1	Characteristics of wintertime daily precipitation over the Australian Snowy Mountains
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# 27 Abstract

The relationship between orographic precipitation, low-level thermodynamic stability and 28 29 the synoptic meteorology is explored for the Snowy Mountains of southeast Australia. A 21year dataset (May-October, 1995-2015) of upper air soundings from an upwind site is used to 30 define synoptic indicators and the low-level stability. A K-means clustering algorithm was 31 employed to classify the daily meteorology into four synoptic classes. The initial classification, 32 based only on six synoptic indicators, distinctly defines both the surface precipitation and the 33 34 low-level stability by class. Consistent with theory, the wet classes are found to have weak low-level stability, and the dry classes have strong low-level stability. By including low-level 35 stability as an additional input variable to clustering method, statistically significant 36 37 correlations were found between the precipitation and the low-level stability within each of the four classes. An examination of the joint pdf reveals a highly non-linear relationship; heavy 38 rain was associated with very weak low-level stability and, conversely, strong low-level 39 40 stability was associated with very little precipitation. Building on these historical relationships, model output statistics (MOS) from a moderate resolution (12 km spatial resolution) 41 operational forecast were used to develop stepwise regression models designed to improve the 42 24 hour forecast of precipitation over the Snowy Mountains. A single regression model for all 43 days was found to reduce the RMSE by 7% and the bias by 75%. A class-based regression 44 45 model was found to reduce the overall RMSE by 30% and the bias by 85%.

*Keywords*: orographic precipitation, quantitative precipitation forecasts, model output statistics,
'Snowy Mountains'.

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### 51 **1. Introduction**

The Snowy Mountains, with peaks in excess of 2000 m, are the tallest mountains in 52 53 Australia and are part of a continental divide along the eastern seaboard, known as the Great Dividing Range. Precipitation to the west and north of these mountains naturally flows inland 54 into the Murray and Murrumbidgee Rivers ultimately reaching the Great Australian Bight, 55 while precipitation to the east naturally flows into the Snowy River reaching the Tasman Sea 56 57 (Figure 1a). This water is of immediate economic value for the generation of hydroelectric power and is of further value for downstream consumption, particularly for agriculture across 58 59 the semi-arid Murray-Darling Basin. For this reason, water is routinely diverted through a series of tunnels, aqueducts, and pumps from the Snowy River Basin across the divide into the 60 61 inland catchments. The day-to-day management of this water resource requires accurate quantitative precipitation estimates and forecasts over the mountains. 62

Distinct from daily forecasts and estimates, the climatology of precipitation has been of 63 great interest, particularly over the last decade. For instance, Landvogt et al. (2008) produced 64 a climatology of wintertime precipitation in the Australian Alpine regions, in which daily 65 precipitation was categorized into synoptic clusters consisting of "pre-frontal", "post-frontal", 66 67 and "cutoff" classes. Chubb et al. (2011) studied a 20-year period from 1990, which included most of the 'millennium drought', one of the most severe droughts in the past century (Timbal 68 2009). Through this period, a 43% decline in wintertime precipitation at high elevations 69 70 (greater than 1000 m) was observed. Local weather systems were classified into three major 71 synoptic types (embedded lows, cutoff lows and other) based on the synoptic mean sea level 72 pressure (MSLP) and the 500-hPa geopotential height. Dai et al. (2014; D14 hereafter) assigned the daily synoptic meteorology into one of four classes using a K-means clustering algorithm 73 with the upwind upper-air sounding. They found that the two wet clusters accounted for 30% 74

75 of all days and 70% of the total wintertime precipitation. These two wet classes were associated 76 with fronts (both embedded and cutoff) and post-frontal conditions, respectively. Fiddes et al. (2015) employed a set of circulation dynamics indices to show that there has been a continuing 77 78 decline in western-high-elevation winter time precipitation of alpine Australian and a relatively stable rainfall trend in eastern parts of this region. These distinct phenomena are hypothesized 79 to be caused by the likely presence of complex relationships between the amount of 80 81 precipitation and large-scale climate patterns over this region, especially for extreme events (Theobald et al. 2015). 82

83 Orographic precipitation is commonly defined as precipitation arising from the lifting of moist air in response to the presence of the mountains. Fundamentally, orographic precipitation 84 depends on the local upwind lower atmospheric stability, commonly referred to as the non-85 dimensional mountain height  $\hat{H}$ , or the inverse Froude number (Pierrehumbert and Wyman 86 1985). Smith (1989) developed a regime diagram for hydrostatic flow over a mountain to 87 88 illustrate the stagnation onset as a function of the spanwise-to-streamwise horizontal aspect ratio of the topography and  $\hat{H}$ . Orographic precipitation is also known to be sensitive to small 89 variations in ambient conditions, the evolution of the moisture fields, and the geometry of the 90 barrier (e.g. Colle 2004; Watson and Lane 2012). These variations give rise to a variety of 91 92 distinct dynamical and microphysical mechanisms that are classified as orographic mechanisms (Houze 2012). 93

Forecasting orographic precipitation with a numerical weather predication (NWP) model is challenging due not only to the difficulties of representing the variety of complex physical mechanisms, but also to the limited resolution of a simulation to resolve the complex surface geometry (e.g. Panziera 2010). The evaluation of precipitation forecasts is a further challenge given the high spatial variability of precipitation across a complex terrain, and the limited number of surface sites available that can be used to rigorously evaluate the simulations. 100 Another challenge for local forecasts lies in the unique environment of the Snowy Mountains, which distinguishes itself from other mountainous regions of the world (e.g. the 101 Sierra Nevada in the western US) by the frequent presence of clouds comprised of supercooled 102 103 liquid water (SLW, Morrison et al. 2013). The development of precipitation in mixed-phase clouds remains poorly understood and difficult to model (e.g. Furtado et al. 2016). Osburn et 104 al. (2016) reported a high frequency of occurrence of SLW (53% of the time between April 105 and September) over the Snowy Mountains from a surface-based radiometer located on the 106 upwind slope. These observations were qualitatively consistent with those from the MODerate-107 108 resolution Imaging Spectroradiometer (MODIS) satellite product. As a further challenge, Chubb et al. (2015) found a high catch-ratio loss in the winter precipitation over the high 109 elevation sites in the Snowy Mountains due to the lack of heated tipping-bucket gauges and 110 111 wind fences.

Given the many challenges in numerically simulating orographic precipitation, a common 112 practice has been to develop statistically based models to forecast precipitation as a function of 113 some independent predictors (i.e. a regression between synoptic and/or local indicators to 114 forecast precipitation). Statistical models are relatively simpler than NWP models; however, 115 they do not consider the various physical processes of precipitation. Therefore, a combination 116 of current NWP and statistical models seems to be an appropriate solution to address the above-117 mentioned limitations. Model Output Statistics (MOS) is a commonly used, post-processing 118 119 technique to improve the skill of NWP forecasts, employing a statistical method to relate model output to observations (Glahn and Lowry 1972). Examining an ensemble NWP precipitation 120 forecast, D14 found a significant underestimation of precipitation intensity over the high 121 122 elevation terrain of the Snowy Mountains. Using their synoptic clustering, they applied a linear regression algorithm to improve the performance of ensemble precipitation forecasts, reducing 123 the root mean square error (RMSE) by over 20% for their two wet clusters. The ensemble 124

model, known as the Poor Man's Ensemble (Ebert et al. 2001), combined the output of seven independent large-scale NWP models to forecast precipitation at a coarse spatial scale of  $1^{\circ} \times 1^{\circ}$  resolution.

