below are both in the form of prepositional phrases, headed by any of the large range of prepositions, which were discussed in 8.2.5 above.

8.3.4.1 Temporal Phrases

Temporal phrases appear to be permitted both before and after the core sentence, although in story telling they are used as links between different sections and so usually occur as the first element in the sentence, as in (128):

- 128) မိတ် ကာန် ပူ လာ နိုင် မာန် လာ
 mi⁴ nan⁴ pū¹ ne⁴ na⁴ mīm² ne⁴
 time that old man DEF meat his PRT

မိတ် နှို ကျ နှို နှို ပီ နှို လေ ။
 main¹ hai³ kā¹ han² khau⁶ pī⁵ nau⁴ se⁶
 dist. give GO to 3Sg elder younger PRT
 'Then the old man gave his meat to those two brothers.'

(Phake Story, *The two brothers*, No. (14), told by Ee Nyan Khet)

When another portion of the sentence is being emphasised, as in (129), the temporal phrase may appear at the end:

- 129) မှီ တာ ကျ ကင် နှို ကင် လံ
 mai² ta¹ kā¹ kān² nai⁶ kān² kham⁵
 2Sg will go middle morning middle evening
 'Will you go in the morning or in the evening?'
 (Phake sentence, elicited from the late Aimya Khang Gohain)

8.3.4.2 Locational Phrases

As with temporal phrases, locational phrases are found as adjuncts either in front of, or after, the core sentence, as in (130):

- 130) လာ စီ လှော်တင် လာ ကွင်မူ ကာန် နိုင် ယင်
 ne⁴ tī⁵ khun⁴ tān² nai⁴ kyaun² mū² an² nūn⁵ yan²
 PRT at road PRT pagoda CLF one is
 'Along the road there is a pagoda.'

(Phake Story, *Chaw Mahosatha meets Nang Pingya*, No. (53), told by Ee Nyan Khet)

Sometimes the locational phrase ends up in the middle of the sentence, because another constituent has been topicalised, as in (131), in which the subject noun phrase, 'the king together with all the people of the country', has been topicalised and appears before the non-core locational phrase *tii² doi² tau¹ san³ nai³* 'at Mount Tau San'.

- 131) လိပိုင်ဒ် ကာန်စိုတ် ဟုံတု ဟုံ လုံ
 lai³ pu² nai³ kaa¹ cu² cau³ phaa³ cau³ phoi³
 therefore all king resp EUPH

ကုတ်တင် ကုန် ယိုင် ကုန် မိုင်
 kup¹ tan¹ kun² sun³ kun² mun²
 with person country person city

တီ ခွံ တွံသာန် နံ တာ နိ ပီ ကုတ် ပီ ဝီ
 tii² doi² tau¹ san³ nai³ ta¹ nai³ pai² khup³ paa³ wai³
 at hill Tau San this will GET GO kneel pray pray

ဟာ လီ လုင် လီ တင် ကံတု ။
 caa² phii¹ lun¹ phii¹ tan³ kam¹ phaa³
 to ghost big ghost set up world

'The king, together with all the people of the country, went to Mount Tau San in order to pray to the great spirit who had made the world.'

(Aiton text, *History of the Aiton*, No. (3) told by Nang Wimala Thaumoung)

Often, the locational phrase is a full argument of the verb, as in (132), where it is the argument of *uu¹* 'live at':

- 132) မိတ် ဟုံတု ကိတွန် ကူ စီ လော ငွေ ခွံ ယိုင်
 mu² cau³ phaa³ aai³ ton¹ uu¹ tii² ce² rōn² noi² / doi² sun¹
 when King Aiton live at at city range mountain high

ကူ စီ နီ နံ ကာယော နံ ။
 uu¹ tii² him² nam³ kaa¹ so¹ nam¹ naa¹
 live at near river Kaso PRT

'Then, the King of the Aitons lived at the City of Long Doi Sung (high mountain range), near the bank of the Kaso river.'

(Aiton manuscript, *The treaty between the Aitons and the Turungs*, No. (22))

Locational phrases are not always headed by prepositions, as with *mān³ pā¹ wāi⁶* in (133). The verb *kā¹* 'go' does not require a prepositional phrase as its object, although in this case the locational phrase is not a non-core element.

- 133) မိတ်ၤ နွံ တၢ် ကၢ် မၤ ဟံ
 mɔ̃⁵ naü⁶ maü² ta¹ kã¹ mǎn³ pã¹ wã⁶
 when 2Sg will go village Pawai
 'When will you go to Pawoimukh?'
 (sentence elicited from Aithown Che Chakap)

8.3.5 Isolative Phrases:

As discussed above in 8.2.9, the various exclamations, reponsives and vocatives are categorised as isolatives. Sometimes they are only a single word, as in (134):

- 134) ခ် နီၤ နေၤ ဟံ ပိၤ
 ɔ̃² ñān¹ ne⁴ hūŋ² piŋ¹ ñā¹
 YES knowledge DEF to knowledge
 'Yes, ñān² means knowledge.'
 (Tai Phake Song, *Poem in the khe² khyāŋ² style: How Stephen Morey came to the Tai Phakes*, No (10.3), read by Ai Che Let Hailung)

This is a definitional sentence where the verb is not stated; the thing being defined is the first noun phrase (ñān¹ ne⁴) and its definition is in an adpositional phrase (piŋ¹ ñā¹). The other element of the sentence, the reponse ɔ̃²'yes' is not part of the core syntax, hence the use of the term isolative. Initial isolatives are always in absolute initial position (unless preceded by a hesitation).

Sometimes an isolative is a phrase, as in (135), which was spoken by an Aiton informant when she picked up my small back-pack and found it to be much heavier than she expected. The whole utterance could have been regarded as an exclamation phrase, had it been followed by something like 'This is really heavy'.

- 135) ဟံ ဖြဲ ပိၤ ဟံ
 cau³ phrã² pin² cau³
 RESP Buddha be RESP
 'Oh! God!'
 (Aiton Sentence)

Example (135) is the closest to swearing that I have been able to record in the Tai languages. I have not been able to record such a phrase in combination with a predication.

In (136), there are arguably two isolative phrases, the first being an exclamation (ã²) and the second a vocative phrase pū¹ cau³ ɔ̃².

- 136) ကၢ် ပူ ဟံ ကိၤ ခိၤ နွံ နေၤ ဟံ
 ɔ̃² pū¹ cau³ ɔ̃² ne⁴ maü² ne⁴ khen²
 EXCL grandfather RESP VOC meat your this please
 မိၤ ဟံ မၤ ဟံ ဟံ ဟံ ဟံ
 main¹ hau³ mā² cã⁵ hau² hau² kã⁴
 dist give COME to us us LINK
 'Oh, respected grandfather, please, give your meat to us.'
 (Phake Story, *The two brothers*, No. (12), told by Ee Nyan Khet)

This syntax of (136) is:

- | | | |
|---|--|--|
| 137) EXCL
ã ²
Oh! | VOC
pū ¹ cau ³ ɔ̃ ²
respected grandfather | Object NP (topicalised)
ne ⁴ maü ² ne ⁴
your meat |
| Verb complex
khen ² main ¹ hau ³ mā ²
please give | Indirect Object NP
cã ⁵ hau ²
to us | Indirect Object NP (postposed)
hau ² kã ⁴
us |

It is not necessary for the Isolative Phrase to be headed by an isolative, such as a vocative. The name of the person being called, or their kinship relationship, is sufficient, as in (138):

- 138) ဟံ ကိၤ ဝၢ် ဝဲ ဟံ
 po² kau² wan² nai³ pai² son¹ lik³ mau¹ pai²
 father me today go study NEG go
 'Father, I am not going to school today.'
 (Aiton Story, *The boy who wouldn't go to school*, No. (2), told by Bidya Thoumoung).

Sometimes, the Isolative Phrase is the first element in a sentence which is itself embedded, as the Isolative Phrase *me*²'mother' in (139). There is a pause after the vocative, and this makes it clear that he is not asking, 'Who are my parents?'. If there were no pause, the two nouns *me*² and *pa*² would be assumed to form a single noun phrase

- 139) ကာဘာ် ယံ လုက် ဖိ ယံ မာ လု
 a¹ nan³ zau³ luk³ caai² zau¹ maa² lau²
 there FINISHED child male big come tell

မေ မေ ဟံ လု ကေ ။

me² pa² hau² phau¹ ne³
 mother father 1Pl who PRT

'Then the eldest son came and asked, 'Mother, who is our father?'

(Aiton text, *History of the Tai*, No. (88) told by Sa Cham Thaumoung)

As discussed above in 8.2.9.4, for Standard Thai, Noss included a category of isolatives that he named imitatives, but which are better known as expressives. Banchob (1977) collected many of these for Aiton, such as (140) below. In this example, Banchob's version of the Aiton text is given in her original Thai. The Aiton script and phonemic versions are added by me.

- 140) လာလိင် ကာ် ယိုဝ် နိ ကြက်
 လး² လိင်² ဟံ နီ ဟို ကြက်
 la³ lin² han¹ sui¹ hai³ kraak¹ kraak¹
 monkey see tiger cry ONOM
 'The monkey saw the tiger calling *kraak*¹ *kraak*¹.'

The imitative *kraak*¹ *kraak*¹ is arguably a core element of the sentence in (140). A fuller examination of these in the Tai languages is beyond the scope of this thesis.

8.3.6 Elaborate expressions

In example (74) above, we saw a four syllable expression, or elaborate expression. For standard Thai, Haas (1964:xvii) pointed out that most of these expressions have four parts and are semi-repeated.

The examples that will be presented here are all from a single text, a blessing given to the writer by Nang Pe of Namphakey village, who is particularly skilled in forming them. This should not be taken to imply that this type of expression is confined to texts such as blessings. In fact they are very common in all styles.

Sometimes these elaborate expressions are simply reduplicated compounds, as in (141), in which the elaborate expression *hum*¹ *hum*¹ *com*² *com*² 'to be glad' is a compound of two verbs.

- 141) ဟံ နိ ကု ဟံ ကု ကေ ကေ
 hã⁵ nai⁴ kau² hum¹ hum¹ com² com² se⁶
 time this 1Sg glad glad PRT
 'Now I am very appreciative.'

(Phake Blessing *Blessing*, No (3), intoned by Nang Pe)

When polysyllabic loan words are compounded, they can also form elaborate expressions, as with *puk*⁴ *ca*¹ *kan*¹ *ta*² 'worship' in (142):

- 142) ကု ကု ကေ ကု ပုကော ကာ်ကော ကေ
 kau² com² se⁶ kau² puk⁴ ca¹ kan¹ ta² kã¹
 1Sg happy PRT 1Sg worship homage GO

ဟံ မိုက် ကေ ။
 han² mün² cau³
 to 3Sg RESP
 'I pay my respects to him.'

(Phake Blessing *Blessing*, No (13), intoned by Nang Pe)

In example (142), the preferred four syllable structure is maintained and there is a rhyme on /ɔ/ which gives the expression a partly reduplicated feel.

As already mentioned in relation to example (74), sometimes these expressions conflate two constituents, as in (143), where the expression *thūŋ⁶ sūŋ¹ thūŋ⁶ mən²* is translated as 'to (our) country'

- 143) ကွ ထိုင် လိုင် ထိုင် မိုင် မ ခိ
 au² thūŋ⁶ sūŋ¹ thūŋ⁶ mən² mā² nai⁴
 take reach country reach country come this

မ ကွ က ကွ နလ က ။
 mā² haü³ cā⁵ kau² nāŋ² pe¹ ne⁴
 come give to 1Sg Nang Pe DEF

'He has brought (them) here to (our) country, to give to me Nang Pe.'

(Phake Blessing *Blessing*, No (12), intoned by Nang Pe)

Often there is more than one elaborate expression in a sentence, as in example (144), which has three:

- 144) ခိ ဝတ် ခိ ကိ ဂုတ် ပတ် ဂုတ် မူ
 khai³ wat¹ khai³ ai² ho⁶ pan¹ ho⁶ mū²
 fever cold fever cough head giddy head dizzy

ပိ ကွ ခိ မိ ခိ ယင် ။
 pi¹ haü³ nai³ mī² nai³ yaŋ²
 don't GIVE get have get have

'May (you) not have colds and coughs, giddiness or dizziness.'

(Phake Blessing *Blessing*, No (16), intoned by Nang Pe)

The elaborate expressions in (144) are *khai³ wat¹ khai¹ ai²* 'have a cold and cough', *ho⁶ pan¹ ho⁶ mū²* 'giddy and dizzy' and *nai³ mī² nai³ yaŋ²* 'get to have'.

Finally, example (145) is presented, containing a series of elaborate expressions:

- ကွ မုတ် ပြု မုတ် ပြု ကွ မုတ် ကွ ယင်
 au² mon² phrā² mon² trā² au² mon² cau³ saŋ¹ khā¹
 take glory Buddha glory Dharma take glory RESP Sangha

 ကွ ကွ ကွ က ကွ င က
 haü³ hom¹ haü³ cā¹ haü³ ṇam² kā¹
 GIVE cover GIVE cover GIVE cover GO

 လိုင် ခွ တတ် ခွ ဟိ ကိုင် ။
 sū⁵ naü⁶ tan² naü⁶ sī⁶ ka⁴
 straight where place where PRT LINK

'By the grace of the Buddha, the Dharma and the respected monks, may you be protected and covered in every place.'

(Phake Blessing *Blessing*, No (17), intoned by Nang Pe)

In the first line of (145), the three gems of the Buddhist religion, *phrā²* 'the Buddha', *trā²* 'the Dharma (teaching of Buddha)' and *saŋ¹ khā¹* 'the Sangha (followers of Buddha)' are compounded into a long elaborate expression with the phrase *au² mon²* which means 'by the grace of'.

In the second line, *haü³* 'to give' is a causative or benefactive auxiliary combined with three verbs meaning 'to cover', and in the third line, *sū⁵ naü⁶ tan² naü⁶* is a semi reduplicated expression meaning 'every nook and cranny, everywhere.'

8.4 Constituent order

8.4.1 Preliminary theoretical considerations

Word order, or more accurately constituent order, is one of the typological features which distinguishes some languages from others. The most frequent constituent order of languages of the Tai family is Subject-Verb-Object (SVO) (Hudak 1990:40). However in Northeast India, Subject-Object-Verb (SOV) sentences have been reported by all researchers since Robinson (1849) (see 3.2.3 above), and SOV has been claimed to be the basic word order for at the Khamti language. This claim will be discussed in detail in 8.4.2 below.

For Standard Thai, Vichin Panupong (1970), has defined 'subject' as the noun or noun phrase which appears in the position in front of the verb and 'object' as that which

immediately follows the verb. She then posits that in certain circumstances one of these noun phrases may be topicalised.

For the Tai languages of Northeast India, a definition of subject and object based solely on the position of a noun phrase in the sentence is problematic. This is because of the following factors, some of which apply to Standard Thai also:

- 146.1) In any sentence any of the core participants (expressed as noun phrases) may be dropped if its meaning is otherwise understood,
- 146.2) Any of the noun phrases may be topicalised.
- 146.3) In some circumstances, particularly with quantifier phrases, the modifier within the noun phrase may be separated from its head.
- 146.4) Non agent noun phrases, if they are animate, are often marked in a prepositional phrase, and
- 146.5) The languages prefer that if there is only one noun phrase, such as the patient when the agent is dropped, it should stand before the verb, excepting where it is a case of (near-) noun incorporation.

Before proceeding to discuss constituent order in detail, each of these points need to be exemplified.

The dropping of core participants is exemplified in (147), which is the kind of sentence spoken by one Tai Aiton person to another when meeting along the main street of the village:

- 147) ကာ သူ
kaa¹ dau¹
go where?
'Where are (you) going?'
(Aiton sentence)

The subject noun phrase, 'you', is understood, even though it would not have been stated already in the conversation. The sentence 'Where am I going' would be a highly marked sentence and the subject would have to be stated.

A second circumstance in which a core argument NP can be dropped arises when the referent of the NP has already been mentioned and is clearly understood. Such an example is (148), where the subject, *a' māi* 'minister', is understood because it was introduced earlier in the story.

- 148) ကာ ထိုင် ဝီ မာ် ကာ် နိုင် သေ။
kā¹ thūŋ⁶ wī⁵ mān³ an² nūŋ⁵ ye⁴
go reach place village CLF one PRT
'He reached a village.'

(Phake Story, *Story of the foolish king*, No. (13), told by Ee Nyan Khet)

The topicalisation of NPs is demonstrated in example (149), where the object NP *နာ် saau¹ nai¹* is the topic and the constituent order has become effectively OSV.

- 149) နာ် ယွံ ခိ ကာ ယိုင် ခိုက် ခိ ကွံ။
naŋ³ saau¹ nai³ (0.7) aa¹ su¹ phuuk¹ nai³ (0.35) au²
younger female this HESIT tiger white this take
'As for this younger daughter, the tiger took her (as a wife).'

(Aiton text, *History of the Tai*, No. (81) told by Sa Cham Thoumoung)

Tai speakers know which noun phrase is which constituent in the sentence on the basis of context and also prosody. To indicate the importance of prosodic features, the significant pauses in (149) have been marked with their length. The first pause is somewhat longer than would normally be expected and is followed by a hesitation.

The marking of the direct object or patient in prepositional phrases has been discussed above, in 8.3.3.

As stated above, it seems to be the case that noun phrases are sometimes topicalised to ensure that there is a noun phrase in front of the verb. In example (150), there are

three clauses, each with the main verb *kin*² 'eat', in the causative, so that the subject of the causation is an unstated 'they'. The first clause is not associated with any other noun phrase, but in the second and third clauses, the other constituent, a prepositional phrase headed by *haŋ*², occurs in front of the verb.

- 150) *hau*² *kin*² *dii*² *ʒau*³ *haŋ*² *mə*² *ka*³ *hau*³ *kin*²
GIVE eat good FINISHED to mother also GIVE eat

*haŋ*² *man*² *ka*³ *hau*³ *kin*²
to 3Sg LINK GIVE eat

'They gave good food to his mother and also to him...

(Aiton Story, *The twelve questions*, No (77), told by Sa Cham Thoumoung)

There are numbers of exceptions to the principle expressed above in (146.5). One is when there is a particular wish to topicalise the verb, as in (151), where it is the forgetting of the Khamyang variety by younger people that Chaw Deben wants to comment upon, and so the verb appears before the subject of the sentence.

- 151) *naŋ*¹ *ka*¹ *lum*² *caŋ*¹ *nai*³ *luk*⁴ *ne*⁴ *khau*⁶
moreover forget now child PRT 3Sg

*luk*⁴ *ʒn*¹ *khau*¹ *ʒŋ*¹ *taan*⁴ *haai*⁶ *yaa*⁴
child 3Pl NEG speak disappear FINISHED

'Moreover, they've forgotten, those children now, those children can't speak, it's all gone.'

(Khamyang text, *The future of the Khamyang language*, No. (20), spoken by Deben Chowlik.

Another exception is object incorporation (see 8.2.3.1.2). Except in negative sentences, the object follows the verb, such as in *kin*² *khau*³ 'eat rice, dine', even if it is the only noun phrase in the utterance.

As a consequence of the various factors detailed in (146) above, in any sentence, whether it is a one, two or three participant event, one or more of the places may be vacant. Take for example the case of the verb 'give', which semantically requires an agent, a theme and a beneficiary. A sentence having 'give' as the main verb is always a three participant event, even, when, for example, the agent and theme are expressed but the beneficiary is not.

In example (152) (already discussed above as example (113) in 8.3.3.2), there are two sentences in each of which the main verb has three arguments, although in (152.1) the agent is a vocative and in (152.2) only one of the three arguments is expressed.

- 152.1) *ai*² *pti*¹ *no*⁴ *mai*² *nai*⁴ *hau*³ *ma*² *ca*⁵ *kau*²
VOC grandfather meat 2Sg this give come to 1Sg
"Oh! granddad, give me your meat!"

- 152.2) *mai*¹ *ma*² *ca*⁵ *hau*³ *wa*⁵ *nüŋ*¹ *rai*⁴ ||
distribute come to us say like this
"Distribute it to us!", he spoke like this'

(Phake Story, *The two brothers*, No. (152), told by Ee Nyan Khet)

It is argued that (152.2) is a three place predicate, because the semantics of the verb requires it, and because following the principle in (146.1) above, the other two places are occupied by noun phrases that are understood.

8.4.1.1 Is there a basic constituent order in Tai?

Given the large variety of constituent orders that actually surface in the data for the Tai languages of Assam, it might be argued that there is no basic constituent order, and no need to postulate one. Sentences are uttered in a context, whether a written or spoken one. That context is made up of pragmatic factors and cultural factors as well as whatever has previously been stated in whatever text is being examined. These alone might be enough to disambiguate any sentence, and therefore a basic word order becomes unnecessary.

However, as we will see in 8.5.6, when a ditransitive verb has all its three arguments present, and none in a prepositional phrase, the order is fixed. From this, it is argued that the basic constituent order for one participant events is as in (153), for two participant events in (154) and for three participant events in (155), each subject to all the constraints and possibilities in (146) above.

- 153) S V
 154) S V O
 155) S V O I

Before discussing the findings of the present study of Tai Aiton and Tai Phake, it will be necessary first to discuss the issue of verb phrases in the Tai languages (see 8.4.1.2) and then to review the literature relating to Tai Khamti (see 8.4.2).

8.4.1.2 Is there a verb phrase in Tai?

The concept of verb phrase is regarded by some linguists as a universal category. A verb phrase, consisting of the verb and its object or objects, is claimed to be present in all languages.

For the Tai languages of Assam, it will be necessary to offer evidence for a constituent verb phrase. On the surface, it seems counterintuitive to posit a verb phrase for the Tai languages when sentences like (156) occur:

- 156) ဂိုက် ယွက် တင် ဂီ ဂီ ဂွံ ဝိုင် ဂို ဝိုင် ဂို ယိ
 phün⁶ son⁶ tǎŋ² nī² nai⁴ hau² tūŋ¹ hum¹ tūŋ¹ com² sī⁶
 CLF teach way good this 1Pl all glad all glad PRT
 ဂို ဂွံ ဂို ယုဝိဂိုက် မုင်လဲ ဟ် ယု ဂို မု ယု
 cā⁵ māü² cau³ sa¹ tī¹ fən⁴ mo¹ le⁴ pan² sū¹ haü³ mā² yau⁴
 to 2Sg RESP Stephen Morey give PRT give COME FINISHED
 'We gladly present this book *Teaching in a good way* to you, Mr Stephen Morey.'
 (Tai Phake sentence, personal letter from Namphakey village, April 2001)

In the English translation of (156), the verb phrase is *present this book, 'Teaching in a good way', to you, Mr Stephen Morey.*⁶⁶

The structure of (156) can be expressed as (157):

- | | |
|--|--|
| 157) Object | Subject NP |
| phün ⁶ son ⁶ tǎŋ ² nī ² nai ⁴ | hau ² tūŋ ¹ hum ¹ tūŋ ¹ com ² sī ⁶ |
| this book of Teaching in a good way | we who are glad' |
| Indirect Object PP | Verb complex |
| cā ⁵ māü ² cau ³ sa ¹ tī ¹ fən ⁴ mo ¹ le ⁴ | pan ² sū ¹ haü ³ mā ² yau ⁴ |
| to you, Stephen Morey | present ⁶⁶ |

If we accept that the unmarked constituent order for such sentences is that presented in (155) above, then we have to explain how the two objects can end up in different positions from those in (155), one (the direct object) in the topic position in front of the subject, and the other (the indirect object) between the subject and the verb.

A verb phrase analysis would allow a sentence like (156) to occur, provided that we argue that a noun phrase is still within the verb phrase if it occurs immediately before the verb, as well as immediately after it. We could then argue that in (156), the indirect object was still within the verb phrase and only the direct object had been topicalised. The subject remains in its canonical position given in (155).

If we do not accept that the indirect object in (156) is within a verb phrase, then we would have to posit three topicalisations. Firstly the indirect object is topicalised. Then the subject is topicalised in front of the indirect object, and finally the direct object is topicalised to the front of the utterance.

If multiple topicalisation were permitted, it would be confirmed if there were examples of both the direct object and indirect object occurring before the subject,

⁶⁶ Literally 'presenting so that it has come to you.'

that is to say the constituent orders OISV and IOSV. Neither of these has been recorded.

We will therefore conclude that an analysis positing a verb phrase can be defended for the Tai languages of Assam.

8.4.2 Constituent order in Khamti:

Greenberg (1990:67) listed the Khamti language, which is closely related to the Aiton and Phake, as having a basic constituent order of SOV. This is surprising considering the basic constituent orders posited in (154) and (155) above. Greenberg's conclusions appear to be based on Grierson (1904), which is itself based on Needham (1894). It is therefore necessary to review what Needham recorded.

8.4.2.1 Needham

Although many of Needham's sentences are in the SOV order, they are not always so, as in (158):

- 158) sū kâp ngô yau
 ဟိုတ် ကပ် ဂွတ် ယွ
 tiger bite cow PAST
 '(A) tiger killed (a) cow.'
 (Needham 1894:11)

When we examine some of the texts provided by Needham, a more complex story emerges, as shown in (159):

- 159.1) ပုလင်ကွဲ ယိုတ် ကျ ထုတ် ကျ တိတ် မိ မူ ထိုတ် ။
 Palungkhu shoot PAST hit PAST foot ACC pig forest
 'Palangkhu fired (and) hit the wild pig on (the) leg.'

- 159.2) မျ တိတ် ယိတ် ပိ ကျ ။
 NEG die go
 'but (the pig) not dying, fled.'
 (Needham 1894:127)

In (159.1) the verbs ယိုတ် *yü* 'shoot' has ပုလင်ကွဲ *Palangkhu* 'Palangkhu' as its subject. The second verb ထုတ် *thuk* 'hit, touch' has တိတ် *tin* 'foot' as its object. This object is marked by the Khamti particle *mai*, which Needham glosses as 'accusative'. Example (159.1) certainly has SVO order.

Diller (1992:20) examined Needham's sentences in detail and listed the following sentence configurations:

- 160) S O V [transitive]
 O+[MARKER] S V [transitive]
 S+[MARKER] V [intransitive]
 O S DAT.+[MARKER] V [transitive]
 S V O [transitive]
 S O+[MARKER] V [transitive]
 S V [intransitive]
 S [(zero copula) (zero agent) V O]^{PURPOSE}

Diller's conclusion on the data from Needham is that "the general impression, especially from connected text, is of a very 'pragmatically' controlled configuration: issues such as topicality, specificity, and so forth, appear to play a crucial role on how sentence constituents are ordered." (1992:21). It is difficult to see how the contention that Khamti is a basically SOV language could be derived from this data.

8.4.2.2 Wilaiwan

After fieldwork in the Khamti lands, Wilaiwan (1986:178) concluded that "SOV is the dominant word order in Kamti while in other known Tai dialects SVO is the dominant one. We may conclude ... that Kamti has developed from SVO to SOV. It has also been shown that Kamti contains a set of object marking postpositions."

Wilaiwan (1986:175) exemplifies her claim with sentences like (161). The numbers above the transcription indicate tone.

- 161) 5 1 1 6 2 1 6
man wan-phan ne? 'au-pee ya?
 He glass the to break past tense particle
 'He broke the glass.'

In (162) she exemplifies the object marker *mai*⁴:

- 162) 2 5 4 3 1 3 6
kau man+mai khau- san hau yɔʔ
 I he object marker rice to give past tense particle
 'I gave her rice.'

Although I have not been able to investigate Khamti to the extent that I would wish, it seems likely that the marker *mai*⁴ is a postposition similar in the range and meaning to the prepositions *haŋ*² and *caa*⁵/*cā*², discussed above in 8.3.3.

Wilaiwan adds that "In the event that the speaker wants to emphasize the nominal object, it can be topicalized and moved to the front of the sentence." (1986:178). This is exemplified in (163), where the object in (161) has been topicalised:

- 161) 1 1 6 5 2 1 6
wan-phan neʔ man 'au-phce yɔʔ
 glass the he to break past tense particle
 'He broke the glass.'

Wilaiwan compares the Khamti data with the Phake, which she also investigated, and concludes that Phake "also has OSV as the alternative basic order, but its dominant order is SVO." (1986:176).