One of the broader aims of the current research is to evaluate the performance of the high-128 resolution Australian Community Climate and Earth-System Simulator (ACCESS) NWP 129 system (Puri et al, 2013) in forecasting wintertime precipitation across the high elevation 130 131 terrain of the Snowy Mountains. A further aim is to test whether the method developed in D14 (based on building a statistical relationship between the variables from synoptic classification 132 and the ensemble forecast of rain to enhance the skill of the model in precipitation intensity 133 prediction) is applicable to an operational, high-resolution precipitation forecasts provided by 134 the ACCESS model. Finally, we seek to improve this clustering based MOS methodology by 135 136 extending the analysis to explicitly consider the lower atmosphere stability. Limiting our analysis to the cold seasons (May - October), the main outcomes of this study are: i) the 137 categorization of the low-level atmospheric stability,  $\hat{H}$ , for the Snowy Mountains, ii) the 138 investigation of inter-dependences between the low-level atmospheric stability and the daily 139 precipitation, and iii) the improvement in the accuracy of the daily NWP precipitation forecasts 140 141 over the Snowy Mountains via stepwise regressions coupled with the results of synoptic categorization. 142

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#### 144 **2. Datasets**

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#### 2.1. Ground-based wintertime precipitation dataset

Half-hourly precipitation is obtained from seven weather stations above 1100 m across the
Snowy Mountains for a 21-year period from 1995 to 2015. These high-elevation gauges,
operated by Snowy Hydro Ltd. (SHL), utilize well-maintained heated tipping buckets for
precipitation measurements. For consistency with the sounding, daily precipitation is obtained

150 by aggregating the half-hourly precipitation from 10:00 local time (00 UTC) to the same time of the next day. Chubb et al. (2011 and 2016) have evaluated the quality of the precipitation 151 gauge data, finding appropriate accuracy and reliability of these measurements for 152 153 climatological studies. The locations of the gauges and their long-term mean wintertime precipitation (May-October) are shown in Figure 1b and Table 1, respectively. For the 21-year 154 period considered, a full daily record (no missing data) of all stations was available for 155 156 approximately 60% of the time. When data from one or more sites was either completely missing or its quality was flagged, the area-average daily precipitation was taken to be the mean 157 158 of the remaining valid observations. No systematic bias in missing or flagged data has previously been noted. For the winter period 2014-2015, 92% of all days have at least five 159 stations that had valid data contributing to the mean value. 160

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162 **2.2. ACCESS model dataset** 

The model data examined in this study are taken from the current operational ACCESS 163 NWP system. The atmospheric component of ACCESS is the UK Met Office Unified Model 164 (MetUM), which is a non-hydrostatic model using a semi-implicit, semi-Lagrangian numerical 165 scheme (Davies et al. 2005) to solve deep-atmosphere dynamics. This version of the ACCESS 166 NWP system is referred to as the "Australian Parallel Suite 1" (APS1), which represents the 167 168 first major upgrade to the system since operational running commenced in August 2010. The 169 ACCESS-R forecasts used in our study for the two year (2014-2015) cold months (May-October) is the Australian-wide regional domain model that covers an area of 65°S-16.95°N 170 171 and 65°E-184.57°E. This model has a horizontal resolution of ~12km (0.11°) and a vertical resolution of 50 levels with the highest level at 37.5 km. Benefiting from the 4-D data 172 assimilation, the ACCESS-R forecasts are initialized at 0000, 0600, 1200 and 1800 UTC of 173 each day and provides hourly precipitation forecasts up to 72 hours ahead. The forecast 174

precipitation is the sum of two components that are computed separately in the model using
the convective and large-scale (i.e. microphysics) parameterization schemes, respectively.
More detailed information on the ACCESS-R can be found in Puri et al. (2013). For this study,
the mean value of 10 grid boxes covering the Snowy rain gauges, bounded by latitudes 35.85°36.40°S and longitudes 148.27°-148.49°E, is employed to represent the ACCESS-R
precipitation forecast over the high elevations of Snowy.

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# 2.3. Wagga Wagga sounding dataset

The nearest upwind sounding site to the Snowy Mountains is at Wagga Wagga, located about 180 km to the northwest (Figure 1a). Both Chubb et al. (2011) and D14 successfully employed these soundings to define the synoptic meteorology during the cold seasons. For the winter period of 1995-2015, the 00 UTC sounding data were obtained from the database of University of Wyoming (<u>http://weather.uwyo.edu/upperair/sounding.html</u>). Soundings were available for 75% of the days (2897 out of 3843).

189 Following D14, as a first step we employ a cluster analysis with six synoptic indicators 190 calculated from the Wagga Wagga soundings to investigate interactions between the largescale environment and daily winter precipitation across the Snowy Mountains. The synoptic 191 192 indicators chosen are: i) surface pressure at Wagga Wagga (GP), ii) southerly moisture flux up to 250 hPa (QV), iii) westerly moisture flux up to 250 hPa (QU), iv) total moisture up to 250 193 194 hPa (TW), v) root-mean-square wind shear between 850 and 500 hPa (SH), and vi) total totals index (TT). More details regarding these indicators can be found in Table 2. Briefly, the three 195 indicators TW, QV and QU represent the available amount of water for the formation of 196 197 precipitation, while TT and SH are considered to be simple estimates of the static stability of the atmosphere (Henry 2000). 198

Expanding on the framework of D14, the low-level atmospheric stability, i.e. the nondimensional mountain height ( $\hat{H}$ ), is added as a seventh predictor. TT and SH are defined between the levels of 850 and 500 hPa; whereas  $\hat{H}^2$  is defined to a height of 1000 m. The mathematical expression of the non-dimensional mountain height is

$$\hat{H} = \frac{1}{Fr} = \frac{Nh}{U},$$
(1)

where U is the cross-mountain wind speed (m/s) and h is the mountain height (h=1 km 204 representing the mean elevation of the region (e.g. Reinecke and Durran 2008). N is the Brunt-205 206 Vaisala frequency  $(s^{-1})$ . Following Hughes et al. (2009), the moist Brunt-Vaisala frequency (Durran and Klemp 1982) is employed when the relative humidity is greater than 90% (i.e. 207 saturated conditions), otherwise the dry Brunt-Vaisala frequency is adopted. As the value of N208 is imaginary when the atmosphere is conditionally unstable, so is the value of  $\hat{H}$ . In order to 209 account for conditionally unstable events, which occur about 11% of the time, we employ the 210 square of the  $\hat{H}$  values ( $\hat{H}^2$ ) for all analysis. Negative values of  $\hat{H}^2$  indicate conditional 211 212 instability. Hughes et al. (2009) showed that the large square Froude number values have similar behaviour as the negative ones (known also as conditionally unstable). 213

For small  $\hat{H}^2$  values, the low-level atmospheric conditions are generally referred to as being 214 unstable to orographic lifting, implying that the airflow can readily pass over the terrain and 215 mountain waves will be generated. For large  $\hat{H}^2$  values, the flow can be stagnated or diverted 216 laterally around the barrier instead of being lifted over the terrain. In this scenario, stable or 217 blocked conditions (hereafter "blocked days") are generally observed (e.g. Watson and Lane 218 2014; Miao and Geerts 2013; Wang et al. 2016). Following the literature, we use  $\hat{H}^2$  equal to 219 220 1 as the threshold to distinguish stable and unstable conditions. In practice, however, the transition is not precise, especially as we use only a fixed estimate for the mountain height, h. 221 As discussed, the spatial distribution, magnitude and frequency of orographic precipitation has 222

commonly been found to be sensitive to  $\hat{H}^2$ . When  $\hat{H}^2 < 1$  (unblocked days here), heavier precipitation events can be expected (e.g. Miglietta and Buzzi 2001; Colle 2004; Wang et al 2016). By inspecting the coastal winds of California, Hughes et al. (2009) showed that the spatial distribution of precipitation became more homogenous for blocked cases, while for unblocked flows local orographic precipitation was strongly dependent on the slope of topography.

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# 2.4. ERA-Interim reanalysis dataset

231 Following Theobald et al. (2015), we employ the ERA-Interim reanalysis products (Dee et al. 2011) provided by the European Centre for Medium-Range Weather Forecasts (ECMWF) 232 to derive composite synoptic charts that correspond to the clusters. The ERA-Interim data we 233 employ are from 1995 onwards and at a spatial resolution of  $0.75^{\circ} \times 0.75^{\circ}$ . As the soundings 234 at Wagga Wagga were not available at all time, the six synoptic indicators and  $\hat{H}^2$  obtained 235 from the ERA-Interim reanalysis dataset are used to fill in missing physical soundings for days 236 in 2014-15. Comparisons of the in-situ Wagga Wagga soundings and the corresponding ERA-237 238 Interim reanalysis soundings for common days over the 21-year winter period show strong 239 correlations for all synoptic indicators (GP: 0.99, SH: 0.90, TT: 0.92, TW: 0.97, QV: 0.98, QU: 0.97). Therefore, we consider using the ERA-Interim reanalysis soundings as a surrogate is 240 appropriate. We note that as the Wagga Wagga soundings are likely employed in the data 241 assimilation for ERA-Interim, the two sets of soundings may not be completely independent. 242 The ERA-Interim soundings may be less skilled when the physical soundings are not available. 243

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### 246 **3.1.Cluster analysis**

The weather systems in southeastern Australia can be classified using simple clustering methodologies such as *K*-means (Hartigan and Wong 1979). Recent studies by Wilson et al. (2013) and D14 show that winter days in Australia can be categorized into unique synoptic regimes by utilizing six synoptic indicators as independent variables for the clustering procedure, applied in the present study as well. In addition to these six indicators,  $\hat{H}^2$  is also employed to account for the low-level local atmospheric stability.