8.4.2.3 Chau Khok Manpoong

A very brief survey of the Khamti primers published by Chau Khok Manpoong in 1993 suggest that in Arunachal Pradesh Khamti, both SVO and SOV sentences are found, as in the following examples:

- 162). 𑜋𑜨𑜃𑜫 𑜇𑜨 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫
man⁷ nai⁶ ñaan³ pen⁴ phraa⁷ yau^{9/5}
 3Sg get wisdom be Buddha FINISHED
 'He got wisdom and became a Buddha.'
 (Chau Khok Manpoong 1993 II:15)

- 163). 𑜋𑜨𑜃𑜫 𑜇𑜨 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫
maa³ nin⁴ sit³ nai⁵ kon⁶ ny⁵ nai⁵ kaap⁴ au⁴ sii³
 fox clever this lump meat this bite take PRT
 'The clever fox ate the lump of meat.'
 (Chau Khok Manpoong 1993 II:36)

8.4.2.4 Present investigation

In March 2000, I was fortunate to meet Chaw Khamoon Gohain, a traditional Khamti chief. I asked him how to say 'the tiger ate the deer' and he immediately gave (164.1) as the answer.

- 164.1) 𑜋𑜨𑜃𑜫 𑜇𑜨 𑜇𑜨𑜃𑜫
suu³ kaap³ phaen⁷
 tiger bite barking deer

- 164.2) 𑜋𑜨𑜃𑜫 𑜇𑜨 𑜇𑜨𑜃𑜫 𑜇𑜨 𑜇𑜨𑜃𑜫
suu³ phaen⁷ mai⁶ kaap³
 tiger barking deer to bite
 'The tiger ate the barking deer.'

Audio link for these sentences (\wave files\khamti\1.mp3)

After discussing the sentence, Chaw Khamoon Gohain added that (164.2) was equally acceptable to Khamti speakers. Among the small amount of text that I was able to record from him, several examples had the verb in final position, as in (165):

- 165) 𑜋𑜨𑜃𑜫 𑜇𑜨 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫 𑜇𑜨𑜃𑜫
kon⁴ yaw¹ mai⁶ cau⁶ phaa⁶ mai⁶ hau⁷ khup⁴ khau³ sii³ paai⁶
 person big to king to 1Pl kneel knee PRT pray
 'We kneel down and pay homage to important people and kings.'

Audio link for this sentence (\wave files\khamti\2.mp3)

On the basis of (164) and (165) above, we might tentatively conclude that SOV would be possible in Khamti if the O is marked by the word *mai*⁶. This suggests that SVO may be the more basic constituent order. Whilst it appears likely that Khamti constituent order is pragmatically controlled, as suggested by Diller above in 8.4.2.1, only further research, including the collection and analysis of substantial corpus of

Khamti texts, will be able to further illuminate this issue. In the meantime, scholars would be advised to treat the claim that Khamti is an SOV language with some caution.

8.4.3 Constituent order in the Tai languages of Assam

The late Ainya Khang insisted that SVO was the basic constituent order in Phake. Not long before he died, the *Tai Phake Primer* (Morey 1999c) was published, containing simple sentences for people to practise reading. Many of the sentences were supplied by Sam Thun Wingkyen, including (166)

- 166) $\text{ṇṇ}^2 \quad \text{ṇṇ}^1 \quad \text{ṇṇ}^5 \quad \text{ṇṇ}^4$
 star count NEG can
 'The number of stars cannot be counted.'

In his review of the Primer, Ainya Khang stated that this was an SOV order (with the subject unstated), and that (166) should be altered to (167):

- 167) $\text{ṇṇ}^1 \quad \text{ṇṇ}^2 \quad \text{ṇṇ}^5 \quad \text{ṇṇ}^4$
 count star NEG can
 'The number of stars cannot be counted.'

Writing of example (166), he said that:

"Such way of writing syntactical sentence may lead others to think and practise corrupt sentence. Our aim and objectives should be to teach the learners the correct way." (Ainya Khang Gohain, pers. comm.)

Regardless of how we view Ainya Khang's aims and objectives, he was one of the most linguistically aware members of the Phake community, and his strong perception that SVO is the basic word order of the Phake language, and indeed of all the Tai varieties in Assam, must be given serious attention.

In this thesis, it is posited that there is an underlying constituent order in Tai Aiton and Tai Phake, which is presented in (168):

- 168) (Iso) (Non-core) (NP) V (NP) (NP) (Non-core) (Iso.),

In (168), *Iso* stands for an isolative phrase (see above 8.3.5), *Non-core* for the locational or temporal phrases (see above 8.3.4) and *NP* for the noun phrases or in some cases core prepositional phrases (see above 8.3.3). The grammatical roles of the noun phrases in (168) has already been set out in (155) above.

The frame in (168) is exemplified in (169), where all three noun phrases are present, although none of the non-core or isolative phrases are:

- 169) $\text{ṇṇ}^2 \quad \text{ṇṇ}^1 \quad \text{ṇṇ}^1 \quad \text{ṇṇ}^1 \quad \text{ṇṇ}^3 \quad \text{ṇṇ}^1 \quad \text{ṇṇ}^3$
 1Sg will go send rice spirit ancestor spirit
 'I am going to bring rice to the ancestor spirit.'

(Phake Story, *Chaw Mahosatha meets Nang Pingya*, No. (18), told by Ee Nyan Khet)

Examples of all three noun phrases spelled out, none being in prepositional phrases, are rare. On the other hand, two participant events in which neither noun phrase is marked in a prepositional phrase occur frequently in the corpus, as example (170):

- 170) $\text{ṇṇ}^3 \text{ṇṇ}^2 \quad \text{ṇṇ}^1 \quad \text{ṇṇ}^3 \quad \text{ṇṇ}^1 \quad \text{ṇṇ}^1 \quad \text{ṇṇ}^2$
 Aiton 3Pl catch tiger alive COME
 'The Aitons caught a live tiger.'

(Aiton text, *History of the Aiton*, No. (79) told by Nang Wimala Thoumoung)

Non core elements such as locational phrases can be placed outside this core, at either end of the utterance. Examples (171) are two different versions of the same sentence, with the non-core locational phrase *tii² nan³* 'there' in both positions :

- 171.1) တီ ဘာ် တိပ် ကိ ယိုင် ခွဲ ကိဘ မှ ။
 tii² nan³ tep¹ kai¹ sen¹ noi¹ kin² maa²
 place that cut chicken diamond PRT eat COME

- 171.2) တီပိတ် မှ ကိ ယိုင် ခွဲ ကိဘ မှ တီ ဘာ် ။
 tep¹ maa² kai¹ sen¹ noi¹ kin² maa² tii² nan³
 cut COME chicken diamond PRT eat COME place that
 'At that place, (he) killed the diamond chicken and it was eaten.'
 (Aiton text, *History of the Aiton*, Nos. (43) and (43.1), told by Nang Wiməla Thoumoung)

Commonly, however, one of more participants, expressed either as a noun phrase, a classifier phrase or a prepositional phrase, is topicalised as in (172), where the subject noun phrase is in front of the temporal phrase.

- 172) ကိုဝ် ငုတ် ခိ ထိုင် ခွဲ ကိတ် တာ် မိုက် တာ် လာ
 ə² ŋo² nai⁴ thūŋ⁶ nai² cet¹ wan² mūn² ta¹ phe⁵
 YES cow this reach in seven day 3Sg WILL bear young
 'Yes, this cow of mine will bear young in seven days.'
 (Phake Story, *Chaw Mahosatha and the raising of cattle*, No. (11), told by Ee Nyan Khet)

Example (172) was spoken immediately after (173), a sentence in which the canonical order proposed in (168) was observed. Since in the canonical structure, the subject occurs before the verb, subject topicalisation might only be apparent when there is a non-core element, in this case a temporal phrase, present.

- 173) ခွဲ ကိတ် တာ် ခိ လာ ငုတ် ကို ခိ
 nai² cet¹ wan² nai⁴ ne⁴ ŋo² kau² nai⁴
 in seven day this DEF cow 1Sg this

တာ် လာ ငုတ် လုင် ငုတ် ထိုင် ငုတ် လုင် ။
 ta¹ phe⁵ ŋo² loŋ⁶ ŋo² thūk¹ ŋo² loŋ⁶
 WILL bear young cow big cow male cow big
 'Within these seven days my cow will bear large male young.'

(Phake Story, *Chaw Mahosatha and the raising of cattle*, No. (10), told by Ee Nyan Khet)

Topicalisation of the object is also frequently encountered, as in (174):

- 174) ပိက် ကိ ကာ် ခွဲ ကော တိပ် ခွဲ ခွဲ ကိုက်ကွာ် ။
 pik¹ kai¹ an² khau¹ kə³ tep¹ noi³ hau³ khum¹ kon¹
 wing chicken CLF 3Pl LINK cut PRT give again
 'So (she) cut the chicken wings off and gave it to her, as before.'
 (Aiton Story, *The story of the forest spirit and the first daughter*, No (62), told by Sa Cham Thoumoung)

In (174), the subject is not stated and the object is topicalised. This might suggest that object fronting is meeting the principle in (146.5) above. However in (175), the subject is stated and even so the object is topicalised.

- 175) ဇေ မာ် ဂျ လုက် လာ ကို တာ် ကို ယွင် တုတ် ။
 me² mūn² wā⁵ luk⁴ nai⁴ kau² ta¹ au² sauŋ⁶ to²
 wife 3sg say child that 1Sg will take two CLF
 'The wife said: 'I'll take two of the children.'
 (Phake Story, *Story of dogs dividing up their children*, No. (7), told by Sam Thun Wingkyen)

Examples (175) was one of those sentences which Aimeya Khang insisted should be altered so that SVO order be maintained, as in (176).

- 176) ဇေ မာ် ဂျ ကို တာ် ကို လုက် လာ ယွင် တုတ် ။
 me² mūn² wā⁵ kau² ta¹ au² luk⁴ nai⁴ sauŋ⁶ to²
 wife 3sg say 1Sg will take child that two CLF

Core participants can also occur at the end of a sentence, as in (177).

- 177) မှ တူ ကာ် ယိုင် ။
 ma¹ tū¹ kan² sen⁶
 NEG same RECIP sound
 'Their sound is not the same.'

(Phake sentence, spoken by Ee Nyan Khet)

Audio Link for this sentence (\wave files\extracts\1-10-2-9\seng.mp3)

In some particular circumstances, the subject can be postposed while the object is topicalised as in (178):

- 178) လိက် စာ ကိုလ ခတ်လ ကျ ယျာဉ်
lik⁴ tem³ ka⁴ phat¹ pe⁴ ka¹ sā¹ hāp¹
book write LINK read can GO Sahib
'He can read the books when they are written, the Sahib.'

(Tai Phake Song, *Poem in the khe² khyāṅ² style: How Stephen Morey came to the Tai Phakes*, No (90.1), read by Ai Che Let Hailung)

Example (178) was spoken in explanation of (179), in which the object was topicalised:

- 179) လိက် စာ နီကွံ ခတ်လ ဝိက်
lik⁴ tem³ cim¹ com¹ phat¹ po² pen²
book write here and there read enough be
'He can read the books a little.'

(Tai Phake Song, *Poem in the khe² khyāṅ² style: How Stephen Morey came to the Tai Phakes*, No (90), read by Ai Che Let Hailung)

Audio Link for this sentence example.

Audio Link for the *Poem in the khe² khyāṅ² style: How Stephen Morey came to the Tai Phakes*.

It appears that in (178), Ai Che Let is restating (179) in more everyday language, and then adding a resumptive subject. Thus on the surface the constituent order OSV appears, but this is a highly marked situation which cannot arise except in circumstances similar to those obtaining here. The roles of the various noun phrases are disambiguated by the context, and also semantically: obviously it cannot be the books that are doing the reading.

Constituent order variation is even wider where there are three core participants.

Example (180) shows SOVI order, (181) SOIV and (182) OSIV. In each of these three sentences, topicalisation of one of the noun phrases has occurred, and at either the direct object or the indirect object or both has been moved to the position in front of the verb. This is argued to be still within the verb phrase, as discussed above in 8.4.1.2.

All of the noun phrases that are not in the canonical positions are marked either by demonstratives such as *nai⁴*, or the definite particles *ne⁴*.

- 180) မိတ် ဘက် ပူ လာ ခိုက် မာ် လာ
ma⁴ nan⁴ pu¹ ne⁴ na⁴ min² ne⁴
time that old man DEF meat his DEF
'Then the old man gave his meat to those two brothers.'

မိင် ခွံ ကျ ခင် ဟုံ ဝိ ဟုံ လေ
maing¹ hau³ ka¹ han² khu⁶ pi⁵ nau⁴ se⁶
dist. GIVE GO to 3Sg elder younger PRT
'Then the old man gave his meat to those two brothers.'

(Phake Story, *The two brothers*, No. (14), told by Ee Nyan Khet)

- 181) ကွံ ပပ်လိက် ခိ ခင် ဟုံ လာ ခွံ ကျ
kau² pāp⁴ lik⁴ nai⁴ han² pa¹ sau⁶ ne⁴ hau³ ka¹
1sg book this to girl PRT give GO
'I gave this book to the girl.'

(Phake sentence elicited from Aithown Che Chakap)

- 182) လိုက် ယွက် တင် ခိ ခိ ခင် ခိုက် ခိုက် ခိုက် ခိုက်
phün⁶ son⁶ tāj² nī² nai⁴ hau² tūṅ¹ hūn¹ tūṅ¹ com² sī⁶
CLF teach way good this 1Pl all glad all glad PRT
'We gladly present this book Teaching in a good way to you, Mr Stephen Morey.'

ကျ မွံ ကွံ ယုတ်လိက် မုတ်လေ ဟ် ယု ခွံ မျ ယု
cā⁵ mai² cau³ sa¹ tī¹ fen⁴ mo¹ le⁴ pan² sū¹ hau³ mā² yau⁴
to 2Sg RESP Stephen Morey give PRT give COME FINISHED
'We gladly present this book Teaching in a good way to you, Mr Stephen Morey.'

(Phake sentence in personal letter from Namphakey village, April 2001)

In each of these three sentences, the semantic roles of each of the three noun phrases is clear both from the context, the syntax and semantics of the verb. The semantics ensures that the meat in (180) and the book in both (181) and (182) are clearly understood to be the theme of their respective sentences. Syntactically, in each of these sentences, the beneficiary/recipient is marked in a prepositional phrase. Context, at least in (180) and (182) will also make it clear who is transferring what to whom.

What then is the motivation for this considerable variation in constituent order? It has been suggested that these languages are moving towards verb final constituent order through the influence of the strongly verb final languages that surround them, namely Assamese and the languages of the Tibeto-Burman family. Alternatively the motivation may be largely discourse related, with items being topicalised for reasons of special emphasis as is the case in example (151) above. Furthermore, as will be argued in 8.5.7.5 below, there is an increasing tendency for the verb to be attached to TAM markers. Since the TAM markers were historically utterance final, there may be an additional motivation for verb final structures.

However, the evidence presented in this section makes it clear that we cannot yet conclude that the Tai languages are synchronically verb final; the underlying order posited in (168) above remains the closest we can come to basic structure for these languages.

We can represent the entire frame as in (183), subject to all of principles in (146) above.

- 183) Sentence → (Iso P) (Non-core P) core (Non-core P) (Iso P)
 Core → S [(TAM₁) V (TAM₂)] O I (TAM₃)

8.4.3.1 Differences in constituent order between Tai Aiton and Tai Phake

There are a number of quite consistent differences in constituent order between Aiton and Phake. In a discussion with Nabin Shyam (Aiton) and Ee Nyan Khet (Phake), two particular constructions were identified as being different between the two varieties.

The first of these is the completive verb construction with *pe³/pe⁴* 'can', already exemplified above in examples (46) and (47) in section 8.2.3.3. In Aiton, the structure of a negative sentence with this completive is as in (184), in which the completive verb occurs before the main verb. The Phake structure is presented in (185), where the completive follows the main verb.

- 184) ကိုဇ် လ ပိုဒ်
 uŋ¹ pe³ kin²
 NEG can eat
 '(I) cannot eat (it).'
 (Aiton sentence spoken by Nabin Shyam)

- 185) ကိုဇ် မှ လ
 kin² ma¹ pe⁴
 eat NEG can
 '(I) cannot eat (it).'
 (Phake sentence spoken by Ee Nyan Khet)

A second difference between the two comes with the negation of verb compounds. Aiton compound verbs are generally negated without splitting the compound, as in (186), whereas, as discussed above in 8.2.3.1.2, in Phake the compound verb splits when negated, as in (187):

- 186) ကိုဇ် ကိုဇ်
 uŋ¹ kin² khau³
 NEG die
 '(I) haven't/won't eat.'
 (Aiton sentence spoken by Nabin Shyam)

- 187) ကိုဇ် မှ ကိုဇ်
 khau³ ma¹ kin⁶
 rice NEG eat.NEG
 '(I) haven't/won't eat.'
 (Phake sentence spoken by Ee Nyan Khet)

8.4.4 A note on syntactic packaging

Many of the issues covered in the above discussions on constituent order have touched on issues of the way in which information is presented in a clause. Foley and Van Valin (1985:282) called this information packaging and discussed issues such as passivisation, topicalisation and left dislocation.

In the Tai languages, there is no passivisation in the sense that English *The dog was chased by the boy* is a passive sentence, because there is no 'by phrase' and there is no passive morphology. In the Tai languages, following the principles introduced in (146) above, if there is a desire to foreground one of the participants, it can be shifted to the front. If there is a desire to background one of the participants, it can be omitted. Thus we can translate (174) above as *The chicken wings were cut off and given to her as before*. The fronting of the patient argument is thus expressed in English as best as English can.

8.5 Predications

In this section on predications, three types of sentences in which the verbal constituent is sometimes dropped, copula (see 8.5.1), existential sentences (see 8.5.2) and definitional sentences (see 8.5.3) will be examined first. Following that, three types of sentences in which verbs are obligatory will be examined: one participant events or sentences with intransitive verbs (see 8.5.4), two participant events or sentences with transitive verbs (see 8.5.5), and three participant events or sentences with ditransitive verbs (see 8.5.6).

The verbal constituent or verbal complex is made up of, minimally, a verb. However other elements can be included in a verbal complex. The constituents of this verbal complex, in the order in which they appear, are as follows:

- Modal verbs,
- TAM markers that precede the main verb (TAM₁)
- The main verb
- TAM markers that immediately follow the main verb (TAM₂)
- Completive verbs that may be separated from the main verb and placed at the end of the core sentence, and
- TAM markers that may be separated from the main verb and placed at the end of the core sentence (TAM₃).

TAM markers and their syntax are discussed in detail in 8.5.7 and completive verbs in 8.5.9. There may be more than one main verb in a sentence. This verb serialisation is discussed in 8.5.8.

8.5.1 Copula

Copula sentences in Tai are expressed by two nominal constituents, either with or without the copula verb *pin²/pen²*. Dixon (2002) categorised the criteria for recognising a verb as a copula as follows:

- It can have two core arguments
- It has a relational rather than referential meaning
- It is used for, at least, the relations of identity/equation/naming and or attribution (2002:4)

Sentences of identity and equation are frequently expressed with the copula verb. In example (188), the two nominal constituents, the first argument *pa¹ ruk¹ nai³* 'tomorrow' (called the copula subject by Dixon) and the second, a classifier phrase *cit¹ wan²* 'seven days' (called the copula complement by Dixon) are linked with *pin²*.

- 188) တုၤန့ၣ် နိ တၢ် ဝိၣ် ဖိၣ် ဝၢ် ဝိ ဝိ ဒိၤတၢ် ။
 pa¹ ruk¹ nai³ ta¹ pin² cit¹ wan² ti¹ taai² dii² ၁¹
 tomorrow this will be 7 day WILL die PRT
 'Tomorrow it will be the seventh day, and we will die.'
 (Aiton Story, *The twelve questions*, No (62), told by Sa Cham Thaumoung)

Frequently, however, the copula verb is omitted, as in (189):

- 189) မေ ထွံ ဘၣ် လုၢ် လၢ် ...
 me² thau³ nan³ kun² phaen¹ ...
 mother old that person poor ...
 'That old woman was a poor person...'
 (Aiton Story, *Story of the old woman*, No. (2), told by Nabin Shyam).

In copula sentences, generally the first noun phrase or copula subject is marked by a demonstrative, such as *nan*³ 'that' in (189), or by some other particle. However, if both noun phrases have the same head noun, as in (190), this marking is not necessary.

- 190) ငလၢၣ် ဗၢၣ် နွံ ဗၢၣ် ထိုၣ်
 ce² nai³ baan³ hau² baan³ thum¹
 now village 1Pl village forest
 'Now our village is a forest village.'

(Aiton text, *History of Barhula*, No. (36) told by Ong Thun

In (190), the two noun phrases which are being equated are both headed by *baan*³ 'village'. The marking of a copula subject by a demonstrative or other particle is also unnecessary if the head nouns of both the copula subject and copula complement are from a similar class of words as in (191), where two kinship terms, *lo*² 'sister in law' and *pr*⁵ 'elder', are linked.

- 191) နွံ လၢၣ် နီၣ်ကံၣ်ကံၣ် နွံ ပီ နွံ ကံၣ်
 ၁² lo² ၇¹ kin¹ kham² khau⁶ pr⁵ sāu⁶ kau²
 Yes sister-in-law Ee King Kham 3Pl elder female 1Sg
 'Yes, Ee King Kham's sister in law is my elder sister.'

(Phake Text, *Story of her youth*, No. (31), told by Ee Nyan Khet)

An alternative way of marking the copula relationship between two noun phrases that do not have the same or similar noun as their head is to use a pause, as in (192):

- 192) နုၣ်တိၣ်ကံၣ် နုၣ် ပွၢ်တိၣ်ကံၣ် လၢၣ်
 sa¹ ti¹ phen¹ (0.33) kon² pōn¹ eit¹ loŋ⁶
 Stephen person pandit big
 'Stephen is a great pandit.'

(Tai Phake Song, *Poem in the khe² khyāŋ² style: How Stephen Morey came to the Tai Phakes*, No (7.1), read by Ai Che Let Hailung)

The third relation identified by Dixon (2002), that of naming, is also expressed with a copula sentence, as in (193), but in this case the copula verb *pin*²/*pen*² is never used.

- 193) နီၣ်ကံၣ်ကံၣ် နွံ နီၣ်ကံၣ်ကံၣ်
 cū⁵ kau² ai³ saŋ⁶
 name 1Sg Ai Seng
 'My name is Ai Seng.'
 (Phake sentence)

Here it is argued that *cū*⁵ *kau*² is the first argument or copula subject and *ai*³ *saŋ*⁶ is the second argument or copula complement. As noted above in example (3) in 3.2.4 above, there is some evidence for both arguments having being headed by *cū*⁵ in an earlier phase of Aiton. Interestingly, in Standard Thai, the cognate of *cū*⁵ heads the second argument (copula complement) and can be interpreted as both a noun and a verb.

In the Tai languages there is a second way of naming, using the verb *waa*²/*wā*⁵ 'speak'. This is discussed below in 8.5.3.

Copula sentences, with or without the verb *pin*²/*pen*², can have the meaning 'become' as well as 'be', as in (194):

- 194) နီၣ်ကံၣ်ကံၣ် ကံၣ်ကံၣ်ကံၣ် နီၣ်ကံၣ်ကံၣ်
 cau³ phaa³ ko¹ laaŋ³ ai³ cau³ phaa³ aai³ ton³
 king Ko Lang PRT king Aiton
 'The King of Ko Lang became the King of the Aitons.'

(Aiton text, *History of the Aiton*, No. (55) told by Nang Wimala Thaumoung)

In some texts, copula sentences can have possessive meaning, as in example (195), which is a verbless copula sentence, or example (196), with the verb *pin*²/*pen*².

- 195) နီၣ်ကံၣ်ကံၣ် နီၣ်ကံၣ်ကံၣ် နီၣ်ကံၣ်ကံၣ်
 hən² loŋ⁶ sāŋ⁶ pāk¹ hauŋ³
 house big 3 hundred rooms
 'The house is as big as three hundred rooms.'

(Tai Phake *Riddles*, No. (4), read by Ee Nyan Khet)

- 196) မဲ ခဲ ကုင် ခိုတ် နှံ ခိုတ် ပိတ် ဂူ ခိုတ် ။
 mai⁴ nai⁴ koŋ² wət⁴ nāu² mün² pen² hū² wət⁴
 tree this hollow PRT in 3Sg be hole PRT
 'That tree had a hollow in it.'
 (Phake Story, *Story of the foolish king*, No. (61), told by Ee Nyan Khet)

As mentioned above, the only circumstance in which the copula verb is used with adjectives is when the adjective relates to a state of health, as in (197):

- 197) ကွံ ပိတ် ခဲ ပိတ် နှံ
 kau² pen² khai³ pen² nāu⁶
 1Sg be fever be cold
 'I have a fever.'
 (Phake Sentence)

The word *khai*³ 'fever' is glossed by Banchob (1987) as 'to be ill', indicating that she regarded it as an adjective. The word *nāu*⁶ 'cold' is clearly an adjective when used in a phrase like *nāu*⁶ *loŋ*⁶ 'very cold' to refer to the weather⁶⁷. One could not refer to the weather with a phrase like (198):

- 198) * ပိတ် နှံ လှံ
 pen² nāu⁶ loŋ⁶
 be cold big

However when *nāu*⁶ refers to illness it can be used in combination with the copula verb as in (197) above. Another word referring to illness is *wat*¹ 'have a cold', which can also be combined with the copula verb. This is used in combination with *khai*³ 'fever' in (199):

- 199) ခဲ ဝတ် ခဲ ကိ နှံ ဟ် နှံ မူ
 khai³ wat¹ khai³ ai² ho⁶ pan¹ ho⁶ mū²
 fever cold fever cough head giddy head dizzy

⁶⁷ It can get very cold in Assam in December and January, particularly at night.

- ပိ နှံ ခဲ ခဲ ခဲ ခဲ
 pi¹ hau³ nai³ mī² nai³ yaŋ²
 don't GIVE get have get have
 'May (you) not have colds and coughs, giddiness or dizziness.'
 (Phake Blessing *Blessing*, No (16), intoned by Nang Pe)

The phrase *khai*³ *wat*¹, literally 'fever-cold' appears to be interchangeable with *pen*² *wat*¹ 'be-cold'.

8.5.2 Existential sentences and possession

Existential sentences are a special kind of one participant event with the verb *yaŋ*² 'have'. In existential sentences, because there is only one other constituent, the verb is usually in final position. Example (200) contains two existential sentences in apposition:

- 200) ကုတ် ခဲ နှံ မူ ခဲ
 kon² yaŋ² hən² ma¹ yaŋ⁶
 person have house NEG have.NEG
 'There are people, but there are no houses.'
 (Phake Story, *Chaw Mahosatha meets Nang Pingya*, No. (26), told by Ee Nyan Khet)

In example (201), the translation is 'He had one daughter', but syntactically there is only one argument of the verb, a noun phrase *luk*⁴ *sāu*⁶ *mün*² *ka*⁴ *nūŋ*⁵ 'his one daughter', but the modifying classifier phrase has been postposed.

- 201) က လုတ် နှံ ခိုတ် ခဲ ကျ ခိုတ်
 ne⁴ luk⁴ sāu⁶ mün² yaŋ² ka⁴ nūŋ⁵ wət⁴
 DEF child female 3Sg have CLF one PRT
 'He had one daughter.'
 (Phake Story, *Story of the foolish king*, No. (15), told by Ee Nyan Khet)

Sometimes an existential sentence is verbless, as in the first line of (202), which has the same syntax as a verbless copula sentence, namely a first noun phrase *pha*¹ *ta*¹ *wii*¹ *hau*² *nai*³ 'this earth of ours' and a second noun phrase *sii*¹ *cun*² 'four parts'.

- 202) $\text{pha}^1 \text{ ta}^1 \text{ wii}^1 \text{ hau}^2 \text{ nai}^3 \text{ sii}^1 \text{ cum}^2$
 earth 1Pl this four part

$\text{sii}^1 \text{ cum}^2 \text{ nai}^3 \text{ u}^1 \text{ tag}^1 \text{ ku}^1 \text{ ru}^1 \text{ nai}^3$
 four part this Utangkuru this

'This earth of ours has four parts, and one of those parts is Utangkuru.'