Determining the optimal number of clusters using the *K*-means algorithm is challenging 253 given the opposite relationship between the number of clusters and their variances. Following 254 255 D14, the optimal number of clusters is determined when the probability distributions of the daily rainfall for different clusters can be separated distinctively. Given that a relationship 256 between orographic precipitation and  $\hat{H}^2$  is expected (Colle 2004), it is also worthwhile to 257 appreciate the probability distributions of  $\hat{H}^2$  for each cluster when only the original six 258 indicators are employed for the cluster analysis. A two-sample Kolmogorov-Smirnov (KS) test 259 is applied to insure the distinctiveness of the distributions. 260

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# 262 **3.2. Model Output Statistics approach in precipitation forecasting**

The main aim is to improve the daily ACCESS-R precipitation forecasts (Prec\_Acc) by 263 combining the results of the synoptic classification with a stepwise regression. To this end, the 264 averaged high-elevations SHL rain gauge observations are taken as the "ground truth" for this 265 analysis. As the aim is to develop an operational MOS algorithm, the six predictors and  $\hat{H}^2$  are 266 taken from ACCESS-R instead of the physical soundings. Note that, due to operational 267 management issues, the number of daily soundings at the Wagga Wagga station has been 268 reduced to roughly three per week (i.e. available 154 days during two-winter period of interest) 269 in recent years. 270

To assess the skill of ACCESS-R in representing the synoptic regimes as observed by the 271 physical soundings, the six synoptic indicators derived from the Wagga Wagga and ACCESS-272 R soundings are compared for the same time window (2014-15). The pairwise Pearson 273 274 correlations between synoptic indicators showed significant correlations (at the level of 0.05) ranging from 66% (for SH) to 99% (for GP), suggesting a good agreement between the model 275 and the observations. Based on this, the synoptic classification scheme is applied to the 276 277 ACCESS\_R soundings. The results show high consistency, justifying the use of the synoptic classification on the ACCESS-R soundings for deriving the regression equations. 278

As discussed above, within a forecasting context, all variables are taken from the ACCESS\_R outputs, including the definition of a rain day. Model 1 is a single regression for all 366 winter days, regardless of cluster. Model 2 first classifies each day into one of the four clusters, with each cluster having its own regression.

The seven predictors and the ACCESS-R precipitation data were normalized before the 283 regression models were developed. Model selection/complexity is an obvious problem when a 284 large number of predictors are available. A stepwise approach (from a single constant value to 285 quadratic combinations, including an intercept, linear terms, products, and squared terms) was 286 applied to determine the leading predictors and the optimum regression formulation (degree of 287 complexity within equations). Measures of accuracy (e.g. the RMSE or bias) will automatically 288 289 improve as more predictors are included, but this comes at the cost of model simplicity. To 290 balance the complexity and accuracy, the number of predictors employed was determined by minimizing the Bayesian Information Criterion, BIC, as an objective function (Liebscher 2012). 291 The BIC is expressed as: 292

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$$BIC = k \cdot \ln(n) - 2 \cdot \ln(\hat{L}) \tag{2}$$

where *k* is the number of free parameters to be estimated, *n* is the number of observations and  $\hat{L}$  is the maximized value of the likelihood function of the model. Further, we have considered a stepwise procedure that entails a forward selection and backward elimination algorithm. This
process includes an examination of any linear dependency between predictors (called as
multicollinearity) with redundant variables removed regardless of the goodness-of-fit criterion
value (Curtis and Ghosh 2011).

Both regression models were cross-validated via a bootstrapping methodology with 10000 simulations to ensure that the results were statistically robust. For each simulation, 80% of the data are randomly assigned to the control group (used for deriving the coefficients) and the rest for testing.

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305 **4. Results** 

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# 4.1. Winter precipitation characteristics

Following Chubb et al. (2011), a rainy day is defined at a threshold of 0.25 mm day<sup>-1</sup> for 307 either the average SHL surface observations or ACCESS-R precipitation. A basic summary of 308 the winter precipitation is provided in Table 3. The frequency of rainy days is seen to follow a 309 310 seasonal cycle with peaks being present in July and August. The intensity, however, is not found to present such a cycle; an overall mean intensity of 10.4 mm day<sup>-1</sup> is observed for rainy 311 days, with a standard deviation of 13 mm day<sup>-1</sup>. The mean intensity can be broken down into 312 quartiles, which again do not display any strong seasonal cycle over the course of winter. 313 Average monthly precipitation on rain days indicates lower values in the transition months of 314 315 May and October with an overall wintertime mean precipitation of about 167 mm.

Focussing on the two-year analysis period (2014-2015), the SHL surface observations show a reduction in both the frequency of rainy days and the intensity (not significant at the 5% level) compared to the climatology. The statistics with ACCESS-R, show that both the frequency and intensity are greater than the observed values (not significant at the 5% level).

# 4.2. Synoptic classification

The K-means clustering technique was applied to the Wagga Wagga soundings data 322 (complemented with ERA-I soundings for days when the physical soundings were unavailable) 323 324 for winter days for the 21-year period 1995-2015 using the six indicators. When employing the original six sounding variables (TT, SH, QU, QV, GP & TW), the new analysis was consistent 325 with that of D14; four clusters were the maximum number found to produce distinct daily 326 rainfall probability distributions (Figure 2, left panel), passing the KS test at the 5% 327 significance level. As a major objective of this research is to better understand the nature of the 328 low-level stability,  $\hat{H}^2$ , over the Snowy Mountains, and its value as a predictor of orographic 329 precipitation, daily  $\hat{H}^2$  probability distributions are also produced (Figure 2, right panel). As 330 with the daily precipitation, four clusters lead to distinct distributions of  $\hat{H}^2$ , suggesting that 331 332 the low-level stability is reflected in the broader synoptic meteorology.

A K-means clustering was then undertaken using the original six synoptic variables and  $\hat{H}^2$ 333 334 (7-variable clustering results are only elaborated hereinafter). As before, four clusters were found to be necessary to distinctly represent the daily precipitation distribution (not shown). 335 C1 and C2 were found to be quite stable to this revision with only a handful of days swapping 336 between clusters. The two dry clusters, however, were found to be more sensitive to this 337 clustering revision. 1037 out of the original 1123 days in C3 remain unchanged (5 days shifted 338 to C1, 50 days to C2, and 31 days to C4), while 823 of the original 1003 days in C4 remain 339 unchanged (35 days shifted to C2, and 145 days to C3). This further suggests that for the wet 340 clusters, C1 and C2, that the low-level stability is reflected in the synoptic meteorology. 341 According to Table 4, clusters C1 (frequency of rainy days: 93%, median: 18 mm day<sup>-1</sup>) and 342 C2 (frequency of rainy days: 78%, median: 4.54 mm day<sup>-1</sup>) represent the wettest groups while 343 C3 (frequency of rainy days: 52%, median: 0.32 mm day<sup>-1</sup>) and C4 (frequency of rainy days: 344 22%, median: 0.03 mm day<sup>-1</sup>) capture the driest weather. There are fewer days of C1 and C2 345

than C3 and C4. The mean values of  $\hat{H}^2$  for the four clusters are -0.45, 1.97, 6.24 and 20.20, 346 respectively. Higher values of  $\hat{H}^2$  indicate stronger blocking and, accordingly, less orographic 347 precipitation. The fraction of unblocked days ( $\hat{H}^2 < 1$ ) for the four clusters are 63%, 48%, 20% 348 and 5%, respectively. The probability density functions (PDF) of  $\hat{H}^2$  are broken down by 349 cluster (Figure 3, a-d) for all days. Distribution of  $\hat{H}^2$  for all days is shown in stacked 350 histogram in Figure 3e. For rainy days only, the PDF will be shifted to lower (less stable) values 351 of  $\widehat{H}^2$ , and for no rain days shifted to higher ones (more stable) (Figure 3f). The seasonal 352 variability of clusters is also found to be consistent with D14, the relative frequencies of C1 353 and C2 increase towards the end of winter. C3 is more frequent in early winter and C4 occurs 354 more often in midwinter (not shown). Further, the synoptic meteorology of the wettest cluster 355 C1, is consistent with the analysis of Chubb et al. (2011), a strong northwesterly moisture flux 356 357 and low surface pressure are commonly present.

To better appreciate the synoptic meteorology of these four clusters (based on 7-variable 358 clustering), ERA-Interim data are used to construct composite maps of the large-scale synoptic 359 indicators for each cluster (Figures 4-6). Following Theobald et al. (2015), the map domain is 360 20- 46°S and 120°-140°E in order to capture the dominant synoptic meteorology. For these 361 maps, only the top 25% of days of each cluster are employed. Specifically, these are the days 362 that have the shortest Euclidean distance to the cluster centre. While this approach is commonly 363 used when employing daily observations (e.g. Pook et al. 2006, Theobald et al. 2015), it has 364 been noted that the daily resolution of composite maps may mask some unresolved features 365 within daily systems (e.g. a diurnal cycle), as well as over-represent slow-moving synoptic 366 systems (Gallant et al. 2012). 367

368 Starting first with the MSLP and total water (Figure 4), general synoptic states can be 369 assigned to the four clusters. The MSLP for C1, suggests that the cluster is associated with a 370 frontal passage. It is not possible to distinguish between a cut-off low and an embedded low 371 due to the compositing technique and the low number of clusters. Further, C1 is associated with a maximum in total water over the mountains, which is seen to extend up the Great Dividing 372 Range through New South Wales and Queensland. Chubb et al. (2011) employed a back-373 374 trajectory analysis to define a 'moisture corridor' across this region for heavy precipitation events. C2, while less well resolved, suggests that the frontal system has passed over mountains. 375 Chubb et al. (2012) detailed a case study of a frontal passage over the nearby Brindabella ranges, 376 377 where orographic precipitation was recorded over the 24-hour period after the passage of a front. Here air originating from the Southern Ocean brings limited moisture and modest 378 379 precipitation. Skipping to the dry cluster C4, a strong high pressure system is evident over the region with very little moisture available. Cluster C3 is less easy to classify, most likely 380 including a variety of synoptic settings that ultimately lead to little precipitation. A high-381 382 pressure ridge is present across central Australia in the composite map, although it is much weaker than that of C4. 383

Turning to the moisture fluxes (Figure 5), the strong northerly and westerly moisture fluxes of C1 stand out, consistent with a frontal passage. There is virtually no northerly moisture flux over the Snowy Mountains for C2. It is strictly a westerly flux that is bringing the moisture for precipitation, again suggesting a post-frontal environment (Chubb et al. 2012). Spatially, C3 and C4 are quite similar, with weak fluxes evident over the mountains. C4 is drier and has weaker fluxes of the two.

The synoptic stability composites (TT and SH, Figure 6) further complete the general classifications of clusters C1 (frontal), C2 (post-frontal) and C4 (high pressure system). Over the mountains, the atmosphere is most unstable during a frontal passage and most stable under a high-pressure system. Unlike the other composite maps, however, these maps for C3 are now quite distinct, especially for the mid-level shear (SH). Very weak shear is evident just to the south of the Snowy Mountains, over Bass Straight and extending into the Tasman Sea. This perhaps suggests that the upper free troposphere may be important in defining the synoptic
meteorology of this cluster. A more thorough analysis of C3 revealed that a number of the days
included in the composite included 'east coast lows' (BoM 2017) (e.g. 02 Oct. 2004 and 18
Sept. 2015).

A number of studies have diagnosed the principal synoptic types in southeastern Australia (e.g. 400 Chubb et al. 2011; Pook et al. 2006). Specifically, Theobald et al. (2015) applied an automated 401 approach to generate synoptic types by combining meteorological variables throughout the 402 depth of the troposphere across the Snowy Mountains. It is interesting to compare and contrast 403 404 our four wintertime clusters with their 11 synoptic types. Only a limited comparison is possible as Theobald et al. (2015) considered only heavy precipitation days (threshold of 10 mm day<sup>-1</sup>) 405 406 for the full year. Further, instead of employing physical observations from a sounding site, 407 they employed 13 variables provided from the ERA-Interim reanalysis. In spite of these substantial differences, it is evident from the composite maps that C1 and C2 correspond to 408 Theobald clusters T5 (Prefrontal troughs; approaching cold fronts) and T1 (Embedded cold 409 410 fronts). Not surprisingly, dry clusters C3 and C4 (occurring ~70% of the time during the cold seasons and most frequently at the start and middle of winter) were not represented by any of 411 the Theobald clusters, since their analysis was limited to wet conditions throughout the year. 412

In summary, C1 includes the heaviest precipitation days and the lowest  $\hat{H}^2$  values (and the highest frequency of unblocked cases). It occurs for 10% of the winter days, but accounts for 39% of the precipitation. It is associated with frontal passages. C2 is associated with postfrontal conditions. It occurs 22% of the time and accounts for 33% of the precipitation. C3 is associated with a variety of synoptic conditions. It is a dry cluster, occurring 40% of the time and accounts for 23% of the total precipitation. Finally, C4 is the driest cluster associated with high-pressure system dominating the region over the Snowy Mountains and to its north. This 420 occurs ~30% of the time and accounts for only 5% of the precipitation. It has also the strongest 421 low level stability (i.e. highest  $\hat{H}^2$  values).

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### 4.3. Relationships between independent variables and observed precipitation

Given that an aim of this research is to improve orographic forecasts of precipitation 424 through model output statistics, it is worthwhile to examine the correlation of these predictors 425 with the precipitation. Working with the historic data (1995-2015), QV (-0.51), QU (0.46), GP 426 (0.46) and TW (0.43) are highly correlated with precipitation, while the stability measures  $\hat{H}^2$ 427 (-0.24), TT (0.23) and SH (0.16) are less strongly correlated. All correlations are statistically 428 significant, confirming our underlying premise that these variables can be of use in forecasting 429 orographic precipitation. Table 5 also illustrates the cross-correlations between these variables 430 at the 0.01 significant level. The highest significant correlation is between GP-QU (-0.53); 431 432 while, the lowest significance is for SH-TT (-0.136). SH-TW and SH-QV did not indicate significant linear correlations. 433

Returning to the correlation between precipitation and the seven predictors, it is worthwhile 434 to break down the analysis to the four clusters (Table 6). Not surprisingly, the correlation 435 between the precipitation and any predictor is reduced within an individual cluster defined by 436 these predictors. While greatly reduced, the correlations of QV, QU, GP, and  $\hat{H}^2$  remain 437 statistically significant within each of the four clusters. TW remains significantly correlated 438 within C1, C2 and C3. SH is only significantly correlated with precipitation in C4. Table 6 also 439 440 details the rank correlation of these predictors against the precipitation. For the three stability predictors (SH, TT, and  $\hat{H}^2$ ), the rank correlation (measures the degree of similarity between 441 two rankings assigned to two members of a set) is greater in magnitude than the linear 442 correlation suggesting a non-linear relationship exists between precipitation and stability. The 443

444 rank correlation for  $\hat{H}^2$  is nearly as great in magnitude as that for GP, both of which are 445 negative.

To better appreciate the inter-dependencies between  $\hat{H}^2$  and precipitation, their joint 446 probabilities are explored with the use of a copula function (Sklar 1959). Copula functions have 447 448 been widely used (e.g. Drouet-Mari and Kotz 2001, Genest and Plante 2003, Nicoloutsopoulos 2005) to detect the probabilistic relationships between variables and to show that a set of 449 variables with low correlations (even zero) may still retain complicated "tail" dependence 450 structures. Unlike the commonly used correlation measures, copulas are invariant under strictly 451 increasing transformations of random variables which are used for comprehensively exploring 452 nonparametric measures of interdependence (Schweizer and Wolff 1981). Copulas are not 453 restricted to any particular type of parametric functions (e.g. Gumbel distribution) for the 454 marginal or the joint probability distributions (Madadgar and Moradkhani 2014). According to 455 456 Figure 7A, the scatter plot and marginal histograms illustrates the relationship between precipitation and  $\hat{H}^2$  in which, in overall, large values of precipitation are associated with low 457 values of  $\hat{H}^2$  and high values of  $\hat{H}^2$  are associated with weak precipitation. The marginal 458 histograms further indicate that the vast majority of data are located in the range of 0.25 mm 459 to 10 mm for precipitation and -1 to 5 for  $\hat{H}^2$ , overwhelming the "tail" observations outside 460 this range. 461

Figure 7B and 7C illustrate the marginal CDF distributions of  $\hat{H}^2$  (ranging from -5 to 25) and precipitation (ranging from 0 up to 100 mm) for rainy days based on the data from 1995-2015. A steep slope implies a higher density of data within a considered range; precipitation values less than 10 mm and  $-1 < \hat{H}^2 < 5$  have the highest frequency of occurrences (similar to what is concluded from the marginal histograms). Figure 7B and 7C are the main input elements used in calculating the joint probability values and forming copula function. The Frank copula (Frank 1979), selected as the best-fitting copula in this study, suggests that

 $\hat{H}^2$  values greater than 7.8 correspond to precipitation events less than 0.6 mm, and  $\hat{H}^2$  values 469 less than -0.3 correspond to precipitation events greater than 28.4 mm (Figure 7D). Conversely, 470 low frequencies are given to pairwise events when  $\hat{H}^2$  and precipitation values are both low 471  $(\hat{H}^2 < -0.3 \& \text{ precipitation} < 0.6 \text{ mm})$  or high  $(\hat{H}^2 > 7.8 \& \text{ precipitation} > 28.4 \text{ mm})$  (Figure 472 7D). In summary, the copula identifies and quantifies the tail-end relationship (as previously 473 highlighted by AghaKouchak et al. 2010) between  $\hat{H}^2$  and precipitation that was not evident in 474 the simple correlation coefficient. An inverse, non-linear relationship between  $\hat{H}^2$  and 475 476 precipitation was also suggested by the individual probability distributions of Figure 2.