(Aiton text, *Why Buddha was born in this world*, No. (2), told by Sa Cham Thoumoung.)

In both the first line of (202), which is a verbless existential sentence, and the second line of (202), which is a verbless copula sentence, the first noun phrase (copula subject) is marked by a demonstrative.

There are a few examples where yan^2 appears to have two arguments, as in (203).

This construction indicates possession:

- 203) $\text{puu}^1 \text{ sin}^1 \text{ phaa}^4 \text{ nan}^1 \text{ kau}^1 \text{ yaa}^5 \text{ ne}^4 \text{ yan}^2 \text{ sa}^1 \text{ ne}^4$
 grandfather Singpha in addition grandmother DEF have PRT

$\text{me}^2 \text{ mun}^2 \text{ sa}^1 \text{ ne}^4$
 wife 3Sg PRT

'In addition Grandfather Singpha had Grandmother (as) his wife.'

(Tai Khamyang Manuscript, *The book of calling back the Khon*, No. (5.1), read by Sa Myat Chowlik)

The core existential sentence of (203) is as analysed in (204), and is then followed by a postposed NP, $\text{me}^2 \text{ mun}^2$ 'his wife', which confirms this reading.

- 204) 1st participant (possessor) LINK 2nd participant (possessee) V
 $\text{puu}^1 \text{ sin}^1 \text{ phaa}^4 \text{ nan}^1 \text{ kau}^1 \text{ yaa}^5 \text{ ne}^4 \text{ yan}^2$
 grandfather Singpha in addition this grandmother have

Sentences like (203) are rare. Generally possession is expressed by a construction such as (205), which is literally 'her one child there was'.

- 205) $\text{luk}^3 \text{ man}^2 \text{ ka}^3 \text{ lun}^2 \text{ yan}^2 \text{ (0'5) luk}^3 \text{ nan}^3 \text{ phu}^3 \text{ yin}^2$ 〓
 child 3Sg CLF one have child that person girl
 'She had a single child, a daughter.'

(Aiton Story, *Story of the old woman*, No. (4), told by Navin Shyam).

Where the item being possessed is an alienable item, such as money, the construction in (206) is possible.

- 206) $\text{ti}^5 \text{ mun}^2 \text{ sam}^6 \text{ sip}^1 \text{ tra}^2 \text{ yan}^2$ 〓
 at 3Sg thirty rupee have
 'He/she has 30 rupees.'
 (Phake sentence elicited from Aithown Che Chakap).

Aithown Che Chakap indicated that any possessive sentences using ti^5 as in (206) would be ungrammatical or at least strongly dispreferred if the possessee was something inalienable like a relative, spouse or body part. The preferred syntax of possessive sentences is summarised in (207)

- 207) Alienable possession → tii^2/ti^5 POSSESSOR POSSESSEE yan^2
 Inalienable possession → POSSESSEE POSSESSOR yan^2

8.5.3 Definitional sentences

In example (193) above, we saw that the relation of naming persons was achieved in the Tai languages by use of a verbless copula, where the copula subject was headed by the noun cu^2/cu^5 'name'. An alternative way of naming people is to use the verb waa^2/wa^5 'say', as in (208):

- 208) တော့လီၤကော့ မိၣ် ဘာ် ဟံၣ် မိၣ် ကိၣ် ၁ ဂ္ၤ ။
 to¹ sii¹ nai³ ko³ mu² nan³ caa² mu² aai³ maa² pai² waa²
 in spite of this time that to 3Sg Ai Mau go say
 'However, at that time he was called Ai Mau.'

(Aiton text, *History of the Aiton*, No. (15.5) told by Nang Wimala Thoumoung)

In (208) the entity to be named is *myⁿ2* '3Sg' and is marked in a prepositional phrase.

The name to be given is *aai³ maa²*. A similar structure is used when things are named, as in example (209), where *pək¹ khau³* 'the skin of rice' is the entity to be named and *kyep⁴* 'chaff' is the name given.

- 209.1) ပိုၣ် ဟံၣ် မိၣ် ကျဲၣ် မုၣ် ဂ္ၤ
 pək¹ khau³ mün² (...) kyep⁴ mun⁵ wā⁵
 skin rice 3Sg chaff dust say
 'It is the skin of rice, we call it chaff dust'

- 209.2) ဟံၣ် ပိုၣ် ဟံၣ် ကျဲၣ် မုၣ် ဂ္ၤ
 han² pək¹ khau³ ne⁴ wā⁵ kyep⁴
 to skin rice PRT say chaff
 'The skin of rice is called 'Chaff'.
 (Phake sentence, uttered by Ee Nyan Khet)

In (209.1) there was a noticeable pause⁶⁸ between the words *mün²* and *kyep⁴*. This is necessary to separate the two noun phrases *pək¹ khau³ mün²* and *kyep⁴ mun⁵*, as there is no prepositional marking. It was not necessary to have a pause in (209.2), however, because one of these noun phrases, in the slightly reduced form of *pək¹ khau³* 'skin of rice', the entity to be named, is marked in a prepositional phrase. The name to be given to this entity then follows the verb.

When the entity to be named, the 'known' or reference object, is marked in a prepositional phrase, it is marked with *han²* or *caa²/cā⁵*, in the same way as the marking of non-agent animate participants in core prepositional phrases (see above 8.3.3). This is the only circumstance in which inanimate noun phrases can be marked with these prepositions.

⁶⁸ Unfortunately the length of the pause is not known. This sentence was not recorded; it was spoken during the process of translating a story.

Sometimes, in definitional sentences, the verb is omitted altogether, as in (210):

- 210) ကိုၣ် ဟံၣ် ကျဲၣ် မုၣ် ဂ္ၤ
 ə² nān¹ ne⁴ hūn² piŋ¹ nā¹
 YES knowledge DEF to knowledge
 'Yes, *nān²* means knowledge.'

(Tai Phake Song, *Poem in the khe² khyāŋ² style: How Stephen Morey came to the Tai Phakes*, No (10.3), read by Ai Che Let Hailung)

That *piŋ¹ nā¹* is the entity to be named or reference object is clear from its being in the prepositional phrase. The name it is to be given is *nān²* 'knowledge'.

8.5.4 One participant events

Predications with one participant can have as the head of the verbal complex either an intransitive verb, as in example (211) or an adjective, as in example (212):

- 211) ကိုၣ် ကျဲၣ် မုၣ် ဂ္ၤ
 hə² ne⁴ ko⁴ khom³
 boat this LINK capsize
 'The boat capsized'

(Phake Story, *The Dolphin, the Crow and the Mosquito*, No (29), told by Aithown Che Chakap)

- 212) ပုၣ် ကျဲၣ် မုၣ် ဂ္ၤ
 pa¹ sāu⁶ ne⁴ so¹ pheu⁶
 girl DEF beautiful excessive
 'That girl was very beautiful.'

(Phake Story, *Story of the foolish king*, No. (19), told by Ee Nyan Khet)

Sometimes a one participant event can have more than one predication, as in (213):

- 213) တၢ် ကျဲၣ် မုၣ် ဂ္ၤ
 taŋ¹ kaa¹ nam³ lik³ nam³ noi¹ haŋ³ cum² kaa¹ cam³ zau³
 all river small river small dry evaporate GO PRT FINISHED
 'All the small rivers have dried up and evaporated.'

(Aiton manuscript, *The creation of the world*, No. (8))

In example (213), the main predicate is the adjective *heŋ*³'dry'. The verb *cum*² is arguably a completive in this case, or alternatively this is a serial verb structure.

There are many sentences in the Tai languages in which only one participant is stated, but in which there are one or more understood. These are to be understood as two or three participant events, depending on the number of participants that are not expressed.

8.5.5 Two participant events

The prototypical two participant event has an agent and a patient, as in (214):

- 214) *hā*¹ *ne*⁴ *sə*⁶ *ne*⁴ *kāp*⁴ *tūŋ*¹ *wət*⁴ *haŋ*² *mū*⁶ *ne*⁴ ||
time DEF tiger DEF bite all PRT to pig DEF
'The tiger ate the pig up.'

(Phake Story, *Story of Deception*, No. (39), told by Ee Nyan Khet).

As discussed above in detail in 8.3.3.1, the patient, if animate, is often marked in a prepositional phrase headed by *haŋ*² or *caa*²/*cā*⁵, although this marking is not obligatory.

8.5.6 Three participant events

The most common way of expressing three participant events is with the second animate participant, the prototypical beneficiary, in a prepositional phrase, as exemplified above in 8.3.3.2. A ditransitive strategy, although rare, is attested and verb strings or verb serialisation can also be used. In the Tai languages, ditransitive sentences have the following structure:

- 215) NP V NP NP
S V O I
Agent Predicate Theme Beneficiary

If neither animate participant is marked in a prepositional phrase, then only the order in (215) appears to be permitted, as in example (169) in section 8.4 above. This type of sentence is quite rare in the Tai languages, but within a few lines, Ee Nyan Khet repeated this sentence with the beneficiary marked in a prepositional phrase, as in (216):

- 216) *kau*² *kā*¹ *soŋ*¹ *khau*³ *haŋ*² *phī*⁶ *nam*³ ||
ISg go send rice to spirit ancestor
'I am taking rice to the ancestor spirits.'

(Phake Story, *Chaw Mahosatha meets Nang Pingya*, No. (22), told by Ee Nyan Khet)

The story *Chaw Mahosatha meets Nang Pingya*, although told as an oral narrative, is based on a large Tai manuscript, itself based on a Pali text (See Morey 2001b). It may be that the syntax found in (215) is an archaic form, because the only other examples of this are also from manuscripts. Example (217) is taken from *The Creation of the World*, an Aiton manuscript several hundred years old, which is not yet fully translated.

- 217) *mə* *tok* *phuu*³ *luŋ*² *maa*² *suŋ*¹ *hau*³ *maak*¹ *khur*¹ *cau*³ *phaa*³ ||
?? person one come send give brinjal king
'A person came to give a brinjal to the king.'

(Aiton manuscript, *The creation of the world*,)

Unfortunately, some aspects of this sentence remain unclear, and need to be rechecked with native speakers.

Where the agent participant is not expressed, and yet the beneficiary is not marked in a prepositional phrase, it is arguable that the syntax is the same as (215) and (216) with the dropping of one noun phrase. (218) and (219) occur in the *Tai Aiton History*, a manuscript dating back at least 100 years (Morey 1999b). In the passages presented below, King Tai Pum is dividing his country between his heirs, Chaw Tai Lung and

Pa Miu Pum, who are therefore the beneficiaries. In these examples, neither beneficiary has been placed in a prepositional phrase, and both beneficiary NPs appear in front of the verb.

Only when the agent is not expressed is it possible for a beneficiary unmarked in a prepositional phrase to occur in front of the verb in a three participant event. The beneficiary role is then assigned to the first expressed animate participant. Thus the order IVO becomes possible, even although there is no preposition:

- 218) လွှတ်တော်လုပ် လုပ် ရှင် ကိုယ် ခိုင်း ယူရဲ ကွဲ ။
 cau³ tai² lun¹ cam³ hau³ kin² muu² yun² koi³
 Chau Tai Lung PRT give eat country Yun PRT
 'He gave Chau Tai Lung the country of Muang Yun to rule⁶⁹.'

- 219) ဇီ လုပ် လုပ်လုပ် ရှင် ကိုယ် မွတ်လုပ် လုပ် လုပ် ။
 nii² cam³ pa miu pum hau³ kin² mau² lun¹ put³ po²
 2nd PRT Pa Miu Pum⁷⁰ give eat Mau Lung inherit father
 'The second son, Pa Miu Pum was given Mau Lung to rule in place of his father.'
 (Aiton manuscript, *History from the time of the ancestor Chaw Tai Lung up until Sukapha*, Nos. (7) & (8))

Another strategy for expressing three places is to use a serial verb strategy. This is discussed in detail below in example (289) in section 8.5.9.

8.5.7 Tense, Aspect and Modality

8.5.7.1 Preliminary Theoretical Considerations

Tai languages are usually categorised as being 'tenseless'. Comrie (1985:9), defines tense as a "grammaticalised expression of location in time.", and adds that:

"Indeed, given that no restrictions are placed by the definition on what kind of location in time is to be considered, it is probable that most of the world's languages will turn out to have tense,..." (Comrie 1985:9)

⁶⁹ The phrase 'eat country' is a common euphemism for 'to rule a country'.

⁷⁰ The correct pronunciation of this King's name is no longer known. Tone marks have therefore not been notated

In discussing the difference between tense marking, a form of grammaticalisation, and lexicalisation, Comrie observes that "grammaticalisation refers to integration into the grammatical system of a language, while lexicalisation refers merely to integration into the lexicon of the language." (1985:10). Full grammaticalisation requires two criteria, obligatory expression and morphological boundedness. As he concludes: "The clearest instances of grammaticalisation satisfy both these criteria (they are obligatory and morphologically bound), the clearest instances of lexicalisation satisfy neither, while there will be many borderline cases which the criteria do not assign unequivocally to grammaticalisation or lexicalisation."

By this definition, for example, the morpheme *kaa'/kã'* (see below 8.4.7.5.1) is a borderline case, but it does often behave as a past tense marker.

Many of the languages of Southeast Asia are categorised as having aspect rather than tense. Comrie (1985:51) discusses Burmese in some detail, contrasting the realis particle *te/tha/ta/hta* with the irrealis particle *me/ma/hma*. In Comrie's discussion of Burmese particles, the realis can be seen as an indicator of non-future, as in (220.1), whereas the irrealis must be used in the future as in (220.2)

220.1) Saturday-every grass cut *te* '(he) cuts the grass every Saturday'

220.2) tomorrow begin-*me* '(we) will begin tomorrow'

The irrealis particle can be in present or past time "provided the reference is not restricted to our actual world", as in (221)

221) tamarind:fruit eat-ever-*me* think-*te* '(I) think (he) must have eaten tamarinds before'

Comrie concludes that"

"What Burmese shows us, then, is a language where time reference per se is not grammaticalised, i.e. there is no tense. It is, of course, possible for time

reference to be expressed in other ways (for instance lexically, by the used of adverbials like ... 'tomorrow' (1985:51)

The situation described for Burmese is not the same as the situation that will be described below in Tai (see 8.5.7.3). The morpheme *kaa'/kã'* cannot be regarded as an aspect marker, because it does not appear to "view the internal temporal constituency of a situation", the definition given aspect by Comrie (1976:3).

Foley makes the distinction between aspect and tense clear:

"Aspect says nothing about the relationship between the time of the event described by the predicate and the time of the speech act: ... Aspect delimits the predicate: is it extended or not? Tense delimits the whole sentence by situating it in time with regard to the present moment of speaking: does it precede, overlap with or follow the moment of speaking?" (1986:142)

By this definition, *kaa'/kã'* is not aspect, since in most cases its presence tells us simply that the sentence being uttered preceded the moment of speaking.

Diller (1992:24-25) very concisely discusses the marking of Aiton verbs for time. He discusses the marker *kaa'/kã'*, pointing out that it:

"occasionally occurs as a main verb in Aiton, but more often it ... occurs postverbally to indicate several time-related factors, most commonly past time or possibly perfective aspect... In this function, articulation sometimes approaches postclitic status." (1992:24)

8.5.7.2 Previous studies of the Tai languages

8.5.7.2.1 Needham

A traditional view of time marking in the Tai languages comes from Needham (1894), a grammar with several texts and a sixty page English to Khamti vocabulary. The remainder of this section is taken from Needham's discussion of the verbs of Khamti, by reference to the 'conjugation' of the Tai verb *kin* 'to eat' (1894:40-41).

CONJUGATIONAL EXAMPLE:

Root verb 𑜀𑜢𑜤𑜰𑜫 = (kin) to take food, or liquid, Assamese *khau*.

INDICATIVE MOOD

Present Tense

The bare root of the verb is used to form this tense.

Present Indefinite Tense

The present definite is formed by adding 𑜀 (u) to the root as:

Singular		Plural	
𑜀𑜢𑜤𑜰𑜫 𑜀	= I am eating 1 2 3 kau kin u 1 3 2	𑜀𑜢𑜤𑜰𑜫 𑜀	= We are eating 1 2 3 tâ kin u 1 3 2
𑜀𑜢𑜤𑜰𑜫 𑜀	= You are eating 1 2 3 maü kin u 1 3 2	𑜀𑜢𑜤𑜰𑜫 𑜀	= You are eating 1 2 3 sü kin u 1 3 2
𑜀𑜢𑜤𑜰𑜫 𑜀	= He is eating 1 2 3 man kin u 1 3 2	𑜀𑜢𑜤𑜰𑜫 𑜀	= They are eating 1 2 3 khau kin u 1 3 2

This tense may also be used by adding 𑜀 = si participial suffix to the root followed by 𑜀 (yang) ((sic.)), as:

𑜀𑜢𑜤𑜰𑜫 𑜀 𑜀	= He is eating (and has not yet risen) 1 2 3 khau kin si u' 1 3 2
-----------	--

Past Tense

'The past tense suffix is 𑜀 (kâ), though 𑜀 (mâ) is often used to mark it.'

Example from paradigm:

𑜀𑜢𑜤𑜰𑜫 𑜀	= I ate 1 2 kau kin kâ 1 3 2
---------	---------------------------------------

Examples showing the use of 𑜀 (mâ):

𑜀𑜢𑜤𑜰𑜫 𑜀 𑜀 𑜀 𑜀 𑜀	= He came near (to) me. 1 2 3 4 5 man mâ mâ tai khâng kau mai 1 2 3 5 4
-----------------	--

Perfect Tense

This tense is made by suffixing ယွ (yau), a particle denoting *completion*, to the past tense suffix, as -

ကွ ကိ ကာ ယွ	= I have eaten
1 2 3	
kau kin kâ yau	
1 3 2	

'ယွ (yau) may be and often is used *alone*, i.e., without ကာ (kâ), as:

ဆိတ် တာ ဆိတ် ယွ	Ten days ago
1 2 3	
sip wan thôn yau	
1 2 3	

Future Tense

This tense is made by prefixing the particle တိ (ti) to the root as:

ကွ တိ ကိ	= I will eat
1 2 3	
kau ti kin	
1 2 3	

'တိ (ti) may be termed the *indefinite* future prefix particle. The present tense suffix က (u) is often used to express *future* time as:

လူတ် ကွ မာ ကာ ကံ ကွ မိ နှိတ် ကာ ကွ	When my husband comes,
1 2 3 4	
phô kau mâ kâ cham kau mai het châ u	(he) (will) scold me
3 2 4 6 5	5 6

'ယွ (yau) the *completive* particle may be added and often is used in conjunction with the future tense prefix တိ (ti) as:

ကုတ် မာ ကာ တိ ကံ ကွ ယွ	His men also will (be) inconvenienced.
1 2 3 4 5	
kôn man kaw ti cham chaü yau	
2 1 3 4 5	

Other particles discussed and exemplified by Needham include:

- န (nam) the particle denoting *certainty* or *affirmation* (vide page 37 ante) is also used in conjunction with the future tense prefix တိ (ti)
- A *definite* future is made by suffixing ကတ် (kat) to the root
- *Physical power* or *capacity* is expressed by adding the word ဝိတ် = (pen) *able* to the root.
- Conditional sentences are constructed by means of participles. The *conditional* participial suffix is ကာ ယွ (kâ yê), ကာ ကံ (kâ cham) is also used as a *conditional* participial suffix
- The imperative suffix proper is တာ (tâ). It is only used for the second person singular and plural

- နှ (haü) = *permit, allow give*, is used as an auxiliary *causal* imperative, indicating authority
- The *prohibitive* particle is ပိ (pi) prefixed to the root verb.

8.5.7.2.2 Banchob

A different approach was followed by Banchob (1987). The following words are found in Banchob referring to the marking of time:

Phake word	Gloss	Reference in Banchob
လေ	sē ⁶ / sē ⁶	a word denoting past participle (1987:133)
တၢ, တၢက	ta ¹ , tak ¹	shall, will, must (1987:163)
နိမ်	nūm ¹	a final particle denoting the near future statement (1987:236)
ယ	ya ²	a final particle denoting the present continuous tense (1987:336)
ယွ	yau ⁴	finished, a word denoting the past tense (1987:347)
ကူ	u ¹	an emphatic word (1987:427)

Table 28: Words expressing tense and time from Banchob (1987)

Banchob exemplified these as follows:

se⁶/se⁶

- 222) မာ ကာ လေ ပွတ် မာ
 mün² kâ¹ sē⁶ pauk⁴ mā²
 3Sg go PRT return COME
 'Having gone (somewhere), he came back.'

ta¹

- 223) ကွ တာ ကာ မာ
 kau² ta¹ kâ¹ mān¹ mān¹
 1Sg WILL go surely
 'I must go definitely.'

*nūm*¹

- 224) kau² kin² nūm¹
 1Sg eat PRT
 'I am going to eat now.'

*ya*²

- 225) kau² het¹ ya²
 1Sg do PRT
 'I am doing'

*yau*⁴

- 226) het¹ yau⁴ kā¹
 do PRT PRT
 'It has been done.'

*ū*¹

- 227) kau² kin² ū¹
 1Sg eat PRT
 'I do eat it.'

8.5.7.2.3 Aimya Khang

The influence of Needham is very strong in present day Assam, probably because of the reprinting of key parts of Needham's analysis in Grierson (1904), with Grierson being the only widely available source on the languages. For example, the late Aimya Khang Gohain sent me the following: (pers. comn. 23/6/1999)

লীকু	sī ⁶ ū ¹	present continuous tense
কিঁলীকু	kin ² sī ⁶ ū ¹	is / has been eating
কালীকু	kā ¹ sī ⁶ ū ¹	is / has been going

Table 29: 'Present Continuous Tense' according to Aimya Khang Gohain

Aimya Khang Gohain also presented two further examples with translations into both English and Assamese, which are detailed in Table (30). The Assamese for the first example in Table (30) is described in Assamese grammars, such as Dasgupta (1993), as 'present perfect tense', whereas the second is 'past indefinite tense'.

কাকাকু	kā ² mā ² kā ¹	The aunt came (and still is).	পেহী আহিলে
কাকু	kā ² mā ² wai ⁴	The aunt came (but had left).	পেহী আহিছিল

Table 30: Two examples of past tense after Aimya Khang Gohain

In Table (30), the Assamese translations are not the same as the English gloss.

8.5.7.3 The present investigation

In this study, the TAM morphemes will be divided into groups according to their syntax. The first are those which precede the verb, of which there is only one, *tak*¹/*ta*¹/*ti*¹ 'WILL' (see 8.5.7.4.1). These will be referred to as TAM₁ markers.

Several TAM morphemes, *kaa*¹/*kā*¹ 'GO', *maa*²/*kā*² 'COME' and *wai*³/*wai*⁴ 'KEEP' can both immediately follow the verb, or be placed at the end of the core sentence. These are discussed in 8.5.7.5 and will be referred to as TAM₂ markers.

A number of morphemes occur only at the end of the core sentence. These are discussed in 8.5.7.6 and will be referred to as TAM₃ markers. A number of other morphemes with TAM functions are discussed in 8.5.7.7.

8.5.7.4 TAM morphemes which precede the verb (TAM₁)

8.5.7.4.1 *tak*¹ / *ta*¹ / *ti*¹:

Diller (1992:24) observed that *ta*¹ (which also occurs in the corpus as *ti*¹ and *tak*¹) "appears to cover many of the auxiliary functions of Central Thai *ca*: irrealis or future

marking, sometimes involving intention or volition ..., normally without accomplishment."

Comrie (1985:45), discussing the future tense in German pointed out that the present tense is usually used for future events, such as *Ich gehe morgen* 'I will go tomorrow', and the future construction, *ich werde gehen* 'I will go', would only be used 'where there would otherwise be a danger of misunderstanding in the direction of present time reference'. This has led linguists to posit a PAST~NON PAST dichotomy in such languages

In Tai, on the other hand, this does not appear to be so. All future events, except for some in the negative (see (231) below) are obligatorily marked with the morpheme *tak¹/ta¹/ti¹*.

The marker *tak¹/ta¹/ti¹* is glossed in this thesis as 'WILL'. In manuscripts it is usually written တက် (*tak¹*) but it is more often pronounced as *ta¹* or *ti¹*. In oral texts, where it has been pronounced *ta¹* or *ti¹*, I have usually written it as it is pronounced. The late Aimeya Khang (pers. comm.) criticised me for this, stating⁷¹ that:

"The pre-position word တက် (*tak¹*) in future tense should also be corrected and should be written in literary way as in old Puthis, as တက် (*tak¹*) and not တီ (*ti¹*) ... At most we may shorten to တက် (*ta¹ kã¹* 'WILL-go') not 'တီကျ', which may mean 'place to go' (*ti¹ kã¹*)"

The prototypical use of *tak¹/ta¹/ti¹* is to express future time, as in (228). It is very frequent in conversation and seems to be obligatory wherever future time is meant:

- 228) ပီ ဘဲ ယို မဲ ကိ ဝဲ တဲ ကူ
 pi² na³ su⁶ ma² ki¹ wa² ta¹ u¹
 year next if come how many day will stay
 "If you come next year, how long will you stay."

(Phake sentence, spoken by Yehom Buragohain)

Audio link for this sentence (wave files\aiton\extracts\2-9-2-1\87.mp3)

⁷¹ I have added phonemicisations and some glosses in parentheses for the sake of clarity.

Following Diller's characterisation of *tak¹/ta¹/ti¹* as being sometimes intentional or volitional, example (228) could be translated as 'How long do you intend to stay'. When reaching a village, the hosts will often ask *ki¹ wa² ta¹ u¹*, and this arguably implies intention or volition. Some examples of *tak¹/ta¹/ti¹*, on the other hand, do not involve intention, as the in (229):

- 229) ပုရ်ကု ဘိ တဲ ပိ ဟိတ် ဝဲ တိ တိ ဒိကျ ။
 pa¹ ruk¹ nai³ ta¹ pin² cit¹ wa² ta¹ tai² dii² ၁¹
 tomorrow this WILL be 7 days WILL die PRT
 'Tomorrow it will be the seventh day, and we will die.'
 (Aiton Story, *The twelve questions*, No (62), told by Sa Cham Thoumoung)

Diller (1992:24) indicated that *ta¹* was "normally without accomplishment". In example (229), there are two tokens of *ta¹*, the first of which is in the predication 'tomorrow will be the seventh day'. This is something which was accomplished, and of which the accomplishment was certain, for the sentence was spoken on the sixth day and the seventh day will certainly follow.

The second use of *ta¹* in (229), on the other hand, is in the predication 'we will die'. This was not accomplished in the story of *The twelve questions*, because those who spoke this question did in fact survive.

The marker *tak¹/ta¹/ti¹* can also be used with the negative, as in (230):

- 230) ဟုံ တဲ မဲ ယံ ဝဲ ဟို ဘိ
 hau² ta¹ ma¹ ya⁶ wa⁵ nu¹ nai⁴
 1PI WILL NEG wet.NEG say like this
 "We will not get wet.' He spoke like this."

(Phake Story, *The birth and early life of Chaw Mahosatha*, No. (29), told by Ee Nyan Khet)

However with negatives its use appears to be non-obligatory, as shown in (41), where the positive *nai*³ 'get' is preceded by *ta*¹, but the negative *nai*³ in the second clause of the sentence is not:

- 231) လုက်ယ ကူ တာ ခိ ဖ မ ခိ စွ ခိုင် ခိ ။
 luk⁴ ye⁴ t̃³ ta¹ nai³ me⁵ ma¹ nai³ wā⁵ nūn¹ nai⁴
 child PRT father WILL get mother NEG get say like this
 'As to this chick, the father will get it, the mother will not', he spoke like this.'

(Phake Story, *Story of the kum⁴ bird*, No. (62), told by Ee Nyan Khet)

The marker *tak*¹/*ta*¹/*ti*¹ is not confined to future meaning, and also expresses the English 'should' as in (232):

- 232) ယုတ် ကော ကျယ် တာ စွ English ယုတ်
 soi³ ε¹ ka³ san⁶ ta¹ wā⁵ English soi³
 jealous HESIT what will say English jealous
 'soi³ (jealous), what will/should we call soi³ in English?'