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# 4.4. Daily winter precipitation forecasting

### 479 **4.4.1. Precipitation estimations by ACCESS-R**

The daily ACCESS-R precipitation forecasts serve as our control forecast for the full two-480 year (2014-2015) winter (May-October) period. When these precipitation forecasts were 481 evaluated against Snowy Hydro's high-elevation surface observations, the overall RMSE was 482 4.20 mm with a bias of 0.3 mm. On average ACCESS-R slightly overestimated the surface 483 observations. Looking at observed rain days only (0.25 mm day<sup>-1</sup> threshold), the hit rate for 484 ACCESS-R was 88% with an RMSE of 6.2 mm day<sup>-1</sup> and a bias of 0.42 mm day<sup>-1</sup>. For no-485 rain days, the false alarm rate was 20.7% with an RMSE of 0.58 mm day<sup>-1</sup> and a bias of 0.19 486 mm day<sup>-1</sup>. 487

These basic statistics can readily be broken down into the four clusters (Table 7). As expected, the wet 'frontal' cluster, C1, has the highest percentage of rain days, the greatest overall RMSE of 10.17 mm day<sup>-1</sup> and bias of 0.76 mm day<sup>-1</sup>. For rain days only, the hit rate was 97%. Over the two-years of data (no-rain days), the false alarm rate was calculated to be for C1. The relatively wet 'post-frontal' cluster, C2, shows the next highest overall percentage of hits (69%) and RMSE (5.2 mm day<sup>-1</sup>). The overall bias for C2 is 0.3 mm day<sup>-1</sup>. Most notable for this cluster is the high rate of false alarm (38%) when looking at no-rain days. ACCESS-R
produces precipitation too readily in these post-frontal conditions. For the dry clusters, C3 and
C4, the overall RMSE are small. They are less skilled on rain days (hit rates of 86 and 72%,
respectively) and relatively skilled on no-rain days (false alarm rates of 27 and 13%,
respectively).

More advanced metrics can readily be employed on these observations to assess the skill 499 500 of deterministic precipitation forecasts, following the World Meteorological Organization Working Group on Numerical Experimentation (WWRP/WGNE 2008). For example, the 501 502 frequency bias score (FBS, the ratio of the frequency of forecast precipitation events to that of observed; where 1 indicates a perfect score) was calculated to be 1.12, and 0.97, 1.05, 1.24, 503 504 1.16 for the overall time period and then broken down by cluster, respectively. An FBS score 505 greater than one suggests that the model generally tends to predict rain days more frequently than they are observed. The absence of false alarm in C1 led to an FBS score of just under 506 unity, indicating the tendency to less frequently predict precipitation, however the opposite 507 508 occurred in the rest of the classes. The equitable threat score (ETS, the fraction of correctly predicted of observed and/or forecast events that has a range of -1/3 to 1, where 0 indicates no 509 skill and 1 is a perfect score.) was calculated to be 0.49, and 0.73, 0.39, 0.41, 0.39 for the 510 overall time period and the four clusters, respectively. The ETS accounts for correct forecasts 511 due to chance when computing an index that combines the hit rate and the false alarm ratio. 512 513 Ebert (2001) finds an ETS of around 0.4 for the Poor Man's Ensemble precipitation predictions, suggesting that the present system is especially skilful in identifying heavy-precipitation events. 514 The accuracy score (The fraction of "correct" forecasts) was also calculated to be 0.83, 0.97, 515 516 0.84, 0.79, 0.84 for the overall time period and the four clusters, respectively, indicating an encouraging result, with the most accurate forecast in C1. 517

### 4.4.2. Model-1: Single regression model

The single regression model (Model 1) is based on only two predictors, a linear combination of the ACCESS-R precipitation forecast and QU (Table 8), determined by the stepwise approach and the BIC. The ACCESS-R forecast accounts for about 70% of the variance while QU contributed roughly 14%. Four goodness-of-fit criteria [RMSE,  $r^2$  (the coefficient of determination), the hit rate, and bias (for all days)] are calculated to quantify the improvement in using a simple regression formula from the control ACCESS-R forecast precipitation (Table 9).

527 When limited to forecast precipitation days, the straight ACCESS-R forecast had an RMSE of 6 mm day<sup>-1</sup>, a bias of 0.68 mm day<sup>-1</sup> and a hit rate of 76% for the full two-year period 528 (Results in Table 9 are different than those previously presented in Table 7 as the selection of 529 530 rain day was changed from the surface observations to the ACCESS-R forecast to allow for an operational application). When the single regression model is applied, forecast precipitation 531 had an RMSE of 5.6 mm day<sup>-1</sup>, a bias of -0.15 mm day<sup>-1</sup>, a hit rate of 78% and  $r^2$  at 0.83. The 532 single regression produced a 7% reduction in the RMSE. We can examine the forecast rain 533 days by cluster, even when employing a single regression. The single regression model 534 improves the RMSE in all four clusters, most strongly in C4. The bias is also reduced in each 535 of the four clusters, most strongly in C4 and C1. The coefficient of determination remains 536 largely unchanged by cluster. The single regression does not strongly improve one or more 537 538 clusters at the expense of the remaining clusters. The improvement is largely across all clusters.

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### 4.4.3. Model-2: Cluster-based regression model

The cluster-based regression (Model 2), produces an RMSE of 4.2 mm day<sup>-1</sup>, a bias of -0.1 mm day<sup>-1</sup>, an  $r^2$  of 0.89 and a hit rate of 84% when computed over all days. The RMSE was

reduced by 30% and the bias was reduced by 7% in comparison to the control ACCESS-Rforecast precipitation.

545 When breaking this down into the individual clusters, large improvements are identified in 546 C1 (24% reduction in RMSE) and C4 (42% reduction in RMSE). Further  $r^2$  increases most for 547 these two clusters. While the gains for cluster C2 were more modest (C2 has the simplest 548 regression fit), improvement is evident for all goodness of fit criteria. The cluster based 549 regressions also reduced the false alarm rate (as well as improving the hit rate) in all clusters, 550 but more strongly in the two wet ones.

Histograms of goodness-of-fit criteria for the cluster-based regression model for C1 (Model 2 C1) derived from cross-validation analysis are illustrated in Figure 8. Due to the presence of skewness in histograms of coefficients and goodness-of-fit criteria (Figure 8), for all models, median of the histograms is considered and reported to reduce the influence of outliers. Similar histograms are obtained for other classes but not shown. It should be noted that the RMSE and bias histograms are derived from the normalized values of estimations which are converted to mm for the final comparisons between the models.

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### 559 **5.** Conclusion:

A primary aim of this research has been to better understand the sensitivity of precipitation over the Snowy Mountains of southeast Australia to the low-level stability (as measured by the square of the non-dimensional mountain height,  $\hat{H}^2$ ) and to understand the relationship between the low-level stability and the synoptic meteorology, if any. A further aim has been to exploit any uncovered relationship between the non-dimensional mountain height and precipitation to improve forecasts of precipitation over these mountains for water management purposes.