(Phake sentence, spoken by Yehom Buragohain)

Audio link for this sentence (wave files\aiton\extracts\2-4-2-2\20-4.mp3)

8.5.7.5 TAM morphemes which may either immediately follow the verb or be placed at the end of the core sentence (TAM₂)

As indicated above in example (183), section 8.4.3, some TAM markers can immediately follow the verb, preceding the object noun phrase or any other non core phrase that follows the subject.

In other Tai languages, where the object is expressed, the TAM morphology will be separated from the verb and be placed utterance final, as in (233):

- 233) ฉัน ซื้อ ข้าว มาแล้ว
 chán suí: khâ:w ma: lé:w
 I buy rice COME-ALREADY
 S V_T O (-V_T)

(Standard Thai sentence, after Vichin Panupong 1970:20)

This is called a discontinuous constituent by Vichin Panupong. She marked this constituent V_T ... (-V_T), showing that there was a transitive verb (V_T) complex, interrupted by the object. Vichin regards the structure in (233) as a special case of the structure S V_T O, because it would be possible to antepose the object, thus making the discontinuous constituent "continuous" (1970:20).

We can typify the pattern of (233) as in (234):

- 234) S V O TAM marker

In (234), either TAM₂ or TAM₃ markers can occupy the TAM position. If the Object is moved to the preverbal position, as in (235), or is topicalised as in (236), or is elided for any reason, as in (237), then the verb will end up adjacent to the TAM marker.

- 235) S (O) V TAM marker

- 236) (O) S V TAM marker

- 237) S V TAM marker

It appears that among the Tai languages of Assam, the proximity of TAM markers like *kaa*¹ to the verb or verbal complex is leading to a reanalysis of the position of this marker as being adjacent to the verb, as in (238):

- 238) မာန် ကျ ပန် ခွဲ မာန် ဝိ ကပ် ကျ ကွန် ခိုင် ။
 mən² aa³ paak¹ noi³ mən² pai² kap³ kaa¹ kon³ nu³
 3Sg open mouth PRT 3Sg go bite GO piece meat
 'He opened his mouth to grab that piece of meat.'

(Aiton Story, *Story of the Crow and the Fox*, No. (56), told by Ong Cham).

This sentence is of the pattern:

- 239) S V TAM₂ O

This process of reanalysing *kaa'/kã'* as a morpheme which is adjacent to the verb was first observed by Diller (1992:24) who wrote that "articulation sometimes approaches postclitic status." Since the reanalysis is not complete, and sentences with the pattern of (234) are still found, the morphemes discussed in this section are categorised as TAM morphemes which may either immediately follow the verb or be placed at the end of the core sentence, with the abbreviation TAM₂.

8.5.7.5.1 *kaa'/kã'*:

The TAM marker *kaa'/kã'*, a grammaticalisation of the verb 'to go', is very frequently used to mark past time, especially in narrative texts. Diller (1992:24) categorised it as indicating "several time-related factors, most commonly past time or possibly perfective aspect." In example (240) it marks simple past time, indicating that the action of the verb is 'gone'.

- 240) *caá² man² kɔ³ kun² sun¹ kun² mun² sɔt¹ phai² hau³ kaa¹*
 to 3Sg LINK person country person country burn fire GIVE GO
 'The countrymen burned his body.'

(Aiton text, *History of the Aiton*, No. (71) told by Nang Wimala Thaumoung)

Sometimes, in a text with more than one verb in the sentence, all of those verbs will be marked with *kaa'/kã'* as in (241):

- 241) *pai² kaa¹ pai² kaa¹ pai² uu¹ kaa¹ kɔ¹ mon¹ rai³*
 go GO go GO go stay GO cluster name of a tree
 'He went, and went, and went and rested at a cluster of Mon Rai.'

(Aiton Story, *Story of the monkey and the fox*, No. (15), told by Bidya Thaumoung).

In some texts it is marked both on the main verb and on the completive, as in (242), which is part of a story in which two brothers go out hunting. The first shoots and misses, but the second gets his prey:

- 242.1) *zur² kaa¹ ma¹ thuk¹ kaa¹*
 shoot GO NEG touch GO
 'He shot but didn't hit it.'

- 242.2) *zur² kaa¹ pai² thuk¹ kaa¹ nuk³ kuk³ an²*
 shoot GO go touch GO bird kuk³ CLF
 'He shot and hit the *kuk³* bird.'

(Aiton sentences spoken by Sa Cham Thaumoung)

Audio Links for these two sentences are found at \wave files\aiton\extracts \2-1-2-2\ a.mp3 and b.mp3.

When used with adjectives, the TAM marker *kaa'/kã'* suggests ingressive meaning, as in (243) (see also above example (52) in section 8.2.4).

- 243) *haŋ² hau² ʒaap¹ ʒaak³ kaa¹ ʒau³*
 to 1Pl difficult GO FINISHED
 'It became very difficult for us.'

(Aiton text, *History of Barhula*, No. (35) told by Ong Thun)

This ingressive meaning is similar to that observed with the perfective marker in combination with adjectives in Chinese (Comrie 1976:20). However the TAM₂ marker *kaa'/kã'* is not a perfective, which in these languages is *yau³/yau⁴* (see below 8.5.7.6.3).

Even though *kaa'/kã'* often appears as past tense marker, it is not bleached of its original meaning of 'go'. It is glossed as 'GO', to show the original meaning; capital letters are used to show that some grammaticalisation has occurred. The same morpheme *kaa'/kã'* can also be used as a full verb, or in a serial construction with other motion verbs (see example (288) in 8.5.8 below). An related imperative form *ka²* is also found (see below 8.6.4). Example (244) illustrates *kã'* in a serial construction and *ka²* as an imperative.

- 244) ငိုဝ် ငေ နိ ငေ နှိ တျ ဘွန် နှ
 pha¹ se⁶ nai³ ye⁴ kau² ta¹ non² hau³
 despite PRT get PRT 1PI WILL sleep GIVE

မူ ဝိန် ဟံ ကျ ကျ ။
 mau² wen⁶ khām² kā¹ ka³
 2Sg jump across go GO.IMP

'In spite of this quarrel, I will lie down and you jump over me.'

(Phake Story, *The story of the two goats*, No. (12), told by Yehom Buragohain)

8.5.7.5.2 maa²/mā²:

The TAM marker *maa²/mā²* is used to mark past time, just like *kaa¹/kā¹* above, but in the specific context of the action referring towards the speaker or place where the speaker is. For example, in (245), Lord Sikkya (Sakka) is in heaven looking down to the earth where the teller of the story is. In this circumstance, *maa²/mā²* is used.

- 245) လဲပိတ်နိ ဟွံ ဟိကျ ဘူ လိ မျ မိုင်ကင် ။
 lai³ pu² nai³ cau³ sik¹ kyaa² duu² lem² maa² muu² kaan²
 therefore RESP Sakka look look at COME the earth
 'And so Lord Sikkya looked (down) at the earth.'

(Aiton text, *History of the Aiton*, No. (6.1) told by Nang Wimala Thounmoung)

Since the full verb *maa²/mā²* 'come' is always indicating motion towards the speaker, it will usually be marked by the TAM marker *maa²/mā²* in situations of past time, such as in example (246):

- 246) လုက ဟဲလိဟွန် ဟိ လိင် မိာ မျ မျ
 luk⁴ thai¹ len¹ khī¹ lai⁶ men² mā² mā²
 from Thailand ride vehicle fly come COME
 'He came from Thailand by aeroplane.'

(Tai Phake Song, *Poem in the khe² khyā² style: How Stephen Morey came to the Tai Phakes*, No (26.1), read by Ai Che Let Hailung)

This usage of *maa²/mā²* is also found in historical manuscripts, such as (247), from the Phake chronicle. The TAM marker *maa²/mā²* is used here because the movement is both towards the present time, and, gradually, towards the place where the

manuscript was written, Assam. This chronicle tells of the movement of the Phake people towards Assam.

- 247) ကိန် ဟွံ ဟံ မူ မူ မူ မူ မူ
 kin² khau³ khāu⁶ māu² (lon⁶) sau² tīn² sāi¹ nam⁴
 eat rice white Mau (Lung) two feet wash

မူလုင် မူ ဟံ
 māu² lon⁶ mā² cam⁴
 Mau Lung come PRT

'He ate the white rice of Mau Lung and washed his feet in the Mau Lung river.'
 (from the Phake Chronicle)

A similar phenomenon is observed in Aiton, as shown in (248), where King Sukapha is making his way, gradually, to Assam. In the narrative at this point, he had battled with the Nagas, won the battle and taken their women to be wives for him and his men. This done, (*yau³ maa²*), sentence (248) is uttered.

- 248) ယွံ မျ မျ ထိုင် တီ ဘွဲ ပတ်ကိ ။
 zau³ maa² maa² thu¹ tii² doi² pat¹ kai³
 FINISHED COME come reach place mountain Pat Kai
 'That being done, (they) reached the Pat Kai mountains.'

(Aiton text, *History of the Aiton*, No. (42) told by Nang Wimala Thounmoung)

Sometimes, the TAM markers *kaa¹/kā¹* and *maa²/mā²* are interchangeable. Example (249) was the version in the manuscript of this text written out by Bidya Thounmoung. When Nang Wimala discussed this text with me, she indicated that in her version, *kaa¹* 'GO' was used in place of *maa²* 'COME' in the first line, but that this made no difference to the meaning.

- 249) ဘူ ဟံ မျ ဟွံလု ယွံ ကိ တင် ကျန် ယိုင်
 duu² han¹ maa² cau³ phaa³ zaau² ai³ tag¹ kun² suu¹
 look see COME king Yau PRT all person country

ကုန် မိုင် ကုလ်တင် ယုတိုင် နှံ ကင် နှံ ဝဲ ။
 kun² mun² kup¹ tan¹ suu² tən² hau² kaan³ hau³ laai¹
 person country with pray give wide give many
 'He saw that king Yau and all the people were praying for more people.'

(Aiton text, *History of the Aiton*, No. (7) told by Nang Wimala Thaumoung)

The context of sentence is that it immediately follows (245) above. The scope of the verb *han'* is therefore towards the speaker, and as a consequence *maa²/mā²* is appropriate. The fact that *kaa¹/kā¹* can also be used in this circumstance strongly suggested that *kaa¹/kā¹* is the more generalised marker and that it is bleached of its directionality. On the other hand, *maa²/mā²* is used only when the action of the verb is towards the speaker.

A very good example of the difference between *kaa¹/kā¹* and *maa²/mā²* is (250), where there are two clauses in the past tense, the first marked by *maa²* and the second by *kaa¹*.

250) ကုန်ကွန် ကုလင် ယုတ်ကုလု ဟွတ် မိုင် မှ
 khun² kon¹ ka¹ lan¹ suu¹ kaa² phaa³ khot¹ mun² maa²
 also after Sukapha leave country COME

ဟိ ဝက် ပီ ကုန် မိုင် မာန် ဟုံ
 sii¹ paak¹ pii² kun² mun² maan² khau¹
 4 100 year people country Burma 3Sg

ဟိ ကွန် ကု မိုင် ကွင် ။
 him¹ au² kaa¹ mun² kon²
 snatch take GO country Kong
 'Four hundred years after Sukapha left his country, the Burmese took Muang Kong.'

(Aiton text, *History of the Aiton*, No. (73) told by Nang Wimala Thaumoung)

In (250), *mā²* can be used to mark the first clause, because the place to which Sukapha came, Assam, is the place in which the speaker is situated. The second clause, on the other hand, cannot be marked by *mā²*, because it refers to events in another place, which in no sense come towards the speaker.

As with *kaa¹/kā¹* the TAM marker *maa²/mā²* can be used with adjectives, and as with *kaa¹/kā¹* its meaning is ingressive. However, in contrast to *kaa¹/kā¹*, *maa²/mā²* with adjectives implies future time, (i.e. time that is to come) as in (251).

251) ကွန် မုန် ဖြာ ဖြာ ယင်ဟု ဟင်ဂီ
 au² mun² phraa² traa² san¹ khaa¹ can¹ nai³
 take glorious Buddha law monks now

ဟွန် ကူ ဂီ မှ ကော ။
 con¹ con¹ uu¹ dii²/nii² maa² ko³
 little live good come also
 'By the grace of the Buddha, his teaching and the monks, little by little we will become well.'

(Aiton letter, No (11), written by Nabin Shyam Phalung, 1999)

The different functions of *kaa¹/kā¹* and *maa²/mā²* are summarised in Table (31), below.

8.5.7.5.3 wai³/wai⁴:

A third morpheme which is used with a past tense sense is *wai³/wai⁴*, which also occurs as a main verb meaning 'keep' (see example (25.2) in 8.2.2.3 above, for an occurrence of *wai⁴* as a completive verb meaning 'keep'). The past tense use of *wai³/wai⁴* is exemplified in (252):

252) ကိုင်ကိုင် ကိ ယူ တင်လုင် ကု ယိတ် ဝဲ
 cūn² nai⁴ cāi² su⁶ tūn¹ lon⁶ kā¹ yeu⁵ wai⁴
 now male 2Pl all go pass urine KEEP

ဝီ ဟိန် ကွ ယေ ။
 ti⁵ han² kau² ye⁴
 at house 1Sg PRT
 'Now you have all come and urinated at my house.'

(Phake Story, *A story of justice*, No. (20), told by Sam Thun Wingkyen)

In (252) more is implied than simply an event which is in the past. There are longer term results which remain, and it is for this reason that *wai³/wai⁴* is found. In (252),

for example, it is the fact that the results of the urination remain around that causes the owner of the house to request compensation. In (253) the subject of the sentence wishes not only to be able to write the language, but to keep that skill.

- 253) မိုက် ဘံ ဘုံ လှ ထုက် ဝ် ဝဲ
 mün² cam² com² phaü¹ thuk⁴ tem³ wai⁴
 he self glad desire, to be interested to copy write KEEP
 'He was glad and interested to write (our language).'
 (Tai Phake Song, *Words of gladness - in honour of Stephen Morey*, No. (17)),
 sung by Am Saeu Khyo)

However, *wai*³/*wai*⁴ is also used to express a past state which no longer exists, as in (254):

- 254) မိုက် ဟိတ် ဟုဉ် ဝဲ
 mün² hit¹ sa¹ lä¹ wai⁴
 3Sg do teacher KEEP
 'He was a teacher.'
 (Phake sentence)

Aithown Che Chakap stated that (254) should be literally translated 'At some time he was a teacher, but he is not now' and that the morpheme *wai*³/*wai*⁴ was best translated as 'at some time past'.

It might be expected that the other TAM₂ markers *kaa*¹/*kā*¹ and *maa*²/*mā*², being verbs of motion as main verbs, could not be used as past tense markers on sentences such as (254) which are relating to states rather than actions. No example of *maa*²/*mā*² being used to mark past time on states has been recorded, but (255) shows *kaa*¹/*kā*¹ in this role. This suggests that *kaa*¹/*kā*¹ is a more generalised past tense marker than the other TAM₂ markers.

- 255) ပိုင် ဟွံမုန် ကျ
 pün² cau³ mun² kaa¹
 be monk GO
 'He was a monk.'

(Aiton text, *Explanation of the spirit house*, No. (27), told by Sui Khong Thoumoung.)

Some of the postverbal occurrences of *wai*³/*wai*⁴ are analysed as completive verbs rather than TAM₂ markers. In example (256), the action is in the future so a past time interpretation is not appropriate.

- 256) ကုန် ထွံ ကာန် ဘာန် ဟိုန်ကုန်
 kun² thau³ an² nan³ khum¹ kon¹
 person old CLF that again

ဟုံ ကေ ယင် ဒါ ကာန် လာန် ဘိ ဟီ ။
 hau² ko³ saŋ¹ daa¹ kan² phan² nai³ sii¹
 IPI LINK if quarrel RECIP like this PRT

ဟုံ ကေ တျ မက် ကို ဝဲ ဟီ ။
 hau² ko³ ta¹ maak¹ kai³ kai³ wai³ sii¹
 IPI LINK will rich quickly KEEP PRT

'That neighbour said, "If we quarrel like this we too will become rich very soon.'

(Aiton Story, *Story of the forest ghosts and the opium pipes*, No. (25), told by Mohendra Shyam).

Table (31) compares the use of the three TAM morphemes which can mark past time, among other functions:

	as TAM marker with verbs	as TAM marker with adjectives	other uses
<i>kaa</i> ¹ / <i>kā</i> ¹	General marker of past time	ingressive meaning in the past	as a main verb meaning 'to go'
<i>maa</i> ² / <i>mā</i> ²	Marker of past time - used when the scope of the process indicated by the verb is in some way towards the speaker	ingressive meaning in the future	as a main verb meaning 'to come'
<i>wai</i> ³ / <i>wai</i> ⁴	Marker of past time, used when the process indicated by the verb is intended to have long term results, or past marker with states	—	as a main verb and completive verb meaning 'to keep'

Table 31: TAM₂ markers in Phake and Aiton

8.5.7.6 TAM morphemes which occur at the end of the core sentence (TAM₃)

As discussed above in 8.5.7.5, there are TAM markers which can only occur at the end of the core sentence. These are *uu'/u'* 'STAY' (see 8.5.7.6.1), *suu'/sū'* (see 8.5.7.6.2), and *yau³/yau⁴* (see 8.5.7.6.3).

8.5.7.6.1 *uu'/u'* and *ɔ'*:

Needham (1894) stated that the morpheme *uu'/u'* was used to mark a 'present indefinite tense', see 8.5.7.2.1, which modern linguistics would categorise as continuous or progressive aspect. Banchob (1987), on the other hand, categorised *u'* as being an emphatic particle. Diller (1992:24) reported that *uu'/u'* (together with *suu'/sū'*) "function postverbally to suggest progressive aspect.". In both of the examples given by Diller, the progressive aspect is marked by *suu'/sū'*.

Yehom Buragohain explained that in Phake *sū'* and *u'* had the same meaning. She translated *kau² kin² sū'* (1Sg-eat-sū') as 'I am eating'. As a TAM marker, *uu'/u'* occurs frequently in elicited sentences, such as (257), where it marks the progressive, and (258), where it was stated to be emphatic:

- 257) ကုန် ၂၁ ပပ်လိက် နိ နှင် ကွံ နိ
kon² hau³ pāp⁴ lik⁴ nai⁴ han² kau² nai⁴
person give book this to 1Sg this

ကိန် မက်မုင် ယိ ကူ
kin² mā¹ moŋ⁵ s⁶ u'
1Sg mango PRT STAY
"The man from whom I received this book is eating mangoes."
(Phake sentence elicited from Aimya Khang Gohain)

- 258) ကိုပ် ကွံ ကူ ကတ် ကွံ
k² cai² u' kat¹ koi⁴
YES YES STAY cold PRT
'Yes, its definitely cold.
(Phake sentence elicited from Aimya Khang Gohain)

However, in the texts that I have collected, *uu'/u'* has not been found in the progressive/continuous meaning, although it is found with emphatic meaning, often in combination with adjectives, as in (259):

- 259) တိကာ မာ် ဝူ နိ ကူ
tai² ka⁴ mūn² wā⁵ nī² u'
friend 3Sg say good STAY
'His friend said 'Yes, Very good.'

(Phake Story, *The blind man and the man with scabies*, No. (9), told by Ee Nyan Khet)

The word *uu'/u'* is very frequently found as a main verb meaning 'to stay', 'to live at'. It is therefore glossed 'STAY', showing that its verbal meaning is not fully bleached.

There is another particle, especially in Aiton, pronounced *ɔ'*, which is probably the same morpheme. It is exemplified in (260):

- 260) ကိုပ် နူ လေ ကွံ နွံ ကိုပ်
u² hau³ le¹ kau² cau³ u²
YES GIVE PRT 1Sg RESP* EXCL

ကွံ နူ ကော့ နိတ် ဝာ် ။
kau² cuu² ɔ' cit¹ wan²
I agree STAY seven day
'Yes, my lord, I agree (to give them) seven days.'

(Aiton Story, *The twelve questions*, No (32), told by Sa Cham Thoumoung)

In (260), it appears that the meaning of *ɔ'* is emphatic, as in (258) and (259). Nabin Shyam stated that in Aiton, *ɔ'* was used to mark the present tense, as in *mūn² mā² ɔ'* (3Sg-come-*ɔ'*) which he translated as 'He is (still) coming'. Nabin added that the meaning of *ɔ'* and *uu'* were the same.

In example (261), *ɔ'* is found in conjunction with an adjective, with ingressive meaning:

- 261) ယင် ကွန် ပွန် မာ ကာ ကိ ကော နီ ကော့ ။
 saŋ¹ khon¹ pōk³ maa² e¹ khai³ kō³ nii² ɔ¹
 if khon return come PRT illness LINK good STAY
 "If the *khon* returns, the illness will get better."

(Aiton text, *Explanation of Hong Khon ceremony*, No. (6), told by Nabin Shyam.)

It is not clear what the difference is between *khai³ kō³ nii² ɔ¹* in (261) and *khai³ kō³ ta¹ nii²* (fever-LINK-WILL-good).

8.5.7.6.2 *suu¹/sū¹*:

The verb *suu¹/sū¹* is variously translated 'to arrive' or 'to progress towards'. As a TAM marker it is glossed as 'TOWARDS', and is used for progressive aspect, as in (262):

- 262) မေ ကိ ဖံ တုင် ဖိုင် ဖံ ယူ ။
 me⁵ kai¹ nam² to² nūŋ⁵ ŋam² sū¹
 mother chicken black body one cover TOWARDS
 "At the pagoda there is a single black hen sitting on her eggs."

(Phake Story, *Chaw Mahosatha meets Nang Pingya*, No. (55), told by Ee Nyan Khet)

That this is a marker of aspect not of tense is shown in example (263), where it marks the progressive in past time. It is possible to read *sū¹* in example (263) as the main verb 'to arrive', rather than a TAM morpheme.

- 263) မိုင် လေ ယူ ပုလန် ဖုံ မိုင် ကန် ။
 mün² le¹ sū¹ pā³ lan⁴ nai² mā⁵ nan³
 3Sg roam TOWARDS there in time that
 'She was away travelling there, at that time.'

(Tai Phake Song, *Poem in the khe² khyāŋ² style: How Stephen Morey came to the Tai Phakes*, No (39.1), read by Ai Che Let Hailung)

Unlike the morphemes in 8.5.7.5 above, *suu¹/sū¹* always appears at the end of the core sentence, as in (264):

- 264) ကုမတ် လာ ကွံ ကျ လေ ကျ နှံ ကိန် ကျ လာ
 a¹ mā¹ ne⁴ au² kā¹ ye⁴ kā¹ hai³ kin² kā¹ nai⁴
 minister PRT take GO PRT go give eat GO this

ဟင် ဟင်ကျံ ယူ ဝူ ဖိုင် ဖိ ဝိုင် ။
 haŋ² khun⁶ hō⁶ kham² sū¹ wā⁵ nūŋ¹ nai³ wū⁴
 to king TOWARDS say like that PRT

"The minister took it and went to give it to the king to eat, it is said like that."

(Phake Story, *Story of the foolish king*, No. (42), told by Ee Nyan Khet)

In this example we clearly see *suu¹/sū¹* is in final position within the core sentence, whereas *kā¹* is attached to the verb complex *kā¹ hai³ kin²*. The constituents of the core sentence are analysed in (265):

- | | | | | |
|------|---|----------------------------------|--|-----------------|
| 265) | Verb complex | TAM | Object | TAM |
| | kā ¹ hai ³ kin ² | kā ¹ nai ⁴ | haŋ ² khun ⁶ hō ⁶ kham ² | sū ¹ |
| | go and give ... to eat | GO this | to the king | TOWARDS |

Another example of the usage of *suu¹/sū¹* is in (266), in which Sa Cham Thaumoung is telling about the various migrations of the Aiton, and then moves into present time to refer to something that is the case now.

- 266) ပုန် လေ လွံ ယူ ဟံတီ ဟုံ လွံ ယူ လာ
 pum² ce² lau² suu¹ kham² tii² khau¹ lau² suu¹ e¹
 other now say TOWARDS Khamti 3Pl say PRT PRT

ကု ကိတွန် ကု ကိတွန် ။
 naa² aai³ tōn² naa² aai³ tōn²

field Aiton field Aiton

'In the place that the Khamtis now call the Aiton fields.'

(Aiton text, *History of the Tai*, No. (26) told by Sa Cham Thaumoung)

8.5.7.6.3 *zau³/yau⁴*:

Diller (1992:24) categorised *zau³/yau⁴* as a temporal adverbial, translated as 'already'.

An excellent example of *zau³/yau⁴* is (267), which is taken from a text telling about the death (Parinibbana) and cremation of the Buddha. Example (267) relates the

complete burning up of the Buddha's body. It is the only time in this text that *zau³/yau⁴* occurs.

- 267) မြေတ နှိ ဝင် နှံ နှင် နှင် နှင် နှင် နှင် နှင် ॥
 mu¹ haai¹ waan² nau² cun² can¹ haan² ta¹ zau³
 gone gone inside in coffin then body until FINISHED
 'Then the body inside that coffin was gone.'

(Tai Khamyang text, *The Parinibbana*, No. (32), read by Chaw Cha Seng)

From example (267) we can derive the analysis that *zau³/yau⁴* is TAM marker of perfective aspect. It is glossed as 'FINISHED' in this thesis.

Example (268) shows that an action marked by *zau³/yau⁴* is completely over before the next action commences:

- 268) ဤ နိင် ယွ မေ ထွ် ကွက် ပိ ကျ
 waa² nan¹ nai³ zau³ me² thau³ ok¹ pai² kaa¹
 say like this FINISHED woman old go out go GO
 'When she had said this, the old woman went out.'

(Aiton Story, *Story of the old woman*, No. (14), told by Nabin Shyam).

Example (269) is from Ongthun Shyam's *History of Barhula*. He has been talking about the fact that in days long past, there was teaching of the Tai scripts in the village.

The introduction of Assamese schools with Indian independence meant that only Assamese script was being learned, and that finished off the Tai script.

- 269.1) လိက် [မၤအူၤ] ကူၤတၢ် ယွာ် ယွာ်
 lik³ ma¹ nun² ku¹ laa¹ son¹ zau³
 book Assam foreigner teach FINISHED
 '(Only) Assamese writing was taught.'

- 269.2) ကၢၤလုက် မိၣ် ယံ နာၣ် မွက် လိက် တိ လိက် မာ်
 aa¹ luk³ mu² zaam² nan³ mok¹ lik³ tai² lik³ maan²
 from time time that since book Tai book Burma

- ကၢၢ် နှံ ယွာ် ကၢၢ် ယွာ် မၤ ယီ
 an² hau² son¹ e¹ zam² maa² sii¹
 CLF IPL teach PRT get less COME PRT
 'After that, the Tai and Burmese writing which we had learned, got less.'
 (Aiton text, *History of Barhula*, No. (34) told by Ong Thun)

The TAM morpheme *zau³/yau⁴* can also be used in sentence initial position to indicate that the item previously being discussed is completed and a new topic has begun, as in (270):

- 270) ယွာ် မၤ မၤ ထိုင် တိ နွံ ပတ်ကိ ॥
 zau³ maa² maa² thung¹ tii² dai² pat¹ kai³
 FINISHED COME come reach place mountain Pat Kai
 'That being done, (they) reached the Pat Kai mountains.'
 (Aiton text, *History of the Aiton*, No. (42) told by Nang Wimala Thaumoung)

The TAM marker *zau³/yau⁴* is also found in future contexts, showing clearly that it marks aspect rather than tense, as can be seen in (271):

- 271) ကွံ တၢ် တိ ယွာ် ကွံ ဟေ မၤ ဒိုင်ၣ် ॥
 kau² ta¹ taai² zau³ kau² po² mia¹ dai³ jin²
 I will die FINISHED I if NEG hear
 '(By then) I will have died if I do not get to hear the answer.'
 (Aiton Story, *The twelve questions*, No (21), told by Sa Cham Thaumoung)

This use of *zau³/yau⁴* in the future is very common in certain manuscripts, as in (272):

- 272) လုက် ယီ ဝာ် ကၢၤကူၤ နံ ပီ မွဲ နိုၣ် မွဲ
 luk³ sii¹ wan² aan¹ kaa¹ cam³ pii² mau¹ num² mau¹
 from PRT* day Tuesday PRT year new month new
 နီ ဝာ် နီ ယွာ်
 nai³ wan² lun² zau³
 get day one FINISHED
 'From Tuesday, it will be the first day of the new year and the new month.'
 (Aiton manuscript, *Calendar for the year 2001/2002*, No. (8), told by Sui Khong Thaumoung.)

In (272), *zau³* co-occurs with *nai³* 'GET' (see below 8.5.7.7.1), but the meaning is clearly in the future, because at the time at which the manuscript was written, the beginning of the new year was still in the future. The literal meaning is 'got the first day of the new year'.

8.5.7.7 Other TAM morphemes

8.5.7.7.1 *dai³/nai³*

The word *dai³/nai³* has a basic meaning of 'get' (see example (231) above). In all of the languages of the Tai family, this word has a number of meanings. In a study of Lao texts, Enfield (2000:123) found that it occurred as a main verb in 15% of occasions, as a preverb 45% of the time, and the remainder of the time postverbally.

No statistical analysis of the texts in this thesis has been done, but it does appear that *dai³/nai³* occurs much less frequently as a preverb than in the Lao texts studied by Enfield. It does occur postverbally as a completive verb with the meaning 'can', as in (273) and preverbally with the meaning of achievement, as exemplified in (274):

- 273) *saŋ¹ ma¹ ca(a)p¹ ε¹ khon¹ ε¹ hoŋ³ ma¹ dai³*
 if NEG join PRT *khon* PRT call NEG GET
 'If it doesn't adhere, the *khon* can't be called.'

(Aiton text, *Explanation of Hong Khon ceremony*, No. (159), told by Nabin Shyam.)

- 274) *pān² khiŋ² thiŋ⁶ mā² a¹ ni¹ cā⁴ tak¹ kai³*
 duration of life body reach come changing will near

khām² saŋ⁶ mau¹ nai³ saŋ¹ son⁶ wai⁴
 word any NEG GET advise teach to keep

'The duration of my life has nearly reached its end (i.e. will change), and yet I have not taught any words of advice.'

(Tai Phake Text, *Grandfather teaches Grandchildren*, Intro No. (7), read by Yehom Buragohain)

Example (274) is from a manuscript and the use of *dai³/nai³* here probably does not reflect common spoken usage.

For Lao, Enfield (2000:181) categorised the preverbal *dai³/nai³* as (275).

- 275) *preverbal modal* 'result of prior event'

daj-V

V

this is the case because something else happened before this.

Enfield added that *dai³/nai³* should not be thought of as a 'past tense' marker, adding that

"As many of the examples ... show *daj* is neither required by an expression's being set in the past, present or future, nor does its presence unequivocally denote any particular tense locus... It does, however, have *aspectual* properties related to a successful completion of actions/events ... and a common 'past tense' interpretation can arise from this."

The most frequent occurrence of *dai³/nai³* in preverbal position is in combination with *hau³/hai³* 'to give', as in (276):

- 276) *an² khai³ an² cep¹ an² nət¹ an² nāu⁶ pi¹ hau³*
 CLF fever CLF pain CLF hot CLF cold don't GIVE

nai³ yan²

get have

'May you not have fever, pain, heat or cold.'

(Phake Blessing *Blessing*, No (15), intoned by Nang Pe)

This is a different preverbal usage from that found by Enfield for Lao and presented above in (275). Unfortunately there are not enough examples of preverbal *dai³/nai³* in

the texts that form the basis of this thesis to be able to discuss its usage in any more depth.

8.5.7.8 Combinations of TAM morphemes

Sometimes more than one of the TAM morphemes discussed in some detail above co-occur, as in example (277):

277) နိုင် ကာ ကွ် ဟံသ် လာ မှာတူ လင် ခိ လာ
 nūŋ¹ an² kau² khaü³ cau³ ne⁴ ho¹ phā¹ lāŋ⁶ nai⁴ ne⁴
 as CLF 1PI think PRT meeting CLF this PRT

မိတ် နိုင် တ် နိုင် ယိုင် ဂိတ် ကျ လေ
 mē⁵ nūŋ⁵ wan² nūŋ⁵ sūŋ⁶ het¹ kā¹ ye⁴
 time one day one if do GO PRT

ကျမှု ဂွ် လာ တူ လိတ် ကျ တူ ဆိုင် ကျ ။
 ā¹ mū² hau² ne⁴ ta¹ phen³ kā¹ ta¹ cuŋ¹ kā¹
 work 1PI PRT will tidy GO will complete GO
 'I also think that our work will be tidy and complete if our meeting is done today.'

(Tai Phake Speech, No (40), given by Ai Chanta)

In this example, the adjectives *phen*³ 'tidy' and *cuŋ*¹ 'complete' are marked by both the future/irrealis marker *ta*¹ and *kā*¹. As indicated above in Table 31, *kā*¹ marks ingressive with adjectives, so the co-occurrence of these two markers is not inconsistent. In example (278), on the other hand, *ta*¹ and *mā*² co-occur with a verb.

278) ကော ကွ် တူ ကျ ဟိ မှာ ။
 ko⁴ kau² ta¹ kā¹ khi³ mā²
 friend 1Sg will go defecate COME
 'I am going to relieve myself.'

(Phake Story, *The blind man and the man with scabies*, No. (15), told by Ee Nyan Khet)

It may be that (278) should be translated 'I am going to relieve myself (and then) come back.'

A more common co-occurrence is *kā*¹ with *zau*³, as in (279), in which it appears that *kā*¹ marks the fact that this sentence is in past time with relation to the time of speaking, and *zau*³ marks the completion of the entire event.

279) ပွက် မာ တိ ဂိတ် တင် တုက် ယ် မာ
 pok³ ma² tii² hum² taŋ¹ luk³ saau¹ man²
 return come to house with child female 3Sg

ဂိတ် ယူ ဂိတ် ယ် ကျ ယ် ။
 hum² zau¹ hum² sau² kaa¹ zau³
 together stay together stay GO FINISHED
 'And returned to the house and lived with her daughter (happily ever after).'
 (Aiton Story, *Story of the old woman*, No. (23), told by Nabin Shyam).

8.5.7.9 Utterances unmarked by TAM morphemes:

The marking of Tai sentences with TAM morphemes is not obligatory, except in the case of the marking of future events with *ta*¹/*ti*¹/*tak*¹. Habitual action is often unmarked, as in Example (280):

280) ခိ ကျိုင် မိတ်ဂိတ် လေ ပုဟူ ဟ် မာခိ ကွက်
 nai⁴ a¹ khiŋ¹ mē⁵ hū⁴ ye⁴ pa¹ khā⁶ khau⁶ cūŋ¹ nai⁴ auk¹
 this time summer PRT dolphin PLU now come out
 ma cuM fUD ma xa hJwq mnq wJtq
 mā² com² phū² com² phū² inā² khā⁶ hā² mūn² wūr⁴
 come sink float sink float come search boat 3Sg PRT
 'So, in summer, the dolphins jump up and down in and out of the water, searching for its boat.'

(Phake Story, *The Dolphin, the Crow and the Mosquito*, No (47), told by Aithown Che Chakap)

Not all unmarked sentences are habitual. Sometimes TAM morphemes are not required because of the presence of a time expression, as in (281) and sometimes because of the context, as in (282):

- 281) မိုက်သံဘတ် ဟွတ်ကျ မိုက် ကာ် တံ ပွင် ။
 mu² ʒaam² nat³ cək¹ khaa¹ mu² an² tam² paung¹
 then bag 3Sg CLF pound through
 'At that time her bag was pounded through with holes.'

(Aiton Story, *The story of the forest spirit and the first daughter*, No (69), told by Sa Cham Thaumoung)

- 282) ပိ ကာ် မုက်ယိုက် ဟွ် ဂိ လာ် တူ လိုဉ်
 pai² han¹ muk³ su² khau¹ nai³/dai³ phaam² tuu² luŋ²
 go see hunter 3Pl get deer CLF one

တွပ် ကာ် ယို ကာ်
 top kan² sa² kan²
 cut RECIP cut to pieces, to slice RECIP
 'He saw that the hunters had got a deer, and were slicing it up.'

(Aiton Story, *Story of the Crow and the Fox*, No. (9), told by Ong Cham).

In example (282), it is clear that the getting of the deer preceded the seeing, because the hunters were already slicing it up. The context of the story tells us that the whole thing is in the historical past; pragmatic common sense tells us the hunters must have caught the deer before slicing it up, and the previous sentence in the story, in which the crow spots the hunter's fire, tells us that the deer was already caught when the crow saw it, and that the crow did not see the deer being caught.

8.5.7.10 Time expressions:

Table (32) lists the time expressions found in Aiton and Phake.

	Phake	Aiton	Gloss
မိုက်ဂိ	mə ⁵ nai ⁴	mu ² nai ³	now, today
မိုက်ဂိ	mə ⁵ nan ⁴	mu ² nan ³	then
မိုက်သံကာ်	mə ⁵ yām ² nan ⁴	mu ² ʒaam ² nan ³	then
လင်ဂိ	cūŋ ¹ nai ⁴	caŋ ¹ nai ³	now
ဟွ်ဂိ	hā ¹ nai ⁴		then

တက်ဂိ	wan ² nai ⁴	wan ² nai ³	today
မုရ	ma ¹ ŋā ²	ma ¹ ŋaa ²	yesterday
မုရိုက်	ma ¹ sūn ²	ma ¹ sum ²	the day before yesterday
မုရုက်	ma ¹ phuk ⁴	ma ¹ phuk ³ , ma ¹ phruk ³	tomorrow
မုရိုက်	ma ¹ hū ²		the day after tomorrow
ကင်ဂိ	kāŋ ² nai ⁶	kaan ² nau ¹	morning
ကင်တက်	kāŋ ² wan ²	kaan ² wan ²	midday
ကင်ဟ်	kāŋ ² kham ²	kaan ² kham ²	evening

Table 32: Time expressions

These time expressions most frequently occur clause initially, as in example (283), although they can occur clause finally.

- 283) ဟွ်မိ တွင်မိ ကာ် တူ ကိက် မု ယင် လေ ။
 hā¹ nai⁴ taung⁴ mai³ an² ta¹ kin² ma¹ yan⁶ ye⁴
 at that time hungry CLF will eat NEG have.NEG PRT
 'At that time they were hungry, but there was nothing to eat.'

(Phake Story, *The two brothers*, No. (4), told by Ee Nyan Khet)

8.5.8 Multi-Verb Sequences

In Tai languages verbs can be arranged serially, as in (284):

- 284) ဟွ်မိ ကေ ပိ ဟု ကိုဉ် ဒိ ။
 pa¹ ru² ko³ pai² khaa¹ uŋ¹ dai³
 day after tomorrow LINK go search NEG get
 'On the second day they went and searched but did not get (the answer).'
 (Aiton Story, *The twelve questions*, No (38), told by Sa Cham Thaumoung)

The two verbs in (284) could both be followed by noun phrases, as in (285), and it is argued here that noun phrases something like *mun² kai³* 'far country' and *ke³ pa¹ sa¹* 'naa' 'answer to the question' are at least understood in (284).

- 285) ပဲ မိုက် ကိ ဟု ကေ ဟုယုဟု ကိုင် ဒဲ ။
 pai² muŋ² kai³ khaa¹ ke³ pa¹ sa¹ naa¹ um¹ dai³
 go country far search answer question NEG get
 'They went to a far country to search for answers to the questions, but did not get them.'

There are many actual examples where serialised verbs are followed by objects, as in (286) where *khau³* 'rice' is the object of *khaa¹* 'search': and *luk³ saau¹ man²* 'her daughter' is the object of *lin³* 'feed'.

- 286) ဟု ဟုံ ဝီ လိင် လုက် ဖွံ မာ် ဂံ ။
 khaa¹ khau³ sii¹ lin³ luk³ saau¹ man² nam¹
 search rice PRT to feed child female 3Sg PRT
 '... she searched for rice to feed her daughter'
 (Aiton Story, *Story of the old woman*, No. (5), told by Nabin Shyam).

Sometimes the multi verb strategy is used to express events which are sequential as in (287). The meaning here appears to be 'He saw a tiger and was shocked', but could equally be 'He saw a tiger causing him to be shocked'.

- 287) မာ် ဟုံ ဝီ လုက် လုလပ်
 mün² han⁶ sa⁶ pa² lāp¹
 3Sg see tiger to be startled, shocked
 'seeing a tiger, he felt shocked'
 (Banchob 1987:368)

It is not necessary for each of the verbs to be able to have an unstated object. This especially the case with motion verbs, which are often arranged as in (288), where a verb of manner of motion (*phut¹* 'rise up') is followed by one of a restricted set of verbs of path (*ok¹* 'come out') and then one of direction (*maa²* 'come'):

- 288) မိုက် ဂာ် ဝီ ဂံ လုတ် ဟုံက် မာ် ။
 mu² nan³ phii¹ nam³ phut¹ ok¹ maa²
 when that ghost water rise up come out come
 'Then the ghost of that river rose up out of the water.'
 (Aiton Story, *Story of the old woman*, No. (16), told by Nabin Shyam).

This possibility of the verbs arranged serially having different objects allows three participant events to be expressed using more than one verb, as in example (289):

- 289) ဟုံက် ဟုံ ဟုံက် မိုက် ဝီ ပွက် မာ် ဝီ ကျ မာ် ။
 nok⁴ au² khun⁶ men³ si⁶ pauk⁴ mā² ci⁴ ko³ cāŋ⁴
 bird take hair porcupine PRT return come show friend elephant
 'The bird took the porcupine's hair and returned and showed it to his friend the elephant.'
 (Phake Story, *The bird with the red bottom*, No. (19), told by Ngi Kheng Chakap)

In example (289) *nok⁴* 'bird' is the agent of the verb *au²* 'take' and indirectly of *ci⁴* 'show', and *khun⁶ men³* 'porcupine's hair' is the patient or second participant of both verbs, although only indirectly of *ci⁴*. The other participant, *ko³ cāŋ⁴* 'his friend the elephant', is the goal of *ci⁴* 'show'. It is not necessary to mark it in a prepositional phrase because it is the only direct participant of that verb, the others being understood. It is clear that *ko³ cāŋ⁴* can only be the goal of *ci⁴*.

8.5.9 Completive Verbs:

A special type of multi verb construction is the completive construction where one verb completes the action of another. In contrast to the multi verb strategy in 8.5.8 above, a completive verb cannot have an object. Completive verbs have been discussed above in 8.2.3.4.

Example (290) exemplifies this. The main verb is *zu²* 'shoot' and its action is completed (or not as in this case) by *thuk¹* 'touch'.

- 290) ဟုံက် ကျ မာ် ဆုက် ကျ
 zu² kaa¹ ma¹ thuk¹ kaa¹
 shoot GO NEG touch GO
 'He shot but didn't hit it.'
 (Aiton sentence spoken by Sa Cham Thoumoung)
 Audio Link for this sentence (\\wave files\\aiton\\extracts\\2-1-2-2\\a.mp3)

A rather poetic example of a completive verb is (291). Ainya Khang Gohain explained that the verb *gin*² means 'to listen with understanding', and is the completion of the action of *thom*¹ 'to listen, obey.'

- 291) *st⁶ thom¹ nam⁴ khām² wā⁵ kau² gin² ॥*
 you all to listen, obey speaking say 1Sg hear
 'You should all listen to the words that I speak.'

(Tai Phake Text, *Grandfather teaches Grandchildren*, Intro No. (16), read by Yehom Buragohain)

The noun *nam⁴ khām²* is literally 'water-word', which is translated by Tai informants as a single lexeme with the meaning 'speaking'. The structure of (291) is given in (292):

- | | | | | |
|------|-----------------------|-------------------------|--|------------------------|
| 292) | Subject | Verb ₁ | Object | Verb ₂ |
| | <i>st⁶</i> | <i>thom¹</i> | <i>nam⁴ khām² wā⁵ kau²</i> | <i>gin²</i> |
| | you | listen | speaking-say-I | hear |

Example (291) could be translated literally as 'You (should) listen to my speaking and hear it (with understanding).'

Frequently there is more than one possible completive. Example (293) shows the word *hot*³ as the completive of the main verb *khau³ cau²* 'think':

- 293) *mu² zaam² nan³ khau³ cau² san¹ ya¹ hot³ gwa³ maa² dii² ၁¹ ॥*
 then think what NEG clearly not clever come PRT
 'Then they couldn't think clearer, they were confused.'

(Aiton Story, *The twelve questions*, No (63), told by Sa Cham Thoumoung)

When this example was being translated, Nabin Shyam gave the meaning of *hot*³ as 'clearly', but it seems to be the verb 'to arrive'. Nabin Shyam gave five ways of saying 'could not think', presented as (294):

- | | | | |
|--------|---|-----------------------|------------------------|
| 294.1) | <i>khau³ cau²</i> | <i>ma¹</i> | <i>hot³</i> |
| | think | NEG | reach |
| 294.2) | <i>khau³ cau²</i> | <i>ma¹</i> | <i>thu¹</i> |
| | think | NEG | reach |
| 294.3) | <i>khau³ cau²</i> | <i>ma¹</i> | <i>thu¹</i> |
| | think | NEG | meet/get |
| 294.4) | <i>khau³ cau²</i> | <i>ma¹</i> | <i>pe³</i> |
| | think | NEG | can |
| 294.5) | <i>khau³ cau²</i> | <i>ma¹</i> | <i>dai³</i> |
| | think | NEG | GET |

Nabin Shyam did not indicate whether the five examples in (294) had different meanings, or would be likely to be used in different circumstances.

Sometimes, as in (295), an adjective can be followed by a completive verb.

- 295) *ta¹ kaa¹ nam³ lik³ nam³ noi¹ he³ cum² kaa¹ cam³ 3au³ ॥*
 all river small river small dry evaporate GO PRT FINISHED
 'All the small rivers have dried up and evaporated.'
 (Aiton manuscript, *The creation of the world*, No. (8))

In example (295), the main predicate is the adjective *he³* 'dry'. The verb *cum²* is arguably a completive, and the whole predication is marked by the TAM₂ marker *kaa¹*, which shows that this event was in past time. An alternative analysis of (295) would suggest that this is a serial verb structure with the first verb in the series being an adjective.

8.6 Non-declarative sentences

8.6.1 Theoretical considerations

The three processes of interrogative, imperative and negative have been grouped together because of certain common features. The first two processes, interrogative and imperative, are often called 'mood', and are generally more marked than declarative sentences, often termed the indicative mood.

These three are treated together because of a common property: the fact that all three processes can be marked by changed tone in Phake (see for example below 8.6.2.3). It is also probably the case that the TAM markers are less frequently employed in interrogative, imperative and negative sentences.

8.6.2 Questions

Questions in the Tai languages of Assam are expressed by the use of a question particle (see 8.6.2.1) or a WH- type question word (see 8.6.2.2).

8.6.2.1 Polar questions

Polar questions use the particle *na⁶* in Phake or *naa¹* in Aiton, as in example (296):

- 296) တဲ ယ်း မိင် ကျ ဘဲ တဲ ယ်း ။
 taai² zau³ sin³ ko³ naa¹ taai² zau³
 die FINISHED end LINK PRT.QN die FINISHED
 'When we die, is that the finish?'
 (Aiton text, *On Buddhism*, No. (12), told by Sa Cham Thaumoung.)

Polar questions occur less frequently in the texts than WH- questions. Therefore some of the data about them will be drawn from elicited sentences. In (297), a simple polar question and its affirmative and negative answers are exemplified:

- 297.1) မိင် ပိပ် မိပ် ကျ ကျ
 mai² pen² han² ka¹ na⁶
 2Sg be, become house GO PRT.QN
 'Are you married?' (asked to a woman)

(Phake sentence elicited from Aimya Khang Gohain)

Affirmative answer:

- 297.2) မိပ် ကျ ပိပ် မိပ် ယ်း
 3² kau² pen² han² yau⁴
 Yes 1Sg be, become house FINISHED
 'Yes, I am married.'

(Phake sentence elicited from Aimya Khang Gohain)

Negative answer:

- 297.3) မိပ် ပိ (လုင်)
 mau¹ pai¹ lon²
 NEG not yet go down
 'I am not yet married' (i.e. I have not come down)

(Phake sentence elicited from Aimya Khang Gohain)

It is also possible to ask a question expecting the answer yes, as in (298):

- 298) နိ ကတ် မိပ် မိပ် ကျ
 nai⁴ kat¹ mau² cai³ na⁶
 this cold NEG yes PRT.QN
 'It is cold, isn't it?'

(Phake sentence elicited from Aimya Khang Gohain)

Such sentences also occur in the texts, as in example (299):

- 299) ယ်း မိင် မိပ် မိပ် မိပ် မိပ် မိပ်
 zau³ mu² hau² sun¹ hau² un¹ u²
 FINISHED country IPL country IPL NEG YES
 'And then (he said) 'This is our country, isn't it?'

(Aiton text, *History of the Tai*, No. (90) told by Sa Cham Thaumoung)

Where a question is asking for a one of a set of alternatives, it is not necessary to use a question word, rather it is only necessary to put two propositions (here two noun phrases) in appositions. This is exemplified in (300):

- 300) မွဲ တၢ် ကၢ် ကၢ် နၢ် နၢ် နၢ်
 mau² ta¹ kã¹ kã² naü⁶ kã² kham⁵
 2Sg will go middle morning middle evening
 Will you go in the morning or in the evening?
 (Phake sentence elicited from Ainya Khang Gohain)

A question with a final 'or not' would be expressed with two clauses, one positive and the other negative, as in (301):

- 301) မွဲ တၢ် မၤ ကိၣ် နၢ် မွဲ မၤ ကိၣ်
 mau² ta¹ mā² kin² khau³ mau¹ mā² kin²
 2Sg will come eat rice NEG come eat
 'Are you coming to eat rice or not?'
 (Phake sentence elicited from Ainya Khang Gohain)

8.6.2.2 WH-questions:

As discussed in 8.2.2.3 above, WH-question words occupy the position of noun phrases or prepositional phrases in the syntax, as in (302).

- 302) မွဲ တၢ် ကၢ် ထံ ။
 mau² ta¹ kã¹ thaü⁶
 you will go where?
 'Where are you going?'
 (Phake Story, *Chaw Mahosatha meets Nang Pingya*, No. (16), told by Ee Nyan Khet)

The WH-question words, together with the types of phrases that answer them, were listed in Table 5 in section 8.2.2.3.

8.6.2.3 Questions expressed by word order or tone alteration

In Phake, in some circumstances an alteration of word order or a reassignment of tone can change a statement into a question.

8.6.2.3.1 Word order

The late Ainya Khang Gohain explained that the order of constituents in a question depended on which item was being questioned. In (303.1) the question is whether the subject ('you') has eaten or not, whereas in (303.2) the question is whether it is rice that has been eaten, or some other food:

- 303.1) မွဲ ကိၣ် ကၢ် နၢ်
 sũ⁶ kin² kã¹ khau³
 2Pl eat GO rice
 'Have you eaten rice?'

- 303.2) နၢ် မွဲ ကိၣ် ကၢ်
 khau³ sũ⁶ kin² kã¹
 rice 2Pl eat GO
 'Have you eaten rice?'

(Phake sentences, elicited from Ainya Khang Gohain)

Audio Link for these two sentences, with an explanation by Ainya Khang Gohain (wave files\phake\sounds\ainya.mp3)

Ainya Khang added that (303.1) would become a statement if ကၢ် kã¹ 'GO' were utterance final, as in (304):

- 304) မွဲ ကိၣ် နၢ် ကၢ်
 sũ⁶ kin² khau³ kã¹
 2Pl eat rice GO
 'You have eaten rice.'

In (303) tone marking has been omitted from the words kã¹ 'GO'. In citation form the word is certainly kã¹, but, as discussed above in 6.2.4.5.1, when kã is pronounced with the sixth or rising tone in a sentence like (303.2), the sentence becomes of question. Unfortunately Ainya Khang Gohain died before I was able to question him again about these examples; on listening to them again it does appear that both tokens of kã are pronounced with a rising tone, and should therefore probably be written as kã⁶ with the gloss 'GO.QN'.

Possibly, therefore, constituent order variation alone will not lead to either (303.1) or (303.2) being interpreted as questions. Of course sentences such as these with 2nd person subjects are much more likely to be questions. It would be a quite marked situation if either (303.1) or (303.2) were declarative sentences.

8.6.2.3.2 Tonal alternation

As discussed above in 6.2.4.5.1, tonal alternation may be used to express questions. Banchob (1987) was the first to identify this phenomenon in Phake. Table (33) lists some of these tone alternations found in her dictionary:

ဟံ	caü ⁶	an interrogative form of caü ⁵	(1987: 101)
ဟံ	caü ⁵	Yes	(1987: 101)
မိင်	mā ²	to go back home	(1987: 307)
မိင်	mā ⁶	to go back home (in questions, negative, and future tense)	(1987: 307)
ဟိတ်ယုင်	het ¹ yau ⁴ kā ¹	it has been done	(1987: 347)
ဟိတ်ယုင်	het ¹ yau ⁴ kā ⁶	has it been done?	(1987: 347)
ဟိတ်မ	ik ¹ mā ²	please give more.	(1987: 433)
တုဟိတ်	ta ¹ au ² ik ⁶	will you want some more?	(1987: 433)
မာမုင်ကုင်	mün ² mā ⁵ mā ⁶ kə ⁴ nī ⁶	If he does not come, it is also good	(1987: 5)

Table 33: Tone alternations for negatives and questions, after Banchob (1987)

It appears that this process is quite widespread among Phake speakers, and that certain very common verbs can change from the first or second tone to the sixth tone if the utterance is a question or a negative.

Diller (1992:19) also discussed this phenomenon⁷², describing it as "a morphophonemic tone sandhi rule", indicating that it operates on words with the 2nd tone after "the preclitic negative marker [m-]" but not after *mau*¹.

There is also a special tone for questioning in Phake, which was described above in example 6.2.4.5.1. The questioning tone is not found only on verbs, but can be found on the final lexical item of an utterance, regardless of its word class. In example (305), it is found on a noun. This example is a question that was asked when making a tour of a village and observing that an old house had been demolished and a new one was about to be erected.

- 305) ဟံ ဟံ ဟံ ||
 hən² nīn⁶⁽²⁾
 house earth.QN
 '(Will it be) a house built on the ground?⁷³
 Audio Link for this sentence example (\wave files\phake\extracts\Ai Chanta\ a.mp3)

The tape recorder was not running when this sentence was uttered. The same evening Ai Chanta repeated it for the tape, but actually said *ta¹ het¹ hən² nīn⁶⁽²⁾* (WILL-do-house-earth.QN).

There are restrictions on the use of the questioning tone. It is not used if the question particle *no⁶* is used, as in the following examples:

- 306) ဟံ ဟံ ဟံ ဟံ ||
 phak¹ nai³ kin² nīn⁶⁽²⁾
 vegetables/dishes this eat good.QN
 'Is this dish⁷⁴ good to eat?'
 Audio Link for this sentence example (\wave files\phake\extracts\1-10-2-2\ a.mp3)

⁷² In discussing Diller's findings, I have used the tone numbering in Banchob (1987), to avoid confusion.

⁷³ Traditional Tai houses are built on stilts and called *hən² hān³* in Phake. Many Tai people are now demolishing their traditional style houses and building brick houses at ground level. These are called *hən² nīn²*.

⁷⁴ The Tai word *phak¹* literally means "vegetable", but has come to mean the dishes that are eaten with rice. It can include meat dishes.

- 307) ကိၣ် ခိၣ် ကျဲ ။
 kin² nī² na⁶
 eat good PRT.QN
 'Is it good to eat?'