It has long been appreciated that orographic precipitation is sensitive to  $\hat{H}^2$  with strong 567 stability (large  $\hat{H}^2$ ) suppressing rainfall and, conversely, weak stability enhancing orographic 568 precipitation (e.g. Watson and Lane, 2014). An analysis of 21 years of wintertime data 569 confirmed this relationship for the Snowy Mountains. Average  $\hat{H}^2$  was significantly greater for 570 no-rain days (14.5) than for rain days (3.9). A rain day was defined by a threshold of 0.25 mm 571 day<sup>-1</sup>. A deeper analysis of these data found that the relationship was primarily driven by 572 extreme values with very large values of  $\hat{H}^2$  (>7.8) being associated with suppressed rainfall 573 and very heavy rainfalls (>28 mm day<sup>-1</sup>) being associated with low values of  $\hat{H}^2$ . 574

Previous research (D14) demonstrated that the precipitation over these mountains is also 575 sensitive to the large scale synoptic meteorology. Following this methodology, a K-means 576 clustering algorithm was applied to the precipitation using six synoptic predictors (GP, TW, 577 QU, QV, SH and TT). As before, four clusters were identified as the minimum number of 578 579 clusters necessary to distinguish the precipitation distribution between clusters. Examining these more thoroughly with the use of ERA-I reanalysis data, the wet cluster (C1) was 580 associated with frontal passages. C2 was also a wet cluster, being associated with post-frontal 581 conditions. C4 was a dry cluster associated with suppressed (stable) conditions, and C3 was a 582 dry cluster constructed from the remaining observations. Distributions of  $\hat{H}^2$  were also 583 distinguished by this clustering, suggesting that  $\hat{H}^2$  was also strongly defined by the synoptic 584 meteorology. 585

586 When repeating the clustering for seven variables (the original six predictors plus  $\hat{H}^2$ ), only 587 minor changes were observed for the two wet clusters, while changes were observed in the 588 number and composition of dry clusters, C3 and C4. A further investigation on the relationship 589 between the seven predictors and the observed precipitation showed that they are all 590 statistically correlated, justifying their application in forecasting orographic precipitation. 591 Higher values in rank correlations of the stability indicators (more obviously for  $\hat{H}^2$ ) suggested 592 a non-linear relationship rather than linear relationship.

D14 noted that over the Snowy Mountains a NWP model demonstrated more skill at 593 predicting the synoptic meteorology than the precipitation. Thus, model output statistics (MOS) 594 of the synoptic variables was used to improve precipitation forecasts. Two different regression 595 models were developed with the aim of improving the precipitation forecasts of the ACCESS-596 R model. Specifically, the goal was to reduce the RMSE and bias. First a single regression 597 model was developed and applied to two-years (2014-2015 wintertime) of ACCESS-R 598 precipitation forecasts. This led to a 7% reduction in the RMSE and a 78% reduction in the 599 bias. Then, a cluster-based regression was applied leading to a 30% reduction in the RMSE and 600 601 an 85% reduction in the bias.

The stepwise-based regression method (Curtis and Ghosh 2011) employed initially seeks a 602 leading predictor that is able to convey a large portion of the dependent variable information 603 604 (i.e. the ACCESS\_R precipitation forecasts). Following on, the regression methodology tries 605 to select another leading predictor to further improve the accuracy of forecasts. While additional predictors will improve accuracy, they are only added if they avoid redundancy, 606 607 collinearity and complexity. It is important to note that all of the tested indicators have already been utilized as input variables to the clustering algorithm. Having TT as a leading predictor 608 in C1 suggests that the model systematic error may be mostly associated with the variation of 609 large-scale stability. Following this logic, the model precipitation overestimation in C4 may be 610 rooted in misrepresentation of moisture flux. 611

A potential extension of this work could be to consider the application of statistical
adjustments for forecasts at longer lead times. The ACCESS-VT model only runs for 36 hours,
preventing such testing on the immediate observations and simulations. As the accuracy of the

615 ACCESS system continues to improve, the main value of such statistical analysis may be in 616 the identification of the source of systematic errors in the system.

617

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- 623

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774 Figure captions:

775

Figure 1. a) Map of the Snowy Mountains analysis region (red box) in southeastern Australia
showing the closest upper-wind site of the BoM to the Snowy Mountains (Wagga Wagga). b)
Location of the seven alpine rain gauges used in this study (enlarged red box).

779

Figure 2. Probability distribution of daily winter rainfall (left panel) and  $\hat{H}^2$  (right panel) for next 24 hours after sounding measurements over the period 1995-2015 based on six large-scale synoptic indicators.

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Figure 3. a-d) Distribution of  $\hat{H}^2$  for each cluster, e) stacked histograms of  $\hat{H}^2$  variable for different clusters, f) the  $\hat{H}^2$  distribution for No-Rain days and Rain days. ( $\hat{H}^2 > 25$ , occurring ~7% of the time and mostly in C4 are not shown). Note the different axes in (e and f) compared to (a-d)

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Figure 4. Composite charts of MSLP in *hPa* (Top) and TW in *hPa*. $s^2$ . $m^{-1}$  (bottom), showing total moisture up to 250-*hPa*, for the four classes. Era-Interim data (00UTC, May-October, 1995-2015) at a spatial resolution of  $0.75^{\circ} \times 0.75^{\circ}$  used to construct composite maps of only the top 25% of days of each cluster with the shortest Euclidean distance to the cluster centre. The corresponding maximum and minimum values of the examined variables are shown on top of the individual panels.

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Figure 5. As in Figure 4, but showing QV and QU (westerly and southerly moisture flux up to 250-*hPa*) for the four classes. Color-filled contours shows the magnitude of the moisture fluxes  $(\sqrt{QU^2 + QV^2})$  in  $Kg s^{-1} m^{-1}$  and arrows of the same length represent the moisture flux direction.

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Figure 7. A) Histogram and scatter plot of precipitation and  $\hat{H}^2$ , B & C) the marginal CDF distributions of precipitation and  $\hat{H}^2$ . D) Joint frequency using Frank PDF copula. All the graphs are based on the data from 1995-2015 (for rainy days).

Figure 6. As in Figure 4, but showing total totals index (TT) in °C and root-mean-square wind shear between 850 and 500 hPa (SH) in 1/s.

807	Figure 8. Histograms of goodness-of-fit criteria (RMSE, r <sup>2</sup> , and Bias) based on normalized
808	values for Model-2_C1 derived from cross-validations with 10000 simulations. The median of
809	each panel is taken to represent the average values in Table 8.
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818	Table 1. Properties of precipitation gauges sites at high altitudes in the Snowy Mountains. The
819	mean winter precipitation values are calculated over the period 1995-2015.

Site	Start Year	Longitude	Latitude	Elevation	Mean winter
		(0)	<b>(0)</b>	( <b>m</b> )	Precipitation (mm)
Tooma Dam	1992	148.28	-36.05	1221	1156.96
Geehi Dam	1992	148.31	-36.30	1175	1054.92
Jagungal	1990	148.39	-36.14	1659	1104.37
The Kerries	1995	148.38	-36.26	1740	1027.27
Guthega Dam	1992	148.37	-36.38	1175	881.84
Guthega Power Station	1994	148.41	-36.35	1320	963.30
Cabramurra	1955	148.38	-35.94	1482	857.69

841	Table 2 Summary of six syr	nontic indicators used to i	represent large-scale environment.
0-1	1 abic 2. Summary of Six Syr	ioptic matcators used to i	represent large seale environment.

Variables	Abbreviation	Formula	Unit
Surface pressure at Wagga Wagga	GP	Non-Applicable	hPa
Southerly moisture flux up to 250 hPa	QV	$\frac{1}{g}\int qVdp$	Kg s <sup>-1</sup> m <sup>-1</sup>
Westerly moisture flux up to 250 hPa	QU	$\frac{1}{g}\int qUdp$	Kg s <sup>-1</sup> m <sup>-1</sup>
Total moisture up to 250 hPa	TW	$\frac{1}{g}\int qdp$	$hPa.s^{2}.m^{-1}$
Root-mean-square wind shear between 850 and 500 hPa	SH	$\sqrt{\left(\frac{\Delta U}{\Delta z}\right)^2 + \left(\frac{\Delta V}{\Delta z}\right)^2}$	s <sup>-1</sup>
Total totals index	TT	$T(850) - 2T(500) + T_d(850)$	$^{o}C$

Table 3. Main statistical characteristics of daily winter precipitation in mm for rainy days (>0.25mm). Numbers shown in the brackets are the ACCESS-R results for a 2-yr period.