Audio Link for this sentence example (wave files\phake\extracts\1-10-2-2\b.mp3)

8.6.3 Negation

Table (34) lists the negative morphemes from Banchob (1987), together with some examples of their use:

Word			Example		
တပ်	tap ⁴	need not	မံၣ်တပ်ကျဲ	maü ² mā ⁵ tap ⁴ kã ¹	you need not go
ပိ	pai ¹	not yet	မံၣ်ပိတ်	mā ⁵ pai ¹ het ¹	not yet done
မု	mā ⁵	not	ကွဲမုၣ်ကွဲ	kau ² mā ⁵ hən ¹ han ⁶	I have never seen
ကွဲ	hən ¹	not	ကွဲကျဲ	hən ¹ kã ¹	won't go
ကိၣ်	üŋ ²	no	နီၣ်ကိၣ်ယၢ	ŋün ² üŋ ² yaŋ ²	no money

Table 34: Negative morphemes after Banchob (1987)

Negative particles in the Tai languages appear to fall into two categories. The simple negators recorded in this thesis are listed in Table (35):

Tai	Phake pronunciation	Aiton pronunciation	gloss	notes
မံၣ်	mau ¹	mau ¹	'NEG'	this is the form used in writing
မု, မး	ma ¹	ma ¹	'NEG'	infrequent in Aiton
ကိၣ်, ကိၣ်	üŋ ¹ , üm ¹ , m ¹	uŋ ¹ , um ¹	'NEG'	

Table 35: Simple negators in Tai

The negators listed in Table (35) occur preverbally, following TAM_i markers such as the future/irrealis marker *ta¹/ti¹/tak¹* (see example (230) in section §5.8.4.1). These are exemplified in (308):

- 308) လေ ကျဲ ကျဲ ကိၣ် ဒဲ ။
 le¹ khaa¹ ka³ uŋ¹ dai³
 roam search LINK NEG get
 'She went to look for it but could not get it.'

(Aiton Story, *The story of the forest spirit and the first daughter*, No (7), told by Sa Cham Thoumoung)

In Table (35), the morpheme *မံၣ်* is characterised as 'the form used in writing'. This is certainly the case for old manuscripts, but most present day Aiton speakers would write the form *ကိၣ် uŋ¹* as in (302). Diller (1992:19) characterised this as a preclitic negative marker and wrote it as [m-].

In Phake, there are two forms which commonly occur, *ma¹*, as in (309) and *üŋ¹/üm¹*, which is exemplified in (312.3) below.

- 309) ဟဲၣ် ခိၣ် တၢၣ် တၢၣ် ကိၣ် မု ယၢ ယၢ ။
 hã¹ nai⁴ taŋ⁴ mai³ an² ta¹ kin² ma¹ yaŋ⁶ ye⁴
 at that time hungry CLF will eat NEG have.NEG PRT
 'At that time they were hungry, but there was nothing to eat.'

(Phake Story, *The two brothers*, No. (4), told by Ee Nyan Khet)

The late Aimya Khang Gohain strongly urged that the negator always be written *မံၣ် mau¹*, to avoid confusion with the word *မု mā⁵* 'come'. This is discussed below in 9.1.

In example (309), the main verb is 'have', which in citation form is *yaŋ²*. As already discussed above in 6.2.4.5.2, some Phake verbs undergo tone change after the negative particle *ma¹*. This is most common with verbs that have the 2nd tone in their citation forms, but can be observed with verbs that have other tones, such as in (310).

- 310) ပူ နီ မ နှ ။
 pū¹ nai⁴ ma¹ hai⁶
 grandfather that NEG give.NEG
 '(But), the old man didn't give (them) the food.'
 (Phake Story, *The two brothers*, No. (8), told by Ee Nyan Khet)

The main verb of (310) is 'give' which *hai³* in citation. The third tone is creaky, but in (310), the creakiness is absent and the 6th or rising tone has been employed in its place. Interestingly, a few moments after uttering (310), Ee Nyan Khet repeated the sentence, and the negative tone was not apparent, as in (311):

- 311) ပူ နီ မ နှ ဝတ် ။
 pū¹ nai⁴ ma¹ hai³ wat⁴
 grandfather this NEG give PRT
 '(and so) the old man did not give (them) the food).'
 (Phake Story, *The two brothers*, No. (10), told by Ee Nyan Khet)

In the examples of negative tone discussed so far, it appears to be redundant, in that it does not occur without the negative particle *ma¹*, nor does it appear to carry any meaning that would be lost if it were not present. This is in contrast to the questioning tone, the absence of which in a sentence like example (305) above would change the sentence from an interrogative to a declarative sentence.

However Ngo Ong, a Phake from Ningkam Phake village, said that the negative tone is used only for the habitual negative as in (312.2) and not when the action is non-habitual, as in (312.3).

- 312.1) မ ကိ ယ ။
 ma¹ kin⁶ ye⁴
 NEG eat.NEG PRT
 'As to *ma¹ kin⁶*.'

- 312.2) ကူ ဝန် ကွ မ ကိ န မ ကိ ။
 ku⁵ wan² kau² ma¹ kin⁶ ne⁴ ma¹ kin⁶
 every day 1Sg NEG eat.NEG DEF NEG eat.NEG
 '(If I) don't eat fish every day, I would say *ma¹ kin⁶*.'

- 312.3) မိတ် ကွ ကိတ် ကိတ် ။
 mət⁵ nai⁴ kau² ün¹ kin²
 today 1Sg NEG eat
 '(If I didn't eat) today, I would say *ün¹ kin²*.'
 (Phake sentences spoken by Ngo Ong).

Audio Link for these sentence examples (wave files\phake\extracts\1-10-2-9\ngoang.mp3)

The main verb of both (312.2) and (312.3) is *kin²* 'eat'. In (312.3) it is realised with the tone from its citation form, but in (312.2), it is realised with the negative tone, as *kin⁶*.

Ee Nyan Khet offered a different explanation for why the tone sometimes does not change. In the case of (313), the main verb *yan²* retains its citation form, and does not appear as negative *yan⁶*. These words were spoken in anger, and therefore the pronunciation was, as Ee Nyan Khet put it *con² nü⁵ hai²* 'a little strong.'

- 313) ကိတ် ယ လု မ ။
 ün¹ yan² luk⁴ maü²
 NEG have child 2Sg
 'You shouldn't have our child!'
 (Phake Story, *Story of the kum⁴ bird*, No. (55), told by Ee Nyan Khet)

In both (312.3) and (313), the verb is preceded by *ün¹*, rather than *ma¹*. It may be that the negative tone is usually associated only with the negator *ma¹*.

The simple negators listed in Table (35) above occur before auxiliary verbs as in (314):

- 314) မ ကွ ကိတ် ။
 ma¹ khai³ kin²
 NEG want eat
 '(I) don't want to eat.'
 (Phake sentence)

In (314), the negative tone is blocked by the presence of a auxiliary verb. The pronunciation **ma¹ khai³ kin⁶* was declared ungrammatical by Phake informants.

Example (315) gives the syntactic frame for the negators with other preverbal elements:

315) (TAM₁) NEG (AUXILIARY) VERB

Sometimes even in manuscripts negation is understood on the word ယာ် *yā* 'have', being interpreted by the Phakes as having the negative tone *yā*⁶, rather than *yā*².

One example where the negative reading is preferred was (316):

316) ဘဝ် နိ ဘဝ် နျ ယာ် နိ ပိန် ။
 co⁵ nai⁴ cāt⁴ nā³ yā⁶ nī² pen²
 time this life future have.NEG good be
 'The present and the next life will (not) be good.'

(Tai Phake Text, *Grandfather teaches Grandchildren*, Intro No. (28), read by Yehom Buragohain)

Aimya Khang indicated that as this clause is linked to the previous one, in which there is a negative, the verb in (316) should be read as negative. When reading this text, however, Yehom Buragohain read *yā*².

A second type of negative morpheme, such as *yaa*¹/*yā*¹ 'don't', *pai*¹/*pi*¹/*pa*¹ 'don't', 'not yet' or *tap*⁴ 'need not', are prohibitive in meaning. These can appear by themselves, or in combination with the simple negators discussed above. Some of these have already been exemplified after Banchob (1987) in Table 34 above. In Aiton, *pa*¹ is frequently found, as in (317):

317) ပါ ဘာ နှာ် ဟော် ကျ ။
 pa¹ naa¹ hau³ caak³ kho¹
 NEG PRT give dig hoe
 'Don't make them dig.'

(Aiton text, *History of the Tai*, No. (147) told by Sa Cham Thoumoung)

Finally, there is one case of possible double negation in (318):

318) ကာ် နှာ် ဘူ ဘဝ် နိ ယာ် နှာ် ကျ ။
 khan⁶ hor⁴ cū² cū² nai⁴ mau¹ yā¹ com² khā⁶
 up to this arrive time now NEG don't follow search
 'Up to this time we have not failed to follow our grandparents.'
 (Tai Khamyang Manuscript, *The book of calling back the Khon*, No. (7), read by Sa Myat Chowlik)

It might be better to gloss *yā*¹ as 'cease' in (318). Diller (pers. comm.) pointed out that in some early Thai inscriptions, the phrase *bāB3 yaaB3* is found. The example given was *bāB3 yaaB3 khaaD1* (NEG-don't-separate), used when King Lue Thai⁷⁵ was chasing an elephant and meaning 'without giving up'. This may suggest that *yaaB3* was originally a main verb meaning 'to leave, stop doing something'.

8.6.4 Imperative

Commands in Tai Aiton and Tai Phake can be expressed in several ways. One is by the use of hortative or imperative particles, often found in manuscripts, as demonstrated in example (319):

319) ယူ နှာ် နှာ် နှာ် လွှာ် ကိန် ကျ တာ် စွာ် နိ ။
 suu¹ hem² khau³ hem² lau³ kin² kaa¹ ta³ waa² nai³
 2PI prepare rice prepare wine eat GO PRT.HORT say this
 'You prepare rice and wine for us to eat, so they spoke.'

(Aiton manuscript, *The treaty between the Aitons and the Tuiungs*, No. (88).)

The hortative particle *ta*³ occurs in utterance final position. It is less frequently found in spoken texts. Example (320) is from the *Story of the old woman*, which Nabin Shyam wrote down and read, although it is composed in spoken style.

320) မွာ် ပိ ကျ ကျ တိ နှာ် နှာ် တာ်
 mau² pai² uu¹ kaa¹ tii² hum² pum² ta³
 you go stay PAST at other other people PRT.HORT
 'Go and stay at the house(s) of other people.'

(Aiton Story, *Story of the old woman*, No. (13), told by Nabin Shyam).

⁷⁵ A Thai king from Sukothai, reigned 1317-1354.

Another method of expressing a command is to use the TAM₂ markers. While investigating the morpheme *kaa*/*kā*, I recorded several examples where the marking of past time was clearly not its function. One of these, which was written down but not recorded, is presented in (321), where the verb is followed by *kaa*, here notated without any tone marking.

- 321) ကိုက် ကိ ကျ ခိုက်
 w² khai² kaa wum³
 yes tell GO PRT
 'Yes, tell it!'

(Aiton sentence, spoken by Sui Khong Thoumoung.)

This use of *kaa*/*kā* in an imperative sentence remained a mystery until Aithown Che Chakap was assisting with the translation of his story, *The widow*. When discussing example (322), he pointed out that there was an imperative variant of *kā*, which was pronounced *ka*³.

- 322) ကွံ ကျ ခိုက်ကွံ ကျ ခိုက် ဓာ ခိုက်ကွံ ကျ ခိုက် ။
 au² ka³ nūŋ¹ kau¹ ko⁴ nūŋ⁵ me² nūŋ¹ kau¹ ko⁴ nūŋ⁵
 take GO.IMP again CLF one wife again CLF one
 'Take another one, take another wife!'

(Phake Story, *The widow*, No (12), told by Aithown Che Chakap)

Aithown Che explained that each of the three TAM₂ markers (see 8.5.7.5), had an imperative form, spoken with a short vowel and on the third tone, as in (322). Each of these three imperative markers still maintains some of its original meaning. In Phake, *wai*³ would be used in a sentence like *tem*³ *wai*³ (write-KEEP.IMP) 'Write it!', which suggests not only that the thing be done but that its results would remain. If calling a child to eat, one might say *kin*² *ma*³ (eat-COME.IMP), because the child would likely be in another location and would need to come towards the speaker to eat.

This usage of imperative particles is summarised in Table (36)

	TAM ₂ as markers of imperative
<i>ka</i> ³	General imperative marker
<i>ma</i> ³	Marker of imperative - used when the scope of the process indicated by the verb is in some way towards the speaker
<i>wai</i> ³	Marker of imperative, used when the process indicated by the verb is intended to have long term results, also used as imperative past marker of states

Table 36: Imperative markers in Phake and Aiton

It appears that these markers are also found in Aiton, as in (323), where *ai*³ is a spoken form of *wai*³. When the recording of the *History of the Tai* was copied by Bidya Thoumoung, he wrote *ə wai*³.

- 323) မှီ ယုတ်လိ ကိ ကွံ တီ ပွက် မိုင် ။
 mau² so¹ lai¹ ai³ kau² ti¹ pok³ muŋ²
 2Sg rule PRT 1Sg will return country
 'You keep ruling, I will return home.'

(Aiton text, *History of the Tai*, No. (137) told by Sa Cham Thoumoung)

It is not clear which tone these markers have in Aiton. It appears in (324) that it might be the second tone, but the sense is still that of a command.

- 324) လီ ထိုက် ကိုက် လီ မှာ မိမိဉာ
 phii¹ thun¹ uui² cii³ ma² sim² phraaŋ¹
 spirit forest VOC show COME.IMP spade

လီ မှာ မိမိဉာ ။
 cii³ ma² sim² phraaŋ¹
 show COME.IMP spade
 'Hey, Forest Ghost, show me my spade.'

(Aiton Story, *The story of the forest spirit and the first daughter*, No (19), told by Sa Cham Thoumoung)

8.7 Complex sentences

In this thesis the term *complex sentence* will be taken to refer to sentences which are made up of more than one clause. Vichin Panupong (1970:4-8) identifies three types of complex sentences for Standard Thai, which she calls *complex*, *compound* and *linked* sentences. A complex sentence for Vichin Panupong is "a sentence one or more of whose constituents is in itself a downgraded sentence", where a downgraded sentence is one "whose status can be reduced to becoming simply a unit of structure within a larger construction". She exemplifies this in (325), where the downgraded sentence is in italics:

- 325) กุหลาบ ที่ ยัง ตุม อยู่ ไม่ ควร เด็ด
 kulà:p thî yaj tum yù: mâi khuən dèt
 rose which still bud PROG NEG should pick
 'The roses which are still in bud should not be picked.'

Such complex sentences in Vichin Panupong's terms are those involving a noun phrase with a relative clause or some other sentential modifier. The relative clauses have already been discussed at 8.3.2.5.

A compound sentence, on the other hand, is one which contains two or more simple sentences, or a simple and complex sentence, as in (326), linked by a class of words called linkers by Vichin Panupong. The linker is italicised:

- 326) ท่าน ทราบ ไหม ครับ ว่า เขา จะ กลับ เมื่อไร
 tân sâ:p máy kháp wá: kháw cà klàp muə̀rày
 RESP know QN PRT LINK 3Sg WILL return when
 'Do you know when he'll be back?'

A linked sentence is one which is preceded by a sentence linker. Usually these are part of a compound sentence as in (327), where the linked sentence is shown in italics.

- 327) ดี ที่ฝน ไม่ ตก
 di: thî fǒn mâi tòk
 good which rain NEG fall
 'It's a good job it didn't rain.'

In the Tai languages of Assam, several types of sentences are made up of more than one clause. In some cases, two sentences are linked without any implication of one being in any way dependent on the other. Such sentences are linked by words like *sam*⁴ (*nūŋ*⁵), as in example (328):

- 328) ເຢອມ ພາ ຢູ່ ອີ ກິ່ງ ມຸ້
 ye³ hóm⁶ yaŋ² y¹ t⁵ ken² məŋ²
 Yehom* have stay at heart country

- សំ កូ កូ ក្រក គ្រីត ណាប៊ីន
 sam⁴ kau⁴ au² khon² cū⁵ no¹ pin²
 repeat again take mix name Nabin*

"There is Yehom who lives in the heart of that country, in addition there is a man called Nabin."

(Tai Phake Song, Poem in the *khe*² *khyāŋ*² style: How Stephen Morey came to the Tai Phakes, Nos. (24) and (25), read by Ai Che Let Hailung)

More frequently when two sentences are combined, one is in some sense dependent on the other. Sometimes this is achieved by simple apposition of two sentences, as in (329), the literal meaning of which is: '(there was) an earthquake - (so) the earth shook'.

- 329) ກິ່ງ ພາ ລາງ ນິນ ຍອນ ປີ ຍອນ ມາ
 iŋ¹ san¹ laŋ⁶ nin² yon⁵ pai² yon⁵ mā²
 earthquake the earth rock go rock come
 "during an earthquake shock, the earth shook."
 (Banchob 1987:354)

When speech is being reported, it is introduced by the word *wā*⁵ 'say', as in (330):

- 330) ມຸ້ ພາ ພາ ພາ ພາ ພາ ພາ ພາ ພາ
 mün² wā⁵ sūŋ⁶ phā⁴ phon⁶ ye⁴ kau² mau¹ pauk⁴
 3Sg say if weather rain PRT ISg NEG return

- ຕາ ພາ ພາ
 ta¹ son⁴ nā²
 will take shelter field

'She said, "If it rains, I won't return, I'll take shelter in the fields."'

(Phake Story, *Chaw Mahosatha meets Nang Pingya*, No. (47), told by Ee Nyan Khet)

Sometimes speech or thought is introduced by other words, such as *hū* 'know' in (331). This example is complicated by the fact that Ee Nyan Khet repeats the link (*hū* *thom* *kā*) before introducing the second clause of this complex structure.

331) လာ နှင့် မဟောသော လာ နှင့် ကျ နှင့် ထွံ ကျ
 ne⁴ cau³ ma¹ ho¹ ne⁴ hū⁴ kā¹ hū⁴ thom¹ kā¹
 DEF RESP Mahosatha PRT know GO know listen GO

ကျော့ ကျ မာ် လာ ကျ ထိ ကျ
 ၁¹ ū³ mūn² ne⁴ kā¹ thai⁶ nā²
 EXCL father 3Sg DEF go plough field

'Chaw Mahosatha knew that her father had gone to plough the fields.'

(Phake Story, *Chaw Mahosatha meets Nang Pingya*, No. (33), told by Ee Nyan Khet)

The second clause in (331) is in the form of direct speech. This sentence was difficult to render into English adequately, because in English direct speech is not used after 'knows/knew that'.

In addition to structures like (331), there are complex sentences in which one event has occurred before another. These sentences can be linked with clause initial conjunctions such as *ka* *lag*, as in (332):

332) ကျော့လင် လိုက်ကျော့ နှုတ် မိုင် မာ်
 khun² kon¹ ka¹ lag¹ su¹ kaa² phaa³ kho¹ mūn² maa²
 also after Sukapha abandon country COME

မိ ပာ် ပီ ကျော့ မိုင် မာ် နှု
 sii¹ paak¹ pii² kun² mūn² maan² khau¹
 4 100 year people country Burma 3Sg

ဟိမ် အူ ကာ မွန် ကွန်
 him¹ au² kaa¹ mūn² kōn²
 snatch take GO country Kong
 'Four hundred years after Sukapha left his country, the Burmese took Muang Kong.'

(Aiton text, *History of the Aiton*, No. (73) told by Nang Wimala Thaumoung)

In addition, there are the complex sentences in which one part of the complex is dependent on the other. In (333), the dependent or subordinate sentence is introduced by *kap* *pə* 'because':

333) ကပ်ပိုက် ကိန် ကျော် ဂတ်
 kap⁴ pə⁵ kin² kā¹ nī¹ phān⁶
 because eat opium poor
 'He becomes poor, because of smoking opium.'
 (Banchob 1987: 37)

A particular type of subordinate relationship is that of condition, in which the subordinate clause is introduced by *if*, which is most often expressed in the Tai languages of Assam by *saŋ* *sūŋ*, or less frequently by *pek* *paik*, which we see in (334)

334.1) မာ် (ကျော့လင်) ပိတ် ပိုက် မိပ် ကျော့ မာ်
 man² aa¹ saŋ¹ nai³ pek³ pūn² sip¹ ko³ maa²
 he HESIT if other 10 person come

ကျော့ မိတ် မာ် တီ မာ် ဗုတ် ကျော့
 au² mit³ maa² tii² maa² bup¹ ko³
 bring knife come to come beat PRT

မိုင် ဘာ် မာ် မာ် ကျော့ တိက်ထီ ကိ
 mu² nan³ man² maa¹ naa³ tik¹ thii¹ ai³
 time that 3Sg pride wrong thinking PRT

334.2) မာ် ကိုင် ပေ ကွမ် မာ် ပံ
 man² uŋ¹ pe³ kōm³ man² pam²
 3Sg NEG can bow 3Sg ready to fight

၎င်း မှာ ဟော လို့ ။

ham³ n³aa² caa² ။²
GIVE come to 1Sg

'If ten people came, bringing knives, came to beat him, and if in that time he was proud and haughty, he could not bow down, he is ready to fight, saying 'Let them come to fight me!''

(Aiton Story, *The twelve questions*, Nos (167)-(168), told by Sa Cham Thoumoung)

Example (334) is rather too long to be regarded as a single sentence and when preparing the analysis of *The Twelve Questions*, Nabin Shyam suggested these be written as two sentences. However it is regarded as a single sentence because (334.1) is laying down the two conditions, namely *If ten men came* and *If he was proud and haughty*, and the main sentence is found in (334.2).

Example (335) also shows subordinate structures, here marked by *lai³* 'so':

335) ဟတ်ကို လဲ ဖြူ ပိန် ဟွံ ပုတ် ခွဲ ဟွတ်
hat¹ hat¹ kai² kai² lai³ phraa² pin² cau³ po¹ noi khyot³
very angrys so Buddha be RESP born PRI preach

လဲ ဟတ် လဲ ကို ။

lai³ hat¹ lai³ kai²
so short temper so angry

'They were very angry, so Buddha was born (here), and came to preach because of this short-temperedness.'

(Aiton text, *Why Buddha was born in this world*, No. (9), told by Sa Cham Thoumoung.)

8.7.1 Causatives & Purposive constructions

The verbs *au²* 'take' and *hau³/hai³* 'give' both have secondary functions as the formative in causative and purposive constructions. Diller (1992:24) reported that *au²* is "the main causation formative ... The direct object ("causee") may follow this group, in which construction it is often "case marked" by the preposition *hay²*."

However, as discussed above in 8.3.3, it appears that the use of *hay²* is not related to the presence of one of these causation forming verbs.

In example (336), the causative is necessary because a Buddha image cannot of itself walk; hence it has to be caused to be gone. The agent is unstated.

336) နှံ ဖြူ နှံ ကွဲ ပဲ

haam¹ phraa² haam¹ au² pai²
carry Buddha carry TAKE go

'Carry the Buddha image (and cause it to go).'

(Aiton text, *Explanation of Balipathar Temple*, No. (13), told by Chaw En Lai).

In example (337), the "causee" is in an prepositional phrase, headed by *caa²*. In this construction the intransitive verb *lap¹* 'to be afraid' in combination with *au²* becomes almost lexicalised as the transitive verb *au² lap¹* 'to frighten'.

337) ပဲ ကတ် လတ် ဟော မေ ဟောကြွေ

pai² au² lap¹ caa² me² po¹ haa¹ rii¹
go TAKE afraid to woman businessman

မေ ဟောကြွေ လတ် လီ ။

me² po¹ haa¹ rii¹ lap¹ sii¹
woman businessman frightened PRT

'They frightened the woman businessman. She was afraid'

(Aiton Story, *Story of the monkey and the fox*, No. (4), told by Bidya Thoumoung).

Probably the most lexicalised combination with *au²* is *au² tai²* (TAKE-die) 'to kill'. In example (338), on the other hand, there is no lexicalisation.

338) လီ ထိုက် ကာန် ဟီ နှံ မှာ ဟွတ်ဟော ကာန်

phii¹ thum¹ an² cii³ hau³ maa² cok¹ khaa¹ an²
spirit forest CLF point GIVE come basket CLF

sim² phraan¹ mmm² au² haai¹ daai² pai² kaa¹
 spade 3Sg TAKE disappear lost go GO
 'He pointed out the basket and caused her spade to disappear.'

(Aiton Story, *The story of the forest spirit and the first daughter*, No (11), told by Sa Chām Thaumoung)

In this thesis *au*² has been glossed as 'TAKE', using upper case letters in any case where it is felt that there is some grammaticalisation of the original meaning. The word *hau*³/*hau*³ is also used for causatives, as in (339)

339) hau³ naan² nan³ thom¹
 GIVE lady that listen
 'To get her to listen.'

(Aiton text, *Advice to women*, No. (2.5), told by Nabin Shyam.)

The late Ainya Khang (pers. comm. 23/6/1999) stated that *hau*³ *pa*² meant "cause to, in order to" and gave several examples of its use similar to that in (340). In (340), there is some sense of purpose, although *hau*³/*hau*³ could be interpreted variously. In this thesis, mindful of the fact that this word retains much of its original full verbal sense, it is glossed as 'GIVE'.

340) a¹ khi¹ kua¹ lai¹ tak¹ lā² hau³ pa² thū⁶ mān³ pā¹ wai⁶
 time how much will require GIVE enough reach village Pawoi
 "How long does it take to reach Pawoi?"

(Phake sentence elicited from Aithown Che Chakap)

Sometimes there is no sense of either purpose or causation in its use, but it does involve transfer, as in (341):

341) hā¹ nai⁴ nā² pi¹ nā¹ ne⁴ lau⁵ hau³ han² cau³ ma¹ ho¹
 time this lady Pingya DEF say give to RESP Mahosatha
 'Then Miss Pingya said to Chaw Mahosatha.'

(Phake Story, *Chaw Mahosatha meets Nang Pingya*, No. (56), told by Ee Nyan Khet)

In example (341) it is unclear whether it is more appropriate to gloss *hau*³ as 'give', implying that the meaning of transfer is still salient, or as 'GIVE', suggesting that grammaticalisation has considerably advanced.

The word *hau*³/*hau*³ also has a meaning of 'let' or 'should' as in (342):

342.1) aa¹ muu² an⁵ nii⁵ ne⁴ can¹ hau³ het¹
 work CLF good this then GIVE do
 'This good work (only) they should do.'

342.2) aa¹ muu² an⁵ ma¹ nii⁵ ne⁴ ma¹ hau³ het¹
 work CLF NEG good this not GIVE do

han² luk⁴ on¹ an⁵ khu³ maa² nai⁴ khu⁶
 to children CLF increase COME these* 3Pl
 'The growing children should not be allowed to do these wrong deeds.'

(Khamyang Story, *Advice to children*, Nos. (30) and (31), told by Sa Myat Chowlik.)

Example (343) forms a neat quartet. In (343.1), *hau*³ appears in its full verbal meaning of 'give', but in (343.2) it can be read as either a full verb in a serial verb construction or as a causative, or even benefactive construction. Example (343.3) is more clearly an applicative construction of cause or purpose. These three are then followed immediately by a causative construction with *au*² in (343.4):

343.1) ne⁴ me⁵ nā² pi¹ nā¹ hau³ ne⁴
 DEF mother lady Pingya give DEF

ne⁴ sū³ caik¹ phā³ caik¹ au² mā²
 DEF cloth tear cloth tear take come
 'Miss Pingya's mother gave some torn cloth (to him).'

- 343.2) မူ နှံ နှံ မဟော့ လာ ယပ် ။
 mā² hāi² cau³ mā¹ hā¹ nē⁴ yap⁴
 come give RESP Mahosatha DEF sew
 'She gave them to the Mahosatha to sew.'

- 343.3) လူ နှံ နှံ ယပ် ယပ် ။
 phā³ hāi⁴ hāi³ yap⁴ yap⁴ yap⁴
 cloth bad give sew sew
 'The bad clothes she gave him to sew.'

- 343.4) ကွဲ ယပ် ကွဲ နီ လာ ။
 au² sōp¹ au² nī² se⁶
 take beautiful take good PRT
 'He made them beautiful.'

(Phake Story, *Chaw Mahosatha meets Nang Pingya*, Nos. (76)-(79), told by Ee Nyan Khet)

Audio Link for this sentence example.

Audio Link for the story of *Chaw Mahosatha meets Nang Pingya*

No better examples could finish the syntax chapter with the clear message that the syntactic construction in which a word occurs plays a key part in its interpretation. Words such as *hāi³* continue to maintain at least part of their original meaning, no matter how grammaticalised they appear to be. I venture to suggest that there will be no occurrences of *hāi³* in which the meaning of 'give', or the concept of transfer, is completely bleached.