	Observations (1995-2015)							Observations (ACCESS-R) (2014-15)		
Month	Rainy days'	Average	Mean	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	Rainy days'	Mean		
	Frequency	Monthly	Intensity	Quartile	Quartile	Quartile	Frequency	Intensity		
		(mm)	(mm/day)							
May	41%	122.5	9.5	6.7	9.8	12.8	51% (55%)	8.8 (8.4)		
June	56%	171.5	10.6	5.7	10.6	14.2	50% (50%)	10.8 (12.0)		
July	63%	179.6	9.7	8.3	9.5	11.7	58% (64%)	8.8 (9.7)		
August	60%	193.1	10.9	9.0	10.6	13.4	55% (52%)	6.3 (5.4)		
September	53%	192.1	11.6	10.0	11.7	13.0	35% (49%)	6.9 (7.4)		
October	44%	143.3	10.0	6.4	9.1	13.1	23% (40%)	8.2 (10.1)		
May-Oct	52%	166.7	10.4	7.7	10.2	13	45% (51%)	8.3 (8.8)		

Clusters	Frequency			Mean	1 <sup>st</sup> Quartile		2 <sup>nd</sup> Quartile		3 <sup>rd</sup> Quartile	
	All	Rainy	Unblocked*	Rainfall						
	days	days	All days	(rainy days)	Rainfall	$\hat{H}^2$	Rainfall	$\hat{H}^2$	Rainfall	$\hat{H}^2$
			(rainy days)	mm	mm		mm		mm	
C1	9.9%	93%	63% (66%)	22.94	5.74	0.05	17.48	0.7	29.04	1.7
C2	22.2%	78%	48% (53%)	10.83	0.63	0.03	4.54	1.11	12.87	3.01
C3	39.2%	52%	20% (30%)	6.12	0	1.35	0.32	3.33	8.7	3.66
C4	28.6%	22%	5% (12%)	3.32	0	4.59	0.03	11.1	0.17	27.8

Table 4. Main statistical characteristics of daily winter rainfall and  $\hat{H}^2$  across synoptic clusters.

\*Unblocked cases: days with  $\hat{H}^2 < 1$ .

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Table 5. Cross-correlation coefficients between seven synoptic indicators.

						2 1	
	GP	SH	TT	TW	QV	QU	$\hat{H}^2$
GP		-0.283	-0.361	-0.379	0.223	-0.530	0.338
SH			-0.136	-0.030	-0.019	0.334	-0.154
TT				0.516	-0224	0.149	-0.269
TW					-0.554	0.388	-0.237
QV						-0.297	0.155
QU							-0.249
$\hat{H}^2$							

\*Bold numbers are significant correlation at significant level of 1%.

Table 6. Linear and Rank correlation coefficients between seven synoptic indicators and
observed precipitation over the period of 1995-2015 (Classification results based on 7-variable
clustering).

$\mathcal{O}$								
		GP	SH	TT	TW	QV	QU	$\hat{H}^2$
C1	Linear	206	.018	039	.176	482	.194	198
	Rank	261	.019	.010	.063	460	.143	296
C2	Linear	370	.032	.219	.197	181	.276	203
	Rank	400	012	.311	.166	161	.308	355
C3	Linear	288	.002	.052	.119	137	.144	180
	Rank	360	.010	.210	.124	.002	.218	344
C4	Linear	199	.114	061	.041	153	.194	098
	Rank	150	.151	019	073	.071	.161	215
No Class	Linear	464	.163	.232	.428	509	.465	237
	Rank	555	.186	.367	.376	231	.469	533

915 \*Bold numbers are significant correlation at significant level of 5%. No Class: no classification process

has been implemented.

Table 7. Performance of ACCESS-R precipitation estimation of observed precipitations over the period of 2014-15 with a value of 0.25 mm for
 the rain-day threshold. (Hit, Miss, False Alarm and Correct/Negative values are in percentage)

	All days							days only	у	No-rain days only		
Synoptic classes	Hit	Miss	False-alarm	*Cor/Neg	RMSE	Bias	Hit	RMSE	Bias	False-alarm	RMSE	Bias
(No. of days)					(mm)	(mm)	rate	(mm)	(mm)	rate	(mm)	(mm)
C1 (34)	88	3	0	9	10.2	0.76	97	10.7	0.83	0	0.03	-0.01
C2 (51)	69	6	10	16	5.2	0.3	92	6.0	0.15	38	1.50	0.73
C3 (141)	36	6	16	43	2.1	0.1	86	3.2	-0.04	27	0.41	0.2
C4 (110)	16	6	10	67	2.0	0.4	72	4.1	1.4	13	0.44	0.10
All days**(336)	40	6	11	43	4.2	0.3	88	6.2	0.42	21	0.58	0.19

\*Cor/Neg: Correct/Negative: model correctly detects no rain days

\*\* All days: all days without implementing the classification.

	Model		Leading Predictors using stepwise algorithm coupled with BIC criteria on 8 indicators	Regression equation			
	1		QU, Prec_Acc	$Y = Int. + \alpha QU + \beta Prec_Acc + \gamma QU \times Prec_Acc$			
		C1	TT, Prec_Acc	$Y = Int\alpha TT + \beta Prec_Acc + \gamma TT \times Prec_Acc$			
	2	C2	Prec_Acc	$Y = Intercept + \alpha Prec_Acc$			
	2	C3	QU, Prec_Acc	$Y = Int. + \alpha QU + \beta Prec_Acc + \gamma QU \times Prec_Acc$			
		C4	QV, Prec_Acc	$Y = Int\alpha QV + \beta Prec\_Acc + \gamma QV \times Prec\_Acc$			
935	Int.: Ir	tercept					
936							
937							
938							
939							
940							
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Table 8. Leading predictors in regression equations for different models.

Table 9. Goodness-of-fit criteria for different models for estimating daily winter precipitations over 2014-15 based on ACCESS-R rainy days
(results are only for the test groups).

Classes	Models	Goodness-of-fit criteria				Improvemen	nt of model	1 and 2 in co	ompare to	
						ACCESS forecast for each criterion (%)				
		RMSE	<i>r</i> <sup>2</sup>		Hit	RMSE	<b>r</b> <sup>2</sup>	BIAS	Hit	
		(mm)			rate					
	ACCESS	6.0	0.83	0.68	76		NA	1		
Overall	1	5.6	0.83	-0.15	78	6.7	0.0	77.9	3.1	
	2	4.2	0.89	-0.10	84	30.1	7.2	85.3	10.8	
	ACCESS	10.8	0.67	1.10	90		NA	1		
C1	1	10.3	0.77	-1.30	93	4.0	14.9	-18.2	3.7	
	2	8.2	0.81	-0.60	100	23.5	20.9	45.5	11.1	
	ACCESS	5.9	0.82	0.48	90		NA	1		
C2	1	5.8	0.84	-0.34	93	2.2	2.4	29.2	2.8	
	2	5.7	0.88	-0.19	100	3.9	7.3	60.4	11.1	
	ACCESS	3.0	0.89	0.23	67		NA	1		
C3	1	2.7	0.90	-0.17	68	7.4	1.1	26.1	2.0	
	2	2.6	0.91	-0.04	70	13.5	2.2	82.6	4.1	
	ACCESS	3.9	0.62	1.70	62		NA	1		
C4	1	3.4	0.62	1.40	66	12.9	0.0	17.6	5.6	
	2	2.3	0.69	-0.21	79	42.0	11.3	87.6	27.8	

<sup>\*</sup>NA: Not Applicable

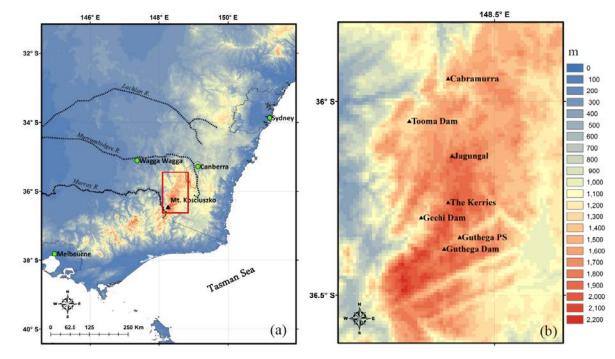


Figure 1. a) Map of the Snowy Mountains analysis region (red box) in southeastern Australia
showing the closest upper-wind site of the BoM to the Snowy Mountains (Wagga Wagga). b)
Location of the seven alpine rain gauges used in this study (enlarged red box).

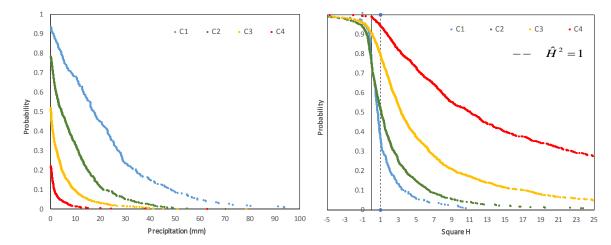
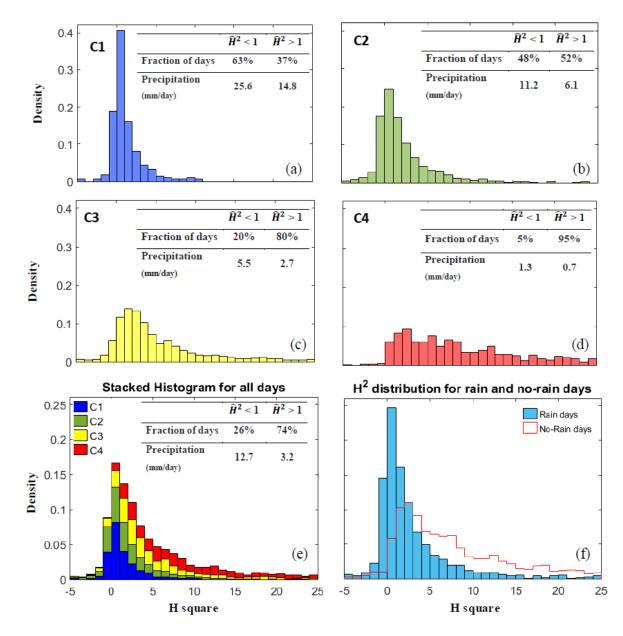




Figure 2. Probability distribution of daily winter rainfall (left panel) and  $\hat{H}^2$  (right panel) for next 24 hours after sounding measurements over the period 1995-2015 based on six large-scale synoptic indicators.



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Figure 3. a-d) Distribution of  $\hat{H}^2$  for each cluster, e) stacked histograms of  $\hat{H}^2$  variable for different clusters, f) the  $\hat{H}^2$  distribution for No-Rain days and Rain days. ( $\hat{H}^2 > 25$ , occurring ~7% of the time and mostly in C4 are not shown). Note the different axes in (e and f) compared to (a-d)

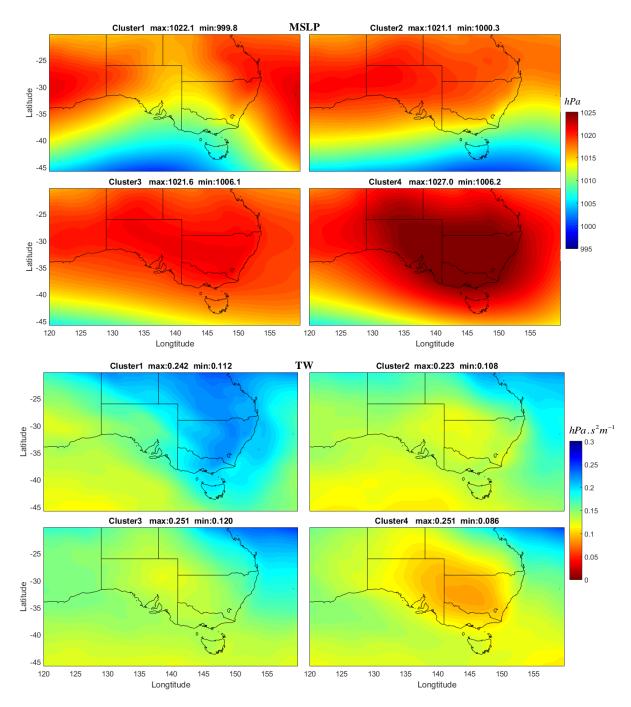




Figure 4. Composite charts of MSLP in hPa (Top) and TW in  $hPa.s^2/m$  (bottom), showing total moisture up to 250-hPa, for the four classes. Era-Interim data (00UTC, May-October, 1995-2015) at a spatial resolution of  $0.75^{\circ} \times 0.75^{\circ}$  used to construct composite maps of only the top 25% of days of each cluster with the shortest Euclidean distance to the cluster centre. The corresponding maximum and minimum values of the examined variables are shown on top of the individual panels.

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- 1025

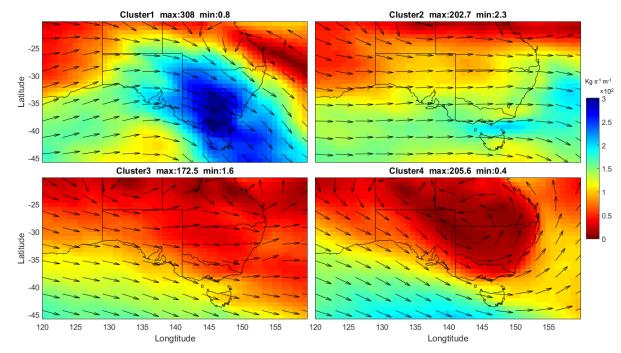


Figure 5. As in Figure 4, but showing QV and QU (westerly and southerly moisture flux up to 250-*hPa*) for the four classes. Color-filled contours shows the magnitude of the moisture fluxes ( $\sqrt{QU^2 + QV^2}$ ) in Kg s<sup>-1</sup> m<sup>-1</sup> and arrows of the same length represent the moisture flux direction.

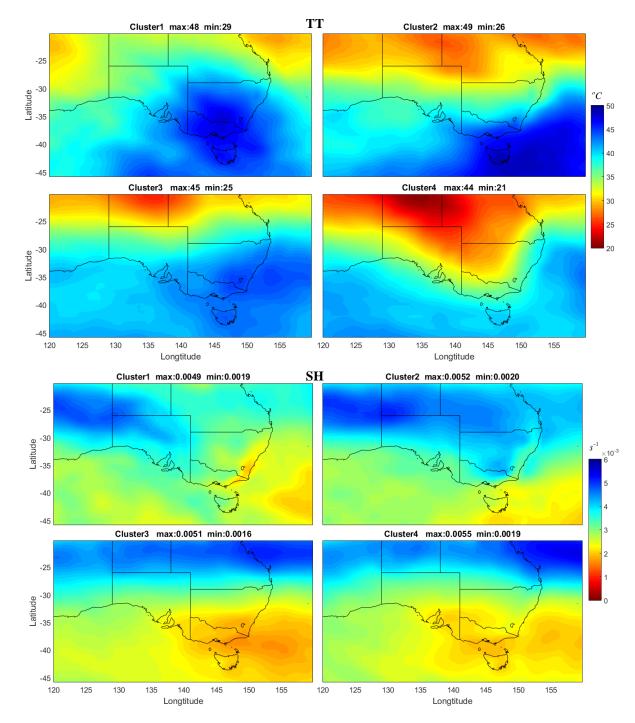




Figure 6. As in Figure 4, but showing total totals index (TT) in °C and root-mean-square wind shear between 850 and 500 hPa (SH) in 1/s.

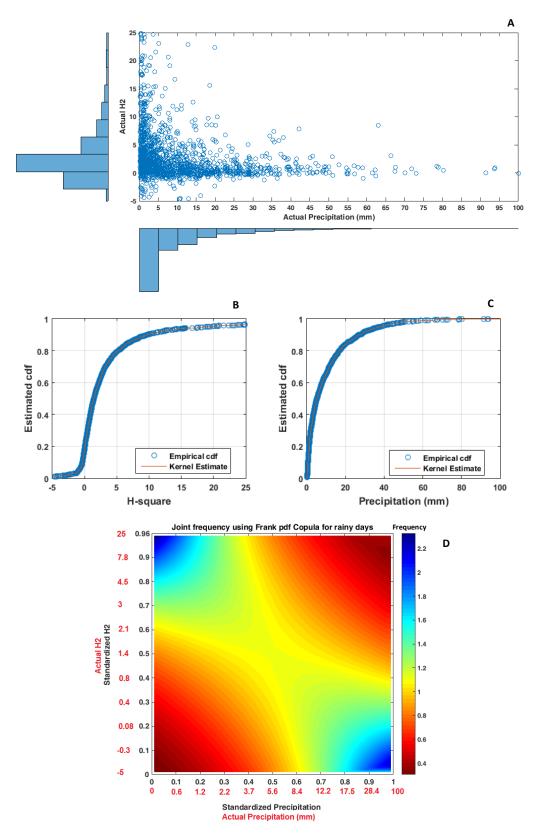


Figure 7. A) Histogram and scatter plot of precipitation and  $\hat{H}^2$ , B & C) the marginal CDF distributions of precipitation and  $\hat{H}^2$ . D) Joint frequency using Frank PDF copula. All the graphs are based on the data from 1995-2015 (for rainy days).

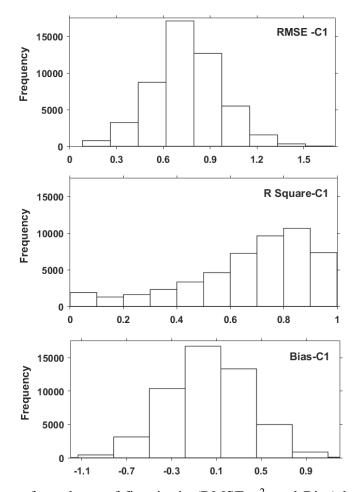


Figure 8. Histograms of goodness-of-fit criteria (RMSE,  $r^2$ , and Bias) based on normalized values for Model-2\_C1 derived from cross-validations with 10000 simulations. The median of

1065 each panel is taken to represent the average values in Table 8.