9. The Literature of the Tai



The stories of the Tai are so many
 – Am Saeu Kyo

The literature of the Tai of Assam includes both written and oral texts. Every village temple possesses a large collection of manuscripts, mostly Buddhist texts, although these can only be interpreted by a relatively small number of people. Furthermore, many individuals possess both religious and non religious manuscripts, the latter including histories, astrologies and manuscripts dealing with traditional medicine.

Manuscripts are regarded with great respect in the Tai community. Those who can read and interpret them also make new copies to replace those older copies which will, in time, deteriorate and be discarded. Great merit is accrued in the copying of an important Buddhist manuscript. The temple libraries often contain multiple copies of a single text, because several individuals have copied the manuscript. Manuscripts are presented to monks at festivals. Sometimes, manuscripts are presented to honoured guests, and the writer has been presented with several manuscripts in this way.

Despite the importance of the manuscript tradition, much of what we will term the literature of the Tai is oral, some being taught by oral tradition, some being innovated by a story teller or singer, and some being based on manuscripts.

In order to discuss the place of literature, especially written literature, in the Tai community, it will first be necessary to briefly examine literacy in the community.

9.1 Literacy among the Tai

Although these communities have possessed writing for many generations, and although there is almost universal literacy in Assamese, the language of wider

communication, many Tai people cannot read the traditional Tai scripts, called *to² lik⁴ tai²* in Phake.

One of the reasons for this is that the script has traditionally been taught by Buddhist monks. Tais in Northeast India are Theravada Buddhists and every village has a temple. At the present time, however, there are very few monks, and many villages have no resident monk at all. Teaching the script is therefore only sporadic, and in any case usually only available to men, because in the Theravada tradition followed in Northeast India, only men can fully ordain.

There are, however, a number of women who have learned the script thoroughly, especially in Namphakey village.






A second reason for the low level of literacy in the native script is the script itself. As pointed out in 7.5 above, the script does not mark tone, nor does it mark all of the vowel contrasts, nor even all of the initial consonants in all varieties. The script has only 18 consonant symbols, even though Aiton, for example, as noted above in 6.3.1, clearly has at least two consonant phonemes which are not represented in the script, namely /b/ (usually notated as *ə* <m>), and /d/, (usually notated as *n* <n>).

The problem is greater with the representation of vowels and tones. There are three contrastive tones in Aiton and six in Phake, but there is no tone marking at all in the writing system. Furthermore, there is, for example, no contrastive marking for front vowels in closed syllables, and in Phake there are three contrastive front vowels. This means that in Phake a syllable written *ṣṣṣ* <kiŋ> may be pronounced as *kiŋ*, *keŋ* or *kəŋ* on any of six different tones, a possible 18 different pronunciations of the same graph.

This has lead to considerable difficulty in reading the texts, and also to some controversy. Consider example (1), from the Phake *Grandfather teaches Grandchildren*.

- 1)

				
khām³	nam⁴	hai³	nū²	khon⁶
cross	water	GIVE	look	snag, log in water

				
pān⁵	pai²	on²	cūn¹	noi³
others	go	follow	maywith, together	

'When crossing the river, take care of the logs in the water. Let others go in front.'

(Tai Phake Text, *Grandfather teaches Grandchildren*, Proverb No. 12, read by Yehom Buragohain)

In the Phake community there is dispute as to the correct interpretation of the word at the end of the first line. There are two possible readings, given in (2), of which the first seems to be preferred by most members of the community:

- 2.1) khon⁶ 'a snag, a log of wood, a stump or branch of a tree embedded in the bottom of a river'
- 2.2) khon³ 'a wooden block, cut from the branch of a tree'. (In Shan given as 'a stick').

The late Aimya Khang Gohain, who preferred the second reading, explained it as follows: "*ṣṣṣ* means 'a stick' (*khon³*), not *khon⁶* 'a log in water' One does not know from the log in water, how deep the water is. But one can very well ascertain from a stick in the hand about the depth of the water."

It has even been said that for a person to be able to read a Tai text, they need to know its meaning beforehand. As Diller (1979:19fn) observed "Underdifferentiation of tonal and segmental contrasts lead Lik-Tai readers regularly to spend time "puzzling out texts". He further points out that Weidert (1979:322) reports a case of a Khanti man requiring two days to decode a letter from his father!

The late Aimya Khang Gohain (pers. comm 23/6/1999)⁷⁶, commented on the need to be as clear as possible in writing:

⁷⁶ The glosses and transcriptions in parentheses are added by me.

"The word *mā⁵* ('NEG') is used while speaking colloquially, but not used in literary writing, where *ḡ* (*mau¹* 'NEG') is used instead of *ḡ* (*ma¹* 'NEG'). Moreover the fifth tone is a long tone, while *mā⁵* 'not' when spoken before a verb is a short tone. It may be written as *ḡ*. The use of the long tone sign here would confuse the meaning, e.g. *ḡḡḡḡ* (*ma¹* *kin⁶*) 'not eating', *ḡḡḡḡ* (*mā²* *kin²*) 'coming to eat', *ḡḡḡḡ* (*ma¹* *nṇ⁶*) 'not sleeping', *ḡḡḡḡ* (*mā²* *nṇ²*) 'coming to sleep'. However, if one uses *ḡḡḡḡ* (*mau¹* *kin²*) 'not eating', *ḡḡḡḡ* (*mau¹* *nṇ²*) 'not sleeping', then there is no confusion at all."

The actual numbers of Tai people who can read the script is not known, but it is probably quite a small proportion of the total number of Tai speakers. Boonyong Kettatte (1998:168), writing about Khamti, noted that .

"... young Khamtis can speak their language but can neither read nor write it. Only those over 50 years of age can speak, read and write the language. However, Tai Khamti words and vocabularies are limited, so they borrow quite a few words from Hindi, Bengali, Assamese and English."

From my research, it is clear that there are people below the age of 50 who can read Tai script quite fluently. In Namphakey village, for example, most of the older men whom I have met are fully literate in Tai, and there are several women who have also learned the Tai script. There are also at least a small number of people under 35 who can read Tai script.

In the Aiton villages, the proportion of people who can read Tai script seems to be lower than among the Phake. I have only met one female who is fully conversant with the script, Nang Am in Bargaon, who stated that there were only three people in Bargaon who could read Tai.

In Duburoni the number of people who can read the Tai script is somewhat greater, and includes several younger men.

In the Khamyang village of Pawaimukh, there are said to be only two men who are literate in Tai, the younger of whom, Chaw Cha Seng, was born in 1928 and the older, Sa Myat Chowlik, in 1920. In addition to the two elderly Khamyangs, the only person in the village who is literate in Tai is the resident monk, the venerable Etika Bhikānu, who is a Tai Phake and fluent in both Tai script and Burmese.

In Pawaimukh, however, the situation might be about to change. Due to the decline of the language (discussed by Deben Chowlik in *The future of the Khamyang language*), the old men of the village have met and decided that the children of the village should be taught Tai (see above 2.4.2). Sa Myat Chowlik's younger brother Mihingta has thus commenced teaching the language and script. In order to do this he has himself begun learning to read Tai.

9.2 Types of Literature

At least in part because of the difficulty of reading the script, oral literature holds a very important place in the community. The literature of the Tai will be briefly discussed in terms of three parameters: mode, age and genre.

There are both written and oral texts, but many of the oral texts are themselves partly or fully informed by written texts, as shown in Table (1):

Mode	Example
Manuscripts	<i>Chaw Mahosatha</i> Manuscript
Oral retellings of manuscripts	<i>Why the Buddha was born in this World</i>
Literary Oral narrative	<i>A story of Justice (Pu Noi Ce)</i>
Spontaneous Oral narrative	<i>A story of Justice (Sam Thun)</i>

Table 1: Modes of Tai Literature

In Table (1), a distinction between types of oral text has been drawn. A number of the literate members of the Tai community are able to tell stories that are clearly drawn from manuscripts, although it is possible that these stories were also passed down to them by word of mouth. The Aiton text *Why the Buddha was born in this World* is one such text, which, although presented in spoken style, tells a story which ultimately goes back to the Pali Canon, translated through several languages

eventually into Tai. Whether a manuscript containing this information still exists is not known. Presumably it existed in the past.

Two types of oral narratives are identified in Table (1), arising from the two versions of the *Story of Justice* recorded for this thesis. One of these, by Pu Noi Ce, is told in poetic language, with more elaborate vocabulary. The other, told by Sam Thun is much more spontaneous and vernacular in style.

I regret that during the process of collecting these stories, it was not always my habit to ask the informants about the provenance of the text that they were presenting. Further research on the literary forms of the Tai is certainly necessary.

The second parameter by which literature may be categorised is the age of the text. Most of the surviving manuscripts are up to 70 years old. The tradition of hand copying of old manuscripts remains, leading to the fact that most of the important manuscripts are present in several copies in temple libraries. For example in the Namphakey temple there were five copies of the *Chaw Mahosatha* manuscript when the village decided that I could take one copy to Australia for analysis. The age of the original texts from which these manuscripts were copied is indeterminate, but in some cases it may be up to several hundred years. This is suggested by the fact that many of the words which they contain are no longer in common use. Example (3) contains the word ထိဝလိဝ *theu leu*, which was not known to all my Tai informants.

- 3) ပိက တိ ကိ ယာ ဟူ
paik⁴ ti⁵ kai² yān⁶ cū¹
if place far far long far place

ထိဝလိဝ လိ ဟပ် ကျ။
theu² leu² lai⁶ sāp¹ kō⁴
very far many sides LINK
"If it is in a very far away place, in any direction,"

(Tai Khamyang Manuscript, *The book of calling back the Khon*, No. 30, read by Sa Myat Chowlik)

Link to a photograph of the manuscript (photos/khamyang/books/Lik Hong Khon/Hong Khon p2.jpg). This line is found on the 4th line, to the right.

In the process of translating the *The book of calling back the Khon*, leading Tai scholars from the Khamyang, Aiton and Phake communities were consulted. The first of these was Sa Myat Chowlik of the Khamyang, who gave the meaning of ထိဝလိဝ *theu leu* as 'a place where men cannot go, where spirits can go but men cannot,' or as 'a place so far that you cannot see it'.

However when the translation was checked with Nabin Shyam Phalung, he did not know the meaning of ထိဝလိဝ *theu leu*. It was only when this was rechecked with several Phake scholars, particularly Ai Che Let Hailung, that the meaning and tones for this word could be assigned.

The composition of new literature continues, and four poems were written in January 2000 to be read at the meeting in honour of the writer to express thanks for the printing of the *Phake Primer* (Morey 1999c). One example of this is the poem by Am Sacu Kyo, performed by her and several of her female friends on 29th January 2000. Example (4) is an extract from that poem.

- 4.1) မိုင် လိုင် ဟုံ ဟုံ ဟုံ ကာ ကုံ
māŋ² loŋ⁶ hau² sau² khəŋ⁵ an² kum¹
country big 1Pl to stay things CLF to gather
'Those of us who live in this big country, gather your things.'

- 4.2) ဟုံ ဟုံ ဟုံ ဟုံ မာ တုံ
ho⁶ caū² com² hom⁵ hom⁵ mā² tum²
head heart glad gather come to gather
'Our hearts are glad as we gather together.'

- 4.3) တိုင်လိုင် ဟုံ ကိုမ် ဟုံ တျာ်
tūŋ¹ loŋ⁶ caū² kūm² hāŋ³ tā¹ nam¹
all heart strong prepare PRT

လိုင် လုာ် လိ ဟုံ ဟုံ ဟုံ ကိုမ်
phuŋ⁶ kon² lai⁶ sam⁴ hom⁵ pauŋ² kūn²
group person many repeat gather join RECIPIENT
'All with strong hearts prepare for this festival, all the people gathered together.'

- 4.4) phaü^6 pai^1 caü^6 lüm^2 auk^1 ta^4 nā^2
 who don't no forget come out PRT
 'Don't forget, come out!'

- 4.5) khəŋ^5 an^2 khe^2 khyā^2 mān^3 hau^2 sau^2
 things CLF beautiful village 1PI stay
 'Prepare this beautiful village, where we live.'

(Tai Phake Song, *Song in honour of Stephen Morey*, Nos. 1-5, written and sung by Am Saeu Khyo.)

The third parameter is that of genre. Some of the genres are listed below in Table (2):

Genre	Example
Buddhist Manuscripts	<i>Mangala Sutta</i>
Stories from the life of the Buddha	<i>Chaw Mahosatha</i>
Moral tales	<i>Story of the Two Brothers</i>
Prayers	<i>Prayer of Blessings</i>
Songs	<i>Rice stamping song</i>
Histories	<i>The treaty between the Aiton and the Turung</i>
Ritual	<i>The book of calling back the Khon</i>
Astrologies	<i>Book of avoiding dangers</i>
Medical	<i>Tai Medical Manual</i>
Proverbs	<i>Grandfather teaches Grandchildren</i>

Table 2: Genres of Tai Literature

9.2.1 Cataloguing the Tai manuscripts

The Buddhist temple at Namphakey village keeps a very comprehensive catalogue of each of the books in the temple library, and the number of copies of each book that are held there. Most of the temple's collection are Tai translations or interpretations of Buddhist holy books, from the Pali canon. It also includes some Tai translations of Indian classics, such as the *Lama Mang*, which is a translation of the Indian Epic *Ramayana*.

Another very important library of Tai manuscripts is in the Department of Historical and Antiquarian Studies, Guwahati. Their collection includes manuscripts from all the

Tai communities of Assam, including the Ahom. A comprehensive and annotated catalogue is being prepared by Yehom Buragohain and Prof. J.N. Phukan.

Several Assamese publications have listed manuscripts. The most important of these is Gogoi (1993). Puspa Gogoi is a keen Ahom revivalist, and has attempted to not only list but also catalogue the manuscripts. Table (3) is an extract from his catalogue:

No. 6
Deo Buranji
Owner – Maniram Mahan Baruah, Maniki, Sibsagar
Subject – Book of creation
Date – uncertain, felt to be very old
Description – Sachipat size - 10" x 3'; 33 leaves. Each leaf contains five or six lines, language and script in Tai Ahom. The letters on cover page are damaged, covered by fumes of ti phai. e.g. fire place
A line – Kan phuk rang mung jen pin
Content – It describes about the creation of the universe
Notes – The old manuscripts are kept in a box or bamboo tray hung over the fire place. It is one of the traditional method of preservation. The fumes of fire place keep the manuscripts well, drive away the mites and protects from fungus attack.

Table 3: Extract from Gogoi (1993)

Gogoi (1993) includes not only Ahom manuscripts, as in Table (3), but also Khamti manuscripts from Na: ayanpur on the north bank of the Brahmaputra.

Nomal Gogoi (1994:102) also lists the manuscripts held in the library of the temple at Namphakey.

9.2.2 The relationship between Ahom Texts and the texts of the other Tai groups

Terwiel (n.d.) made a careful study of an Ahom astrological text, *The Rotating Naga* and its Phake counterpart, and, while noting that the two texts are very similar and clearly have come from the same source, concluded that:

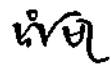



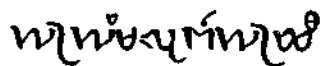
"It has been demonstrated that, although Ahom and Phakey share certain themes in their written traditions, there is no sign of these having been copied from each other during the last two hundred years. The differences in language,

script, content and style have been found consistent with the idea that the Ahom and Phakey texts have not been in contact for a very long period."

Another manuscript found in several Tai communities is the *Creation of the World*, for which Aiton, Phake and Ahom versions are known to exist. It must be assumed that they are all originally from the same source. The Aiton *Creation of the world* has been partly translated.

On the other hand, Ahom manuscripts are often quite different from the Aiton/Khamyang/Phake texts with similar names. It seems, for example, that the Ahom *Rik Khwan* (calling the *Khon*) is not the same as the Khamyang *Book of calling the Khon*. Further investigation of these two texts is needed to establish what they have in common.

There is in addition a very interesting series of Ahom manuscripts, called Puthi, which are actually Buddhist texts. Their provenance and place in the Ahom literature is unclear, because it is generally believed that the Ahom were never Buddhists, unlike all the other Southwestern Tai peoples, and that as a consequence the literature they left behind is entirely pre-Buddhist. Nevertheless there are a number of such manuscripts, one of which has been analysed. That this is a Buddhist manuscript is clear from the opening phrase, as can be seen in (5)

- 5)   
 na' mo' ta' sa' phak' ka' wa' to'
 honour to him to one who is illustrious
-  
 a' ra' haa' to' sa' (maa') sam' puk' (ta') saa' thii'
 to one who is worthy to a true Buddha
 'Honour to the one who is illustrious, worthy, a true Buddha.'
 (Ahom Manuscript, *Advice to Women*, No. (1)).

This phrase, the *namo tassa*, is a fixed form at the beginning of any Buddhist text in Pali as well as being written at the beginning of all manuscripts of the Tai Aitons and

Tai Phakes. Unfortunately the *Advice to Women* is an incomplete manuscript, but from what remains, it is clearly a Buddhist text.

9.2.3 The difficulties of reading Ahom Texts

When I first went to Assam in 1996, it was my wish to work on the Tai Ahom language, because of an interest in linguistic and cultural revival. It soon became apparent that working on Ahom manuscripts was not an easy task, and a great deal of study would be necessary before it would be possible to do it.

The problems with the Tai script, referred to above in 9.1 together with the fact that Ahom is no longer spoken as a mother tongue, has rendered the interpretation of Ahom manuscripts particularly challenging.

Terwiel (1988:294) advanced three reasons why the readings of Ahom manuscripts was so difficult. Firstly, most of the manuscripts were "copied by people who had, at best, only an inadequate knowledge of the script and language." If we assume that the scribes were already Assamese speakers, we might expect confusion between the letters *w*, historically /s/ and *w*, historically /c/ but now pronounced as /s/ by Assamese speakers. Such confusions would certainly lead to mistakes.

The second reason advanced by Terwiel is that the script itself was inadequate for rendering the living language, and therefore, "a reader who is unfamiliar with the text must read and re-read until he gets an inkling, from particular syllable combinations that cannot be misunderstood, what the general topic is about."

Thirdly, Terwiel strongly believes that only those who are well acquainted with "the principles of Tai grammar and who has access to a wide vocabulary can hope to create a meaningful translation of an Ahom text." It is hoped that this thesis might assist in this process.

9.2.4 The interpretation of Tai Aiton, Khamyang and Phake texts

In discussion with the Tai Phake scholars, it emerged that, just as Terwiel observed above, it is necessary to repeatedly read a manuscript in order to be able to find out its meaning. It is not necessary to be taught the meaning of the manuscript by another, although it seems that it is necessary at times to seek the advice of knowledgeable older persons as to the meaning of particular words and phrases.

The late Ainya Khang Gohain often invoked the authority of his late father when explaining to me why a particular phrase, such as that in (1) above, had the meaning that he ascribed to it.

For a non-Tai speaker to be able to read these manuscripts is clearly the study of many years. After nearly six years of study of the Tai languages, I certainly make no claim to be able to read their manuscripts.

9.3 The Importance of Literature

The various communities of Tai Aiton, Tai Khamyang and Tai Phake are very keen to have their languages studied and their traditions recorded. They are aware that as small communities of approximately two to three thousand, their languages are under threat from the Assamese language which is spoken by perhaps 20 million. They have already seen the Turung and Nora lose their Tai language completely.

As discussed above in 4.6, the recording and presentation of traditional literature must be accompanied by a respect for that literature as literature; and a respect for the fact that it is important not just to the community but to the whole of human culture that these literatures be recorded for their own sake, not just for the linguistic information we can mine from them.

The reader is enjoined to read some of these texts in English translation; and therefore in many cases full translations of the whole text have been provided, in addition to the line by line glosses necessary for linguistic analysis.

9.4 Bringing the Tai languages into the Computer Age - Presenting the literature for the benefit of the community:

The production of the computer fonts (see 7.8), has meant that, for the first time, printed books in the Tai language have appeared. Five such books were published and printed at Triograph Press in Dibrugarh as part of this PhD research project. These are listed in Table (4)

Book Title		Reference
လိက်ယွက်ဟုံတဲ ပြဇာတ်အိတ်တွန်	Book for teaching the Tai Language - Aiton Primer	Morey 1999a
လိက်ဟုံတဲအိတ်တွန်	Tai Aiton History	Morey 1999b
လိက်ယွက်ဟုံတဲ ပြဇာတ်အိတ်လူက	Book for teaching the Tai Language - Phake Primer	Morey 1999c
လိက်ဟုံတဲ	Book of calling the <i>Khon</i>	Morey 2001a
လိက်ပုယွက်လော	Grandfather teaches Grandchildren	Morey 2001b

Table 4: Tai books published as part of this PhD Project

In addition, in 2001, several Tai Phake elders produced *The good way of Teaching* (Ngi Kheng Chakap and Ai Che Let Hailung 2001).

The onset of printing will lead to some changes in the way that literature is viewed in the community; it is to be hoped that the manuscript copying tradition will not be devalued, but as the Tai move into the modern age, it is likely that fewer people will take the time to hand copy manuscripts in the traditional way.

Since, as noted in 9.1 above, many Tai people who are fluent in the language are not necessarily literate in the Tai scripts, those books already published have presented the texts both in Tai script, and in Tai language using Assamese script. The ideal would be a four way presentation, as in Table (5):

- The text in Tai language and script.
- The text in Tai language, using the Assamese script (see 7.9 above).
- A translation of the text into English.
- A translation of the text into Assamese.

Table 5: Ideal for the presentation of Tai texts and translations.

This methodology was followed in the production of the *Tai Aiton History* (Morey 1999b).

9.5 The texts presented in this thesis:

Over seven hours of text have been analysed and translated, all of which are presented as an electronic appendix to this thesis. In the following tables, the text number, length of the text, name of the informant and name of the text are given. All of these files will be found in the \texts folder of the electronic version of this thesis.

Phake texts are listed in 9.5.1, Aiton texts in 9.5.2, Khamyang texts in 9.5.3 and Turung texts in 9.5.4.

9.5.1 Phake:

Stories

1-1-1-1	2'00"	Ee Nyan Khet	The blind man and the man with scabies
1-1-1-2	1'35"	Ee Nyan Khet	The story of the two brothers
1-1-1-3	4'50"	Ee Nyan Khet	Chaw Mahosatha meets Nang Pingya
1-1-1-4	2'52"	Aithown Che	The Dolphin, the Crow and the Mosquito
1-1-1-5	3'14"	Aithown Che	The widow
1-1-1-8	1'15"	Pu Noi Ce	A Story of Justice
1-1-2-1	1'09"	Yehom Buragohain	Crossing a bridge
1-1-2-2	2'40"	Ee Nyan Khet	Chaw Mahosatha and the raising of cattle
1-1-2-3	2'50"	Ee Nyan Khet	The birth and early life of Chaw Mahosatha
1-1-2-4	6'00"	Ee Nyan Khet	Story of the <i>kum</i> ⁴ bird
1-1-3-1	2'00"	Ngi Kheng	The bird with the red bottom
1-1-3-2	1'24"	Sam Thun	A Story of Justice
1-1-3-3	1'22"	Sam Thun	Story of the dogs diving up their children
1-1-3-4	1'22"	Sam Thun	Story of the new king
1-1-3-6	2'19"	Ee Nyan Khet	Story of Deception
1-1-3-7	4'42"	Ee Nyan Khet	Story of the foolish king

Histories

1-2-2-1	13'	Ee Nyan Khet	Story of her youth
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Prayers

1-3-1-3	3'06"	Sam Thun	Prayer of Blessings
1-3-2-1	3'30"	Nang Pe	Blessing
1-3-2-2	2'23"	Ai Thaan	Prayer of Blessings

Buddhist Texts

1-4-3-1	17'12"	Ee Nyan Khet	Chaw Mahosatha Manuscript - Chaw Mahosatha meets Nang Pingya
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Other Cultural Texts

1-6-1-1	3'40"	Ee Nyan Khet	Riddles
1-6-1-2	1'10"	Sam Thun	Riddle - what has three heads and ten legs
1-6-1-3	1'10"	Ee Nyan Khet	Lullaby - the little rooster
1-6-1-4	2'	Sam Thun	Lakni - the Tai Calendar
1-6-2-1	10'47"	Ee Nyan Khet	Book for explaining the meaning of words to children
1-6-2-4	11'04"	Pingyajyoti Bhikkhu	Tai Calendar for the Year 2002-2003
1-6-3-2	15'01"	Yehom Buragohain & others	Grandfather teaches Grandchildren

Songs

1-7-1-3	2'58"	Ai Che Let	Rice Pounding Song
1-7-1-9	4'49"	Ee Nyan Khet	Rice Pounding Song
1-7-1-10	5'00"	Ai Che Let, Ngi Kheng & Sam Thun	Song in honour of Stephen Morey
1-7-1-11	4'03"	Am Saeu Khyo	Words of gladness - in honour of Stephen Morey
1-7-2-1	16'47"	Ai Che Let	Poem in the <i>khe² khyā²</i> style: How Stephen Morey came to the Tai Phakes.
1-7-2-2	2'26"	Ee Kya	Song of hoisting the Buddhist banner
1-7-2-3	2'52"	Ee Kya	Song of teaching the Tai Language
1-7-3-1	0'26"	Ee Nyan Khet	Our fragrant land
1-7-3-2	0'45"	Ee Nyan Khet	Importance of Tai traditions
1-7-3-3	0'17"	Ee Nyan Khet	Song about fruits
1-7-3-4	0'39"	Ee Nyan Khet	Fish, why are you blind?
1-7-3-5	0'42"	Ee Nyan Khet	Numerical rhyme
1-7-3-6	0'38"	Ee Nyan Khet	Australian Visitor
1-7-3-9	7'09"	Ee Nyan Khet	The Australian researcher - in honour of Stephen Morey

Informal texts

1-9-4-1		Peim Thi Gohain	Letter
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Grammatical texts

1-10-2-3	3'18"	Ai Che Let	The Phake Tones
1-10-2-7	~1	Ai Che Let	Names of the vowels

Speeches

1-11-1-6	4'04"	Ai Chanta	Speech at Meeting, 29/1/2000
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9.5.2 Aiton:

Stories

2-1-1-3	14'50"	Sa Cham	The Twelve Questions
2-1-1-4	4'35"	Sa Cham	Story of the forest spirit and the first daughter
2-1-3-1	0'50"	Chaw En Lai	How the Aitons and the Khamtis quarreled
2-1-3-2	3'09"	Ong Cham	Story of the crow and the fox
2-1-3-3	2'59"	Nabin Shyam	Story of the old woman
2-1-3-4	3'24"	Bidya Thoumoung	Story of the monkey and the fox
2-1-3-5	0'30"	Bidya Thoumoung	Story of the boy who wouldn't go to school
2-1-5-5	2'21"	Mohendra Shyam	Story of the forest spirits and the opium pipes

Histories

2-2-1-1	9'40"	Nang Wimala	History of the Aiton
2-2-2-1	7'28"	Nabin Shyam	Words in Praise of Khau Khau Mau Lung
2-2-3-1	5'56"	Ong Thun	Story of Barhula
2-2-3-2	10'37"	Sa Cham	History of the Tai
2-2-4-1	12'26"	Nabin Shyam	Treaty between the Aitons and the Turungs
2-2-4-2	2'15"	Nabin Shyam	Treaty between the Aitons and the Turungs - coda
2-2-4-3	8'21"	Nabin Shyam	Book of history (from) the time of the ancestor Chaw Tai Lung up until Sukapha
2-2-4-4	8'52"	Nabin Shyam	Creation of the world

Prayers

2-3-1-1	1'39"	Nang Am	Prayer
2-3-1-3	0'25"	Ven. Si Vicikta	Prayer (partial translation)

Buddhist Texts

2-4-1-4	5'53"	Ai Mya	Satipatthana
2-4-2-2	18'25"	Nabin Shyam	Advice to Women (Buddhist MS in Ahom script)
2-4-3-1	1'13"	Sa Cham	Why Buddha was born in this world

2-4-3-2	3'35"	Sa Cham	On Buddhism
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Ritual Texts

2-5-1-9	3'	Silava	Butterfly Dance
2-5-2-2	7'36"	Nabin Shyam	Explanation of Hong Khon ceremony.
2-5-2-4	20'53"	Sui Khong	Manuscript of the Tai Calendar for the Year 2001/2002 (Sakkarit)

Other Cultural Texts

2-6-1-1	0'49"	Nang Am	Lullaby
2-6-3-3	0'33"	Nabin Shyam	Numerical Rhyme
2-6-4-1		Pradip Thoumoung	Aiton Unlucky Days
2-6-4-2		Pradip Thoumoung	Omens for building a new house
2-6-4-3		Pradip Thoumoung	The Ten Perfections
2-6-5-1	1'35"	Nang Am	Various Cultural Items

Songs

2-7-1-4	2'00"	Cham Mya	Love Song
2-7-1-6	3'18"	Ruhila & others	Song of the village ...
2-7-1-9	2'00"	Pradip	Sangkyen Song
2-7-1-15	4'30"	Ong Cham	Love Song
2-7-3-1	~0'30"	Ai Hom	Dhonsiri River
2-7-3-2	1'08"	Nabin	Blowing Wind
2-7-3-4	0'43"	Bidya	Love Song - Sangkyen
2-7-3-5	1'12"	Bidya	Love Song - Our eyes will meet on the road
2-7-3-6	0'49"	Bidya	Love Song - Oh Golden Girl

Explanations

2-8-1-6	6'50"	Sui Khong	Explanation of Spirit House
2-8-1-8	2'00"	Chaw En Lai	Explanation of Balipathar Temple

Informal

2-9-2-1	7'11"	Nabin Shyam	Conversation with Nabin Shyam
2-9-2-5	~2'50"	Nabin Shyam	Conversation with Nabin Shyam
2-9-4-1		Nabin Shyam	Letter from Nabin Shyam
2-9-4-2		Nabin Shyam	Sample Letter
2-9-4-3		Nabin Shyam	Letter from Nabin Shyam
2-9-4-4		Ngi Khang In	Letter

9.5.3 Khamyang:

Stories

3-1-1-3	8'38"	Sa Myat Chowlik	Of children and kings
3-1-2-3	1'37"	Deben Chowlik	The Hunter's Parrot
3-1-3-2	3'40"	Sa Myat Chowlik	Advice to Children
3-1-3-3	5'41"	Sa Myat Chowlik	The Bird and the King

Histories

3-2-1-1	7'32"	Sa Myat Chowlik	The second world war and its aftermath
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Prayers

3-3-1-2	3'29"	Chaw Cha Seng	Parinibbana
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Buddhist Texts

3-4-1-3	8'00"	Sa Myat Chowlik	Mangala Sutta
3-4-2-1	30"	Sa Myat Chowlik	Some words about religion (partially translated)

Ritual

3-5-1-1	14'29"	Sa Myat Chowlik	Book of calling back the <i>khon</i>
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Other cultural texts

3-6-2-1	3'37"	Deben Chowlik	The Future of the Khamyang language
3-6-2-5	2'00+	Sa Myat Chowlik	The book of avoiding dangers

Grammatical texts

3-10-2-6	7'12"	Deben Chowlik	Khamyang Sentences
3-10-2-7	1'00"	Deben Chowlik	Khamyang Sentences

9.5.4 Turung

Stories

7-1-2-1	1'58"	Pong Chap	The old couple without any food (in Turung)
7-1-2-2	1'37"	Pong Chap	The old couple without any food (in Tai)

10. Lexicography

Two lexicons are presented as part of this thesis, the *Tai Aiton Dictionary* (see 10.1) and *Tai Phake Dictionary* (see 10.2).

10.1 Tai Aiton Dictionary

10.1.1 The word list of Bidya Thoumoung

In November 1999, Bidya Thoumoung, Nang Wi Thoumoung and Pradip Thoumoung were interviewed with regard to the production of a Tai Aiton word list. The first task was to identify the number of tones in use in the Aiton villages. After some discussion, it was agreed that there were probably five tones, although it appears that only three of these are contrastive (see above 6.3.4.1.3).

Bidya Thoumoung then commenced the task of listing as many Aiton words as possible. He wrote down each orthographic syllable, and then each of the meanings in Assamese for any possible pronunciation of that syllable. After discussion with him, I then added the phonemic transcription and English glosses. In almost all cases he listed monosyllabic words first and only added polysyllabic words with some difficulty.

Table (1) gives the full list of meanings and pronunciations which Bidya Thoumoung identified for the graph 𑜋𑜧 (kaŋ):

Aiton	Assamese	Phonemic	Gloss
𑜋𑜧	খুঁতৰি	[kaaŋ ²]	chin
𑜋𑜧	বহল	[kaaŋ ³ / kaaŋ ⁵]	wide
𑜋𑜧	কাড় ধনু	[kaaŋ ¹ / kaaŋ ⁴]	a bow
𑜋𑜧	হৰ পহু	[kaaŋ ²]	deer
𑜋𑜧	দিনিল লাগি ধৰা	[kaŋ ³ / kaŋ ⁵]	to be stuck in the throat
𑜋𑜧	গলি যোৱা	[kaŋ ²]	to spread (over) water

ကင်	နီ	[kaan ³]	fishbone
ကင်	နာ	[kaan ² naa ²]	paddy field
ကင်	နီ	[kaan ³ khaa ³]	a type of frog that lives inside the house
ကင်	နီ	[kaan ² kham ²]	night
ကင်	နီ	[kaan ² naa ¹]	morning
ကင်	နီ	[kaan ² wan ²]	daytime
ကင်	နီ	[kaan ² nam ³]	in the middle of the water

Table 1: Words with the written form ကင် according to Bidya Thaumoung

Altogether 1924 words were collected, and recorded on tape. There are without doubt thousands of other words which have not been included. Examples of word types which are certainly under-represented include polysyllabic words, proper names, names of animals and plants, taboo words and specialised vocabulary such as religious or astrological terms.

10.1.2 Other sources of lexicographical information on Aiton

There are two main sources for additional lexicographical information on Aiton. The first is the *Aiton-English-Thai Dictionary* (Banchob ms). In the future, the entire contents of this should be entered into the computer data base (see below 10.3).

The second source is the literature of the Aiton. The study of Aiton literature, whether Oral or written, will gradually reveal a large proportion of the words which were not listed by Bidya Thaumoung. As each text is analysed, any word which does not appear in Bidya's list is noted with its Phake cognate (Banchob 1987) or its Shan cognate (Sao Tern Moeng 1995) if there is one.

Table (2) lists the words in the first five chapters of *Treaty between the Aitons and the Turungs* which were not in Bidya Thaumoung's list.

Aiton script	possible phonemic transcription	Phake	English	Shan	Reference
နီ	khur ²	khə ²	lineage	နီ: 'creeper, race, lineage'	1
တူ	tu ¹ run ² / ta ¹ run ²		Turung		2
ယိ	set ¹	saŋ ⁶	make an appointment, a promise		2
ဟင်မု	hun ³ mut ³		Hung Mut, name of a year		3
လက်	lak ¹ nii ³		year		3
ယင်ပင်ကန် လက်	saŋ ¹ puŋ ¹ an ² lak ¹ nii ³		Lakni Board	သင်ပုဂ္ဂိုလ် 'a spelling book'	Note to No 3
ကိုင်ယန်	in ¹ san ¹	in ¹ san ¹	earthquake	ကိုင်, သင်, 'quake, as the earth, earthquake'	5

Table 2: Sample of list of words not found in Bidya Thaumoung's list

The full list of Aiton words not found in Bidya Thaumoung's list but occurring in the texts, is presented in Additional Aiton words.doc (in \lexicon\aiton).

In future, it is hoped to use the information in these texts to make a dictionary of Tai Aiton, which not only defines the meanings of words, but also exemplifies these words with reference to Aiton literature. Example (1) shows how a dictionary entry for the word ကိုင်ယန် *in¹ san¹* "earthquake", might appear:

1)
ကိုင်ယန် [*in¹ san¹*] an earthquake

မိုက်ကိုင်ယန်လင်ကုလေသုဉ်းစိတ်တန်ကု mu² nan³ in¹ san¹ luŋ¹ kaa¹ po² thun³ pet¹ wan² nam¹ naa¹ (There was an earthquake lasting up to 8 days.) [*Treaty 5*.]

In (1), the abbreviation [*Treaty 5*] refers to the source, *Treaty between the Aiton and the Turung*, and the sentence number.

10.1.3 Current state of the Tai Aiton Dictionary

The entire list elicited from Bidya Thoumoung has been entered into the data base (see 10.3 below). The flexibility of the data base allows for any number of fields to be printed in any order. The *Tai Aiton Dictionary* included in this thesis presents five of these fields (see \lexicon\aiton\aitdic.doc):

- Head word in Aiton script
- Head word in Phonemic script
- Transcription into Assamese Script
- Gloss in English
- Gloss in Assamese.

This is exemplified in Table (3):

កាកខ្មៅ	kaak ¹ khau ³	কাক খাও	type of rice	হেতা
កাক	kak ³	কাক	to keep one portion	ভাগ কৰা
কান	kan ²	কাউ	to spread (over) water	গলি যোৱা
কান / কান	kan ³ / kan ⁵	কাউ	to be stuck in the throat	দিনিল লাগি থকা

Table 3: Sample from *Tai Aiton Dictionary*

In addition, an English-Aiton word finder has been derived from the data base. This is exemplified in Table (4):

keep	কাক	kaak ³	কাক	to keep one portion
	বাই	wai ³	বাই	to put, to keep
	আই	ai ³	আই	to keep carefully
	উম	um ²	উম	to hold in the mouth

Table 4: Sample from English-Aiton word finder, in *Tai Aiton Dictionary*

One of the fields in the data base is headed <english_heads>. Every word which has 'to keep' entered in this field has been listed under the heading *keep*, together with its phonetic transcription and gloss.

In time it is hoped to add more information about word class, etymology and other lexicographical information.

A small section of the Aiton dictionary has been linked to sound files, with Bidya Thoumoung pronouncing each word in Assamese and then in Tai Aiton.

10.2 Tai Phake Dictionary

Since Banchob (1987) has already produced the very substantial *Phake-English-Thai Dictionary*, it has not been necessary to collect a word list to form the basis of a lexicon as was the case with the Aiton.

Banchob's excellent work suffers from two major disadvantages: its very large bulk makes copying and use difficult, and the lack of Tai script makes it very difficult for most Phakes to understand it.

Therefore the entire *Phake-English-Thai Dictionary* has been entered into a data base (see 10.3), with the orthographic form of each word as the head entry. Using the various fonts devised as part of this project, it has been possible to produce a version of Banchob's dictionary with the head word, phonemic realisation, and English translation as given by Banchob.

Banchob (1987:8) limited her lexicographical work to the collection of "words for daily use". She hoped to collect literary words later, but was unable to do so.

As with Aiton, words identified in Phake texts which are not found in Banchob's dictionary have been listed, and will be added to the data base over time. As with the Aiton dictionary, it is hoped that eventually a comprehensive dictionary with examples from the literature can be published. A full list of Phake words not found in Banchob (1987), but which have been found in the texts, have been gathered as *Additional Phake words.doc*. (in \lexicon\phake). This list will form the basis of additions to the Phake Dictionary.

10.2.1 Current State of the Tai Phake Dictionary

The present *Tai Phake dictionary* (see \lexicon\phake\phakdic.doc) contains all the head words listed in Banchob (1987) and a considerable number of compound words from her list. Four fields have been presented:

- Head word in Phake script
- A head and sense number
- Head word in Phonemic script
- Gloss in English

As with the Aiton Dictionary, an English-Phake word finder has also been derived from the data base.

10.3 The computer data base:

10.3.1 Design of the data base:

With the assistance and advice of Doug Cooper, Southeast Asian Software Research Centre, Bangkok, I have set up a data base in a modified XML format. This format is maximally flexible and can easily be converted to a format which is able to be entered into the SIL shoebox programme or similar lexicographical software. It is somewhat more flexible than any lexicographical software currently available, in particular allowing for the free use of as many fonts as required within any particular field.

A single data base is being used for both the Aiton and the Phake dictionary.

The data base has been designed to include:

- i) a head word in the Tai script
- ii) the native orthography for each of the varieties, with an allowance for orthographic alternatives
- iii) the word in a phonemic representation in each of the target varieties

- iv) definitions in English, Thai and Assamese
- v) example phrases or sentences to illustrate the word.
- vi) a link with the computer data-base of the Thai English Dictionary of Haas (1964), which has been prepared by Doug Cooper, Southeast Asian Software Research Centre, Bangkok.

The three target languages for translation are Assamese, English and Thai. Assamese is included because it is the principal language of wider communication in Assam state, and is also the most direct threat to the long-term survival of these languages. English was chosen because it is a language of wider communication in India, as well as an international language of scholarship, and the native language of the writer. Thai is included both because Banchob (1987) gave translations into Thai, and also because Thai is a widely spoken national language closely related to the Tai languages of Assam.

Further fields can easily be added to this data base, and in the future it could incorporate fields such as:

- <proto_southwesternTai_cognate>
- <proto_Tai_cognate>
- <proto_Tai-Kadai_cognate>

Table (5) is a sample entry from the data base:

```
<number>3
<phake_number>1,1
<lex term="kkq" head="1" sense="1" level="head"/>
<phake>ꠠꠠꠠ
<ahom>𑜋𑜏𑜍
<aiton>ꠠꠠꠠ
<aiton_number>1,1
<aiton_ipa>kak3
<aiton_assamese_script>কাক
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<aiton_english>to keep one portion
<aiton_assamese>ভাগ কৰা
<phake_ipa>kāk4
<khamyang_ipa>k
<english_gloss>keep
<phake_english_banchob>to keep one portion
<english_heads>to keep
<phake_assamese_aimya>ভাগ কৰি বখা
<assamese_heads>বখা/ভাগ <roman {CHK}>
<thai_cognate>
<thai_heads>เก็บไว้
<thai_banchob>แบ่งเก็บ กินไว้ส่วนหนึ่ง
<haas def>
<ex_banchob_tai>การเก็บของอยู่
<ex_banchob_phake_ipa>kāk4 wai4 cā5 cau3 mon2
<ex_banchob_english_banchob>to keep one portion (of food) for a monk
<ex_banchob_assamese>দ
<ex_banchob_thai>แบ่งเก็บกินไว้สำหรับถวายพระ

```

Table 5: Sample from the Tai dictionary data base

In this sample entry, in the field of <assamese_heads>, the symbol <roman > indicates that the words within the angle brackets should be printed in Roman script. The symbol {CHK} in curly brackets indicates that this entry should be checked. None of these brackets nor any of the information contained in them would appear in any final version of the dictionary.

11. Postscript - presenting this thesis in electronic format

When I commenced this thesis, I had no idea that it would end up being presented in two formats, as both a printed book and in electronic format. I had no conception that it would be possible to do what has been done here. This short chapter presents and discusses some of the issues involved in presenting the thesis in this way.

This chapter will date much more quickly than the rest of the thesis. Within a very short time some of the innovations discussed here may be normal practice in linguistics theses and barely worthy of comment. Future readers will no doubt be amused by some of the blind allies that I have followed. Nevertheless in 2002 it is important to discuss the challenges, problems and successes of presenting this thesis in an electronic format.

11.1 Creating and working with non-standard fonts

Even before I had decided that the study of the Tai languages of Assam would be my thesis topic, their study presented some electronic challenges. As mentioned above in 1.1, the first step that I took was the production of the fonts for the Tai scripts (see 7.8 above). In retrospect this was a very important step which has to some extent influenced everything that has followed. Since the fonts were created before I recorded any examples of spoken text, the Tai script became an integral tool in the analysis of these languages. Even before the phonological analysis was complete, data collection could be undertaken and translation could begin.

The process of creating a font involves not only making the right shapes, but deciding on a keyboard layout. At the time, I was using the Thai version of Windows. In that operating system, there is a switch key between the lower ASCII characters (the keys shown on the keyboard), and the upper ASCII, or hidden keyboard, where various diacritic forms like <â> are to be found. At that time, in Thai Windows, the Thai characters were all placed on the upper ASCII keyboard.

When operated, this switch key would give the character <E> when the letter <S> was typed. This is the place on a Thai keyboard where the letter ห /h/ is found. The first draft of the fonts used the Thai keyboard for the cognate characters in the Tai scripts of India, so that จ h was found on the character <E>.

The first story collected in January 1998 was *How the Aitons and the Khamtis quarrelled*, which Chaw En Lai Phalung told me during a long walk on the day of a bandh. In the evening I entered the story into the computer, using the Thai based keyboard described above.

I have since decided that it is better to place the Tai characters in place of the Roman characters in the lower ASCII keyboard, as can be seen in the various documents explaining the fonts, (see Table 27 in 7.8 above). However I did not always remember to go back and retype the stories which had been entered using the first drafts of the fonts. Some of this retyping was still being done in the week before the thesis was complete, and it is possible that some examples of the old keyboard are still present in the thesis.

Together with the fonts themselves, explanatory documents have been produced. These briefly how I went about making each font, and list all the characters included in the font, together with the keystrokes required to access those characters. For example, phake.doc (in the \fonts folder) lists all of the details of the Phake font.

Non-standard fonts such as those used in this thesis do cause problems, at least for older versions of the computer operating systems currently available. For example, several of the fonts used in this thesis will not work properly in Windows 95, but will work in Windows 98. Several of the fonts will not work properly in Word 98 but will work in Word 2000.

The fonts used in the earlier versions of Windows with which I began this thesis were 8 bit fonts, meaning that they had 2^8-1 or 255 characters. More recently, 16 bit fonts have been devised, having $2^{16}-1$ or 16,383 characters. With that number of spaces, it

is believed that all the writing systems of the world can be accommodated, and the Unicode consortium was set up to arrange this. Already today Unicode fonts are becoming standard and have many alphabets. A commonly available Unicode font in 2002 is Arial Unicode MS⁷⁷ which has all the characters for national writing systems (and those of the states of India), except for Burmese and Cambodian.

It is envisaged by the proponents of Unicode, that it will have a place for all of the writing systems in use in the world. We might hope that a place will be found there for the Tai scripts of Assam and when it is, the non-standard fonts produced for this thesis may become obsolete. However the non-standard fonts used here are necessary to present this thesis in 2002, and it would be surprising if the Tai scripts of Assam were present in Unicode fonts before the year 2010. Even then, Unicode is never likely to cover all of the possible fonts that we might want to use in a thesis like this. Even if it does eventually include characters that will allow us to reproduce Dr. Banchob's orthography for Phake, which is the basis of all the orthographies used in this thesis, it is unlikely that Unicode will ever have a place for the orthography devised by Weidert for Khamti (see 3.2.14.1). Despite the best intentions of the designers of Unicode, non-standard fonts may continue to be necessary.

11.2 Presenting the sound files

It seems self-evident that a thesis on language will be greatly enriched by having access not only to the transcription and translation of language examples, but also by the opportunity to listen to those language examples.

Several issues will be canvassed here. The recording of the texts is discussed in 11.2.1 and the transfer of those recordings into digital format is discussed in 11.2.2. The linking of these sound files to the format in which this thesis is written is discussed in 11.2.3.

⁷⁷ Should the reader not know about Unicode fonts, but wish to explore them, I suggest that you open a document and select Arial Unicode MS. Then select Insert Symbol (if you are a PC user) and then scroll down the fonts and explore the thousands of characters and dozens of writing systems present there.

11.2.1 Recording the texts

The first stories were collected for this thesis in 1998. They were recorded on a poor quality tape recorder. They are listed, with their dates of collection, in Table (1):

Story name	informant	community	text number	date of collection
How the Aitons and the Khamtis quarrelled	Chaw En Lai	Aiton	2-1-3-1	9/1/1998
Story of the crow and the fox	Ong Cham	Aiton	2-1-3-2	10/1/1998
Story of the old woman	Nabin Shyam	Aiton	2-1-3-3	20/1/1998
The bird with the red bottom	Ngi Kheng Chakap	Phake	1-1-3-1	15/1/1998
Advice to children	Sa Myat Chowlik	Khamyang	3-1-3-2	13/1/1998

Table 1: Stories recorded in 1998.

Each one of these texts has been used in the thesis and several sentences from each are used to exemplify different grammatical features. The poor quality of the recordings will be immediately obvious to the listener, and some may question their use. In part they are used here because they contain examples of grammatical forms not otherwise recorded, but in part they are here to make a point about recording. Any recording is better than none, and these recordings were the best that I could make at the time. Only since 1998 has it been possible to purchase the high quality equipment that I can now use to produce better recordings.

Four different methods of recording have been used. First the cheap tape recorder mentioned above. All other cassette recordings were done on a much better quality cassette recorder. More recently I was able to purchase first a video camera and then a minidisc recorder.

No video recordings are presented in this thesis, although sound files of several of these video recordings are included. Presenting video texts would indeed have enriched this thesis, and I venture to suggest that if future linguistics theses include sound as this one has done, they will include video also.

11.2.2 Digitising the texts

Altogether around 60 hours of text has been recorded; only a portion of this could be analysed for this thesis, but all of the texts which are analysed and presented here have been digitised. The texts were recorded onto computer as .wav files, using the SIL Speech Analyzer 1.5.

In total, the various .wav files took up nearly 2 gigabytes of space, which took up three CDs. Therefore, for presentation in this thesis, all of the sound files have been converted to .mp3 format, taking up only 300 megabytes. It is therefore possible to include all of the sound files for this thesis on a single CD.

The Speech Analyzer programme shows wave forms and can produce spectrograms and pitch analyses, some of which were captured as bitmaps linked to sections of Chapter 6 (see for example Table (7) in 6.1.2).

11.2.3 Linking the texts to the thesis

As it became gradually clear to me that it would be possible to present this thesis in electronic format, I was faced with the question of what format that should be. Several people advised me to consider producing a web-page.

Early discussions on this issue with my supervisor, Dr Heather Bowe, revolved around what kind of web-page program might be purchased and how to go about learning to do it. A very small experimental website was created which demonstrated some of the difficulties that would be faced.

The normal format for websites is .html, but .html files cannot support tabulations and non-standard fonts. To change all the tabulations to table format would be possible, although it would have been very time consuming.

To overcome the problem of the use of non-standard fonts, it was suggested that the entire thesis could be converted to .pdf format. This format is now very commonly used for the distribution of documents around the internet, but it is quite inflexible compared with the Word format used in this thesis. The problem with .pdf files is that they cannot be easily searched, and they cannot be easily altered. Furthermore they do not appear as clearly on the screen as this Word document does.

During one stopover in Bangkok, I sought the advice of Doug Cooper, of the Southeast Asian Software Research Centre. He suggested I use the Word format in which the entire thesis has been written.

The most recent versions of the Word program allow for the insertion of hyperlinks. Those hyperlinks can link to any document in any format. They can link to any part of a Word document if that part is bookmarked. Furthermore, the creation of a Table of Contents such as occurs at the head of each chapter builds in its own links.

Therefore the links created here could all be set up without any special skills having to be acquired. Furthermore, all the software necessary to read any part of the thesis is now widely available. A draft of the electronic version of this thesis has even been read in Assam.

The only outstanding problem was how to link to a single sentence within an .mp3 file. Nearly every one of the over 500 language examples in the thesis is linked to a sound file, and most of those are single sentences from a text. The most flexible way would be to effectively bookmark the .mp3 file for a particular text, asking the hyperlink to go to a particular point in the .mp3 file and play a particular length of text.

Programs do exist which will allow this, at least for .wav files, but a direct link out of the word file was not possible. Consequently, it was necessary to go back to the Sound Analyzer program each time I wanted to include a sentence in the text. The .wav file for that text would be opened, the particular sentence found and then cut and paste into a new file which was named according to the number of that sentence

in the analysis of the particular text. Although this was time consuming, it turned out to be a good way of re-checking those sentences.

11.3 The role of the researcher in the electronic age.

In the past, when writing such a thesis, the researcher would assemble all the relevant examples and build up a case for the analysis, just as I have done. Usually, several short texts were analysed and presented in an appendix, but in general the reader had to accept the data as the researcher presented it.

In this thesis, the role of the researcher is different. I have presented my analysis of these languages, just as researchers in the past have done. In addition, I have given the reader the opportunity to go back to the data and check any claim that I have made.

Since becoming aware that a thesis could be presented in electronic format, it has been my primary aim to make it as easy as possible for the reader to check the claims I make. That is why sentence examples are linked in the way they are. The reader may wish to know what kind of text the sentence comes from. They may wish to see the context from which an utterance comes, and I would expect this to be a very valuable tool for languages in which context is so important. And of course the reader will want to hear at least some of the sentences.

By providing a rich data set, linked to a comprehensive analysis of the Tai languages, it is hoped that the readers will be able to get all the information about the languages that they want, and further to be able to check the data and see things that I have not been able to see.

11.4 Archiving the data

Although it is a primary aim of this thesis to provide documentation of the Tai languages for posterity, we cannot be certain that the data contained in this thesis will survive in its present form. Past generations of humans have recorded valuable

information on stone tablets, on papyrus, in tape recordings or in books. No doubt they also hoped that the media they used would survive, but stones may break and papyrus may crumble; tape recordings may degenerate and books may get eaten by moths, or burned in a fire.

With electronic presentation, destruction is always possible, but another danger may present itself. What if the electronic format, the computer program, used today is simply not available in fifty or a hundred years time?

With this in mind, the decision was taken to use the most widely available electronic formats. The thesis is written in Microsoft Word Documents. Documents produced by earlier versions of Microsoft Word can be read on the latest versions, because each update has taken into account the past versions. It is hard to imagine that for the foreseeable future this will not continue to be so.

The other types of files presented in this thesis, .jpg and .bmp for images and .mp3 for sound, are also very widely available, and it is expected that future updates will make allowance for these formats when .jpg and .mp3 files are regarded as somewhat passé.

When Dr. Banchob recorded her Aiton tapes in the 1960s, she used the best available equipment. By the year 2001, her heirs could no longer access the tapes that she had made; those tapes needed to be brought to Australia and copied onto compact discs, the latest technology available. How do we know that in another forty years the compact disc on which this thesis is written will still be readable?

It is hoped that somewhere in the world, some archivists will take on the responsibility of ensuring that this thesis is updated into the latest formats, once the writer is no longer available to do so.

11.5 Possibilities that were not followed up

A PhD thesis is a finite activity, even if at times the contrary appears to be the case. In such a finite activity all the possible ways of undertaking analysis cannot be followed up. At least one area which has not been followed up is the possibility of using a concordance program to go through every example of every word in the texts. This thesis might have been better if this had been done, but it was not.

11.6 Conclusion.

I hope that this thesis has given a good account of the analysis of the Tai languages of Assam. I hope my readers, both in the year 2002 in which this work was written and into the future, will find this analysis useful. I also hope that readers will agree that the inclusion of the texts and the links to sound files are a useful innovation.

In a hundred years time, though aspects of my analysis may not have stood the test of time, the richness of the corpus of texts will ensure that this work is still useful.

နိဂုံးချုပ်

Bibliography

In this thesis, the decision has been taken to use the original dates of publication when referring to it in a text, even when it is a later edition that has been consulted. This is felt necessary to give the readers a clear indication of the date at which the reference was written. The casual reader, unaware perhaps of *Linguistic Survey of India*, might see a reference to Grierson (1966) and assume that it was a work of the mid 20th century. Therefore this work will always be referred to as Grierson (1904), with details of the reprints in this bibliography as in the following example:

Grierson, Sir George. 1904. *Linguistic Survey of India*, Vol. 2. *Mon-Khmer and Siamese-Chinese Families*. Calcutta: Office of the Superintendent of Government Printing. Republished in 1966, Delhi: Motilal Banarsidass.

Also, in this thesis, it has been decided to refer to people of Tai origin by their formal names in their own language, rather than by the surnames which Indian citizenship has conferred on them. Thus *The Good Way of Teaching* by Ngi Kheng Chakap and Ai Che Let Hailung will be found in the bibliography under the heading 'Ngi'.

A similar principle will be followed with names of scholars from Thailand. In Thai language bibliographies are listed in the alphabetical order of first names, and this has been followed in this thesis. It was decided that it would inappropriate to refer to Dr Banchob Bandhumedha under her surname, which is little known, particularly in India. Therefore in this bibliography, Thai scholars are listed under their first name, with a reference under their surname to this first name.

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